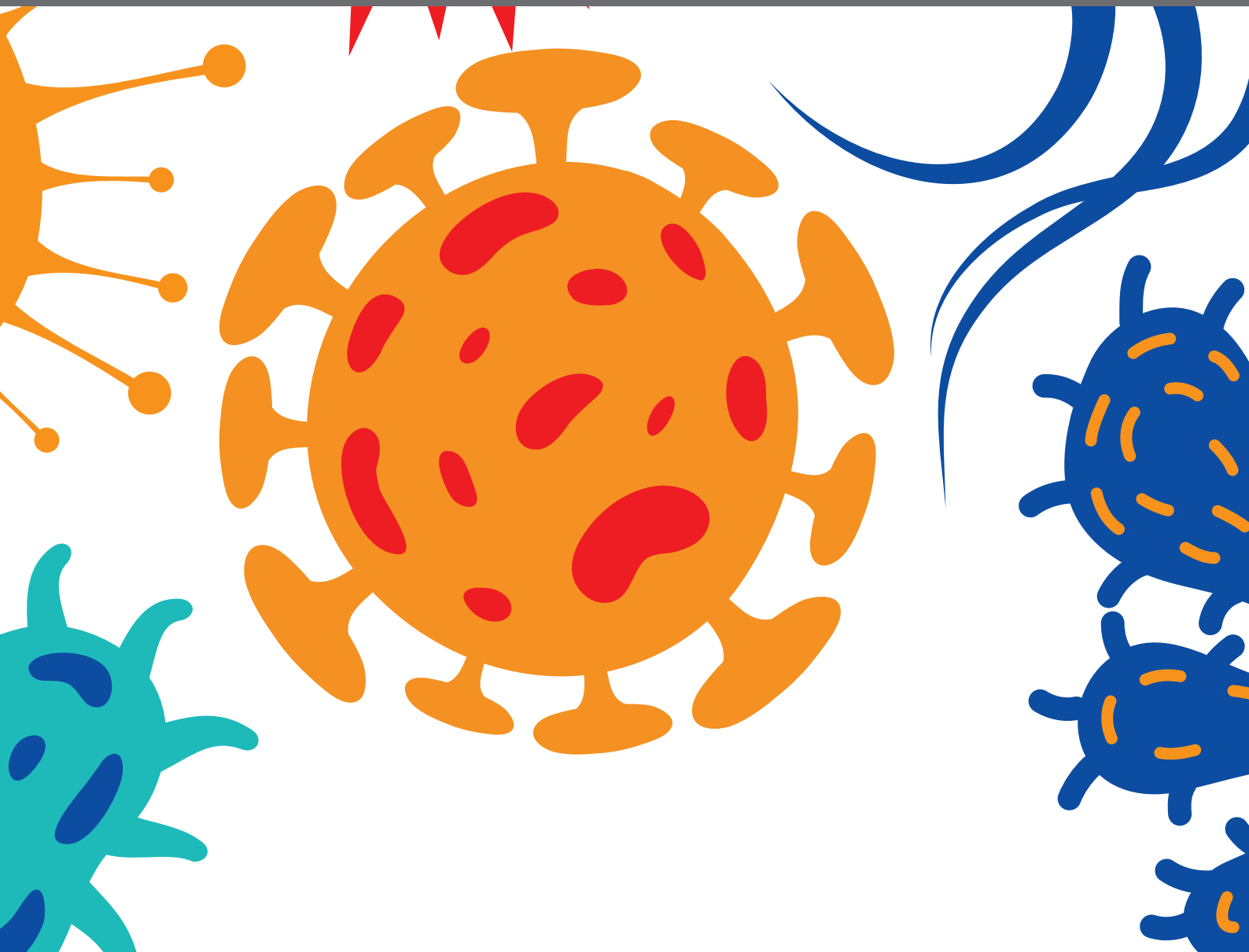




# THE APPLICATION OF PHAGES AGAINST INFECTIOUS DISEASES

EDITED BY: Pan Tao, Jeremy J. Barr and Jingmin Gu

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# THE APPLICATION OF PHAGES AGAINST INFECTIOUS DISEASES

Topic Editors:

**Pan Tao**, Huazhong Agricultural University, China

**Jeremy J. Barr**, Monash University, Australia

**Jingmin Gu**, Jilin University, China

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# Editorial: The Application of Phages Against Infectious Diseases

Pan Tao<sup>1,2,3\*</sup>, Jingmin Gu<sup>4\*</sup> and Jeremy J. Barr<sup>5\*</sup>

<sup>1</sup> College of Veterinary Medicine, Huazhong Agricultural University, Wuhan, China, <sup>2</sup> The Cooperative Innovation Center for Sustainable Pig Production, Huazhong Agricultural University, Wuhan, China, <sup>3</sup> Hongshan Lab, Wuhan, China, <sup>4</sup> Key Laboratory of Zoonosis Research, Ministry of Education, College of Veterinary Medicine, Jilin University, Changchun, China, <sup>5</sup> School of Biological Sciences, Monash University, Clayton, VIC, Australia

**Keywords:** infectious disease, bacteriophage, phage therapy and biotechnology, pathogen detection, vaccine

## Editorial on the Research Topic

### The Application of Phages Against Infectious Diseases

Infectious diseases are a leading cause of human death. While the development of vaccines, antibiotics, and other medicines has dramatically reduced the burden of disease, multidrug-resistant bacteria and emerging infectious diseases, such as COVID-19, are reminders that continuous efforts are needed to limit these infections and their associated morbidity and mortality. Bacteriophages (phages) are viruses that specifically infect bacteria, have been utilized to combat bacterial infections since their discovery in the early 20th century. However, their clinical use was largely reduced following the discovery of antibiotics, which demonstrated greater breadth of application breadth. The emergence and rise of antibiotic resistant bacteria have forced researchers to rethink phage-based therapies as an alternative solution to tackle bacterial diseases. Significant progress has been made over the last two decades, with a number of phase I/II clinical trials having been launched or completed. Other than phage therapy, phages or their components can be used as tools for vaccine delivery and pathogen detection. Despite the tremendous advances and incredible potential, the application of phages in infectious diseases is still in its infancy. Further research and investment are required to mature phage technologies and establish phages as a viable option to combat infectious diseases. This invited Research Topic aimed to publish original articles covering such applications. This included 13 original research articles and 1 review article contributed by 115 researchers, accumulating a total of 28,228 views by the end of October, 2021.

Unlike antibiotics, phages are natural organisms that replicate within host bacteria and are subsequently released into the surrounding environment *via* lysis of the host cells. This makes them an ideal weapon to treat antibiotic-resistant bacteria. In this Research Topic, Tan et al. reported a case study of an 88-year-old patient suffering from multi-drug resistant *Acinetobacter baumannii* infection. Phage Ab\_SZ3, which showed the strongest antibacterial activity among ten *A. baumannii* phages, was selected for therapeutic phage preparation. After 7 days nebulization treatment with phage Ab\_SZ3 in combination with tigecycline and polymyxin E, *A. baumannii* was eliminated from the bronchoalveolar lavage fluid of the patient. Application of phages to combat multidrug-resistant bacteria in veterinary fields is also attracting attention. Mastitis is a common disease in dairy cows worldwide, which can be caused by many bacteria such as *Staphylococcus aureus*, *Escherichia coli*, and *Pseudomonas aeruginosa*. Guo et al. generated a phage cocktail containing three lytic phages, each of them demonstrating a broad host range and great bacteriolytic efficacy against *E. coli*. Using the bovine mastitis model, the authors showed that

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### Edited and reviewed by:

Nahed Ismail,  
University of Illinois at Chicago,  
United States

### \*Correspondence:

Pan Tao  
taopan@mail.hzau.edu.cn  
Jingmin Gu  
jingmin0629@163.com  
Jeremy J. Barr  
jeremy.barr@monash.edu

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following an intramammary challenge with ampicillin-resistant *E. coli* ECD2 strain, the phage cocktail alleviated the symptoms of mastitis as efficiently as antibiotic treatment. Similarly, Wang et al. showed that intramammary injection of a lytic *P. aeruginosa* phage vB\_PaeS\_PAJD-1 reduced bacterial titers and repaired mammary glands in a mouse mastitis model challenged with multi-drug resistant *P. aeruginosa*.

Phages have high host specificity, which confines the application of phage therapy to sensitive bacterial strains. Therefore, phages with broad host range are highly preferred. Whittard et al. analyzed the host range of 78 lytic *S. aureus* phages using 185 *S. aureus* isolates and identified two phages, EW70 and EW71, that could infect 184 isolates. Strikingly, phage EW71 can rapidly kill *S. aureus* and reduce biofilms on polystyrene formed by methicillin-resistant *S. aureus* isolates, indicating its potential as a therapeutic candidate. Liang et al. isolated a lytic *Yersinia pestis* phage vB\_YpP-YepMm, which can infect and lyse all of the three human pathogenic *Yersinia* species: *Y. pestis* (all seven strains used in the study), *Y. pseudotuberculosis* (O:1a, O:1b, and O:14), and the highly pathogenic *Y. enterocolitica* bioserotype 1B/O:8. The identification of the host receptor and the corresponding phages receptor-binding protein is important fundamental information that may provide a basis for engineering phage receptor-binding proteins and the expansion of host range. Thus, Sun et al. showed that the lytic *Vibrio cholerae* phage VP2 binds to the outer membrane protein EpsD of host cells through its tail fiber protein gp20.

The pharmacokinetics (PK) and pharmacodynamics (PD) of phage preparations *in vivo* could be key factors that determine the efficacy of phage therapy. Phages can be rapidly cleared from the blood and their residence time depends on the route of administration. Dhungana et al. evaluated the PK/PD of a lytic *Klebsiella pneumoniae* phage using oral and intraperitoneal routes in a mouse model. They found the titer of phage in plasma reached a peak at four hours after intraperitoneal inoculation, whereas the highest phage titer appeared eight hours after oral administration. However, the distribution of phages among different organs did not depend on administration route but on the presence of host bacteria in mouse. Ideally, the phage administration route should match the entry port and colonization sites of target bacteria especially for the respiratory bacteria. Wang et al. reviewed recent progresses in the aerosol delivery of phage preparations against pulmonary infections covering many technical details such as nebulization techniques, electrospray, individualized controlled inhalation, and liposome-encapsulation.

Similar to antibiotic resistance, phage therapy selects for phage-resistant bacteria. Several strategies are available to overcome this limitation, including the use of phage cocktails composed of multiple different phages, combined use of phages and antibiotics, and using phage endolysin that can directly lyse the bacterial cell wall. Song et al. showed that the lytic *Enterococcus faecalis* phage Vb\_EfaM\_LG1 inhibits *E. faecalis* growth *in vitro* only for four hours due to the development of phage resistance. However, the combined use of cefotaxime and phages prevented the development of phage resistance, and showed synergistic antibacterial effect against *E. faecalis*. Khan et al. reported an endolysin LysAB54 from *A. baumannii* phage

p54, which showed significant bactericidal activity against several Gram-negative bacteria including *A. baumannii*, *P. aeruginosa*, *K. pneumoniae*, and *E. coli* even in the absence of outer membrane permeabilizers. Interestingly, Lu et al. found that combined use of endolysin from *S. aureus* phage P108 and vancomycin showed synergistic antibacterial effects against methicillin-resistant *S. aureus* strain XN108. Other than directly lysing the cell wall using endolysin, Blundell-Hunter et al. reported three depolymerases from *K. pneumoniae* phages that can completely remove the capsule in K-type-specific fashion. These capsules help bacteria colonize in the mammalian host and escape immune recognition and killing. Therefore, removal of the bacterial capsule might facilitate the elimination of the bacteria from the mammalian host.

Other than phage therapy, phages or its components can also be used as tools for vaccine development and pathogen detection. For example, the recombinase polymerase amplification (RPA) reaction, a widely used technology to detect nucleic acid of pathogens, was derived from the recombination-dependent DNA replication mechanism of phage T4. All three key proteins, UvsX (recombinase), UvsY (recombinase loading factor), and gp32 (single-stranded binding protein) are from phage T4. In this Research Topic, Ma et al. developed a real-time RPA technology by incorporating a fluorescence report system for the detection of *Enterocytozoon hepatopenaei*. It takes just seven minutes to detect *E. hepatopenaei* with a sensitivity of 13 gene copies per reaction. Wang et al. developed a ligation/RPA method for rapid detection of SARS-CoV2. T4 phage DNA ligase is used to ligate two DNA probes that paired with target RNA of SARS-CoV2, and ligation products are used as templates for RPA, therefore, avoiding the need for reverse transcription. The procedure can be completed within 30 min with a sensitivity of 10 viral RNA copies per reaction.

Overall, our current Research Topic covers most areas of phage therapy and partly pathogen detection. We realize that application of phages against infectious diseases is not limited to the topics discussed here. For instance, we have not received high-quality research articles regarding phage-based vaccine development due to time limit. Nevertheless, this Research Topic presents a valuable collection of articles that help mature phage technologies and establish phages as a viable option to tackle infectious diseases.

## AUTHOR CONTRIBUTIONS

PT drafted the manuscript. JG and JB revised the manuscript. All authors contributed to the article and approved the submitted version.

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# Rapid Detection of *Enterocytozoon hepatopenaei* Infection in Shrimp With a Real-Time Isothermal Recombinase Polymerase Amplification Assay

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### Edited by:

Jingmin Gu,  
Jilin University, China

### Reviewed by:

Manfred Weidmann,  
Midge Medical GmbH, Germany  
Shuguang Lu,  
Third Military Medical University,  
China

### \*Correspondence:

Jingquan Dong  
2018000029@jou.edu.cn  
Hui Shen  
darkhui@163.com  
Song Gao  
gaos@jou.edu.cn

<sup>†</sup>These authors have contributed  
equally to this work

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Chao Ma<sup>1†</sup>, Shihui Fan<sup>1†</sup>, Yu Wang<sup>1†</sup>, Haitao Yang<sup>1</sup>, Yi Qiao<sup>2</sup>, Ge Jiang<sup>2</sup>,  
Mingsheng Lyu<sup>1</sup>, Jingquan Dong<sup>1\*</sup>, Hui Shen<sup>2\*</sup> and Song Gao<sup>1\*</sup>

<sup>1</sup> Jiangsu Key Laboratory of Marine Biological Resources and Environment, Jiangsu Key Laboratory of Marine  
Pharmaceutical Compound Screening, Co-Innovation Center of Jiangsu Marine Bio-industry Technology, School of  
Pharmacy, Jiangsu Ocean University, Lianyungang, China, <sup>2</sup> Jiangsu Institute of Oceanology and Marine Fisheries,  
Nantong, China

*Enterocytozoon hepatopenaei* (EHP) infection has become a significant threat in shrimp farming industry in recent years, causing major economic losses in Asian countries. As there are a lack of effective therapeutics, prevention of the infection with rapid and reliable pathogen detection methods is fundamental. Molecular detection methods based on polymerase chain reaction (PCR) and loop-mediated isothermal amplification (LAMP) have been developed, but improvements on detection speed and convenience are still in demand. The isothermal recombinase polymerase amplification (RPA) assay derived from the recombination-dependent DNA replication (RDR) mechanism of bacteriophage T4 is promising, but the previously developed RPA assay for EHP detection read the signal by gel electrophoresis, which restricted this application to laboratory conditions and hampered the sensitivity. The present study combined fluorescence analysis with the RPA system and developed a real-time RPA assay for the detection of EHP. The detection procedure was completed in 3–7 min at 39°C and showed good specificity. The sensitivity of 13 gene copies per reaction was comparable to the current PCR- and LAMP-based methods, and was much improved than the RPA assay analyzed by gel electrophoresis. For real clinical samples, detection results of the real-time RPA assay were 100% consistent with the industrial standard nested PCR assay. Because of the rapid detection speed and the simple procedure, the real-time RPA assay developed in this study can be easily assembled as an efficient and reliable on-site detection tool to help control EHP infection in shrimp farms.

**Keywords:** *Enterocytozoon hepatopenaei*, recombinase polymerase amplification, recombination-dependent replication, spore wall protein gene, molecular detection



## INTRODUCTION

Microsporidia are intracellular parasites which can infect a wide range of crustaceans and fish (Ning et al., 2019). Among Microsporidia, *Enterocytozoon hepatopenaei* (EHP) is an emerging pathogen and has been classified into the group of *Enterocytozoonidae*, suborder *Apansporoblastina*, phylum *Microsporidia*, and kingdom Fungi (Tourtip et al., 2009). In shrimp aquaculture industry, EHP can infect the hepatopancreas of different shrimp species including *Penaeus japonicus*, *Penaeus monodon*, and *Penaeus vannamei* (Tang et al., 2016; Thitamadee et al., 2016; Chaijarasphong et al., 2020). When infected, it causes stunted growth, soft shells, lethargy and white feces symptoms (Behera et al., 2019). In Asian countries including India, Thailand and China, EHP caused 10%–20% reduced production of shrimp annually leading to significant economic losses (Thamizhvanan et al., 2019). In the year 2015 in Jiangsu, China, EHP infections had caused 300 million CNY of loss for the shrimp farming industry. EHP has been considered a huge threat to farms in many shrimp-farming countries (Shen et al., 2019).

There are no specific clinical signs in EHP-infected shrimps, making it difficult to monitor EHP infection and to control the spreading. The feces of EHP-infected shrimp contain a large number of spores, which can infect healthy shrimp through horizontal transmission (Karthikeyan and Sudhakaran, 2019). In addition, there are no proven therapeutic methods for EHP infection (Chaijarasphong et al., 2020). Thus, it is very important to develop a rapid and simple detection method for EHP infection to prevent disease outbreaks and economic losses. To date, a number of diagnostic methods for the detection of EHP have been reported. These include loop-mediated isothermal amplification (LAMP), polymerase chain reaction (PCR), and quantitative PCR (qPCR) targeting the small subunit ribosomal RNA gene (SSU rRNA), nested PCR targeting the spore wall protein gene (*swp*) or  $\beta$ -tubulin gene, *in situ* hybridization assay, and histopathology (Jaroenlak et al., 2016; Han et al., 2018; Sathish et al., 2018; Piamsomboon et al., 2019). However, these methods have drawbacks like long detection time, need for trained personnel, and equipment dependence. Although the LAMP method takes only 45 min for the reaction, it still requires an accurate temperature-controlled machine (Sathish et al., 2018). These methods are not suitable for use in remote areas.

The isothermal recombinase polymerase amplification (RPA) assay derived from the recombination-dependent DNA replication (RDR) mechanism of bacteriophage T4 is a potentially suitable method (Li et al., 2018; Lobato and O'Sullivan, 2018; Dong et al., 2020; Hu et al., 2020; Wang et al., 2020). The RPA system uses several enzymes from bacteriophage T4, including the strand-exchange protein UvsX, the mediator protein UvsY, and the single-strand binding protein gp32, to mimic the bacteriophage T4 RDR system *in vitro*. UvsX and UvsY anchor on a DNA single strand and search for homologous sequences on another double-stranded DNA. Once a homologous sequence is found, a recombination event occurs and one strand of the double-stranded DNA is displaced

by the single strand. The displaced strand is stabilized by gp32. The 3'-end of the displacing strand is extended by the *Bsu* DNA polymerase (Piepenburg et al., 2006). By mimicking the T4 RDR mechanism, the RPA system solves the low-temperature strand opening problem and amplifies the target DNA fragment isothermally at 37–42°C. Researchers have tried to apply the RPA technology to the detection of EHP infection in shrimp and, indeed, simplified the procedure because a thermocycler was no longer required. However, the analysis of amplification products by gel electrophoresis did not free the assay from the laboratory for field use, and the sensitivity was also limited by gel imaging tools (Zhou et al., 2020).

The amplification products of RPA can be analyzed with better convenience by lateral flow chromatography or fluorescence (Li et al., 2018). Among these two options, fluorescence analysis makes real-time reading of the signal possible and this “real-time RPA” assay has a good combination of speed, portability, and accessibility. Briefly, the real time RPA reaction contains an “exo probe” that anneals to one of the amplified strands and recruits an exonuclease to cleave off the tetrahydrofuran (THF) substitution on the probe to separate the fluorophore and the quenching group at the two adjacent sites of THF on the probe, emitting the fluorescence signal (**Figure 1**) (Piepenburg et al., 2006).

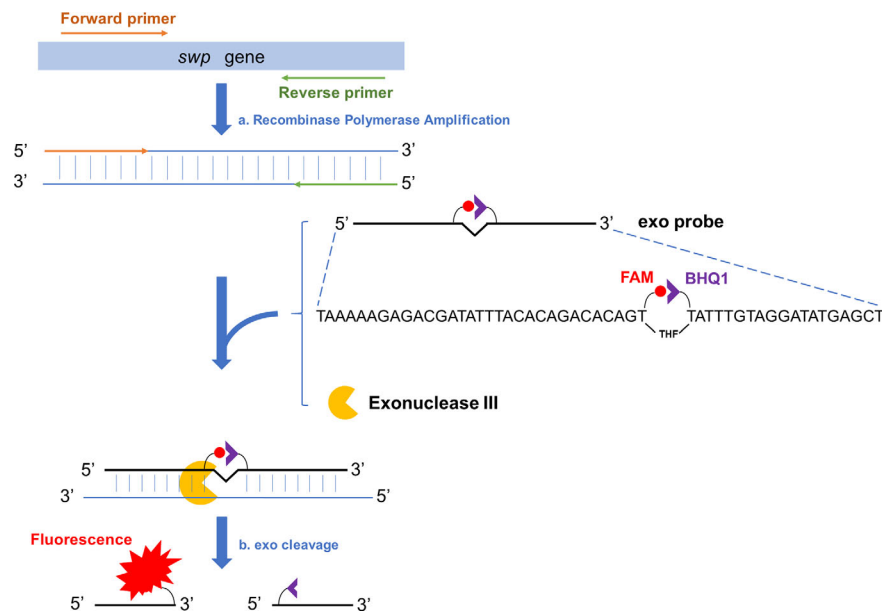
In this study, a real-time RPA assay for rapid detection of EHP has been established. This method finished the detection in 10 min with good specificity. The limit of detection was 13 gene copies per reaction. Detection results were 100% consistent with the established nested PCR assay for real clinical samples. The real-time RPA assay is simple and reliable, and can be widely deployed for the detection of EHP infection in remote areas.

## MATERIALS AND METHODS

### Infected Shrimp Samples, Bacterial Strains, and Clinical Samples

A collection of shrimps infected by *Enterocytozoon hepatopenaei* (EHP), white spot syndrome virus (WSSV), shrimp hemocyte iridescent virus (SHIV), and a *Vibrio parahaemolyticus* strain causing acute hepatopancreatic necrosis disease (AHPND) (referred to as *VP<sub>AHPND</sub>* in this study), and reference strains of *Vibrio vulnificus* and *Vibrio parahaemolyticus* (not AHPND-causative, referred to as non-*VP<sub>AHPND</sub>* in this study) were obtained from Jiangsu Institute of Oceanology and Marine Fisheries (Nantong, China). Clinical shrimp samples at different growing stages were collected from shrimp farms of different areas in China, including Qingdao, Rudong, Yancheng, Qidong, Lianyungang, and Rizhao. DNA of infected shrimp samples or clinical samples was extracted by the Magnetic Universal Genomic DNA Kit using the handheld 3<sup>rd</sup> Gen. TGrinder (Tiangen Biotech Co., Ltd., Beijing, China) and quantified with a Qubit 4 fluorometer (Thermo Fisher Scientific Inc, Wilmington, DE, USA). DNA from the infected shrimp samples were confirmed for the presence of infection agents by qPCR as described previously (Zhu and Quan, 2012;





**FIGURE 1** | Schematic representation of the real-time RPA assay. Fragment of the target gene (*swp* gene in case of EHP detection) is isothermally amplified by recombinase polymerase amplification (event a). To one of the amplified strands, the *exo* probe anneals, and the Exonuclease III (*exo*) cleaves at the THF site once the bases flanking it have paired (event b). On the *exo* probe, the two T bases adjacent the THF site have been substituted by FAM-dT (fluorescent group) and BHQ1-dT (quenching group), respectively. The *exo* cleavage separates the two groups to allow fluorescence emission. There is a SpC3 group (not shown in this diagram) at the 3'-end of the *exo* probe to block the undesired extension of the probe.

Liu et al., 2018; Qiu et al., 2018; Zheng et al., 2018). The reference bacterial strains were confirmed by 16S rRNA sequencing (Hiergeist et al., 2016).

## Design of Primers and Probes

An NCBI Primer-BLAST (<https://www.ncbi.nlm.nih.gov/tools/primer-blast>) search was conducted using the FASTA sequence of the *swp* gene of EHP (GenBank accession no. KX258197.1). For parameter settings, the product size was set as minimum at 100 bp and maximum at 200 bp. The organism was set as *Enterocytozoon hepatopenaei* (taxid: 646526). The primer size was set at a minimum of 30 bases and a maximum of 35 bases. The primer GC content was set at a minimum of 20% and a maximum of 70%. The maximal self-complementarity was set as any at 4 and 3' at 1. The maximal pair complementarity was set as any at 4 and 3' at 1. Other parameters were set as default. For the probe design, the sequence defined by the primer pair was input into the Primer Premier 5 software. The size of the probe was set at a minimum of 46 bases and a maximum of 51 bases. The melting temperature ( $T_m$ ) was set at a minimum of 57°C and a maximum of 63°C. The GC content was set at a minimum of 20% and a maximum of 80%. The maximum hairpin score was set as 1. The maximum primer-dimer score was set as 1. The maximum poly-X was set as 3. Other parameters were set as default. The probe had a C3 spacer (SpC3) at the 3'-end that could block strand extension and a tetrahydrofuran (THF) group at the middle to facilitate exonuclease III (*exo*) cutting. The two T bases adjacent the THF site were substituted by FAM (6-carboxy-fluorescein)-dT and BHQ1 (Black Hole Quencher 1)-

dT (**Figure 1**). Primers and probes were synthesized by General Biosystems Co., Ltd., Anhui, China. The sequences are shown in **Table 1**.

## Construction of the Plasmid Standard

DNA extracted from the EHP-infected shrimp was used as the template and a pair of primers (SWP\_1F and SWP\_1R) was used for PCR amplification to obtain the target fragment of the *swp* gene (**Table 1**) (Jaroenlak et al., 2016). The PCR product was cloned into a pMD18-T vector (Takara Biomedical Technology Co., Ltd., Beijing, China) and verified by sequencing. The recombinant plasmid was extracted from the correct clone and quantified with a Qubit 4 fluorometer (Thermo Fisher Scientific Inc, Wilmington, DE, USA). The plasmid copy number was calculated based on its size (3,206 bp). The standard plasmid was tenfold serially diluted and used as templates for qPCR with the specific primers SWP\_1F and SWP\_2R targeting the *swp* gene fragment. The correlation of the  $C_t$  value with the copy number of the *swp* gene fragment was calculated from the qPCR results.

## Real-Time RPA Procedure

Real-time RPA reactions were performed according to the manufacturer instructions of the TwistAmp DNA Amplification *exo* Kit (TwistDx Inc., Maidenhead, United Kingdom). The reaction mixture contained 29.5  $\mu$ l of rehydration buffer, 2.1  $\mu$ l of each primer (10  $\mu$ M), 0.6  $\mu$ l of probe (10  $\mu$ M), 12.2  $\mu$ l of distilled water, 1  $\mu$ l of the template, and a dried enzyme pellet. The reaction was initiated by adding 2.5  $\mu$ l of magnesium acetate (280 mM) to the mixture. The reaction was conducted on a Roche

LightCycler 480 II qPCR machine at 39°C in the FAM channel with signal reads at 15-s intervals for 20 min.

## Nested PCR

The nested PCR detection of EHP was performed as previously reported (Jaroenlak et al., 2016). Primers SWP\_1F and SWP\_1R were used for the 1<sup>st</sup> PCR step with an expected amplicon size of 514 bp. From the reaction mixture of the 1<sup>st</sup> PCR step, 1 µl was directly used for the 2<sup>nd</sup> PCR step with primers SWP\_2F and SWP\_2R (Table 1). The expected amplicon size of the 2<sup>nd</sup> PCR step was 147 bp. The amplicons were analyzed on a 1.5% agarose gel.

## RESULTS

### Determination of Copy Number of the swp Gene Fragment in Extracted DNA

To determine the copy number of the *swp* gene fragment in the extracted DNA of EHP-infected shrimp, a standard plasmid containing the gene fragment was constructed (Supplementary Figure S1), purified, and quantified spectrophotometrically. Tenfold serial dilutions of the standard plasmid from 10<sup>9</sup> to 10<sup>3</sup> copies/µl were used as the template for qPCR, and the *Ct* value for each concentration was determined. A standard curve was built showing a good correlation between the DNA copy number and the *Ct* value ( $R^2 = 0.9982$ ) (Figure 2). This correlation was used to determine the copy number of the *swp* gene fragment in the extracted DNA of EHP-infected shrimp.

### Limit of Detection of the Real-Time RPA Assay

DNA was extracted from the EHP-infected shrimp and quantified by qPCR using the standard curve. The quantified DNA was diluted to final concentrations of 10<sup>4</sup> to 10<sup>0</sup> copies/µl of the gene fragment and used to evaluate the limit of detection of the real-time RPA assay. The results showed that the signal of 10<sup>1</sup> copies per reaction could be observed (Figure 3A). Reactions were conducted for eight independent repeats; 10<sup>2</sup> copies and above per reaction were detected in all the eight repeats and 10<sup>1</sup> copies per reaction were detected in seven of the eight repeats. A probit regression analysis was conducted and the limit of detection was calculated to be 13 copies/reaction in 95% of cases (Figure 3B). Semi-log regression analysis of the data of the eight repeats showed that the reaction time lengths of the

real-time RPA assay to observe the signal were 3 to 7 min for 10<sup>4</sup> to 10<sup>1</sup> copies (Figure 3C).

### Detection Specificity of the Real-Time RPA Assay

To evaluate the specificity of the real-time RPA assay, shrimp samples infected with different viruses and microbes were tested (EHP, WSSV, SHIV, and *VP<sub>AHPND</sub>*). Also tested were reference strains of *V. vulnificus* and *V. parahaemolyticus* (*non-VP<sub>AHPND</sub>*). DNA extracted from the healthy shrimp (*P. vannamei*) was used as the control. The DNA concentrations were normalized to 10 ng/µl and used as the templates. For the two reference bacterial strains, incubation cultures of 10<sup>6</sup> colony-forming unit (CFU)/ml were boiled at 100°C for 10 min and immediately used as the templates. Only the DNA from EHP-infected shrimp was positive, indicating good specificity of the real-time RPA assay toward EHP (Table 2).

### Application of the Real-Time RPA Assay for EHP Detection

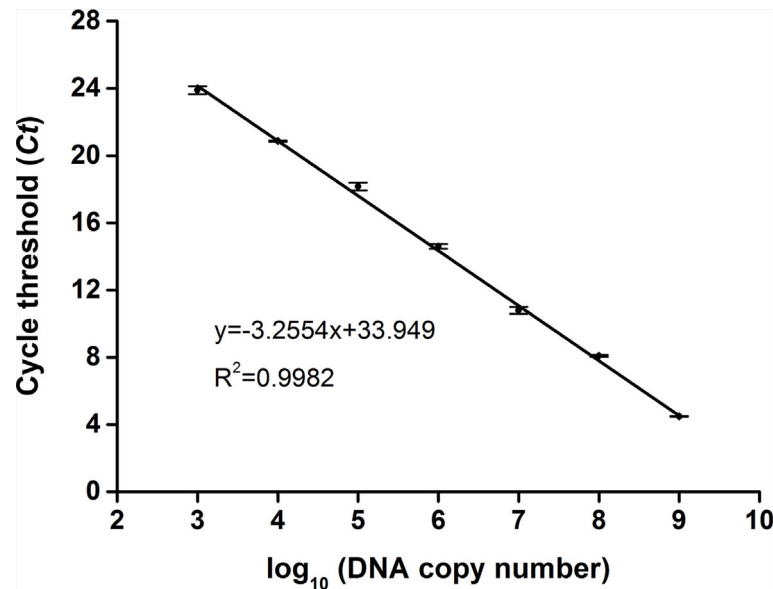
A total of 32 clinical shrimp samples at different growth stages from several shrimp farms were tested for EHP with the real-time RPA assay and the nested PCR assay. A total of 22 EHP-positive samples were detected and the results of real-time RPA assay were 100% consistent with the nested PCR assay (Tables 3, 4, and Supplementary Figure S2). These results indicated that the real-time RPA assay was effective for real clinical samples.

## DISCUSSION

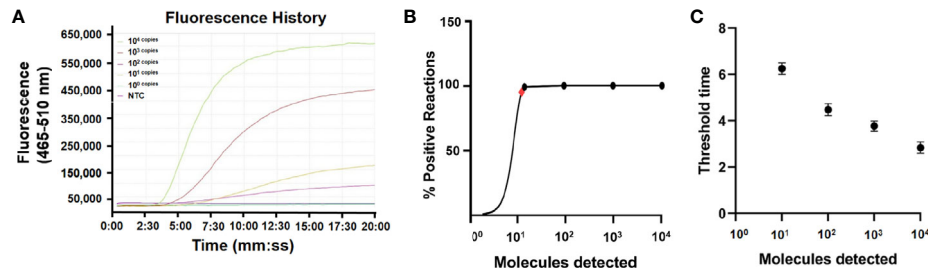
*Enterocytozoon hepatopenaei* (EHP) has emerged as a serious threat to shrimp aquaculture worldwide (Thitamadee et al., 2016). EHP infection in farmed shrimps does not cause mass mortality, but inflicts significant economic loss due to stunted growth and reduced feed consumption (Aranguren Caro et al., 2020). Noting the lack of pharmacological interventions for EHP, a rapid and accurate detection assay of EHP infection in shrimp is significant for the shrimp farming industry as prevention is the only currently available control method. The currently available detection methods, including the nested PCR, qPCR, and LAMP, cannot fulfill the demand for rapidness and accessibility, especially in remote areas. Nested PCR and qPCR take several hours and require a precise temperature-controlled

TABLE 1 | Primers and probe used in this study.

Method	Description	Sequence (5'-3')	Length (bp)	Amplicon Size (bp)	Amplification target on Gene	GenBank No. of Gene	
Real-time RPA	RPA-F	ACAATTTCAAACACTGTAAACCTTAAAGCA	30	176	79..254	KX258197.1 (swp)	
	RPA-P	TAAAAAGAGACGATATTTACACAGACACAG[FAM-dT][THF][BHQ1-dT]ATTTGTAGGATATGAGCT	46				
	RPA-R	TCATTCATTTTCCTTTTATCTTCTGATATG	30				
Nested PCR (Jaroenlak et al., 2016)	SWP_1F	TTGCAGAGTGTGTTAAGGGTTT	23	514	1..514		
	SWP_1R	CACGATGTGTCTTTGCAATTTTC	23				
	SWP_2F	TTGGCGGCACAATTCTCAAACA	22	147	38..184		
	SWP_2R	GCTGTTTGTCTCCAACGTATTTGA	25				



**FIGURE 2** | Standard curve of *swp* gene fragment by qPCR. For each reaction, 1  $\mu$ l of the standard plasmid between  $10^3$  and  $10^9$  copies/ $\mu$ l was used as the template. The standard curve representing the correlation between the DNA copy number and the qPCR cycle threshold (Ct) value was built using GraphPad Prism 8.0 (GraphPad Software Inc, San Diego, CA). The function of the standard curve and the  $R^2$  value are indicated. The error bars represent the mean and standard error of three qPCR repeats.



**FIGURE 3** | Limit of detection of the real-time RPA assay. **(A)** The fluorescence history diagram of the results of real-time RPA with different amounts (in copies) of the *swp* gene fragment. The amounts tested are indicated with different colors. The NTC is the no-template control. The diagram was one typical outcome of eight independent experiments. **(B)** Probit regression analysis of the data collected from the eight real-time RPA repeats using SPSS software (IBM, Armonk, NY, USA). The limit of detection at 95% probability (13 copies/reaction) is depicted by a red rhomboid. **(C)** Semi-logarithmic regression analysis of the data collected from the eight real-time RPA repeats using GraphPad Prism 8.0 (GraphPad Software Inc). The run time (threshold time) of the real-time RPA was 3–7 min for the templates at  $10^4$ – $10^0$  copies/reaction.

thermocycler. LAMP can finish the detection within an hour, but an accurately controlled thermal source is still needed.

The RDR mechanism of bacteriophage T4 provided a good strategy to open the double strands of DNA without the need for temperature elevation (Alberts and Frey, 1970; Kodadek et al., 1988). By assembly of the RDR system *in vitro* with UvsX, UvsY, and gp32 of bacteriophage T4 and a strand-displacing DNA polymerase from *Bacillus subtilis* (*Bsu*), the DNA amplification cycle, including strand opening, primer pairing, and chain extension, can be conducted under one constant temperature between 37 and 42°C. Exponential amplification of DNA is achieved very rapidly, usually within 30 min (Li et al., 2018).

This RPA system derived from bacteriophage T4 has been commercialized and widely applied to molecular diagnosis for many infectious diseases (Dong et al., 2020; Hu et al., 2020; Wang et al., 2020). An effort has been made to apply the RPA technology for the detection of EHP infection that targeted the SSU rRNA gene, but the analysis of the amplification signal was performed by gel electrophoresis, which not only hampered the sensitivity ( $8 \times 10^2$  gene copies per reaction) but also restrained the detection assay to the laboratory (Zhou et al., 2020).

Using fluorescence signal reading, this study described the development and evaluation of a real-time RPA assay for the detection of EHP infection in shrimp. The assay targeted the *swp*

**TABLE 2 |** Information of shrimp samples and bacteria strains used in this study.

Infection agent	Sample type	Source/designation	Real-time RPA
<i>Enterocytozoon hepatopenaei</i> (EHP)	Infected shrimp ( <i>Penaeus vannamei</i> )	Nantong, China	+
White spot syndrome virus (WSSV)	Infected shrimp ( <i>Penaeus vannamei</i> )	Nantong, China	–
Shrimp hemocyte iridescent virus (SHIV)	Infected shrimp ( <i>Penaeus vannamei</i> )	Nantong, China	–
<i>Vibrio parahaemolyticus</i> (VP <sub>AHPND</sub> )	Infected shrimp ( <i>Penaeus vannamei</i> )	Nantong, China	–
<i>Vibrio vulnificus</i>	Reference strain	ATCC 27562	–
<i>Vibrio parahaemolyticus</i> (non-VP <sub>AHPND</sub> )	Reference strain	ATCC 17802	–
None	Healthy shrimp ( <i>Penaeus vannamei</i> )	Nantong, China	–

(+, positive result; –, negative result).

**TABLE 3 |** Detection of *Enterocytozoon hepatopenaei* (EHP) infection in clinical samples.

No.	Sample type	Sample species	Length of shrimp (cm)	Source	Detection results	
					Nested PCR	Real-time RPA
1	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Qingdao, China	+	+
2	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Qingdao, China	+	+
3	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	+	+
4	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	+	+
5	Shrimp	<i>Penaeus vannamei</i>	4–5	Yancheng, China	+	+
6	Shrimp	<i>Penaeus vannamei</i>	8–9	Rudong, China	+	+
7	Shrimp	<i>Penaeus vannamei</i>	8–9	Rudong, China	+	+
8	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	+	+
9	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	–	–
10	Shrimp	<i>Penaeus vannamei</i>	5–6	Qidong, China	+	+
11	Shrimp	<i>Penaeus vannamei</i>	5–6	Yancheng, China	+	+
12	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Lianyungang, China	+	+
13	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Lianyungang, China	+	+
14	Shrimp	<i>Penaeus vannamei</i>	7–8	Yancheng, China	–	–
15	Shrimp	<i>Penaeus vannamei</i>	7–8	Yancheng, China	+	+
16	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	+	+
17	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	–	–
18	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rizhao, China	+	+
19	Shrimp	<i>Penaeus vannamei</i>	5–6	Rizhao, China	–	–
20	Shrimp	<i>Penaeus vannamei</i>	5–6	Lianyungang, China	+	+
21	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Lianyungang, China	+	+
22	Shrimp	<i>Penaeus vannamei</i>	5–6	Rizhao, China	+	+
23	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rizhao, China	+	+
24	Shrimp	<i>Penaeus vannamei</i>	4–5	Qidong, China	–	–
25	Shrimp	<i>Penaeus vannamei</i>	7–8	Qidong, China	–	–
26	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	+	+
27	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Qidong, China	–	–
28	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Rudong, China	+	+
29	Shrimp	<i>Penaeus vannamei</i>	0.5–3	Yancheng, China	–	–
30	Shrimp	<i>Penaeus vannamei</i>	4–5	Lianyungang, China	–	–
31	Shrimp	<i>Penaeus vannamei</i>	7–8	Rizhao, China	–	–
32	Shrimp	<i>Penaeus vannamei</i>	4–5	Rizhao, China	+	+

(+, positive result; –, negative result).

gene encoding the spore wall protein of EHP. This gene is considered a better molecular diagnosis biomarker than the SSU rRNA gene and has been used as the target in the previously established nested PCR method, which has been recognized as an industrial standard of shrimp farming (Jaroenlak et al., 2016). The results in this study confirmed the good specificity of this gene toward EHP detection.

The real-time RPA assay showed good detection sensitivity that was comparable to the nested PCR method and much better than the RPA assay using the gel electrophoresis analysis. The limit of detection was 13 copies/reaction in 95% of the cases. As referenced in other reports, the limit of detection of EHP was 10<sup>1</sup> copies/reaction with the nested PCR method as well as with the qPCR- and LAMP-

based methods (Liu et al., 2018; Ma et al., 2019). Comparing with the RPA assay using the gel electrophoresis analysis, the sensitivity of real-time RPA has improved for ~60 folds. Moreover, the real-time RPA results of real clinical samples are 100% consistent with the nested PCR method, indicating good reliability.

Besides the good sensitivity, the rapid and simple procedure is the advantage of the real-time RPA method. The detection procedure could be finished in 3–7 min at a conveniently low temperature of 39°C. For the pretreatment of the samples, DNA was extracted with a magnetic bead-based commercialized kit that only needs a magnet to perform the extraction. For the signal reading, although we used a qPCR machine to read the fluorescence signal in

**TABLE 4 |** Detection of *Enterocytozoon hepatopenaei* (EHP) infection in clinical samples (summarized).

Sample information	Number of samples	Number of positive samples detected	
		Nested PCR	Real-time RPA
Shrimp (0.5-3 cm)	17	13	13
Shrimp (4-5 cm)	4	2	2
Shrimp (5-6 cm)	5	4	4
Shrimp (7-8 cm)	4	1	1
Shrimp (8-9 cm)	2	2	2
Total	32	22	22

this study, portable tube scanners had been developed for field applications, such as the Genie III scanner from Beijing Suntrap Science & Technology Co., Ltd, China, the ESE Quant tube scanner from ESE GmbH, Stockach, Germany, and the TwistDx tube-scanner from TwistDx Inc (Yi et al., 2014; Mondal et al., 2016; Geng et al., 2019). These small-sized, battery-powered fluorescence tube scanners are good replacements of qPCR machines for on-site real-time RPA detections. Because of the low dependence on equipment and power source, the real-time RPA assay can be easily assembled into a mobile suitcase laboratory for transport and use in the field (Mondal et al., 2016).

In conclusion, a real-time RPA assay was developed for rapid detection of EHP infection in shrimp. It can be applied as an efficient and reliable on-site detection tool to help control EHP infection in shrimp farms.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

JD, HS, and SG designed the research. CM, SF, YW, and HY conducted the research. CM, YQ, GJ, and ML analyzed the data. CM and SG wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.631960/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Clinical Experience of Personalized Phage Therapy Against Carbapenem-Resistant *Acinetobacter baumannii* Lung Infection in a Patient With Chronic Obstructive Pulmonary Disease

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Louis Stokes Cleveland VA Medical  
Center, United States

### \*Correspondence:

Yingfei Ma  
yingfei.ma@siat.ac.cn  
Wei Huang  
whuang\_sz@163.com

<sup>†</sup>These authors have contributed  
equally to this work and share first  
authorship

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Xin Tan<sup>1†</sup>, Huaisheng Chen<sup>2†</sup>, Min Zhang<sup>3,4</sup>, Ying Zhao<sup>5</sup>, Yichun Jiang<sup>2</sup>, Xueyan Liu<sup>2</sup>,  
Wei Huang<sup>3,4\*</sup> and Yingfei Ma<sup>1\*</sup>

<sup>1</sup> Shenzhen Key Laboratory of Synthetic Genomics, Guangdong Provincial Key Laboratory of Synthetic Genomics, CAS Key Laboratory of Quantitative Engineering Biology, Shenzhen Institute of Synthetic Biology, Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences, Shenzhen, China, <sup>2</sup> Department of Critical Care Medicine, Shenzhen People's Hospital (The Second Clinical Medical College of Jinan University, The First Affiliated Hospital of South University of Science and Technology), Shenzhen, China, <sup>3</sup> Shenzhen People's Hospital, Shenzhen Institute of Respiratory Diseases, Shenzhen, China, <sup>4</sup> Bacteriology and Antibacterial Resistance Surveillance Laboratory, Shenzhen People's Hospital (The Second Clinical Medical College, Jinan University, The First Affiliated Hospital, Southern University of Science and Technology), Shenzhen, China, <sup>5</sup> Department of Geriatrics, Shenzhen People's Hospital (The Second Clinical Medical College of Jinan University, The First Affiliated Hospital of South University of Science and Technology), Shenzhen, China

Overuse of antibiotics in clinical medicine has contributed to the global spread of multidrug-resistant bacterial pathogens, including *Acinetobacter baumannii*. We present a case of an 88-year-old Chinese man who developed hospital-acquired pneumonia caused by carbapenem-resistant *A. baumannii* (CRAB). A personalized lytic pathogen-specific single-phage preparation was nebulized to the patient continuously for 16 days in combination with tigecycline and polymyxin E. The treatment was well tolerated and resulted in clearance of the pathogen and clinical improvement of the patient's lung function.

**Keywords:** carbapenem-resistant *Acinetobacter baumannii*, lung infection, personalized phage therapy, phage, endotoxin

## INTRODUCTION

Infections caused by carbapenem-resistant *Acinetobacter baumannii* (CRAB) impose a major challenge in clinics (Perez et al., 2007; Maragakis and Perl, 2008; Wong et al., 2017). The most common CRAB infections, i.e., hospital-acquired pneumonia (HAP) and bloodstream infections, are often associated with extremely high mortality (Isler et al., 2019). The World Health Organization (WHO) designated CRAB as the critical-priority pathogen in the list of "priority pathogens", a group of bacteria that poses the greatest threat to human health. Novel strategies to treat CRAB infections are urgently needed (Tacconelli et al., 2018).

There have been increased initiatives to develop bacteriophage (phage) as an alternative or supplement to antibiotics in treating CRAB infections (Gordillo Altamirano and Barr, 2019; Kortright et al., 2019). Phage therapy has been applied in personalized therapy, and several examples of the successful treatment of infections caused by CRAB have been reported (Schooley et al., 2017; LaVergne et al., 2018). However, to the best of our knowledge, no case of lung infection with CRAB treated with phage therapy has been reported yet. Here, we present our clinical experience regarding phage therapy to treat CRAB lung infection in an 88-year-old Chinese man.

## MATERIALS AND METHODS

### Bacterial Strains

Clinical isolates were obtained from routine microbiological cultures of clinical samples: blood and bronchoalveolar lavage fluid (BALF). *A. baumannii* isolates were maintained in Luria-Bertani (LB) broth (Huankai Microbiol, Guangzhou, China) and stored in 15% glycerol at  $-80^{\circ}\text{C}$ . Surveillance BALF cultures were screened for carbapenemase-producing (CP) *Enterobacteriales* by enrichment of non-selective LB medium and subsequent inoculation in selective chromogenic mSuperCARBA plate (CHROMagar, Paris, France). Creamy colonies were considered as the CP *A. baumannii* and re-identified by matrix-assisted laser desorption/ionization mass spectrometry (bioMérieux, Marcy-l'Étoile, France). The antimicrobial susceptibility testing was performed with the VITEK-2 compact system (bioMérieux, Marcy-l'Étoile, France). The results were interpreted based on the guidelines published by the Clinical and Laboratory Standards Institute (Institute CaLS, 2016).

### Isolation of Phages

Phages used for this treatment were isolated and prepared at Shenzhen Institutes of Advanced Technology. Phages were isolated from various environmental samples by using routine isolation techniques, as previously described (Chen et al., 2018), except the cultures were incubated at  $37^{\circ}\text{C}$ . Briefly, *A. baumannii* clinical isolates obtained from the patient's BALF culture were used to isolate and propagate pathogen-specific phages. Following isolation, the phages were triple plaque-purified on their respective host bacterium. Finally, small-scale phage amplification on their corresponding host bacterium was performed to prepare the *A. baumannii*-specific phage library, which was subsequently stored at  $4^{\circ}\text{C}$  until required.

### Phage DNA Extration, Genome Sequencing, and Assembly

Phage particles were precipitated with 10% polyethylene glycol 8,000 (PEG 8000) at  $4^{\circ}\text{C}$  overnight, centrifuged at  $10,000\times g$  for 15 min, and subsequently suspended in SM buffer (100 mM NaCl, 8 mM  $\text{MgSO}_4$ , 50 mM Tris-HCl), then the concentrated phage particles were treated using DNase I and RNase A (New England BioLab, Massachusetts, USA) to remove bacterial nucleic acids, genomic phage DNA was extracted with Lambda Bacteriophage

Genomic DNA Rapid Extraction Kit (Aidlab, Beijing, China) following manufacturer's protocol (Chen et al., 2020). Whole-genome sequencing was performed at the Tianjing Sequencing Center (Novogene, Beijing, China) using the Illumina HiSeq system (Illumina Inc., San Diego, CA, USA). Reads were assembled with SOAPdenovo2 (Luo et al., 2012). The resulting contigs were uploaded into the RAST server using the RASTtk annotation workflow (Aziz et al., 2008). Putative functions of the ORFs were further identified with Blast-P based on amino acid sequences. A circular map of the phage was depicted using the CGView Server (Grant and Stothard, 2008).

### Transmission Electron Microscopy

20  $\mu\text{l}$  of phage suspension were placed onto a copper grid with carbon film, left for 3 to 5 min, and the excess liquid was removed using filter paper. Staining was performed with 2% phosphotungstic acid for 1 to 2 min, and the copper grids were observed under Transmission Electron Microscopy (HT7800, Hitachi, Tokyo, Japan).

### Propagation and Purification of Therapeutic Phages

The phage preparations were processed in two different ways. The first batch phage preparation was purified with Amicon Ultra-15 centrifugal filter units (MWCO 100 K Da, Merck Milipore, Massachusetts, USA) (Bonilla et al., 2016). The second phage preparation was purified in a cesium chloride density gradient as previously described (Bachrach and Friedmann, 1971) and then dialyzed using a Spectra/Por RC membrane (MWCO 20 K Da, Spectrum, New Jersey, USA) in phosphate-buffered saline to remove cesium chloride. Dialysis reduced the cesium concentration to less than 210 parts per billion, as detected by inductively coupled plasma mass spectrometry (ICP-MS) (Dedrick et al., 2019). Phages were then sterilized through 0.22- $\mu\text{m}$  filters. Then phages were titrated and evaluated for endotoxin with an End-point Chromogenic Endotoxin Test Kit (Bioendo, Xiamen, China). Phage preparations were subsequently stored at  $4^{\circ}\text{C}$  until required. The resulting titers and endotoxin levels were  $5\times 10^8$  PFU/ml and  $10^5$  EU/ml, respectively, for the first batch, and  $5\times 10^{10}$  PFU/ml and  $1.3\times 10^4$  EU/ml for the second one.

### Phage Therapy

The phage preparation was diluted with saline solution to 5 ml and administrated to the patient by a vibrating mesh nebulizer (Aerogen) every 12 h (about 30 min for each dose), except for the first 2 doses, which were given once daily. The first batch phage preparation was used initially for 13 days, then the second phage preparation was administrated for the rest time of phage therapy. See more details in the Results section.

### Phage Quantification in Patient Samples

BALF samples were collected for measuring phage counts as follows: 0.5 ml sample was transferred to a 1.5 ml Eppendorf tube and 0.5 ml phage buffer was added. After vortexing, the sample was passed through a 0.22  $\mu\text{m}$  filter, serially diluted, and 10  $\mu\text{l}$  added to *A. baumannii* agar overlays.



## RESULTS

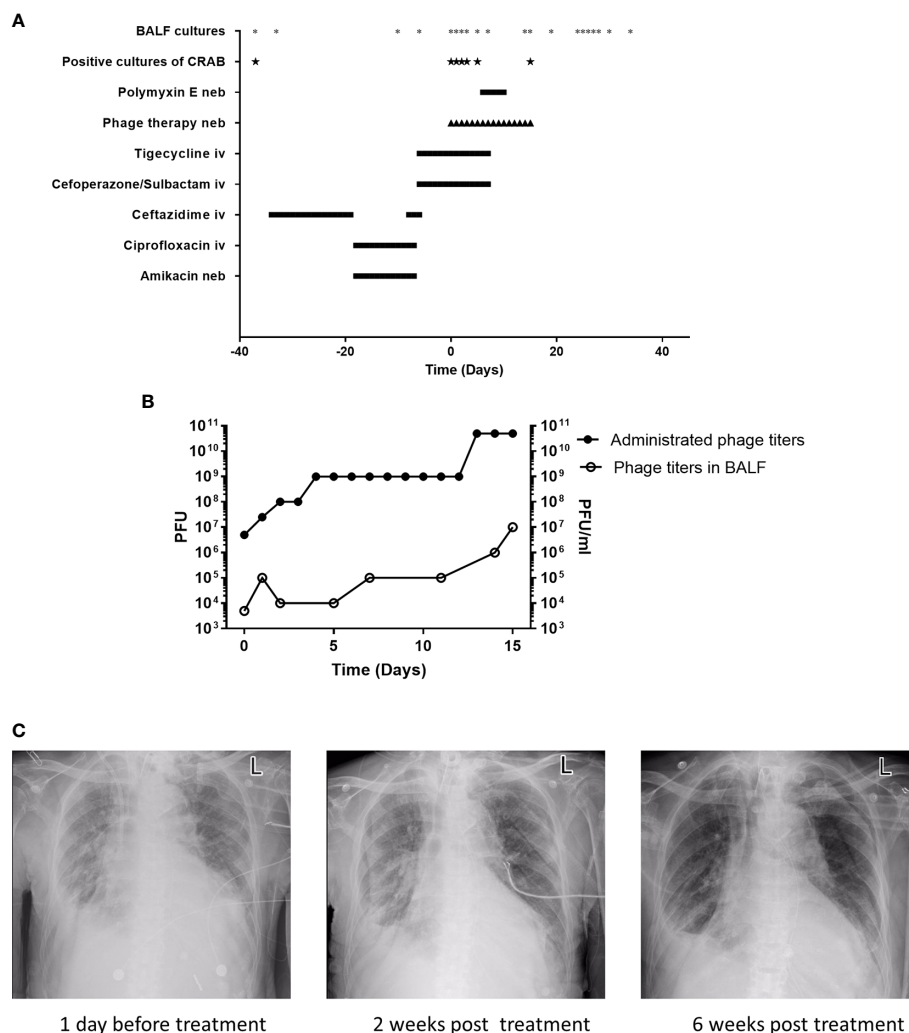
### Patient Clinical History

In June 2018, an 86-year-old Chinese man was admitted to our hospital for exacerbation of chronic obstructive pulmonary disease (COPD). The medical history of the patient was documented with a long history of type-2 diabetes. The patient suffered recurrent episodes of lung infections associated with repeated use of mechanical ventilation over the last 2 years during hospitalization. After resuscitation from cardiac arrest in April 2019, the patient had difficulty in weaning from mechanical ventilation.

In May 2020, the patient showed signs of lung infection. After almost one month of different episodes of antibiotic therapy (with ceftazidime and ciprofloxacin/amikacin) (**Figure 1A**), the

patient's condition was aggravated. Importantly, in June 2020, the CRAB-positive result of the bronchoalveolar lavage fluid (BALF) culture of this patient drew our attention (**Figure 1A**). The CRAB strains were resistant to all tested antibiotics, except tigecycline and polymyxin E (**Table S1**). Based on these facts, it seemed that the lung infection was most likely caused by this CRAB strain. However, the concentration of tigecycline in the lung is often relatively low (Ramirez et al., 2013). Besides, the patient showed reduced renal function (creatinine clearance at 32.38 ml/min, a normal level is 85–125 ml/min for a healthy male) and the rates of nephrotoxicity with polymyxin E are high (Zavascki and Nation, 2017). These facts indicated that these two antibiotics were not the best choice for the treatment any longer.

Phage therapy is a promising approach for infections caused by multidrug-resistant (MDR) pathogens, several examples of



**FIGURE 1 |** Patient clinical data in phage therapy. **(A)** Timeline of bronchoalveolar lavage fluid (BALF) cultures, drug, and phage administration. BALF samples were collected as indicated (\*), positive cultures of carbapenem-resistant *A. baumannii* (CRAB) have been marked (★). The timeline shows the administration of antibiotics and phage preparation, and the administration of drugs is indicated (day 0 indicates the time when phage therapy was initiated). Iv, intravenous; neb, nebulization. **(B)** Administrated phage titers and phage titers in BALF detected by plaque assays following the phage therapy. Left Y-axis represents the administrated phage titers and right Y-axis represents the phage titers in BALF. **(C)** Chest X-rays results on -1st day, 14th and 42nd day of phage treatment, respectively.

the successful treatment of infections caused by CRAB have been reported (Schooley et al., 2017; LaVergne et al., 2018). Hence, phage therapy was initiated upon the local hospital ethics committee's approval and the family's consent for this experimental treatment.

## Phage Isolation and Characterization

In mid-June 2020, the *A. baumannii* isolates obtained from the BALF of the patient were sent to the lab of Shenzhen Institutes of Advanced Technology, Chinese Academy of Science for preparing a personalized, lytic phage preparation against the MDR *A. baumannii* strain. Ten *A. baumannii* phages *de novo* isolated from different sewage samples showed antibacterial activity. The phage candidate, Ab\_SZ3, which showed the strongest antibacterial activity (according to the clearing of the lysis zone) as measured by spot assay was selected for inclusion in the therapeutic phage preparation (see **Figure S1**). Transmission Electron Microscopy studies were performed on the phage preparation and revealed a homogeneous population of phage particles belonging to the *Siphoviridae* morphological group. The virion revealed an icosahedral head structure of 62.2 nm and a contractile tail of 193.2 nm x 8.4 nm (**Figure 2A**).

## Genome Sequence Analysis of Phage Ab\_SZ3

The sequence of phage Ab\_SZ3 has been deposited in the Genbank databases under accession number MW151244. The length of the phage's genome was 43,070-bp. Sequence-analysis indicated that it is affiliated to the *Caudovirales* order, *Siphoviridae* family, *Lokivirus* genus. This phage shared the highest identity (99% at the nucleotide level) with *Acinetobacter* phage vB\_AbaS\_D0 (GenBank: MK411820) and IME\_AB3 (GenBank: KF811200).

The circular genetic map of Ab\_SZ3 can be seen in **Figure 2B**. Analysis of the phage's whole genome shows that it possesses a series of genes encoding common phage-related features, including DNA polymerase, DNA helicase, tail, and head structure proteins. It also possesses two genes encoding the host lysis protein, endolysin, and holin. Importantly, the *in-silico* analysis did not reveal any putative virulence, antibiotic resistance, or integrase sequences in the genome of the phage.

## Phage Therapy

Due to the sudden deterioration of the patient's condition, 50 mg tigecycline was given intravenously twice daily for 6 days before the phage therapy. From 16 July 2020, the personalized phage preparation was nebulized using a vibrating mesh nebulizer (Aerogen) to the patient every 12 h, except for the first 2 doses, which were given once daily. Administered phage dose was gradually increased: day 0 at  $5 \times 10^6$  plaque-forming units (PFU), day 1 at  $2.5 \times 10^7$  PFU, day 2 at  $10^8$  PFU, day 4 at  $10^9$  PFU, and day 13 at  $5 \times 10^{10}$  PFU (**Figure 1B**). Intravenously tigecycline was given twice daily during the first 5 days of the phage therapy, then 50 million IU polymyxin E was administered by inhalation (separately from phage) twice daily for the subsequent 5 days. Antibiotic therapy was completely abolished in the following 6 days. The phage therapy lasted for 16 days in total (**Figure 1A**). Phage and bacterial titers in the lung were monitored by

collecting BALF samples, and the inflammation factor profile was monitored by collecting blood samples. Phage particles were detected in BALF 1 h after the treatment started and reached with a titer of  $5 \times 10^3$  PFU/ml; phage titer gradually increased in BALF and had a relatively high phage titer ( $10^7$  PFU/ml) in 15 days. This observation was consistent with the fact of increased administration dose of the phage (**Figure 1B**). Besides, it also suggested phage replication *in situ*.

Phage-resistant bacteria were isolated from BALF samples on day 2 and day 3 after phage therapy. However, it is plausible that phage-resistance could have been associated with fitness trade-offs.

Remarkably, on the 7th day of the phage therapy, for the first time, a culture of BALF did not yield any CRAB. From then on, and until the time of writing (January 2021), except for one culture of BALF on the 15th day of the phage therapy that was positive for CRAB (the isolate remains susceptible to phage Ab\_SZ3, see Supplementary Material), all cultures from the patient's sputum/BALF were negative for CRAB (**Figure 1A**).

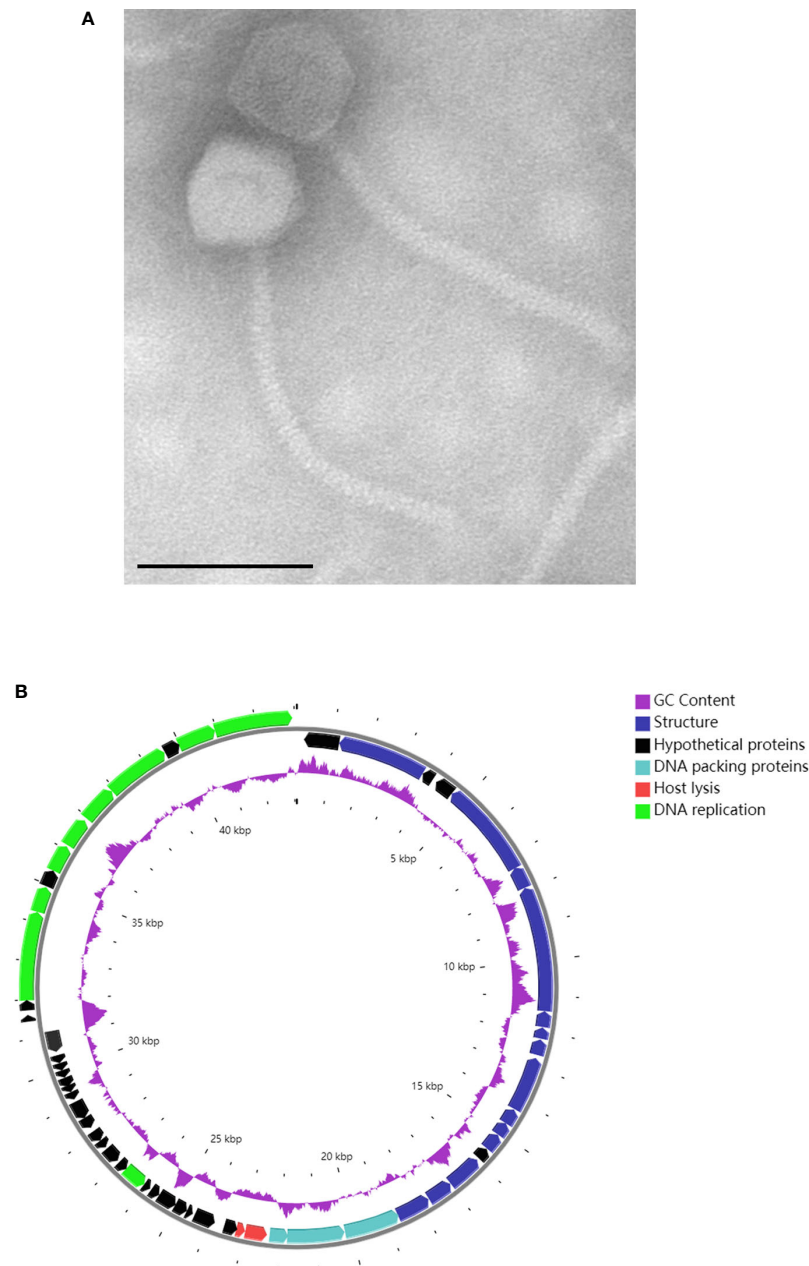
Importantly, the patient restored sinus rhythm on the 8th day after the phage therapy. Furthermore, the bilateral consolidations shown on pre-treatment chest X-rays (**Figure 1C**) gradually disappeared and the lung function improved. Additionally, the inflammation factor profile and the clinical signs of the patient showed no significant side-effect after phage therapy (**Figure 3**).

## Patient Follow-up

In 1 month following phage therapy interruption, the patient was still hospitalized due to the difficulty in weaning from mechanical ventilation. The patient developed 1 episode of sepsis in August 2020, blood culture was positive for *Enterococcus faecium* and *Staphylococcus haemolyticus*, vancomycin was subsequently administered for 15 days. The patient also had 1 episode of a non-MDR *Pseudomonas aeruginosa* strain colonization during this period, although multiple BALF cultures were positive for *P. aeruginosa*, no clinical signs of respiratory infection were observed. Due to the patient's history of recurrent respiratory infections, amikacin was applied for the decolonization of the *P. aeruginosa* strain (see **Figure S2**). Despite the prolonged, antibiotic-driven selective pressure on the patient's lung microbiota and the protracted hospitalizations, we did not observe a reappearance of the CRAB. Till the time of writing (January 2021), the patient remains in stable condition.

## DISCUSSION

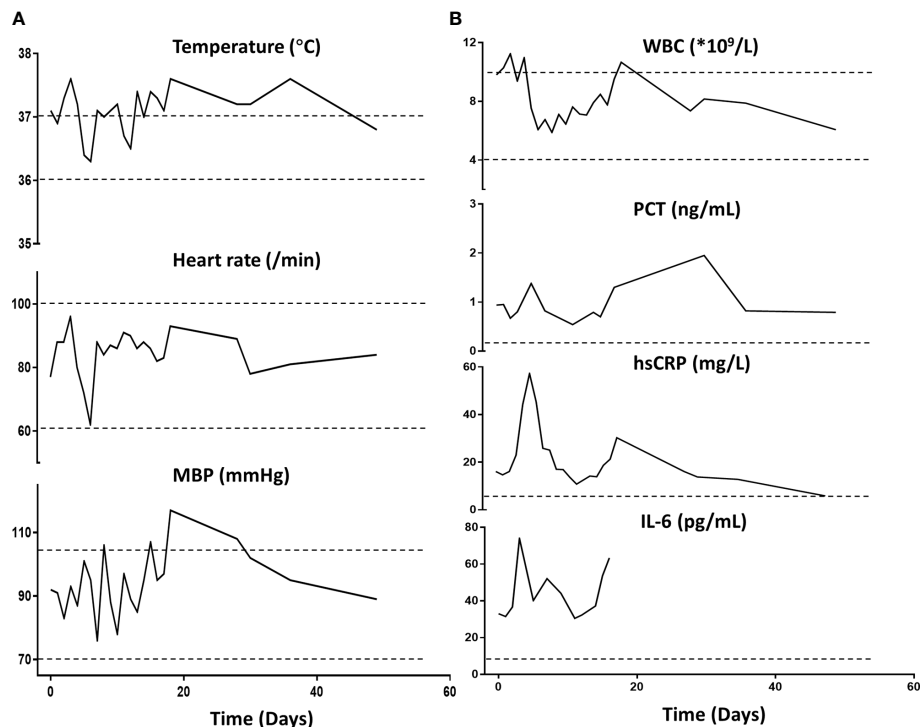
To ensure the patient's safety, we started the phage therapy with relatively low phage concentrations compared to other studies (LaVergne et al., 2018; Dedrick et al., 2019). Due to the urgent need for efficacious products for the patient, we undertook a fast way to prepare the personalized phage preparation, in which the endotoxins level remained relatively high ( $10^5$  EU/ml). However, we took the purified phage preparation (endotoxin levels at  $1.3 \times 10^4$  EU/ml) for treatment in the days that followed. Under the FDA-recommended endotoxin lipopolysaccharide limit of 5 EU/kg body



**FIGURE 2** | Characterization of phage Ab\_SZ3. **(A)** Transmission electron micrographs of the phage. Scale bar represents 100 nm. **(B)** Genome map of the phage. The color of the ORFs refers to five modules: phage structure, blue; host lysis, red; DNA packaging, light blue; DNA replication, green; and hypothetical proteins, black. GC content, purple.

weight per hour (LaVergne et al., 2018), we gave a relatively low dose of phages initially. Despite this, the endotoxin level in the phage preparation did not strictly follow the FDA recommendation. Our preparation was given by respiratory route instead of the intravenous route. Interestingly, the systemic inflammation factor profile and the clinical signs of the patient showed no significant side-effect after phage therapy. Our result indicates that a higher endotoxin level of the phage preparation for the respiratory route was tolerated in this COPD patient. However, these observations

were not consistent with another study, where inhalation of nearly  $5 \times 10^4$  EU of purified endotoxin were initially well tolerated by COPD patients, who then presented slightly increased systemic inflammation profiles at 24 h (Gupta et al., 2015). We presume that a non-significant change of systemic inflammation after exposure to the relatively high level of endotoxin could be due to this patient's special condition: age at 88-year-old and history of recurrent infection. Nonetheless, the standards of endotoxin level in phage preparation for clinical use require further investigation.



**FIGURE 3** | Patient's clinical examination (A) and inflammatory factor profile (B). No major alterations were observed (day 0 indicates the time when phage therapy was initiated). MBP, Mean blood pressure; WBC, white blood cells; PCT, procalcitonin; hs-CRP, high-sensitivity C-reactive protein. Normal ranges are presented between dotted lines in temperature, heart rate, MBP, and WBC; normal ranges are below the dotted line for PCT, hsCRP, and IL-6.

One of the major concerns regarding phage therapy is that phage-resistant bacteria might cause the failure of phage therapy (Oechslein, 2018). Herein, it is plausible that phage-resistance is associated with severe growth defect. Indeed, the trade-off between phage-resistance and fitness, such as antibiotic susceptibility or growth rate, has been reported elsewhere (Le et al., 2014; Gordillo Altamirano et al., 2021). In our opinion, the emergence of phage-resistance is an argument supporting the effective action of phages at the site of infection, since it implies that phages were able to provide a sufficiently-strong evolutionary pressure. This patient also received antibiotics that the strain was sensitive against during the phage therapy, we cannot exclude the role of antibiotics in the eradication of this pathogen. However, patients with similar clinical conditions typically have high morbidity and mortality under antibiotic monotherapy (Inchai et al., 2015). We also observed evidence suggesting phage replication *in situ*, as well as emergence of phage-resistant mutants. We therefore believe that phage therapy contributed to the clinical improvement of the patient.

In summary, this case demonstrates the clinical efficacy and safety of phage therapy in combination with antibiotics in the treatment of CRAB lung infection with COPD. However, more questions in molecular mechanisms (such as phage receptor, phage resistance, and immunogenicity of phage) involved in phage therapy remain unclear, and phage therapy deserves further evaluation in well-designed clinical trials in the era of increasing antimicrobial resistance.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://www.ncbi.nlm.nih.gov/genbank/>, MW151244.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Shenzhen People's Hospital Medical Ethics Committee. The patients/participants provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

XT, HC, WH, and YM conceived and designed the study. XT, HC, and MZ performed the experiments and analysis. MZ and WH contributed with data and analysis. XT wrote the manuscript, with contributions and comments from all authors. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.631585/full#supplementary-material>

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# A Novel *Acinetobacter baumannii* Bacteriophage Endolysin LysAB54 With High Antibacterial Activity Against Multiple Gram-Negative Microbes

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Jingmin Gu,  
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Army Medical University, China

### \*Correspondence:

Hongping Wei  
hpwei@wh.iov.cn  
Hang Yang  
yangh@wh.iov.cn

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Fazal Mehmood Khan<sup>1,2</sup>, Vijay Singh Gondil<sup>1</sup>, Changchang Li<sup>1,2</sup>, Mengwei Jiang<sup>1</sup>,  
Junhua Li<sup>1</sup>, Junping Yu<sup>1,2</sup>, Hongping Wei<sup>1,2\*</sup> and Hang Yang<sup>1,2\*</sup>

<sup>1</sup> CAS Key Laboratory of Special Pathogens and Biosafety, Center for Biosafety Mega-Science, Wuhan Institute of Virology, Chinese Academy of Sciences, Wuhan, China, <sup>2</sup> International College, University of Chinese Academy of Sciences, Beijing, China

The rapid spread and emergence of multidrug-resistant *Acinetobacter baumannii* and other pathogenic Gram-negative bacteria spurred scientists and clinicians to look for alternative therapeutic agents to conventional antibiotics. In the present study, an *A. baumannii* bacteriophage p54 was isolated and characterized. Morphological and genome analysis revealed that bacteriophage p54 belongs to Myoviridae family with a genome size of 165,813 bps. A novel endolysin, namely LysAB54, showing low similarity with other well-known related endolysins, was cloned, expressed, and characterized from the bacteriophage p54. LysAB54 showed significant bactericidal activity against multidrug-resistant *A. baumannii* and other Gram-negative bacteria, including *Pseudomonas aeruginosa*, *Klebsiella pneumoniae*, and *Escherichia coli*, in the absence of outer membrane permeabilizers. Based on all those observations, LysAB54 could represent a potential agent for the treatment of multidrug-resistant Gram-negative superbugs.

**Keywords:** bacteriophage, endolysin, *Acinetobacter baumannii*, Gram-negative “superbugs”, antimicrobial resistance

## INTRODUCTION

Bacterial infections deleteriously impact human health and are a leading cause of mortality worldwide. The emergence of antibiotic-resistant bacterial strains further exaggerates the present situation and alarming for humankind in various aspects of daily life. The occurrence of multi-drug resistant (MDR) Gram-negative bacterial pathogens, which mainly includes antibiotic-resistant

strains of *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, *Klebsiella pneumoniae*, and *Escherichia coli*, may lead to serious complications and nosocomial infections (Bonomo and Szabo, 2006; Spellberg et al., 2013; Heron, 2017; Collaborators, 2018). *A. baumannii* is an opportunistic Gram-negative pathogen that can initiate several serious nosocomial infections, which majorly includes wound infections, urinary tract infections, ventilator related pneumonia, and secondary meningitis. The high resistance of *A. baumannii* toward conventional antibiotics makes their management very cumbersome (Valencia et al., 2009; Pendleton et al., 2013; Potron et al., 2015). The high degree of antibiotic resistance conferred by *A. baumannii* and other pathogenic bacteria spurred scientists and clinicians to look for alternative therapeutic agents to antibiotics (Magiorakos et al., 2012).

Among various available alternative agents, bacteriophage encoded peptidoglycan hydrolases, popularly known as endolysins or lysins, represent a promising alternative for the treatment of drug-resistant bacteria. Endolysins are enzymatic proteins that are produced inside the bacterial host at the later stage of bacteriophage replication and can lyse bacterial hosts when applied externally (Fischetti, 2008; Nelson et al., 2012; Schmelcher et al., 2012; Yang et al., 2014; Gondil et al., 2020). Endolysin renders numerous advantages over other alternative antibacterial agents, including high activity against drug-resistant pathogens, low chances of resistance, and high specificity towards target bacterium (Loessner et al., 2002; Schuch et al., 2002; Fischetti, 2008; Briers et al., 2009). Several endolysin have been reported to harbor broad-spectrum activity against Gram-positive bacteria *in vitro* and *in vivo*, such as PlyC (Nelson et al., 2006), ClyR (Yang et al., 2015a), Cpl-1 (Loeffler et al., 2003), ClyJ (Yang et al., 2020), and ClyV (Huang et al., 2020).

On the Contrary, due to the presence of protective bacterial outer membrane, most endolysins fail to exhibit prominent activity against Gram-negative bacteria (Fischetti, 2005; Rodríguez-Rubio et al., 2016). However, treatment bacteria with outer membrane permeabilizers (OMPs), such as citric acid, trichloroethane (CHCl<sub>3</sub>), Triton X-100, and EDTA, can improve the antibacterial activity of endolysins and conquer the hindrance presented by the outer membrane of Gram-negative bacteria (Helander and Mattila-Sandholm, 2000; Briers et al., 2007; Briers et al., 2011; Briers et al., 2014; Yang et al., 2015b; Guo et al., 2017). In this context, several endolysins have showed improved or synergistic bactericidal activity against MDR *A. baumannii* and *P. aeruginosa* in the presence of OMPs, including LysSS (Kim et al., 2020b), Abtn-4 (Yuan et al., 2020), LysPA26 (Guo et al., 2017), PlyA (Yang et al., 2015b), ABgp46 (Oliveira et al., 2016), and OBPgp279 (Walmagh et al., 2012). However, lysins with OMP-independent antibacterial activity against Gram-negative microbes still un-adequately addressed since few of them are demonstrated effective in animal infection models.

In the present study, we report the comprehensive characterization of a novel *A. baumannii* bacteriophage p54 and its endolysin, namely, LysAB54. Our results demonstrated that LysAB54 has a high and rapid antibacterial activity against a range of antibiotic-resistant Gram-negative bacterial strains in the absence of OMPs.

## MATERIALS AND METHODS

### Collection of Water Sample for Bacteriophage Isolation

The water sample was collected in sterile 50 ml screw tubes from the Tongji Hospital, Wuhan, Hubei Province, China. The water samples were kept in an ice bucket box to preserve the specimen. After removing the impurities by sterile syringe filter, samples were kept in 4°C for further use.

### Isolation of Bacteriophage p54

The stored water sample was mixed 1:1 with phage buffer (50 mM Tris-HCl, 150 mM NaCl, 10 mM MgCl<sub>2</sub>, 2 mM CaCl<sub>2</sub>, pH 7.5). The *A. baumannii* strain WHG3083 was cultured in lysogeny broth (LB) at 37°C to logarithmic phase and then transferred to the conical flask. Conical flask solution containing water sample, phage buffer, and bacteria were incubated aerobically in shaking incubator on 160 rpm at 37°C for 2–3 days. After incubation, solution was centrifuged (1,000 rpm for 10 min, 4°C) and filtered through a sterile 0.22 µm syringe filter (Guangzhou Jet bio-filtration Co., Ltd). Then, 500 µl of the filtrate was mixed with 500 µl of *A. baumannii* in a sterile 1.5 ml microcentrifuge tube and incubated aerobically in a shaking incubator on 160 rpm at 37°C for 15–20 min. The mixture was mixed with 4 ml of 0.7% soft agar (approximately 50°C) in a sterile 15 ml tube (Guangzhou Jet bio-filtration Co., Ltd) and poured onto the LB agar plates and incubated overnight at 37°C.

### Purification of the Bacteriophage p54

A single plaque was picked and resuspended in the phage buffer in a sterile 1.5 microcentrifuge tube. The microcentrifuge tube containing plaque was vortexed for approximately 2–3 min. The vortexed solution was further filtered through a sterile 0.22 µm syringe filter. 10 µl of the filtered solution (10-fold serially diluted) was mixed with the 1 ml of *A. baumannii* cell suspension and incubated on 160 rpm at 37°C for 15–30 min. Afterwards, bacterium-phage mixture was mixed with 4 ml of soft agar and poured onto LB agar plates and incubated overnight at 37°C. This cycle was repeated for five times to obtain purified bacteriophage p54.

### Bacteriophage p54 Genome Extraction and Sequencing Analysis

The bacteriophage p54 genome was extracted and sequenced following the protocol and procedures as previously described (Kering et al., 2020). The sequenced genome was compared with the available whole genome sequences of *A. baumannii* bacteriophages by the Neighbor-Joining method (Saitou and Nei, 1987). The bootstrap consensus tree inferred from 1,000 replicates is taken to represent the evolutionary history of the taxa analyzed (Felsenstein, 1985). Branches corresponding to partitions reproduced in less than 50% bootstrap replicates are collapsed. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (1,000

replicates) are shown next to the branches (Felsenstein, 1985). The evolutionary distances were computed using the p-distance method and are in the units of the number of base differences per site. This analysis involved 10 nucleotide sequences and the codon positions included were 1st+2nd+3rd+Noncoding. All ambiguous positions were removed for each sequence pair. There was a total of 16,5813 positions in the final dataset. Evolutionary analyses were conducted in MEGA X software (Kumar et al., 2018).

## Transmission Electron Microscopy (TEM) of the Bacteriophage p54

The bacteriophage p54 was purified and concentrated using cesium chloride density gradient ultracentrifugation on 35,000 rpm for 2 h at 4°C. The concentrated bacteriophage was stained with freshly prepared phosphotungstic acid solution (2%) for 3 min and spot dried on the copper grid for 2 h. The samples were examined on H-7000FA transmission electron microscope (Hitachi, Japan).

## Bacterial Culture Conditions

All bacterial strains were cultured in LB broth aerobically at 37°C. The stocks of bacterial strains containing 20% glycerol was store in -80°C refrigerator for long time storage. *E. coli* BL21(DE3) (Takara) cells were used for cloning and protein expression.

## Cloning of LysAB54 in the Expression Vector

The open-reading frame (ORF) encoding the putative endolysin LysAB54 was amplified by Polymerase Chain Reaction (PCR) with the primers (5' to 3'): 54-Forward: TATACCATG GACGTAAACCATTTTTTGG (*Nco*I) and 54-Reverse: TATACTCGAGTTGTTCAAAAATACGCTTTCTC (*Xho*I). The purified (Omega Bio-tek®) PCR product was digested with restriction endonuclease enzymes *Nco*I and *Xho*I (Thermo Scientific). Purified PCR product was ligated into pET28a (+) and transformed to the competent *E. coli* BL21(DE3) cells. The inserted gene was further confirmed by Sanger sequencing (Sangon Biotech, Shanghai).

## Protein Expression and Purification

*E. coli* BL21 (DE3) containing the pET28a-LysAB54 was grown in 500 ml LB with 100 mg/ml of kanamycin for approximately 2–3 h until the OD<sub>600</sub> reached to 0.5–0.6. Protein expression was induced with 1 mM Isopropyl-beta-D-thiogalactopyranoside (IPTG; Thermo Scientific) at 16°C for 16 h. The bacterial culture was harvested by centrifugation at 10,000 rpm at 4°C for 10 min. Bacterial cells were washed once by PBS (pH7.4) and centrifuged at 10,000 rpm at 4°C for 10 min. Cells were disrupted through sonication in ice cold environment. The insoluble cell fragments were removed through centrifugation at 10,000 rpm at 4°C for 10 min and the supernatant was collected by filter through 0.22 µm syringe filter. His-tagged LysAB54 was purified using nickel nitrilotriacetic acid affinity column

chromatography (GE Healthcare, US) with gradient of imidazole solutions. After purification, proteins were dialyzed overnight at 4°C against Tris buffer (pH 7.4). The purity of protein was assessed using SDS-PAGE.

## Antibacterial Activity Assay of Endolysin LysAB54

Antibacterial activity of endolysin LysAB54 was determined as defined previously (Yang et al., 2015b) with minor modifications. To determine the antibacterial activity of LysAB54, the bacteria were cultured in LB broth at 37°C for both the logarithmic phase (OD<sub>600</sub> = 0.6–0.8) and stationary phase (OD<sub>600</sub> = 1.4–1.6). Bacterial culture was centrifuged at 8,000 rpm at 4°C for 5 min and bacterial cells were washed and re-suspended in Tris buffer (pH 7.4). LysAB54 was added into bacterial suspension and incubated aerobically at 37°C for 60 min. Groups treated with the same amount of Tris buffer instead of LysAB54 were used as negative controls. The mixture was then serially diluted than plated on the LB agar petri dishes, incubated overnight aerobically at 37°C.

## Killing Range of LysAB54

To evaluate the bactericidal activity of endolysin LysAB54 against clinical Gram-negative isolates, logarithmic phase bacteria were treated with 100 µg/ml of LysAB54 at 37°C for 1 h. The reduction in bacterial count in term of LogCFU/mL was defined as the antibacterial activity of LysAB54 against tested isolate.

## The Activity of LysAB54 in Complex Medium

To test the antibacterial activity of endolysin LysAB54 against *A. baumannii* in complex medium, logarithmic phase bacteria were re-suspended in LB broth and human-serum (Sigma Aldrich, Shanghai, China), and treated with 100 µg/ml of LysAB54 at 37°C for 1 h. The mixture was serially diluted and plated on LB agar Petri dishes for viable cell count.

## Statistical Analysis

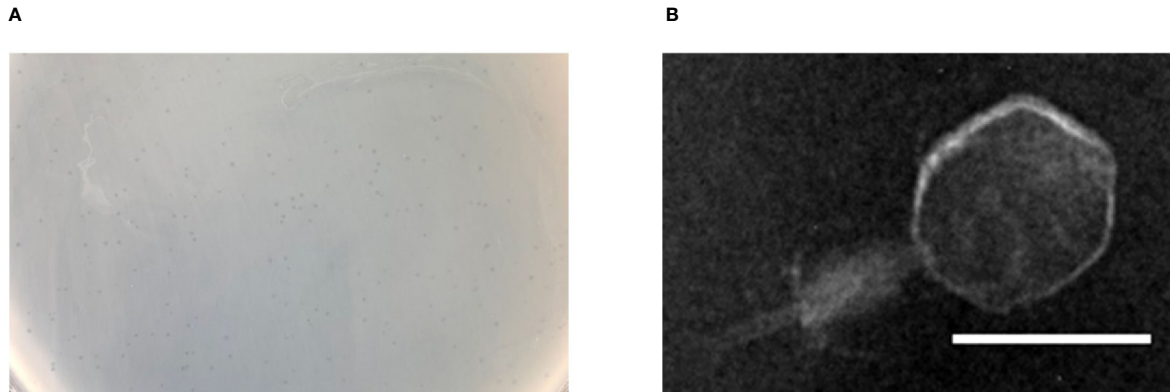
The data were analyzed using GraphPad prism Version 5 software on Microsoft Windows 2019 operating system. All the experiments were performed in triplicates on different time points. The data was expressed in term of Mean ± Standard Deviation (SD) for statistical considerations.

# RESULTS

## Characterization of Bacteriophage p54

Bacteriophage p54 was isolated and purified from the hospital water sample. Double-layer agar assay showed clear plaques on *A. baumannii* lawn postulating the lytic potential of isolated





**FIGURE 1** | Characteristics of bacteriophage p54. **(A)** Double layer agar assay of the isolated bacteriophage p54 with host bacterium. **(B)** Morphological analysis of the bacteriophage p54 by transmission electron microscopy (TEM). Scale bar: 25  $\mu$ m.

bacteriophage p54 (**Figure 1A**). Morphological analysis showed that the bacteriophage p54 contains an icosahedral head and a short tail, with a particle size of 24.4 $\times$ 55.8 nm (**Figure 1B**). Based on these observations, bacteriophage p54 can be classified to the family of Myoviridae according to the latest classification by the International Committee on the Taxonomy of Viruses (ICTV).

### Genome Sequencing and ORF Annotation of Bacteriophage p54

Full genome sequencing analysis showed that bacteriophage p54 consists 165,813 bps with a GC content of 36.3%. A Blast-P analysis further revealed that bacteriophage p54 contains 248 predicted ORFs, with putative functions categorized into multiple functional modules, including phage structure, host lysis, phage DNA metabolism and modifications (**Figure 2**). Whole-genome based phylogenetic analysis showed that bacteriophage p54 possesses maximum similarity with *A. baumannii* phage AP22 (NCBI No: HE806280) among various phages analyzed (**Figure S1**), indicating the novelty of bacteriophage p54.

### Bioinformatics Analysis of the Endolysin LysAB54

The putative endolysin LysAB54 of bacteriophage p54, i.e., ORF159 (120,967–121,530 bp), comprised 187 amino acids with a putative lysozyme activity. As shown in **Figure 3A**, a phylogenetic analysis of LysAB54 and other Gram-negative endolysins showed that LysAB54 has similarity with the other reported endolysin containing lysozyme domain, such as LysPA26 of *A. baumannii* phage (Guo et al., 2017). The similarity of LysAB54 with other closely related endolysins was further performed by the MultAlign server and revealed a lower level of similarity with known related endolysins, postulating the novelty of LysAB54 (**Figure 3B**). Phyre2 server-based structural prediction showed that LysAB54 contains multiple alpha-helix domains with the absence of predictable beta plated sheets (**Figure 3C**).

### LysAB54 Shows High Bactericidal Activity Against *A. baumannii*

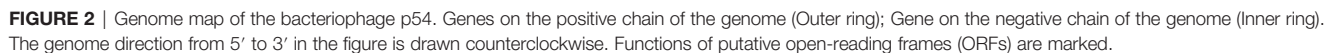
SDS-PAGE analysis showed a single band of LysAB54 after purification (**Figure 4A**), which was consistent with its predicted molecular mass of 24 kDa. The time-killing assay showed that the LysAB54 (100  $\mu$ g/ml) could kill logarithmic *A. baumannii* with 0.6 logs of reduction in the first 1 min of incubation, while up to 4 logs of decrement in bacterial count was achieved after incubation for 10 min. These results showed that the LysAB54 exhibits robust as well as rapid bactericidal activity (**Figure 4B**).

### Antibacterial Activity of LysAB54 Against Logarithmic Phase Gram-Negative Bacteria

The antibacterial activity of LysAB54 in Tris buffer against each ten different clinical isolates of *A. baumannii*, *P. aeruginosa*, *E. coli*, and *K. pneumoniae* was investigated. As shown in **Figure 5**, LysAB54 showed high bactericidal activity against all isolates of *A. baumannii*, *E. coli*, and *K. pneumoniae* tested. Moreover, 8 out of 10 *P. aeruginosa* strains were found to be highly susceptible to LysAB54 (**Figure 5B**). The difference in antibacterial activity of log reduction against these clinical strains may be due to the difference in the molecular architecture of the bacterial outer membrane. Notably, reduction of 4 logs (from 4.2 to 0), 2.17 logs (from 5.77 to 3.60), 2 logs (from 4.22 to 2.16), and 2.33 log (from 3.86 to 1.53) were observed in *A. baumannii* WHG 40090, *P. aeruginosa* WHG50023, *E. coli* WHG11023, and *K. pneumoniae* WHG11004, respectively. These results suggested that LysAB54 has a wide range of antibacterial activity against multi-drug resistant Gram-negative microbes.

### Antibacterial Activity of LysAB54 Under Various Conditions

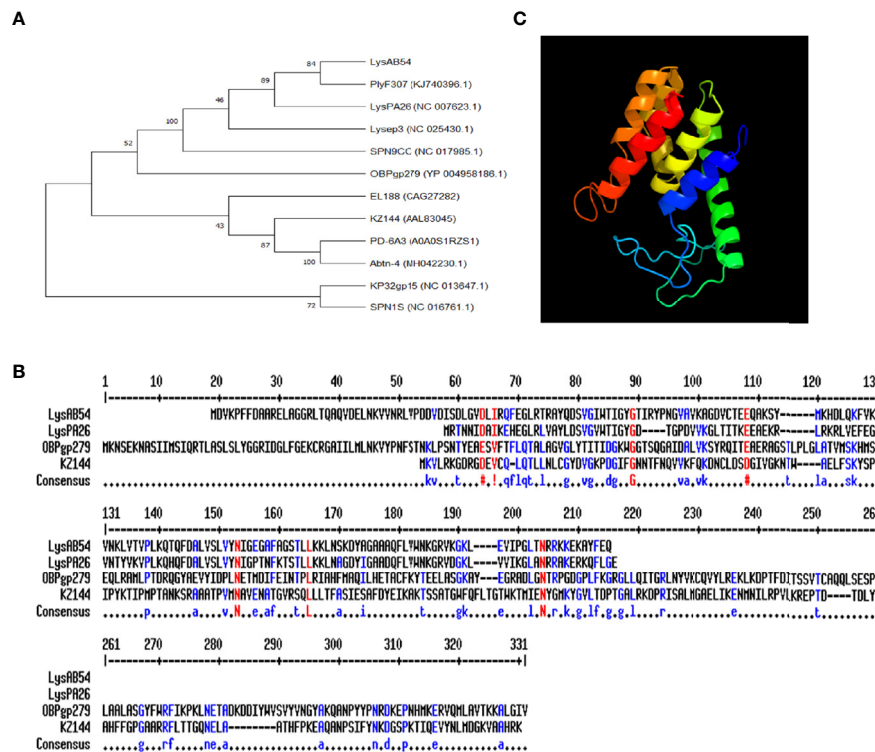
Previous reports showed that multiple Gram-negative endolysins have good activity against the logarithmic phase bacteria but less



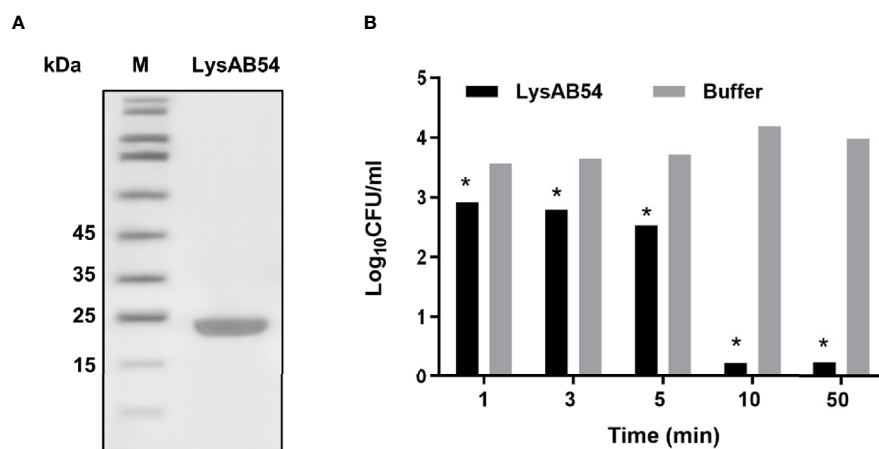
Another challenge for application of Gram-negative endolysin is the reduced or abolished killing activity in a complex medium like serum. Most of the reported endolysins lose their activity in serum. In this context, we checked out the antibacterial activity of LysAB54 against the logarithmic phase of *A. baumannii* in complex medium, including LB broth and human serum. As reported previously, insignificant bactericidal activity of LysAB54 was observed in the complex mediums (**Figure S2**). The possible reason for loss of bactericidal activity is the ion exchange in these complex media which can potentially

## DISCUSSION

The increasing infections caused by drug-resistant Gram-negative bacteria is a public health concern worldwide (Chopra et al., 2008; Scallan et al., 2011; Gondil et al., 2019). The Gram-negative bacterium *A. baumannii* which is responsible for multiple infections is an alarming threat to human health. Due to its intrinsic resistance and the overuse of antibiotics, some isolates of *A. baumannii* are now resistant to almost all known antibiotics (Manchanda et al., 2010). The increasing trend of antibiotic resistance in pathogenic bacteria necessitate the search for alternative antimicrobial agents to treat and cure such resistant pathogens mediated infections (Magiorakos et al., 2012). Currently, a very limited solutions are available in the



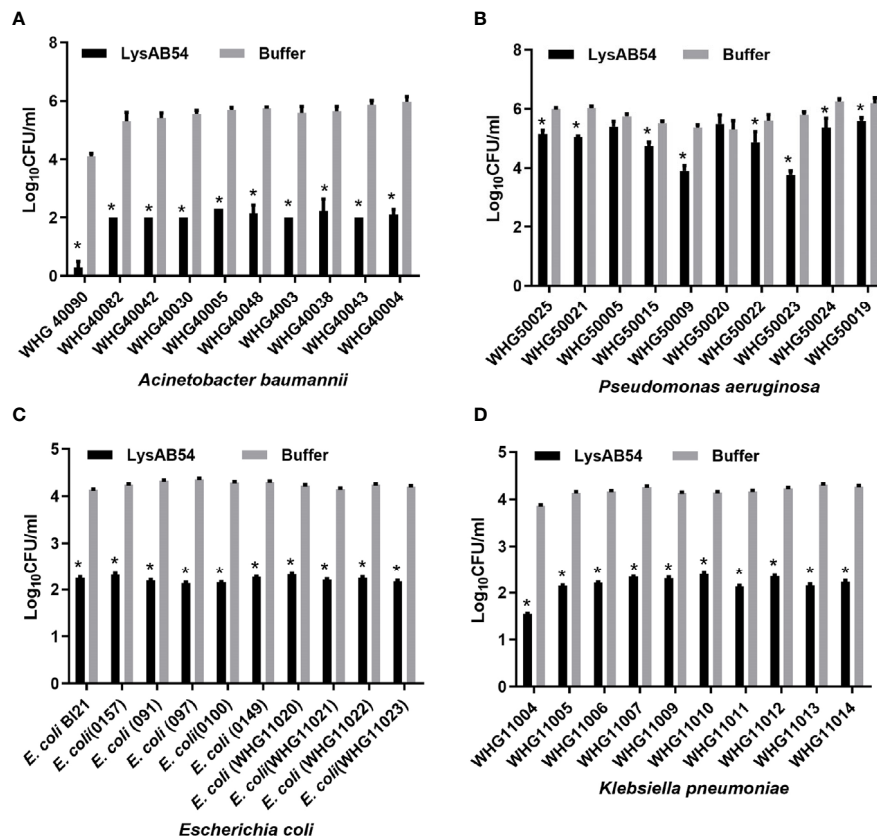
**FIGURE 3 |** Phylogenetic analysis of LysAB54. **(A)** Phylogenetic relationship of various endolysins. The neighbor-joining trees were based on the ClustalW alignment of DNA sequences by MEGAX software. **(B)** Multi-alignment analysis of LysAB54 with other closely related Gram-negative endolysins. **(C)** Predicted three-dimensional structure of LysAB54. Three-dimensional structure model by Phyre2 web server. NORMAL mode. Confidence in the model: 100.0%. 148 residues (79% of sequence) have been modeled with 100.0% confidence by the single highest scoring template. Model dimensions (Å): X: 35.277, Y: 41.465, Z: 46.142.



**FIGURE 4 |** Characteristics of LysAB54. **(A)** Purified LysAB54 on SDS-PAGE gel. Lane 1 showing LysAB54 and lane 2 showing protein marker. **(B)** Time-killing activity of LysAB54 against logarithmic phase of *A. baumannii* WHG 40090 in Tris buffer. Data are shown as means  $\pm$  standard deviations. \* $p < 0.05$ .

treatment of MDR *A. baumannii* in clinical settings (Fischetti, 2010). Endolysin is a class of novel antimicrobial agents to treat and cure antibiotic-resistant bacteria due to its rapid bactericidal activity and low chances of antibiotic resistance (Nelson et al.,

2012). Currently, several endolysins are being evaluated in clinical trials to explore their potential in treating Gram-positive bacterial infections (Kim et al., 2018). On the contrary, endolysins for Gram-negative bacterial infections are still under



**FIGURE 5 |** Antibacterial activities of LysAB54 against logarithmic phase of Gram-negative microbes in Tris buffer. Logarithmic phase strains of *A. baumannii* (A), *P. aeruginosa* (B), *E. coli* (C), and *K. pneumoniae* (D) were treated with 100  $\mu$ g/ml of LysAB54 for 1 h at 37°C. The viable cell number was calculated on the LB agar plates. Data are shown as means  $\pm$  standard deviations. \* $p < 0.05$ .

development. Although few studies have reported positive results in treating infections caused by Gram-negative bacteria *in vitro* and *in vivo*, including *A. baumannii* and *P. aeruginosa* (Ghose and Euler, 2020).

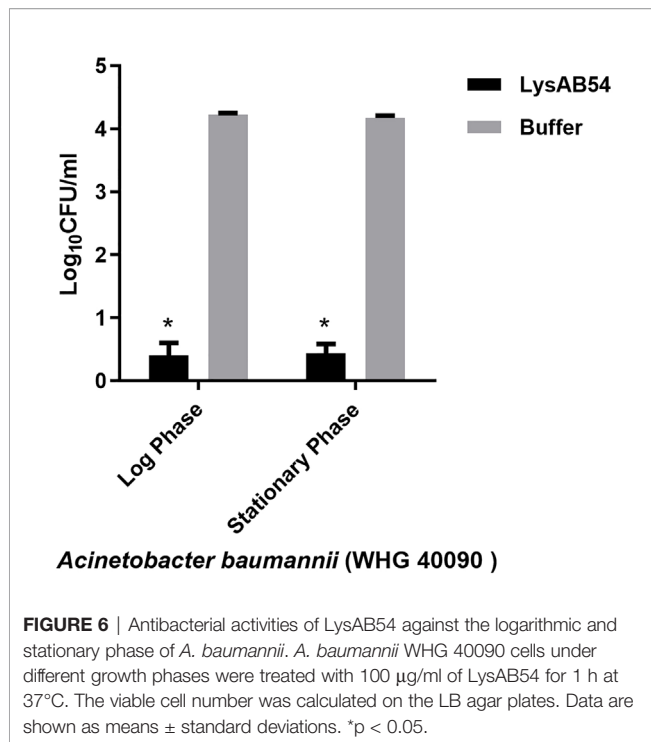
In this study, we characterized a novel bacteriophage endolysin LysAB54 encoded by a novel *A. baumannii* bacteriophage p54, which showed a broad range of antibacterial activity against Gram-negative bacteria irrespective of their growth phases, suggesting that the naturally occurring endolysin could be a promising alternative antimicrobial agent against number of MDR Gram-positive pathogens.

One excellent merit of the LysAB54 is its robust bactericidal activity against both logarithmic and stationary phase of Gram-negative bacteria in the absence of OMPs. Encouragingly, a reduction of over 4 logs in viable bacterial number was observed after treatment of *A. baumannii* cells with 100  $\mu$ g/ml LysAB54 for 10 min.

To our knowledge, it is unconventional for an endolysin to render such rapid antibacterial activity against various antibiotic-resistant Gram-negative bacteria. Several natural known endolysins also exhibited antibacterial activity against Gram-negative bacteria in the absence of OMPs, such as

OBPgp279 against *P. aeruginosa*, PlyF307 and LysAB21 against *A. baumannii* (Lai et al., 2011; Walmagh et al., 2012; Lood et al., 2015). It is demonstrated with increasing evidence that several endolysins are active against multiple Gram-negative bacteria, and few of them are reported active against Gram-positive pathogens *in vitro*, such as Abtn-4 (Yuan et al., 2020), LysSAP26 (Kim et al., 2020a), and LysSS (Kim et al., 2020b). Such lysins with broad host-range are commonly contain a lysozyme or glycoside hydrolase catalytic activity, which cleaves the  $\beta$ -1,4-glycosidic linkage between N-acetylmuramic acid (NAM) and N-acetylglucosamine (NAG) in peptidoglycans that is generally shared by various bacteria (Ghose and Euler, 2020). In this context, LysAB54 was predicted to contain a lysozyme activity, which is possibly the reason for its broad antibacterial activity against several other antibiotic-resistant Gram-negative bacteria, which includes *P. aeruginosa*, *K. pneumoniae*, and *E. coli*.

It is well known that environmental factors such as salts and proteins have a significant effect on the activity of Gram-negative endolysins. Our results showed that LysAB54 completely loses its antibacterial activity in the complex medium like LB broth and



serum, which narrows down its application to topical infections, such as burn wound, or suture infections. One possible reason for the loss of LysAB54 activity in these media may be due to ions exchanging of endolysin in these environments, which could possibly neutralize the antibacterial activity of endolysins (Yang et al., 2015b).

In conclusion, our study demonstrates the morphological and genomic characteristics of a newly isolated *A. baumannii* bacteriophage p54 and the bactericidal activity of its endolysin, LysAB54, against multiple drug-resistant Gram-negative bacteria under various growth phases and conditions. The OMP-independent activity of LysAB54 makes it a potential candidate for the treatment of infections caused by multiple drug resistant Gram-negative pathogens. However, molecular dissection and validation of LysAB54 in biofilms and animal models still need to be established to reveal the enigmatic aspects of the present endolysin.

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## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material. Further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

HY and HW designed the study. FK, VG, CL, MJ, and JL performed the experiments. FK, VG, JY, and HY performed data analysis. HY and HW contributed with reagents and/or funds for research. FK and VG wrote the draft manuscript. HY revised the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.637313/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Phage Endolysin LysP108 Showed Promising Antibacterial Potential Against Methicillin-resistant *Staphylococcus aureus*

Yifei Lu<sup>1†</sup>, Yingran Wang<sup>2†</sup>, Jing Wang<sup>3</sup>, Yan Zhao<sup>3</sup>, Qiu Zhong<sup>4</sup>, Gang Li<sup>3</sup>, Zhifeng Fu<sup>5\*</sup> and Shuguang Lu<sup>3\*</sup>

<sup>1</sup> Institute of Burn Research, Southwest Hospital, State Key Lab of Trauma, Burn and Combined Injury, Army Medical University, Chongqing, China, <sup>2</sup> Department of Clinical Laboratory Medicine, Southwest Hospital, Army Medical University, Chongqing, China, <sup>3</sup> Department of Microbiology, College of Basic Medical Science, Army Medical University, Chongqing, China, <sup>4</sup> Department of Clinical Laboratory Medicine, Daping Hospital, Army Medical University, Chongqing, China, <sup>5</sup> College of Pharmaceutical Sciences, Southwest University, Chongqing, China

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### \*Correspondence:

Zhifeng Fu  
fuzf@swu.edu.cn  
Shuguang Lu  
shulang88@126.com

<sup>†</sup>These authors have contributed  
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As a potential antibacterial agent, endolysin can directly lyse Gram-positive bacteria from the outside and does not lead to drug resistance. Considering that XN108 is the first reported methicillin-resistant *Staphylococcus aureus* (MRSA) strain in mainland China with a vancomycin MIC that exceeds 8  $\mu\text{g mL}^{-1}$ , we conducted a systematic study on its phage-encoded endolysin LysP108. Standard plate counting method revealed that LysP108 could lyse *S. aureus* and *Pseudomonas aeruginosa* with damaged outer membrane, resulting in a significant reduction in the number of live bacteria. Scanning electron microscopy results showed that *S. aureus* cells could be lysed directly from the outside by LysP108. Live/dead bacteria staining results indicated that LysP108 possessed strong bactericidal ability, with an anti-bacterial rate of approximately 90%. Crystal violet staining results implied that LysP108 could also inhibit and destroy bacterial biofilms. *In vivo* animal experiments suggested that the area of subcutaneous abscess of mice infected with MRSA was significantly reduced after the combined injection of LysP108 and vancomycin in comparison with monotherapy. The synergistic antibacterial effects of LysP108 and vancomycin were confirmed. Therefore, the present data strongly support the idea that endolysin LysP108 exhibits promising antibacterial potential to be used as a candidate for the treatment of infections caused by MRSA.

**Keywords:** phage endolysin LysP108, antibacterial activity, drug-resistant bacteria infection treatment, vancomycin, methicillin-resistant *Staphylococcus aureus*

## INTRODUCTION

The abuse of antibiotics has led to the frequent emergence of multidrug-resistant superbugs, which make the clinical treatment of infectious diseases difficult (Nathan and Cars, 2014; Blair et al., 2015). Moreover, due to the slow development of new antibiotics, drug-resistant bacteria have become one of the greatest threats to global public health (Woolhouse and Farrar, 2014; Marston et al., 2016).

*Staphylococcus aureus* is a common pathogen that causes various human infectious diseases (Suaifan et al., 2017). The emergence of methicillin-resistant *S. aureus* (MRSA) and its rapid development of drug resistance have made the clinical treatment of *S. aureus* infections very challenging (Rodvold and McConeghy, 2014). In recent years, some MRSA strains are reportedly able to resist vancomycin, which has long been considered as the last line of defense against MRSA (Staub and Sieber, 2009; McGuinness et al., 2017). Therefore, the development of new antimicrobial agents for the efficient prevention and treatment of MRSA infections is necessary.

As natural predators of bacteria, bacteriophages (phages) are used in the treatment of drug-resistant bacterial infections (Kutateladze and Adamia, 2010; Díez-Martínez et al., 2015). Besides the phage itself, phage-encoded endolysins can also selectively and quickly kill bacteria, and they showed great potential for application to the treatment of antibacterial infection (Huskins and Goldmann, 2005; Payne, 2008). Endolysin is a cell wall hydrolase that plays an important role in the later stage of phage infection (Gerstmans et al., 2018). It can lyse the cell wall from inside the bacteria and help release progeny phages outside the cell (Rodríguez-Rubio et al., 2013). Compared with phage, endolysin has many advantages, such as non-proliferation, easy-to-target drug delivery, wider host spectrum, and low bacterial resistance (Nelson et al., 2001; Nelson et al., 2012). As a biological macromolecule, its regulatory path is clearer than that of phages.

At present, the development of phage-related preparations that can lyse pathogens has become the focus of research. Since Gram-positive bacteria do not have an outer membrane, endolysin can directly lyse the cell wall from the outside, thereby providing a basis for the use of endolysin alone (Ahmed et al., 2014; Haddad Kashani et al., 2017; Chang, 2020). Considering the synergistic effect between the endolysin and antibiotics, the use of the endolysin in combination with antibiotics can expand the broad spectrum of the endolysin and avoid the development of resistance of target cells (Djurkovic et al., 2005; Schuch et al., 2014; Schmelcher et al., 2015). Some phage endolysin-related preparations are currently in clinical trials or already in the market (Jun et al., 2017; Totté et al., 2017). Therefore, endolysins bring new hope for the treatment of superbugs when antibiotics are ineffective against multidrug-resistant superbugs.

In this study, the endolysin LysP108 was derived from phage P108 of *S. aureus* strain XN108 and obtained by recombinant expression in *Escherichia coli* by molecular cloning. Considering that XN108 is the first MRSA strain in mainland China with vancomycin MIC that exceeds  $8 \mu\text{g mL}^{-1}$  (Zhang et al., 2013; Wang et al., 2020), we conducted a systematic study on its phage-encoded endolysin LysP108. The lysozyme activity of endolysin LysP108 was analyzed by viable count method to determine the optimum conditions of LysP108. Afterward, its *in vitro* bactericidal capacity and its effect on bacterial biofilm were investigated by using live/dead bacteria and crystal violet staining experiments, respectively. To verify the antibacterial effect of LysP108 *in vivo*, a mouse model of subcutaneous MRSA

infection abscess was established. Then, LysP108 was used in combination with vancomycin to verify their synergistic effect and assess the possibility of using such a combination as a potential antimicrobial agent. In summary, we have validated the antibacterial effect of LysP108 *in vitro* and *in vivo* and explored its potential in combination with antibiotics, thereby providing a new way for solving the increasingly serious problem of bacterial drug resistance.

## MATERIALS AND METHODS

### Ethics Statement

All experiments were conducted with the approval of the Laboratory Animal Welfare and Ethics Committee of Third Military Medical University (NO. AMUWEC2020733) and in strict accordance with ethical principles. All participants were informed the purpose of this study and agreed to written consent.

### Materials and Reagents

PCR primers with sequences were synthesized by Genomics institution (China). FastPfu DNA polymerase, *Escherichia coli* strains DH5 $\alpha$  and BL21 (DE3) were purchased from TransGen Biotech (China). Quickcut restriction endonucleases NotI and NdeI were both purchased from Takara Bio (Japan). T4 DNA ligase was purchased from BioLab (U.S.A.). IPTG was purchased from Sangon (China). DNA marker, protein marker and live/dead bacteria staining kit were all purchased from Thermo Fisher Scientific (U.S.A.). LB broth and BHI broth were purchased from Oxoid (U.K.). Bicinchoninic acid assay kit was purchased from Beyotime Biotechnology (China). Vancomycin was purchased from Solarbio Life Sciences (China).

### Apparatus

PCR experiments were conducted on a PCR instrument and a gel imager (Bio-Rad Laboratories, U.S.A.). Nickel affinity chromatography was conducted on an AKTA purifier equipped with a HisTrap FF column (GE Healthcare, U.S.A.). Scanning diagram of plate colony was conducted on an automatic colony counter (Shineso, China). Fluorescent micrographic imaging of stained bacteria was conducted on a NI-U fluorescent microscope (Nikon, Japan). OD<sub>570</sub> value was measured on a SpectraMAX M2e plate reader (Molecular Device, U.S.A.).

### Bacterial Strains, Media, and Growth Conditions

MRSA strain XN108 was isolated from Southwest Hospital (Chongqing, China) (Zhang et al., 2013), and *Pseudomonas aeruginosa* strain PA1 (Lu et al., 2015; Li et al., 2016a; Li et al., 2016b) was isolated from Xinqiao Hospital (Chongqing, China), and they were both kept in our laboratory. Methicillin-sensitive *S. aureus* (MSSA) strain ATCC 25923, *P. aeruginosa* strain PAO1, and *Acinetobacter baumannii* strain AB1 were all purchased from China Center for Type Culture Collection.



All strains were grown in brain heart infusion (BHI) broth medium with constant shaking overnight at 37°C. Phage P108, which encodes the LysP108 endolysin, was isolated from hospital sewage using the MRSA strain XN108 as host bacterium. For transformation, *E. coli* strains DH5 $\alpha$  and BL21 (DE3) were prepared for cloning and recombinant protein expression, respectively, and they were cultured in Luria-Bertani (LB) broth at 37°C.

## Bioinformatics Analysis of LysP108

The phage P108 genome was sequenced and submitted to the GenBank database (accession number: NC025426). The amino acid sequence of LysP108 was analyzed using the Basic Local Alignment Search Tool (BLAST, <http://www.ncbi.nlm.nih.gov/BLAST/>) for comparison. Subsequently, based on the protein sequence, the 3D structure of LysP108 was predicted using the Swiss-Model tool (<http://swissmodel.expasy.org>). The amino acid sequences of LysGH15 (protein ID: ADG26756.1) (Zhang et al., 2018), LysK (protein ID: AAO47477.2) (Fujita et al., 2017), HydH5 (protein ID: ACJ64586.1) (Rodriguez et al., 2011), PlyGRCS (protein ID: AHJ10590.1) (Linden et al., 2015), CF-301 (protein ID: ZP\_03625529.1) (Schuch et al., 2014), endolysin of *Listeria* phage vB\_LmoS\_293 (protein ID: AJE28090.1) (Pennone et al., 2019), PlyAB1 (protein ID: YP\_008058242.1) (Huang et al., 2014), lysostaphin of *Nocardia seriolae* (protein ID: APB01676.1) (Jayakumar et al., 2020) were downloaded from the National Center for Biotechnology Information (NCBI) Protein Database (<https://www.ncbi.nlm.nih.gov/protein>). Sequence homology analysis of LysP108, LysGH15, LysK, HydH5, PlyGRCS, CF-301, endolysin of *Listeria* phage vB\_LmoS\_293, PlyAB1, and lysostaphin of *Nocardia seriolae* was performed using the software DNAMAN (<https://www.lynnon.com/dnaman.html>).

## Cloning, Overexpression, and Purification of LysP108

Recombinant LysP108 was produced through the *E. coli* expression system. The gene fragment encoding LysP108 was amplified by PCR with a set of specific primers (forward: TAAG AAGGAGATATACATATGAAAAAAAAAAGATAAACGTG GTAAGAAACC and reverse: TGGTGGTGCTCGAGTGCGG CCGCTTTGAATACTCCCAAGCAA, with the NdeI/NotI restriction endonuclease sites underlined). The PCR amplification condition consisted of initial denaturation step at 95°C for 2 min, followed by 35 cycles of denaturation step at 95°C for 20 s, annealing at 52°C for 20 s, and elongation at 72°C for 30 s, and final extension step at 72°C for 5 min. After double enzyme digestion, the PCR product and pET21a vector were linked together by using Gibson Assembly at 50°C for 30 min to construct the recombinant plasmid pET21a-LysP108. DNA sequences were verified for the constructed plasmid. Next, C-terminal six-His-tagged LysP108 plasmid was transformed into *E. coli* BL21 (DE3) to screen the positive clones.

A single positive colony was cultured in LB broth added with 100  $\mu\text{g mL}^{-1}$  ampicillin at 37°C under constant shaking at 180 rpm. When the OD<sub>600</sub> value of the bacterial fluid reached

approximately 0.6, isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG) was added to reach the working concentration of 0.1 mM. After incubation for 10 h at 23°C, the bacterial cells were collected by centrifugation and disrupted by ultrasonication, followed by centrifugation at 10,000 g for 30 min to remove the bacterial fragments. Then, the supernatant containing soluble protein was filtrated through a 0.4  $\mu\text{m}$  filter membrane. The protein was purified with nickel affinity chromatography. The purity and size of the obtained protein were confirmed with sodium dodecyl sulfate-polyacryl amide gel electrophoresis (SDS-PAGE), in which the gel was stained by Coomassie brilliant blue. Then the protein concentration was detected by a bicinchoninic acid assay. The LysP108 solution was dialyzed against 10 mM PBS containing 20% glycerol and stored at -20°C.

## Determination of LysP108 Antibacterial Activity

The antibacterial activity of LysP108 was determined by colony forming unit (CFU) reduction assay as previously described. (Dong et al., 2015) All bacteria strains were cultured to early log phase, harvested, and resuspended in the same volume of 20 mM Tris-HCl buffer (pH 7.5). For Gram-negative bacteria, early log phase cells were pelleted and resuspended in 20 mM Tris-HCl buffer supplemented with 0.1 M EDTA for 5 min at room temperature (RT). EDTA at high concentrations is toxic to the cells. Thus, the outer membrane was treated only for 5 min. Then, cells were pelleted and washed with 20 mM Tris-HCl buffer thrice to remove the remaining EDTA. Next, 20  $\mu\text{L}$  of LysP108 (1 mg mL<sup>-1</sup>) was added to 80  $\mu\text{L}$  of resuspended bacteria and incubated for 30 min at 37°C. Afterward, the mixture was serially diluted by 10-fold and plated on BHI agar plates. The CFU was calculated after 24 h of incubation at 37°C to determine the number of viable cells. The above tests were repeated for three times, and the mean values were calculated. The final CFU was obtained by multiplying the average number of colonies on the plate by the dilution factor.

Factors affecting LysP108 lytic activity were analyzed using early log phase bacteria under different reaction conditions, as follows: LysP108 concentration of 0–1000  $\mu\text{g mL}^{-1}$ ; pH of 4.0–12.0; and temperature of 30°C–90°C. To assess the effect of LysP108 concentration on lytic activity, various concentrations (0–1000  $\mu\text{g mL}^{-1}$ ) of LysP108 and the MRSA strain XN108 cell suspensions were mixed thoroughly and incubated for 30 min at 37°C. To test the pH stability, 250  $\mu\text{g mL}^{-1}$  of LysP108 was added to the bacterial cell suspension in the following buffers: 20 mM sodium acetate for pH 4.0–5.0; 20 mM Tris-HCl for pH 6.0–8.0; 20 mM glycine for pH 9.0–10.0; and 20 mM sodium carbonate for pH 11.0–12.0. Briefly, 80  $\mu\text{L}$  bacterial resuspended solution at different pH was mixed with 20  $\mu\text{L}$  LysP108 and incubated for 30 min at 37°C, while the control group was treated with bacterial resuspended solution at different pH and 20  $\mu\text{L}$  PBS. To test the thermal stability, 250  $\mu\text{g mL}^{-1}$  of LysP108 was incubated at different temperatures (30°C–90°C) for 10 min. After cooling to room temperature, 80  $\mu\text{L}$  bacterial solution was mixed with 20  $\mu\text{L}$  LysP108 treated at different temperatures and incubated at 37°C for 30 min, while the control group was treated

with bacterial solution and 20  $\mu\text{L}$  PBS without temperature treatment. All experiments were repeated thrice. The values were the means and standard deviations from triplicate assays.

### Assessment of LysP108 Lytic Activity

The interactions between LysP108 and MRSA (XN108 and SCCmec V) strains were assessed *in vitro*. LysP108 and bacteria cells were suspended in 10 mM PBS (pH 7.4). Then, 200  $\mu\text{L}$  of LysP108 (250  $\mu\text{g mL}^{-1}$ ) and 800  $\mu\text{L}$  of the test bacteria ( $10^8$  CFU  $\text{mL}^{-1}$ ) were mixed together and incubated at 37°C for 5, 30, and 60 min, respectively. For the complete removal of free LysP108, the mixtures were then centrifuged at 5000 g for 5 min and washed thrice by PBS (10 mM; pH 7.4). Subsequently, the sediments comprising bacteria with LysP108 were suspended in PBS (10 mM; pH 7.4). The morphology of aggregated pellets were detected under a scanning electron microscope (SEM, Inspect F, Philips, The Netherlands).

### In Vitro Live/dead Bacteria Staining Assay

Live/dead staining assay was performed by using a live/dead bacteria staining kit. The kit contained two fluorescent dyes, SYTO 9 and PI. The green SYTO 9 dye entered both intact bacteria and bacteria with damaged cell structure. However, the red PI dye only entered bacteria with the damaged cell membrane or wall. Briefly, 2 mL of MRSA strain XN108 was cultured to post log phase, harvested, and resuspended in 1 mL of 0.85% NaCl. Then, 200  $\mu\text{L}$  of LysP108 (250  $\mu\text{g mL}^{-1}$ ) was added into 800  $\mu\text{L}$  of resuspended bacteria and incubated for 1 h at 37°C with 100 rpm. The above mixture was centrifuged at 5000 g for 5 min and suspended in 0.85% NaCl. Next, the suspensions were stained with live/dead dye solution (1.5  $\mu\text{L}$  of SYTO 9 and 1.5  $\mu\text{L}$  of PI) simultaneously for 15 min in the dark. Then, the stained bacteria were washed with 0.85% NaCl twice and observed under a fluorescent microscope.

### Crystal Violet Staining Assay

Crystal violet staining assay was performed as previously described with some modifications. (Wu et al., 2003) The MRSA strain XN108 was incubated overnight in BHI culture medium, after which it was prepared and sub-cultured in a 96-well polystyrene microplate with the same culture medium. After incubating the microplate for 24 h at 37°C, all wells were washed with 10 mM PBS (pH 7.4). Once the biofilm was formed, the experimental group wells were filled with 100  $\mu\text{L}$  of LysP108 (250  $\mu\text{g mL}^{-1}$ ). PBS at 10 mM was used as the negative control. After incubation for 5 h at 37°C with 100 rpm, each well was washed once with 10 mM PBS and stained with 0.1% crystal violet for 10 min at RT. Washing with 10 mM PBS was repeated thrice, and solubilization with 33% acetic acid was performed. The absorbance of the obtained solution was detected with a plate reader and measured at 570 nm ( $\text{OD}_{570}$ ).

### Standard Checkerboard Broth Micro-Dilution Assay

A standard checkerboard broth micro-dilution assay was used to test whether there is a synergistic interaction between LysP108 and vancomycin. Briefly, different dilutions of vancomycin and

LysP108 were incubated vertically and horizontally with a bacterial inoculum of  $5 \times 10^5$  CFU per well in a final volume of 50  $\mu\text{L}$ , respectively. MRSA strain XN108 was used to test the interaction between endolysin and vancomycin. The plates were incubated at 37°C with gentle shaking and the bacterial growth rate was determined by reading  $\text{OD}_{600}$  for 20 h. The fractional inhibitory concentration of antibiotic and LysP108 was plotted as an isobologram.

### In Vivo Animal Experiments

To study the antibacterial effect of LysP108 *in vivo*, a subcutaneous abscess model on male BALB/c mice (6–8 weeks old; 20–25 g in weight) was established. Mice were anesthetized with an intraperitoneal injection of 1% pentobarbital at a dose of 50 mg  $\text{kg}^{-1}$ , and the dorsal surface of mice was shaved and cleaned with 75% alcohol. Subsequently, 100  $\mu\text{L}$  of MRSA suspension ( $1 \times 10^8$  CFU  $\text{mL}^{-1}$ ) was injected subcutaneously into the right and left sides of the shaved back of each test mouse. After 24 h, a focal MRSA infection formed as a subcutaneous abscess.

For the endolysin group, the infected mice were injected subcutaneously with 100  $\mu\text{L}$  of 250  $\mu\text{g mL}^{-1}$  of LysP108, and for the vancomycin group, vancomycin solution was injected intravenously at a dose of 20 mg  $\text{kg}^{-1}$ . In addition, for the co-administration group, 100  $\mu\text{L}$  of 250  $\mu\text{g mL}^{-1}$  LysP108 was injected subcutaneously, and at the same time, the vancomycin solution was injected intravenously (20 mg  $\text{kg}^{-1}$ ). PBS at 100  $\mu\text{L}$  was injected subcutaneously as the control treatment. Except for vancomycin that was administered once every 12 h, LysP108 and PBS were administered once every 24 h for 10 days.

Using standard circular paper (blue) with a diameter of 6 mm as a reference, the mice were photographed, and observations were recorded daily. Meanwhile, the abscess area was calculated and analyzed through Image J (NIH) software to draw the abscess area curve of the skin infection on the back of the mice. After being treated with paraffin embedding, serial section, and hematoxylin and eosin (H&E) staining, the samples were histologically examined under an optical microscope.

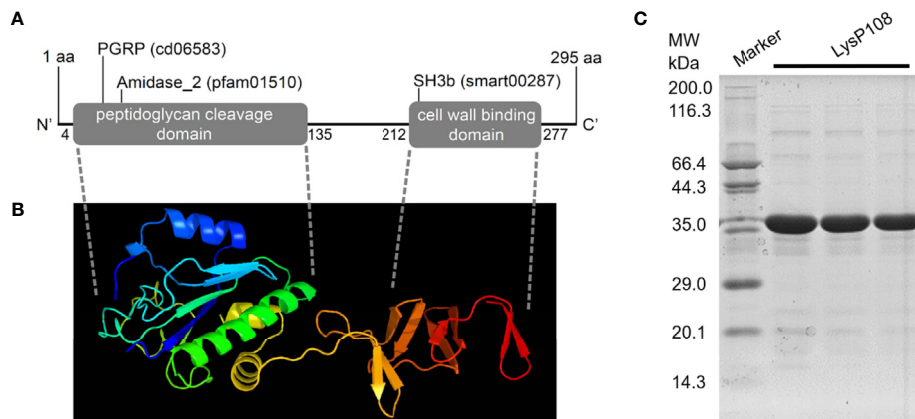
### Statistical Analysis

Data in line with normal distribution were presented as mean  $\pm$  standard deviation (SD) of at least 3 or more independent measurements. Statistical analysis was performed by two-tailed Student's *t* test or one-way ANOVA using SPSS 18.0 (IBM, USA). Bonferroni *post hoc* test was used for multiple *post hoc* comparisons to determine statistical significance after one-way ANOVA.  $P < 0.05$  was considered significant. Graph analysis was performed using GraphPad Prism 8.0 (GraphPad Software, USA).

## RESULTS

### LysP108 Sequence Analysis and Protein Purification

Genomic annotation revealed that the phage P108 encode a putative endolysin, which was named LysP108 (GenBank protein ID: YP\_009099525.1). In silico analysis of LysP108 predicted a



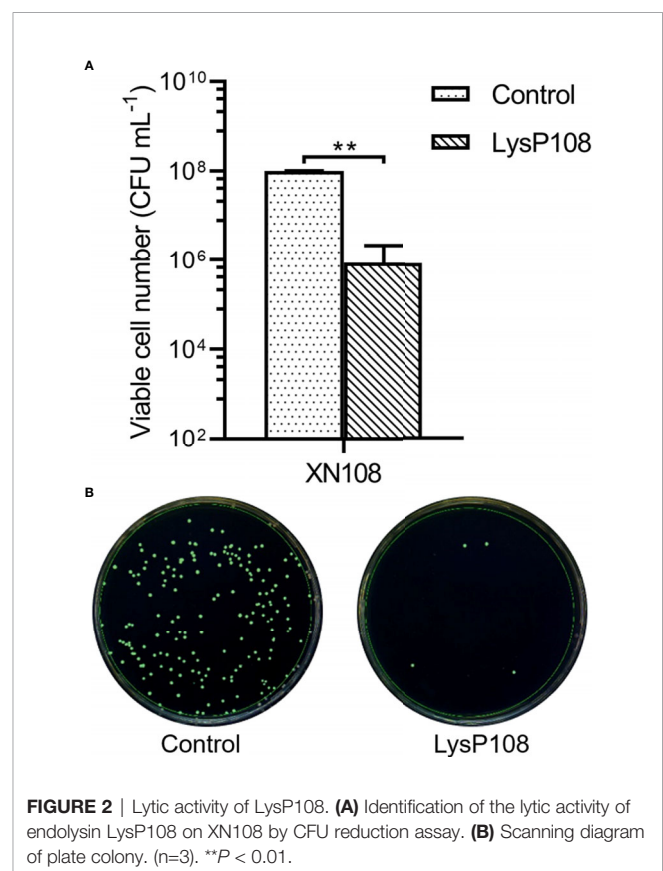
**FIGURE 1** | Characterization and purification of endolysin LysP108. **(A)** Schematic illustration of structure of phage endolysin LysP108. **(B)** 3D structure of endolysin protein LysP108. **(C)** SDS-PAGE photograph of purified protein LysP108.

295-amino acid protein (~34 kDa) with two independent domains: the peptidoglycan cleavage domain (PCD) and cell wall binding domain (CBD) (**Figure 1A**). In general, PCD is responsible for cleaving specific peptidoglycan covalent bond structures, whereas CBD is responsible for adhering to the bacterial cell wall. The predicted sites of PCD ranged from 4 to 135 amino acids, and those of CBD ranged from 212 to 277 amino acids. To study the tertiary structure of endolysin LysP108, Swiss-Model homology modeling was used to construct a 3D structure. PCD and CBD were predicted to be folded independently, whereas amidase-2 was folded together with PCD, thereby enhancing the lytic activity of PCD (**Figure 1B**). Sequence homology analysis revealed that although LysP108 is homologous with several endolysins identified previously, it also has differences in the amino acid sequence and domain composition (**Figure S1**).

Sequences encoding LysP108 with His-tag at the C-terminus were successfully constructed into IPTG-inducible expression plasmid pET21a. Thus, the recombinant plasmid was named as pET21a-LysP108 (**Figure S2**). LysP108 was then expressed in *E. coli* cells bearing pET21a-LysP108 as a soluble form after it was induced by IPTG at 37°C (**Figure S3**). The purified protein showed the right mass and near homogeneity (~34 kDa), as revealed by SDS-PAGE (**Figure 1C**).

## LysP108 Showed Lytic Activity to MRSA Strain XN108

The lytic activity of LysP108 was determined through a CFU reduction assay with MRSA strain XN108 as the target. LysP108 induced XN108 lysis, and the viable cell significantly decreased by approximately 2 log units after 30 min of treatment (**Figure 2A**). Meanwhile, through the automatic colony counter, the scanning diagram of plate colony directly reflected the significant decrease in the number of viable bacteria (**Figure 2B**). The above results demonstrated that endolysin LysP108 can directly lyse its host bacteria XN108 *in vitro*.



**FIGURE 2** | Lytic activity of LysP108. **(A)** Identification of the lytic activity of endolysin LysP108 on XN108 by CFU reduction assay. **(B)** Scanning diagram of plate colony. (n=3). \*\**P* < 0.01.

## Influence of Concentration, pH, and Temperature on LysP108 Antibacterial Activity

To assess the effect of concentration on the lytic activity of LysP108, different concentrations (0–1000  $\mu\text{g mL}^{-1}$ ) of LysP108 were tested. The addition of 250  $\mu\text{g mL}^{-1}$  LysP108 reduced the viable numbers of XN108 by 2 log units, which was the most



significant reduction. At a concentration greater than 250  $\mu\text{g mL}^{-1}$ , the effect of LysP108 reached saturation, indicating that the optimal working concentration was 250  $\mu\text{g mL}^{-1}$  (Figure 3A).

The result showed that LysP108 was highly active at the temperature range of 37°C–50°C, but its activity significantly decreased after heat treatment at 60°C (Figure 3B). Considering that the temperature of the human body is approximately 37 °C in practical applications, the optimum temperature of LysP108 is determined to be 37 °C.

Lytic activity analysis at different pH values demonstrated that the low pH of the reaction buffer significantly affected cell viability, whereas relatively high lytic activity was observed at pH 7.0, with a reduction of 2 log units of viable cells compared with the control (no LysP108 addition), as shown in Figure 3C. Thus, the optimum pH value of LysP108 was set at pH 7.0. All data further confirmed the antibacterial activity of LysP108.

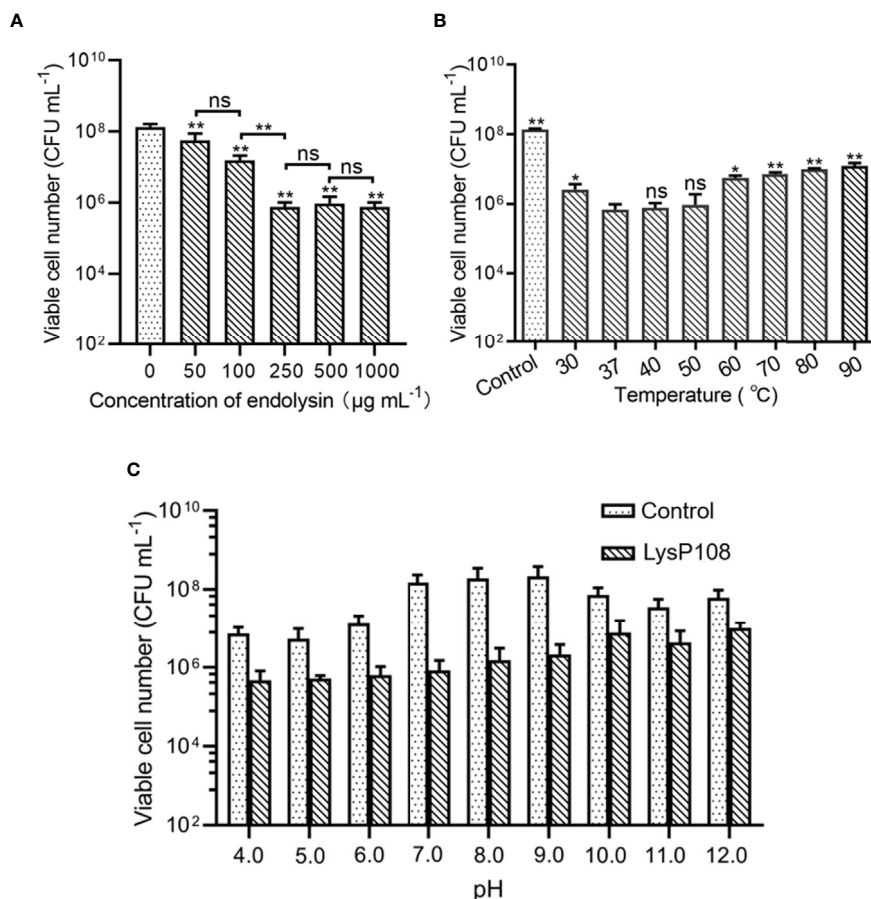
## The Antibacterial Spectrum of LysP108

Just like most of the reported phage derived endolysins, LysP108 could not lyse Gram-negative bacteria directly (data not shown), which was due to the protection of the outer membrane. EDTA

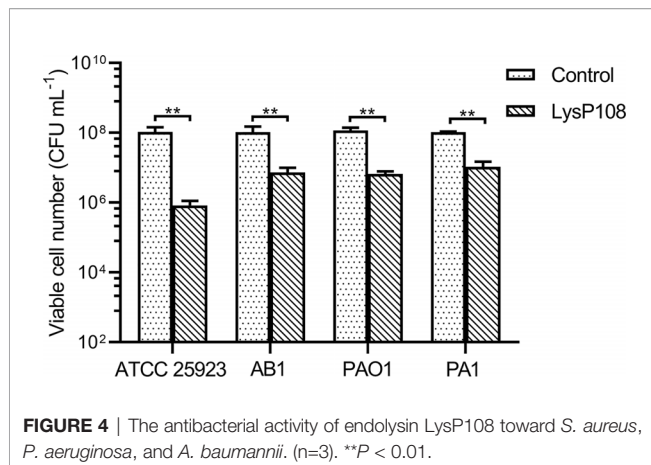
was used to treat *P. aeruginosa* strains PAO1 and PA1 and *A. baumannii* strain AB1 to remove the outer membrane before the addition of LysP108. As shown in Figure 4, LysP108 was able to lyse all three Gram-negative strains and reduced the viable cells by 1 log unit. Therefore, LysP108 was active against Gram-negative bacteria without outer membrane. Besides, the viability of *S. aureus* strain (ATCC 25923) was reduced by 2 log units after incubation with LysP108 for 30 min (Figure 4).

## In Vitro Bactericidal Effect of LysP108

Supporting evidence for the lytic property of LysP108 was provided in the SEM analysis. As shown in Figure 5, after incubation with LysP108 for different durations, MRSA (XN108 and SCCmec V) cells were gradually lysed *in vitro*. The bactericidal effect of endolysin was further verified using a live/dead bacteria staining kit, and the results were observed using a fluorescent microscope. As shown in Figure 6, the green fluorescent channel showed all bacteria, whereas the red fluorescent channel only showed the dead bacteria. The two fluorescent signals were coincident, indicating that most bacteria



**FIGURE 3 |** Determination of the optimum conditions for the lytic activity of endolysin LysP108: (A) concentration (n=3), (B) temperature (n=3), and (C) pH (n=3). \* $P < 0.05$ , \*\* $P < 0.01$ , and ns, not significant.



were killed under the effect of LysP108. The bactericidal rate was calculated as approximately 90%.

### Biofilm Reduction Activity of LysP108

The biofilm matrix disruption by LysP108 was measured by plate reader at OD<sub>570</sub> value and further verified by visual comparison in crystal violet-staining. As shown in **Figure 7**, the crystal violet-stained color was significantly reduced in the LysP108-treated group compared with the PBS-treated control group. Approximately 66% of the biofilm was successfully removed in the LysP108-treated group compared with the control, indicating that LysP108 had strong anti-biofilm activity.

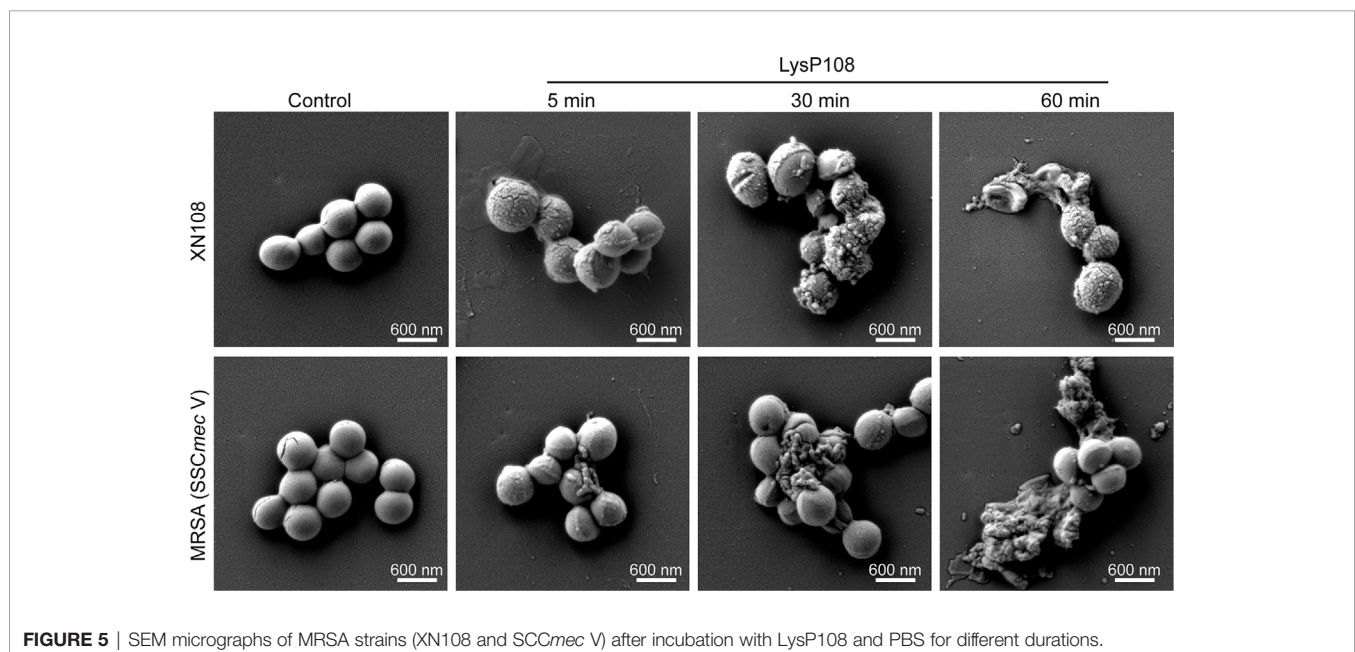
### Synergistic Antibacterial Activity of LysP108 and Vancomycin *In Vivo*

After abscesses formed on the subcutaneous tissues of mice, the subcutaneously infected abscesses were treated with vancomycin,

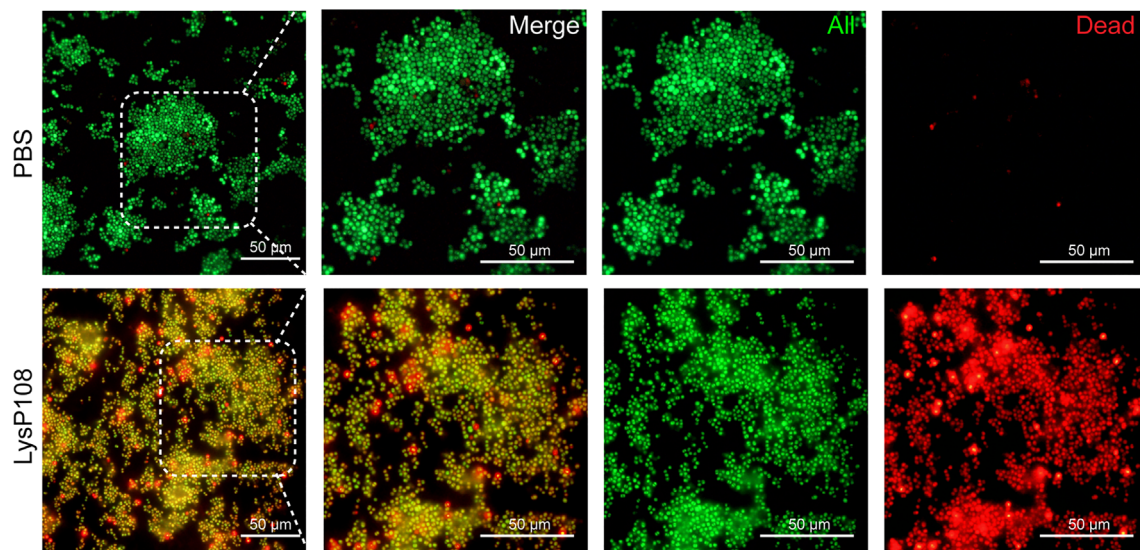
LysP108, vancomycin combined with LysP108, and PBS. The mice were photographed, and observations were recorded daily. After 10 days of treatment, no obvious abscess was found in mice in the endolysin group and the co-administration group, whereas skin abscess still obviously existed and was accompanied by severe tissue ulceration in the vancomycin and control groups (**Figure 8A**). The poor therapeutic effect of vancomycin alone was due to the intermediate resistance of MRSA strain XN108 to vancomycin. A synergistic antibacterial effect existed between LysP108 and vancomycin, which indicated that the presence of endolysin may enhance the sensitivity of XN108 to vancomycin (**Figures 8B** and **S4**). Furthermore, H&E staining results suggested that obvious abscess and inflammatory reaction occurred in the vancomycin and control groups, but not in the combined therapy group (**Figure 8C**).

## DISCUSSION

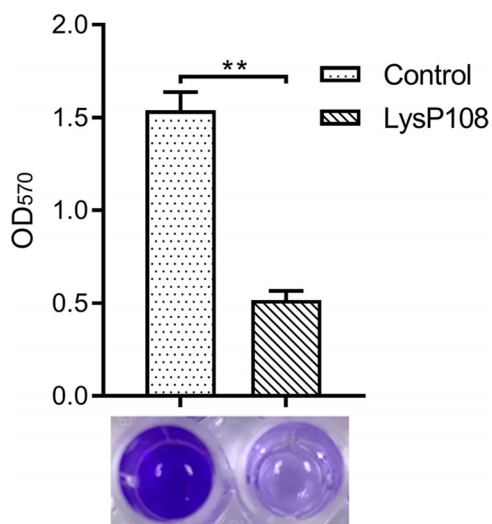
Over the recent years, resistance to antimicrobial drugs has become a growing global concern (Tornimbene et al., 2018). One of the most alarming antibiotic-resistant bacterial species is *S. aureus*. Specifically, MRSA are the group of *S. aureus* strains resistant to virtually all classes of antibiotics and lead to severe and life-threatening infections (Brown et al., 2021). Therefore, there is an urgent need to develop effective therapeutic agents against MRSA (Scallan et al., 2011). Among many antimicrobial agents against *S. aureus*, bacteriophage endolysins have been found promising because of their broad activity spectrum, rapid antibacterial activity, and low probability for developing resistance (Toyofuku et al., 2019). Several endolysins identified from genomes of bacteriophages have been applied exogenously







**FIGURE 6** | Fluorescent micrographs of live/dead bacteria staining. Green, all bacteria; red, dead bacteria.

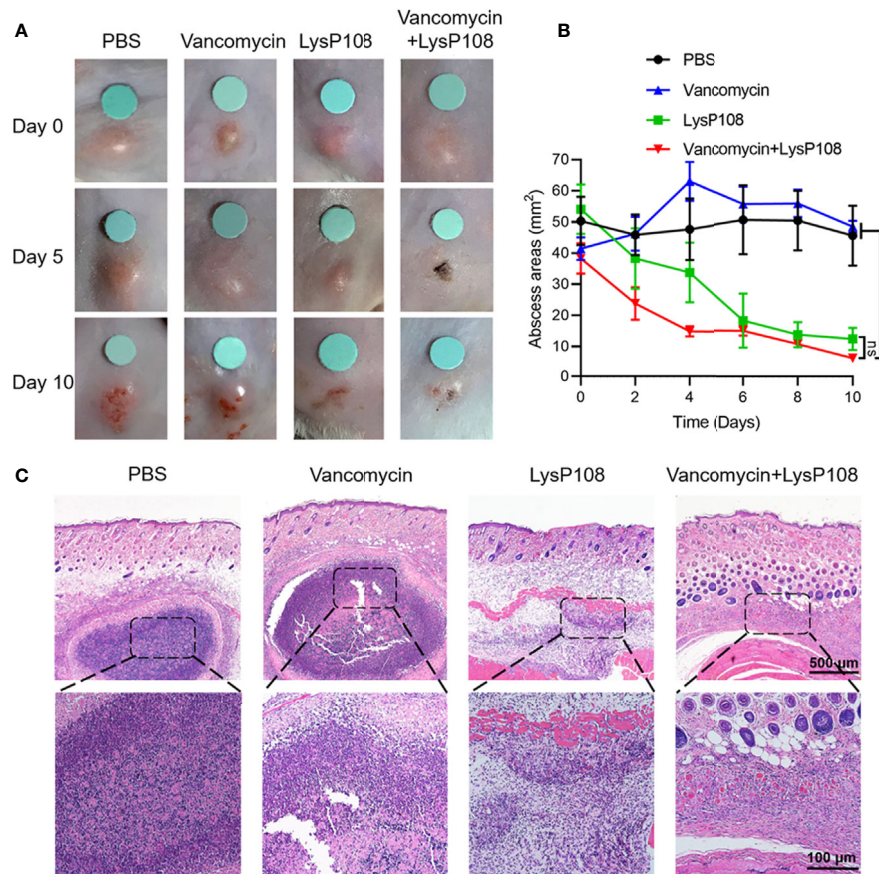


**FIGURE 7** | Identification of the disruption ability of endolysin LysP108 toward bacterial biofilm by crystal violet staining. (n=3). \*\* $P < 0.01$ .

in the form of purified recombinant proteins, which can induce lysis and death of Gram-positive bacterial cells (Rodriguez et al., 2011; Schuch et al., 2014; Linden et al., 2015; Fujita et al., 2017; Zhang et al., 2018). However, these endolysins are still far from clinical applications, so more endolysins should be explored. In this study, both *in vitro* and *in vivo* assays revealed that LysP108 had potential application value to combat antibiotic-resistant bacteria, which lay a good foundation for further study to improve LysP108.

Generally, the structure of endolysins that act on Gram-positive cell walls consist of one or more PCDs with catalytic activity linked to CBD with binding activity. LysP108 employs a typical two-domain modular architecture consisting of an N-terminal PCD domain and a C-terminal CBD domain, encoding a 295-amino acid protein with a deduced molecular mass of 34 kDa (**Figure 1**). Phage derived endolysins can be classified in three groups based on their cleavage specificity: (1) endopeptidases – targeting peptide bonds, including L-alanoyl-D-glutamate endopeptidase and interpeptide bridge endopeptidase; (2) amidases – targeting amide bonds, including the N-acetylmuramoyl-L-alanine amidases; (3) glycosidases – targeting glycosidic bonds, including transglycosylases, N-acetyl- $\beta$ -D-glucosaminidases, and N-acetyl- $\beta$ -D-muramidases (Kashani et al., 2018). For LysP108, it contains an amidase domain at the N-terminal, which indicates that it belongs to the second group of N-acetylmuramoyl-L-alanine amidases. A number of studies have demonstrated the increased lytic activity of several enzymes upon deletion of their binding domains (Dunne et al., 2014; Singh et al., 2014). Hence, the results of this study can be served as the basis for the modification of LysP108, such as using only the catalytic domain instead of the full-length endolysin to enhance its activity.

Previous studies have shown that the stability of endolysin is critical to its application value (Kashani et al., 2018). LysP108 was highly active under a diverse range of pH and was tolerated to different temperatures. However, its optimal working concentration was  $250 \mu\text{g mL}^{-1}$ , which was a disadvantage relative to the small dosage of most antibiotics (Wilm et al., 2021). The antibacterial activity of LysP108 was confirmed by its ability to reduce the viability of MRSA strain XN108 (**Figure 2**). As shown in **Figure 5**, LysP108 disintegrated the cell wall of



**FIGURE 8** | Synergistic antibacterial effect of LysP108 and vancomycin *in vivo*. **(A)** Photographs of endolysin combined with vancomycin for the treatment of mice subcutaneous abscess. **(B)** Subcutaneous abscess area curve of MRSA infected on the back of mice. (n=6). **(C)** Representative H&E staining images of infected skin subjected to various treatments. The violet circles indicate the subcutaneous abscess. Scale bar: 100  $\mu$ m. \*\* $P < 0.01$ ; ns, not significant.

MRSA externally in a time-dependent manner, which eliminated the antibacterial activity of other pathways. This observation was quite similar with the typical phenomenon of osmotic-mediated cell lysis following the actions of phage lysins against Gram-positive bacteria reported elsewhere (Linden et al., 2015; Kashani et al., 2018).

Although LysP108 showed good antibacterial activity against other tested Gram-positive bacterial cells, including MSSA strain (ATCC 25923), it cannot directly destroy the cell wall of Gram-negative bacteria. For Gram-negative bacteria with outer membrane that prevents the entry of endolysin into the cell wall (Nikaido, 2003), EDTA was used as a pre-treatment to disrupt the outer membrane (Dong et al., 2015). Subsequently, LysP108 had antibacterial activity against the tested Gram-negative bacterial cells that have been pre-treated with EDTA, including *P. aeruginosa* strains PAO1 and PA1 and *A. baumannii* strain AB1 (Figure 4). However, the reagents used to treat the outer membrane are toxic and cannot be used in clinical treatment. Several reports have shown the feasibility of trans-membrane modification (Briers et al., 2014), so it is

expected that LysP108 could be modified in future study to act directly on Gram-negative bacteria.

Furthermore, LysP108 showed a 66% reduction in OD<sub>570</sub> after crystal violet staining compared with the untreated control (Figure 7), thereby presenting a notable disrupting activity against biofilms formed by MRSA. LysP108 possibly lyses individual staphylococcal cells embedded in the extracellular matrix of the biofilm, resulting in destabilization of the biofilm and its detachment from the surface, as suggested by previous studies (Shen et al., 2013). Therefore, LysP108 could be used to treat infections, such as osteomyelitis, periodontitis, and chronic rhinosinusitis (Archer et al., 2011), which are caused by biofilm-forming *S. aureus* cells.

A considerable body of evidence has revealed that MRSA infection remains one of the main causes of hospital infections, leading to increasing rates of morbidity and mortality (Borg et al., 2021). Besides, community-acquired MRSA typically leads to superficial skin infections that can ultimately progress to induce severe invasive complications, such as necrotizing fasciitis (Takadama et al., 2020). Therefore, the selection of the

skin abscess model of MRSA infection was representative. *In vivo* animal experiments revealed that the area of subcutaneous abscess of mice infected with MRSA was significantly reduced after the combined injection of LysP108 and vancomycin in comparison with monotherapy (**Figure 8**). Moreover, the standard checkerboard broth micro-dilution assay indicated that there is a synergistic interaction between LysP108 and vancomycin (**Figure S4**). When the concentration of vancomycin was  $8 \mu\text{g mL}^{-1}$  and the concentration of LysP108 was greater than  $100 \mu\text{g mL}^{-1}$ , the growth of bacteria could be inhibited (**Figure S4**). The standard broth microdilution method had been employed to measure susceptibility of MRSA strain XN108 toward vancomycin and the MIC of vancomycin was  $16 \mu\text{g mL}^{-1}$  (Zhang et al., 2013; Wang et al., 2020). Likewise, the combination of endolysin CF-301 and daptomycin for the treatment of bacteremia caused by MRSA infection significantly improved the survival rate of mice compared with the individual application of these two antibacterial substances (Schuch et al., 2014). Thus, endolysin LysP108 is expected to be used in combined therapy, although more antibiotics should be tried in the future.

In conclusion, our present study demonstrated the antibacterial effect of endolysin LysP108 *in vitro* and *in vivo*, and showed the potential of LysP108 in combination with antibiotics, suggesting a new way for solving the increasingly serious problem of drug resistance in Gram-positive bacteria.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/**Supplementary Material**.

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## ETHICS STATEMENT

The animal study was reviewed and approved by Laboratory Animal Welfare and Ethics Committee of Third Military Medical University (Army Medical University), 30# Gaotanyan St., Shapingba District, Chongqing 400038, China.

## AUTHOR CONTRIBUTIONS

ZF and SL conceived the study. YL and YW performed the experiments. JW, YZ, and QZ analyzed the data. YL, YW, and GL wrote the paper. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.668430/full#supplementary-material>

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# The Type II Secretory System Mediates Phage Infection in *Vibrio cholerae*

Huihui Sun<sup>1,2</sup>, Ming Liu<sup>1</sup>, Fenxia Fan<sup>1</sup>, Zhe Li<sup>1</sup>, Yufeng Fan<sup>1</sup>, Jingyun Zhang<sup>1</sup>, Yuanming Huang<sup>1</sup>, Zhenpeng Li<sup>1</sup>, Jie Li<sup>1</sup>, Jialiang Xu<sup>3</sup> and Biao Kan<sup>1\*</sup>

<sup>1</sup> State Key Laboratory for Infectious Disease Prevention and Control, National Institute for Communicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention, Beijing, China, <sup>2</sup> National Institute of Environment Health, Chinese Center for Disease Control and Prevention, Beijing, China, <sup>3</sup> School of Light Industry, Beijing Technology and Business University, Beijing, China

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### \*Correspondence:

Biao Kan  
kanbiao@icdc.cn

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Attachment and specific binding to the receptor on the host cell surface is the first step in the process of bacteriophage infection. The lytic phage VP2 is used in phage subtyping of the *Vibrio cholerae* biotype El Tor of the O1 serogroup; however, its infection mechanism is poorly understood. In this study, we aimed to identify its receptor on *V. cholerae*. The outer membrane protein EpsD in the type II secretory system (T2SS) was found to be related to VP2-specific adsorption to *V. cholerae*, and the T2SS inner membrane protein EpsM had a role in successful VP2 infection, although it was not related to adsorption of VP2. The tail fiber protein gp20 of VP2 directly interacts with EpsD. Therefore, we found that in *V. cholerae*, in addition to the roles of the T2SS as the transport apparatus of cholera toxin secretion and filamentous phage release, the T2SS is also used as the receptor for phage infection and probably as the channel for phage DNA injection. Our study expands the understanding of the roles of the T2SS in bacteria.

**Keywords:** bacteriophage, type II secretory system, receptor, EpsD, *Vibrio cholerae*

## INTRODUCTION

Cholera is a severe intestinal infectious disease caused by toxigenic *Vibrio cholerae*, which still seriously attacks human health in undeveloped countries. Cholera outbreaks and endemics occur mainly due to the ingestion of contaminated water and food (Sack et al., 2004; Weil and Ryan, 2018). Successfully colonized *V. cholerae* in the host small intestine may express a number of virulence factors in response to host signals, including cholera toxin (Reidl and Klose, 2002; Yang et al., 2013; Liu et al., 2016), thus causing vomiting and watery diarrhea symptoms. *V. cholerae* can be divided into more than 200 serogroups according to the diversity of surface O antigens; currently, only toxigenic O1 and O139 serogroup strains are believed to cause cholera epidemics or pandemics (Faruque et al., 1998), and O1 strains can be further classified into classical biotype and El Tor biotype.

Bacteriophage or phage is a general term for viruses that can infect bacteria, causing lysis or lysogenic conversion of the infected bacterial host according to the characteristics of virulent or lytic phages and temperate phages (Hatfull and Hendrix, 2011; Salmond and Fineran, 2015). Interactions between phages and bacteria result in the modulation and biodiversity of bacterial communities in

the environment and host. In *V. cholerae*, phages may cause the proliferation and decline of the different clones in the environment and may contribute to the disappearance of epidemics or the appearance of new epidemics caused by new clones (Faruque et al., 2005; Jensen et al., 2006). Lytic phages can also be used in the subtyping of bacteria and even to eliminate drug-resistant pathogens in the clinic (Kazmierczak et al., 2014).

The first step for phages to infect the host bacteria is attachment to the specific receptors of the host cells. Tailed phages use a broad range of receptor-binding proteins to specifically interact with their cognate bacterial cell surface receptors. These receptors are diverse in different host cells, and most components are localized on the bacterial cell surface, such as many porins of the outer membrane, lipopolysaccharide (LPS) (Rakhuba et al., 2010; Bertozzi Silva et al., 2016), and flagella or pili, which can also act as phage receptors (Harvey et al., 2018; McCutcheon et al., 2018). Identifying the receptors of phages may facilitate understanding of the infection and host range of the phages and resistance mutations of the bacteria since a sensitive host may evolve resistance to phages by receptor mutation, for example, under infection pressure from phages.

In a phage typing scheme for the El Tor biotype of O1 serogroup *V. cholerae*, five *V. cholerae* lytic phages (named VP1 to VP5 in turn) are used for the subtyping of O1 El Tor strains (Zhang et al., 2009). Lipopolysaccharide and outer membrane proteins have been found to be receptors of some typing phages (Zhang et al., 2009). In this study, we aimed to identify the receptor of the phage VP2. We adopted the transposon library strategy to identify the genes related to VP2 infection in *V. cholerae* and found a type II secretion system (T2SS), which has been identified for its importance as an

extracellular protein transport apparatus for the secretion of cholera enterotoxin (CT) and release of the filamentous phage CTXΦ (Davis et al., 2000), also playing a vital role for VP2 adsorption and infection processes. Our study revealed a new role of T2SS, which is a common cross-membrane apparatus in many bacteria, and present a new insight for the function of this transporter.

## MATERIALS AND METHODS

### Phage, Bacterial Strains, Plasmids, and Media

VP2 was isolated from the river water of Wenzhou, Zhejiang Province, in 1962. The bacterial strains and plasmids used in this study are listed in **Table 1**. *V. cholerae* O1 El Tor 16017 is the host of VP2 and is used for VP2 propagation. The *V. cholerae* El Tor strain N16961 is sensitive to the phage VP2 and was used as the wild-type strain in this study. For *in vitro* growth experiments, the bacteria were cultured in LB medium unless otherwise noted. Antibiotics were used at the following concentrations: ampicillin (Amp), 100 µg/mL; streptomycin (Sm), 100 µg/mL; kanamycin (Kan), 50 µg/mL; gentamicin (Gm), 20 µg/mL; tetracycline (Tc), 2 µg/mL and chloramphenicol (Cm), 30 µg/mL for *Escherichia coli* but 2 µg/mL for *V. cholerae*.

### Screening of VP2-Resistant Mutants

*E. coli* SM10λpir (Chiang and Mekalanos, 2000) bearing the plasmid pSC123 (Simon et al., 1983) named SM10-123, was used as the donor strain, and conjugation was performed by using the

**TABLE 1** | Strains and plasmids used in this study.

Strains and plasmids	Relevant properties	Reference
<b><i>V. cholerae</i></b>		
N16961	Spontaneous mutant of N16961, Inaba, Sm <sup>r</sup>	Laboratory collections
N-Δ <i>epsM</i>	<i>epsM</i> (VC2724) deletion of N16961, Sm <sup>r</sup>	This study
N-Δ <i>epsD</i>	<i>epsD</i> (VC2733) deletion of N16961, Sm <sup>r</sup>	This study
N-Δ <i>epsM</i> -C	N-Δ <i>epsM</i> strain complemented with pSRKTc-EpsM	This study
N-Δ <i>epsD</i> -C	N-Δ <i>epsD</i> strain complemented with pSRKGm-EpsD	This study
<b><i>E. coli</i></b>		
SM10λpir	Kan, <i>thr leu tonA lacY supE recA::RP4-2-TC::Muλpir</i>	
DH5αλpir	<i>sup E44, ΔlacU169 (ΔlacZΔM15), recA1, endA1, hsdR17, thi-1, gyrA96, relA1</i>	
XL1blue	<i>endA1 gyrA96 thi-1 hsdR17 supE44 relA1 lac</i>	
BTH101	<i>F-, cya-99, araD139, galE15, galK16, rpsL1 (Strr), hsdR2, mcrA1, mcrB1</i>	
<b>Plasmids</b>		
pSC123	Suicide plasmid carrying transposon; Kan <sup>r</sup> Cm <sup>r</sup>	
pWM91	Suicide plasmid; <i>oriR oriT lacZ tetAR sacB</i>	
pWM91-Δ <i>epsM</i>	pWM91 carrying upstream and downstream fragments flanking <i>epsM</i>	This study
pWM91-Δ <i>epsD</i>	pWM91 carrying upstream and downstream fragments flanking <i>epsD</i>	This study
pUT18C (abbreviated as pT18C)	pUT18C-derived vector, designed to create C-terminal heterologous protein fusion, Amp <sup>r</sup>	
pKT25 (abbreviated as pT25)	<i>lac</i> promoter and the T25 fragment for C-terminal heterologous protein fusion. Kan <sup>r</sup>	
pSRKTc	<i>lac</i> promoter and <i>lacI<sup>d</sup></i> , Tet <sup>r</sup>	
pSRKTc-EpsM	pSRKTc-derived, VC2724 ( <i>epsM</i> ), Tet <sup>r</sup>	This study
pSRKGm-EpsD	pSRKGm-derived, VC2733 ( <i>epsD</i> ), Tet <sup>r</sup>	This study
pT25-EpsD	pKT25-derived, <i>epsD</i> , Kan <sup>r</sup>	This study
pT18C-gp20	pUT18C-derived, <i>gp20</i> , Amp <sup>r</sup>	This study
pGEX-4T-1-EpsD	pGEX-4T-1-derived, <i>epsD</i> , Amp <sup>r</sup>	This study
pET30a-gp20	pET30a(+)-derived, <i>gp20</i> , Kan <sup>r</sup>	This study

VP2-sensitive *V. cholerae* strain N16961 as the recipient to obtain a transposon insertion library. The transposon mutation pool was mixed with a VP2 suspension ( $10^8$  PFU/mL) at a ratio of 1:5 and incubated for 10 min at 37°C. The resulting cultures were spread onto LB agar plates with Kan and Sm. The resistant strains were subsequently verified by double-layer plaque assay as described previously (Frost et al., 1999), and the confirmed nonlysed strains were selected as candidates for verifying transposon insertion location.

Arbitrary PCR (Judson and Mekalanos, 2000) was performed with two rounds to identify the transposon insertion site on the chromosome. In the first round, the chromosomal DNA of candidates was used as amplification templates, and the primers ARB-1, ARB-6, and 123-3 (Table 2) were used in amplification. PCR was performed under the following conditions: 95°C for 5 min; six cycles of 94°C for 30 s, 30°C for 30 s, and 72°C for 1 min; 30 cycles of 94°C for 30 s, 55°C for 30 s, and 72°C for 1 min; and 72°C for 5 min. The second round of PCR amplified the PCR product of the first round with the primers 123-4 and ARB-2 (Table 2). Amplification conditions were as follows: 30 cycles of 94°C for 30 s, 55°C for 30 s, and 72°C for 1 min, followed by 72°C for 5 min. The amplicons were sequenced using the primer 123-4. The transposon insertion sites were confirmed by comparing the sequencing results with the N16961 reference sequence.

## Construction of Gene Deletions and Complementation

In-frame deletions were constructed by cloning the regions flanking target genes into the suicide vector pWM91 containing

a *sacB* counterselectable marker (Metcalf et al., 1996). The recombinant plasmid was transformed into the strain SM10 $\lambda$ pir and introduced into *V. cholerae* N16961 by conjugation. Double-crossover recombination mutants were selected using 10% sucrose plates at 22°C and confirmed *via* PCR and sequencing. The plasmids overexpressing *epsM* and *epsD* were obtained by cloning the complete *epsM* into a pSRKTc plasmid and *epsD* genes into a pSRKGm plasmid (Khan et al., 2008).

The primers used for the construction are listed in Table 2.

## VP2 Lysis Assay and Efficiency-of-Plating Assay

A double-agar overlay plaque assay (Korotkov and Sandkvist, 2019) was used to examine the lysis status of VP2 on *V. cholerae*. Briefly, 100  $\mu$ L of exponential cultures of wild-type and *epsM* and *epsD* deletion mutant strains and their complements were mixed with 0.6% soft agar medium and poured on top of bottom agar. Ten microliters from a phage suspension containing approximately  $10^8$  PFU was spotted in the middle of the lawn of bacteria, incubated overnight at 37°C and imaged. Each strain experiment was repeated three times. Bacterial strains were considered sensitive to the phage if the degree of lysis was observed as complete clearing. In contrast, bacterial strains were considered resistant if there was no effect of the phage on bacterial growth. When indicated, 500  $\mu$ M IPTG was included in the medium to induce gene expression.

The efficiency-of-plating (EOP) assay was also conducted to quantitate the lysis ability of VP2 as previously described with some modifications (Yang et al., 2020). In brief, the wild-type strain, *epsM* and *epsD* deletion mutant strains and their

TABLE 2 | Primers used in this study.

Primer	Sequence (5'-3') <sup>a</sup>	Destination
VC2724-UP-XhoI-5'	CCGCTCGAGAGTGGTACCGGTAGCGGTAG	pWM91- $\Delta$ <i>epsM</i>
VC2724-UP-3'	CAAGTAAGGAGAACAGCCGCGCGCGCAATGAT	
VC2724-DOWN-5'	CGCCGCGCGGCTGTTCTCTTACTTGGGCTTCA	
VC2724-DOWN-NotI-3'	AAGGAAAAAAGCGGCCGCCAAGAGTGGCGTTATGAGC	
VC2733-UP-XhoI-5'	CCGCTCGAGTGGCCATCACTTTGACCCGT	pWM91- $\Delta$ <i>epsD</i>
VC2733-UP-3'	GTTCCAGTGAAACAACAGCTCGCAAGTGGA	
VC2733-DOWN-5'	TGCGAGCTGGTTGTTTCACTGGGAACCTCCCTTG	
VC2733-DOWN-NotI-3'	AAGGAAAAAAGCGGCCGCCGACTTGAGCCCCACGTTA	
123-3	TCACCAACTGGTCCACCTAC	arbitrary PCR
123-4	CGCTCTTGAAGGGAACCTATG	
ARB1	GGCCACGCGTCGACTAGTACNNNNNNNNNGATAT	pSRKTc-EpsM
ARB6	GGCCACGCGTCGACTAGTACNNNNNNNNNACGCC	
ARB2	GGCCACGCGTCGACTAGTAC	
EpsM- NdeI -F	GGAATTCCATATGATGAAAGAATTATTGGCTCCTGTG	
EpsM- XbaI -R	GCTCTAGATCAGCCTCCACGCTTCAGTTG	EpsD
EpsD-XbaI-F	GCTCTAGACATTGCTTGGCTTCCATCTG	
EpsD-NdeI-R	GGAATTCCATATGAGGAGTTCCAGTGAAATA	
gp20-BamHI-F	CGCGGATCCCATGACTATCCAGAACAAAGAACC	
gp20-KpnI-R	CGGGGTACCCGAACGTCGTAATAACAAGTT	pT25-EpsD
nT25-EpsD-BamHI-F	CGCGGATCCCAACGAGTTTATGCGCCAGCTTT	
nT25-EpsD-KpnI-R	CGGGGTACCCGTTGCTTGGCTTCCATCTGCTCAA	
EpsD-BamHI-F	CGCGGATCCCGCGTGCAGCAAGTGTGA	
EpsD-XhoI-R	CCGCTCGAGTCATTGCTTGGCTTCCATCTGC	pGEX-4T-1-EpsD
gp20-BamHI-F	CGCGGATCCATGACTATCCAGAACAAAGAA	
gp20-HindIII-R	CCCAAGCTTTTAAACGTCCGTAATAACAAG	pET-30a-gp20

<sup>a</sup>Restriction sites are underlined.

complements ( $10^8$  CFU/mL) were mixed with  $10^6$  PFU/mL concentrated VP2, incubated for 15 min, 10-fold dilutions of the mixtures were prepared with LB. For plating, 100  $\mu$ l of diluents of the mixtures was added to 4 ml of 0.6% top agar and poured on the bottom agar, and the plate was incubated at 37°C overnight. The EOP value was calculated as the ratio of the number of lysis plaques produced on the bacterial lawn of the target to the number of plaques produced on the lawn of the host strain.

## Phage Adsorption Assays

A titer of at least  $10^6$  PFU/mL concentrated VP2 was mixed separately with different *V. cholerae* variants ( $10^8$  CFU/mL), incubated for 3 min, 5 min and 10 min at 37°C, and then centrifuged at 6,000 rpm for 8 min. The residual phage titers of the supernatant were counted in  $10^{-3}$  dilutions and tested by double-layer plaque assay. Phage without N16961 treatment was used as a control.

## Cloning, Expression and Purification of the VP2 Tail Filament Protein and T2SS EpsD

For a bacterial two-hybrid assay, the recombinant plasmids pKT25-*epsD* and pUT18C-gp20 were constructed as previously described (Fan et al., 2018). Briefly, two putative interacting proteins (EpsD and gp20) were genetically fused to two complementary fragments, T25 and T18, of the active domain of adenylate cyclase (CyaA) from *Bordetella pertussis* (Ladant, 1988). First, the *epsD* truncated sequence (lacking the signal peptide, encoding 25–674 aa) and gp20 complete sequence were amplified using the N16961 and VP2 genomes as templates, respectively. The PCR products of *epsD*<sub>25–674</sub> and gp20 were digested with the *Bam*HI and *Kpn*I restriction enzymes and ligated into the corresponding pKT25 and pUT18C vectors digested with the same enzymes. The resulting plasmids were cotransformed into the *E. coli*  $\Delta$ cya mutant BTH101 for subsequent study. All primers used are included in **Table 2**.

For pull-down assays, the truncated EpsD and complete gp20 genes were subcloned into prokaryotic expression vectors to obtain the corresponding proteins. A gene fragment of *epsD* (encoding 340–675 aa) was cloned into the pGEX-4T-1 vector and introduced into the overexpression strain *E. coli* BL21 (DE3), and protein purification was performed as described previously (Howard et al., 2019). Full-length gp20 was inserted into the pET-30a vector and induced in *E. coli* strain BL21 (DE3). Cells containing the pET-30a-gp20 recombinant plasmid were grown in LB medium until the OD<sub>600</sub> reached 0.5–0.6, and 0.5 mM IPTG was added to induce expression overnight at 16°C. Cells were harvested, and the pellets were resuspended in buffer A (20 mM Tris-HCl, pH 9.0, 300 mM NaCl, supplemented with protease inhibitors). The cells were lysed by sonication and centrifuged at 12,000 rpm for 30 min at 4°C. The His6-tagged protein gp20 was purified using Ni<sup>2+</sup> resin (Invitrogen), and the elution samples were dialyzed in buffer B (20 mM Tris-HCl, pH 9.0, 300 mM NaCl) overnight and were used in interaction assays with GST-tagged EpsD. The protein concentration was determined using Pierce's BCA Protein Assay Reagent Kit. All primers and restriction enzymes used are listed in **Table 2**.

## Binding Capacity of vp2 Tail Filament Protein With Wild-Type N16961 and N- $\Delta$ epsD

We fused gp20 to a His6 tag, mixed it with an Alexa Fluor 488-conjugated anti-His-tag monoclonal antibody, incubated it in the dark at room temperature and resuspended it in filter-sterilized phosphate-buffered saline (PBS). After the unbound antibodies were washed out fully with PBS, they were mixed with wild-type strains and the mutant strain N- $\Delta$ epsD. Antibodies and no antibodies were added to these two strains as controls. Samples were then analyzed using BD flow cytometry.

## Bacterial Two-Hybrid System for Analysis of the EpsD and gp20 Interaction

The *cyaA* mutant *E. coli* BTH101 strain containing pKT25-*epsD* and pUT18C-gp20 was cultured overnight and then subcultured to log phase in LB medium with shaking at 220 rpm at 37°C. The  $\beta$ -galactosidase activities were measured in the presence of different concentrations of isopropyl-beta-D-thiogalactopyranoside (IPTG) as the inducer as previously described (Yang et al., 2013). The leucine zipper of GCN4 (Karimova et al., 1998) was used as a positive control.

## Ni<sup>2+</sup>-Affinity Pull-Down of gp20 and EpsD

Mixtures of 0.3 mg of His6-tagged gp20 protein and 0.1 mg of GST-tagged EpsD protein were rotated at room temperature for 2 h, bound to Ni<sup>2+</sup> resin and incubated for 1 h at 4°C. Mixtures of His6-tagged gp20 protein and GST protein were used as negative controls.

The Ni<sup>2+</sup> resin was washed extensively to remove nonspecifically bound proteins, and then the bound proteins were eluted with elution buffer containing 300 mM imidazole, 10 mM Tris-HCl and 500 mM NaCl (pH 8.0). Five micrograms of each sample were submitted to 12% SDS-PAGE and Western blot analysis. Unless otherwise noted, all samples were boiled for 10 min in SDS loading buffer before separation. After electrophoresis, proteins on the gels were transferred to PVDF membranes (Immobilon-P, Millipore). Both mouse anti-GST and anti-His6 tag monoclonal antibodies (Tiangen Biotech, Beijing) were used in the Western blot analysis. An anti-mouse peroxidase-conjugated AffiniPure IgG (H+L) secondary antibody (Zhong Shan Jin Qiao, Beijing) was used for protein detection.

## RESULTS AND DISCUSSION

### Components of the Type II Secretion System Were Involved in VP2 Infection

To identify *V. cholerae* genes related to the resistance to VP2 infection phenotype, a selection strategy of phage-resistant mutants generated by transposon insertion was used. The pool of transposon mutants from the VP2-sensitive strain N16961 was generated by conjugation with SM10-123 carrying the plasmid pSC123. Then, the phage VP2



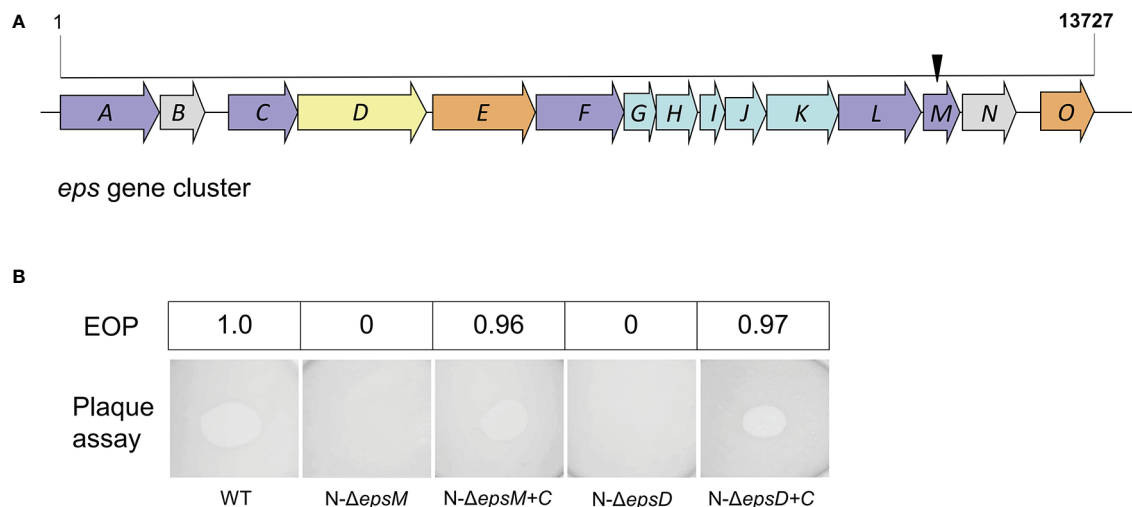
was added into this pool to lyse the mutant cells sensitive to VP2 infection, whereas the mutants resistant to VP2 survived. Possible resistant colonies were selected on agar and further confirmed for resistance to VP2 infection with double-layer agar. Eighteen candidates resistant to VP2 were collected. Each candidate was amplified through arbitrary PCR, and the amplicon was sequenced to verify the transposon location on the chromosome of the resistant strains. A total of six different insertion sites were identified, including in the coding sequences of the genes VCA0904 (H<sup>+</sup>/gluconate symporter and related permease), VC1936 (phosphatidate cytidyltransferase), VC0718 (DNA recombination-dependent growth factor C), VC0420 (conserved hypothetical protein CHP02099), VCA0863 (lipase, Pla-1/cef, extracellular), and VC2724 (EpsM). Among these genes, VC2724 is located in the gene cluster of the T2SS, correspondingly coding for the inner membrane protein EpsM, which is located in the membrane (**Figure 1A**). For the phage receptor identification purpose of this study, we first selected the membrane-located protein EpsM as a candidate.

We further constructed the mutant strain N- $\Delta$ epsM with in-frame deletion of *epsM* from the wild-type strain N16961 and the complement strain N- $\Delta$ epsM-C carrying the intact *epsM* gene in the pSRKTc plasmid (**Table 2**). Phage lysis assays with both strains showed that N- $\Delta$ epsM was not lysed by VP2 (**Figure 1B**), whereas the strain N- $\Delta$ epsM-C carrying complementary *epsM* restored the sensitivity to VP2 (**Figure 1B**). EOP assay was consistent with the phage lysis assays (**Figure 1B**). Both assays showed that the *V. cholerae* T2SS protein EpsM is needed for VP2 infection.

## The Outer Membrane-Localized Protein EpsD of T2SS Could Adsorb VP2

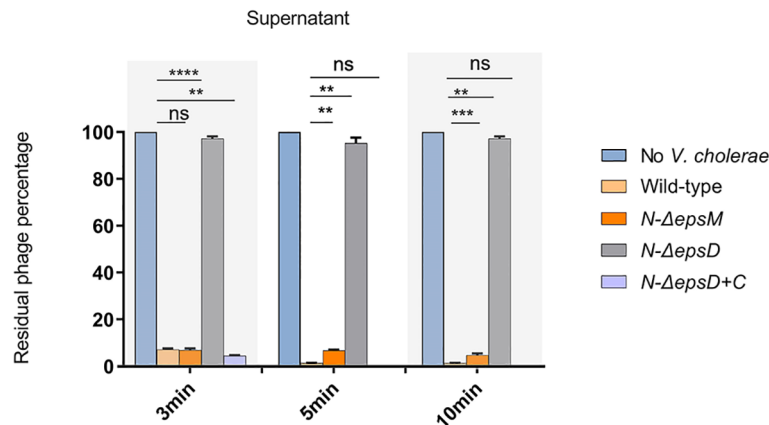
T2SS is a cell envelope-spanning macromolecular complex that is prevalent in gram-negative bacterial species and serves as the predominant virulence mechanism of many bacteria (Johnson et al., 2006). The system is composed of a core set of highly conserved proteins that assemble an inner membrane platform, a periplasmic pseudopilus and an outer membrane complex termed the secretin (Johnson et al., 2006). The model of the T2SS machine includes an outer membrane protein, the secretin EpsD, the first subdomain of which is related to domains in phage tail filament proteins and outer membrane TonB-dependent receptors (Johnson et al., 2006). We suspected that EpsD might be involved in the interaction of *V. cholerae* with the phage VP2. Subsequently, we constructed the *epsD* deletion strain N- $\Delta$ epsD and the complementary strain N- $\Delta$ epsD-C (containing intact *epsD* in the plasmid pSRK-Gm) to determine their sensitivities to phage VP2. VP2 infection assays showed that the *epsD* mutant strain could not be lysed by VP2, while the strain N- $\Delta$ epsD-C could be lysed (**Figure 1B**), showing the important role of EpsD in VP2 infection; therefore, it could be expected that EpsD possibly acts as the receptor for VP2.

The role of EpsD in VP2 infection was also determined by phage adsorption assays to detect the binding ability of the phage VP2 to the *epsD* and *epsM* mutant strains and their corresponding complementary strains. VP2 particles were mixed with the wild-type strain N16961 for 3 min, and after centrifugation, the remaining supernatant had a much lower phage titer than the control, which was determined by a PFU assay (**Figure 2**), showing that the sensitive strain N16961 had strong adsorption of VP2.



**FIGURE 1 |** Transposon insertion and VP2 infection of the mutants. **(A)** Transposon insertion site of VP2-resistant mutants in the gene cluster of the T2SS in N16961. The *eps* genes are colored according to the classification of functions and positions of the proteins in T2SS in *V. cholerae* (Korotkov et al., 2012), including inner-membrane platform proteins (light blue), outer-membrane secretin (yellow), pseudopilin (light green), others (light brown) and unknown (grey). The black arrow represents the site where the transposon was inserted into the *epsM*. **(B)** Detection of VP2 infection in *V. cholerae* mutants by double-layer plaque assay and EOP assay. The wild-type *V. cholerae* El Tor strain N16961 was used as the control for plaque formation. N- $\Delta$ epsM showed VP2 resistance (no plaque formation). The strain  $\Delta$ epsM-C, carrying the EpsM expression plasmid cloned into the strain N- $\Delta$ epsM, was sensitive to VP2. N- $\Delta$ epsD showed VP2 resistance (no plaque formation). The strain  $\Delta$ epsD-C, carrying the EpsD expression plasmid cloned into the strain N- $\Delta$ epsD, was sensitive to VP2. The values of EOP were shown in the top half of the figure.





**FIGURE 2** | VP2 adsorption by the wild-type strain N16961 and its mutants. The VP2 phage ( $10^6$  CFU/mL) was mixed with fresh N16961 culture, *N-ΔepsM*, *N-ΔepsD*, *N-ΔepsD+C* ( $10^8$  CFU/mL) respectively for 3 min, 5min, 10 min at 37°C, and then, each sample was centrifuged at 6000 rpm for 8 min. LB culture medium containing only VP2 phage was used as a negative control, and the phage titer in the control supernatant was set to 100%. The experiment was repeated three times. The mean of three independent assays was shown and error bars represent the standard deviation. \*\* $P = 0.0026$ , \*\*\* $P = 0.0001$ , \*\*\*\* $P < 0.0001$ , ns, no significance (Student's *t* test).

When VP2 was mixed with the strain *N-ΔepsD*, its obvious VP2 titer difference in the supernatant was found when compared to the sensitive strain N16961 (**Figure 2**), indicating that VP2 did not bind to the *epsD* mutant. Such adsorption could be restored by complementing the plasmid carrying intact *epsD* into *N-ΔepsD* (strain *N-ΔepsD-C*, **Figure 2**). The VP2 adsorption efficiency of the *epsM* deletion strain *N-ΔepsM* was similar to that of the wild-type strain N16961. These data suggested that EpsD could adsorb VP2 but EpsM could not, which could be explained by their different membrane locations in the T2SS apparatus in *V. cholerae*.

### VP2 Adsorbed to EpsD of *V. cholerae* Through Its Tail Fiber Protein gp20

The genome of VP2 has been sequenced previously in our laboratory (GenBank accession number: NC\_005879). Here, we submitted the VP2 genome sequence to RAST (<http://rast.nmpdr.org/rast.cgi>) for the prediction of open reading frames (ORFs). The ORF *gp20* was predicted as the phage tail fiber protein gene, and then we expressed this protein as the ligand to detect its interaction with *V. cholerae*. To observe the binding ability of gp20 to the wild-type strain N16961 and *N-ΔepsD*, the expressed His6-tagged gp20 was labeled with an anti-His6 fluorescent antibody, mixed with the wild-type strain N16961 and mutant strain *N-ΔepsD*, and then analyzed using flow cytometry to measure the geometric mean fluorescence intensity (MFI). The MFI in the tests of the His6-tagged gp20/N16961 mixture was much higher than that of the His6-tagged gp20/*N-ΔepsD*, and the MFI of the latter was similar to that of the controls, representing the background fluorescence intensity, as shown in **Figure 3**, which indicated that no gp20 adsorbed to the *epsD* deletion mutant.

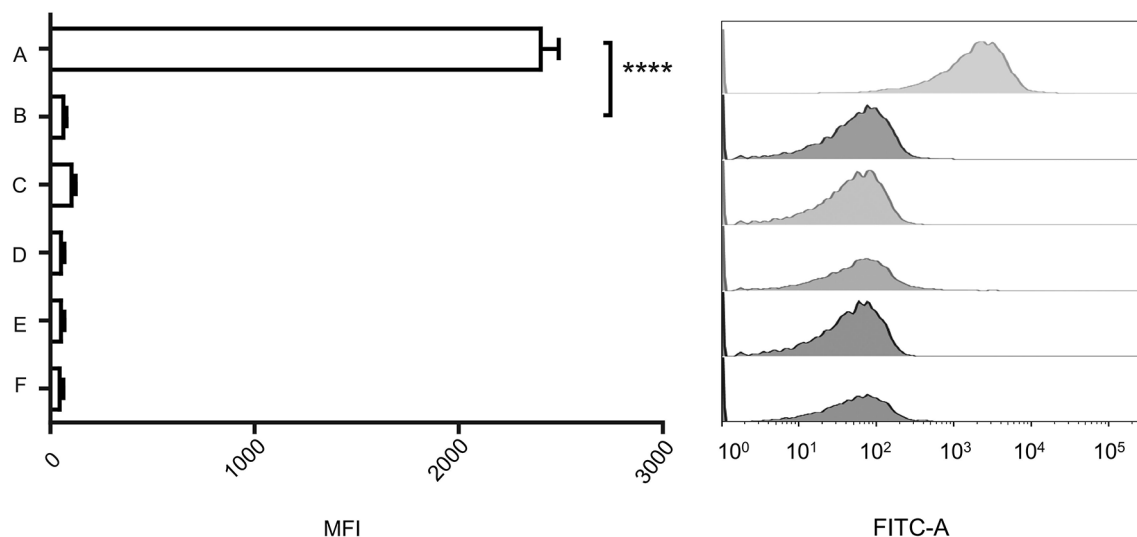
### The VP2 Tail Protein gp20 Interacted Directly With EpsD

To determine the possible interaction between *V. cholerae* EpsD and gp20 of VP2, we performed mutual interaction assays

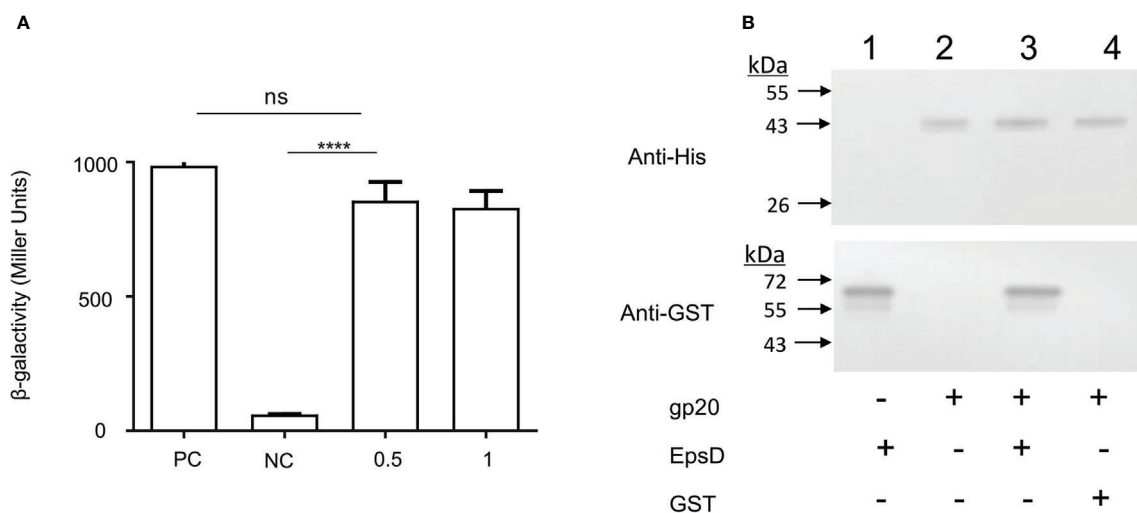
between these two proteins by using a bacterial two-hybrid (BACTH) approach (Karimova et al., 1998). In this study, the recombinant plasmids pKT25-*epsD* and pUT18C-gp20 were constructed and co-transformed into the *E. coli*  $\Delta$ cya mutant BTH101. The results showed that 0.5 mM IPTG could induce the maximum  $\beta$ -galactosidase activity, similar to that of 1.0 mM IPTG induction and the positive control (**Figure 4A**), suggesting that EpsD could interact with gp20.

In addition, pull-down assays were carried out to detect the interaction between gp20 and EpsD. His-tagged gp20 was immobilized on Ni<sup>2+</sup> resin to capture GST-tagged EpsD. In the elution buffer, GST-tagged EpsD could be detected by Western blot (**Figure 4B**), further showing that gp20 can bind directly to EpsD.

Overall, we demonstrated that in *V. cholerae*, the outer membrane protein EpsD of T2SS acts as the receptor in phage VP2 infection. As an important secretion apparatus, T2SSs are widespread among gram-negative bacteria and contribute to pathogenesis in the host and environmental survival (Cianciotto and White, 2017; Korotkov and Sandkvist, 2019). The T2SS transports chitinase, lipase, hemagglutinin/protease and other proteases in some bacteria (Sandkvist, 2001; Evans et al., 2008; Sikora et al., 2011). *V. cholerae* may secrete cholera toxin (Sikora et al., 2011) and even mediate the release of the filamentous phage CTX $\Phi$  through EpsD (Davis et al., 2000). In *E. coli*, the membrane protein PulD of the T2SS contributes to phage extrusion across the membrane and assembly of phages (Genin and Boucher, 1994; Russel, 1998; Sandkvist, 2001). In our study, in addition to these roles of T2SS, we showed that it may mediate lytic phage infection by the specific adsorption of VP2 to the outer membrane component EpsD. EpsM is a part of the interface between the regulating part and the rest Eps proteins of the T2SS (Abendroth et al., 2004). Combined with the dot assays and the phage adsorption assays, the inner membrane protein



**FIGURE 3** | Binding capacity of the vp2 tail filament protein with wild-type N16961 and N-ΔepsD. (A): Wild type+ His6-tagged gp20+anti-His6 tag antibody; (B): N-ΔepsD + His6-tagged gp20+anti-His6 tag antibody; (C): wild type +anti-His6 tag antibody; (D): N-ΔepsD +anti-His6 tag antibody; (E): wild type; (F): N-ΔepsD. Ten micrograms of His6-tagged gp20 protein and 10 μg of Alexa Fluor 488-conjugated anti-His-tag monoclonal antibody (product # MA1-21315-A488) were mixed and incubated for 30 min in the dark at room temperature and then transferred to the wild-type N16961 and N-ΔepsD strains, with OD600 = 1. After induction at 37°C, induced cultures were washed twice with 1 mL of filter-sterilized PBS. Antibodies and no antibodies were added to these two strains as controls. Samples were analyzed using BD flow cytometry. The data shown on the left represent the geometric MFI. The data shown on the right represent the fluorescence intensity distribution of the bacteria analyzed in the experiment shown on the left. The mean of three independent assays is shown and error bars represent the standard deviation. \*\*\*\**P* < 0.0001 (Student's *t* test).



**FIGURE 4** | Binding capacity of the VP2 tail filament protein with wild-type N16961 and N-ΔepsD, and analysis of the interactions of EpsD with gp20. (A) Analysis the gp20-EpsD interaction by BACTH. PC, positive control (leucine zipper of GCN4); NC, negative control (vector plasmid only). The resulting recombinant plasmid pair pT25-gp20/pT18C-EpsD was co-transformed into BTH101 cells, and the β-galactosidase activity was measured. \*\*\*\**P* < 0.0001. ns, no significance. (Student's *t* test). (B) Analysis of the interactions of EpsD with gp20 *in vitro*. Western blot analysis of His pull-down experiments was performed with GST-gp20 immobilized on Ni<sup>2+</sup> resin, and the gp20 protein was incubated with GST for pull-down analysis as a negative control 0.3 mg of His6-tagged gp20 protein and 0.1 mg of GST-tagged EpsD protein were mixed, then the mixtures were rotated at room temperature for 2 h, bound to Ni<sup>2+</sup> resin and incubated for 1 h at 4°C. Lane 1: His-gp20; 2: GST-EpsD; 3: Pull-down of His-gp20 and GST-EpsD; 4 Pull-down of His-gp20 + GST.

EpsM of T2SS plays a role in successful VP2 infection but does not affect VP2 binding to the host, suggesting that the intact T2SS structure probably acts as the phage DNA injection channel for crossing the outer and inner membranes of *V. cholerae*. The function of T2SS EpsD as a phage receptor has not been reported previously. Therefore, based on our study, the role of the T2SS in *V. cholerae* is expanded to the infection channel of the lytic phage, in addition to the transport of free proteins and release of filamentous phages.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

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## AUTHOR CONTRIBUTIONS

BK, HS and ML designed the study and wrote the paper. FF, ZheL and YF purified protein and performed the experiments. JZ, ZheL, and JX provided technical assistance and contributed to the preparation of the figures. JL cryopreserved and saved the strains. YH contributed to diagram modification and protein purification in the process of article modification. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# A Ligation/Recombinase Polymerase Amplification Assay for Rapid Detection of SARS-CoV-2

Pei Wang<sup>1†</sup>, Chao Ma<sup>2†</sup>, Xue Zhang<sup>2†</sup>, Lizhan Chen<sup>2</sup>, Longyu Yi<sup>1</sup>, Xin Liu<sup>1</sup>, Qunwei Lu<sup>1\*</sup>, Yang Cao<sup>2\*</sup> and Song Gao<sup>2\*</sup>

<sup>1</sup> Key Laboratory of Molecular Biophysics of Ministry of Education, Department of Biomedical Engineering, College of Life Science and Technology, Center for Human Genome Research, Huazhong University of Science and Technology, Wuhan, China, <sup>2</sup> Jiangsu Key Laboratory of Marine Pharmaceutical Compound Screening, Jiangsu Key Laboratory of Marine Biological Resources and Environment, Co-Innovation Center of Jiangsu Marine Bio-industry Technology, School of Pharmacy, Jiangsu Ocean University, Lianyungang, China

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### \*Correspondence:

Qunwei Lu  
luqw@hust.edu.cn  
Yang Cao  
2020000088@jou.edu.cn  
Song Gao  
gaos@jou.edu.cn

<sup>†</sup>These authors have contributed  
equally to this work

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The pandemic of COVID-19 caused by severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has led to more than 117 million reported cases and 2.6 million deaths. Accurate diagnosis technologies are vital for controlling this pandemic. Reverse transcription (RT)-based nucleic acid detection assays have been developed, but the strict sample processing requirement of RT has posed obstacles on wider applications. This study established a ligation and recombinase polymerase amplification (L/RPA) combined assay for rapid detection of SARS-CoV-2 on genes N and ORF1ab targeting the specific biomarkers recommended by the China CDC. Ligase-based strategies usually have a low-efficiency problem on RNA templates. This study has addressed this problem by using a high concentration of the T4 DNA ligase and exploiting the high sensitivity of RPA. Through selection of the ligation probes and optimization of the RPA primers, the assay achieved a satisfactory sensitivity of 10<sup>1</sup> viral RNA copies per reaction, which was comparable to RT-quantitative polymerase chain reaction (RT-qPCR) and other nucleic acid detection assays for SARS-CoV-2. The assay could be finished in less than 30 min with a simple procedure, in which the requirement for sophisticated thermocycling equipment had been avoided. In addition, it avoided the RT procedure and could potentially ease the requirement for sample processing. Once validated with clinical samples, the L/RPA assay would increase the practical testing availability of SARS-CoV-2. Moreover, the principle of L/RPA has an application potential to the identification of concerned mutations of the virus.

**Keywords:** SARS-CoV-2, T4 DNA ligase, ligation, recombinase polymerase amplification, nucleic acid detection

## INTRODUCTION

The pandemic of COVID-19 caused by severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has led to more than 117 million reported cases and 2.6 million deaths globally, and the numbers are still increasing (<https://www.who.int/>). Specific therapeutics, effective vaccines, and accurate diagnosis are vital for control of the pandemic (Jindal and Gopinath, 2020; Liu et al., 2020).



Determination of the 29,903-nucleotide (nt), single-stranded RNA (ssRNA) genome sequence of SARS-CoV-2 had made nucleic acid detection of the virus possible (Wu et al., 2020). Based on the sequence information, reverse transcription-quantitative polymerase chain reaction (RT-qPCR) assays were rapidly developed and had become the primary means for detection and diagnosis (Chan et al., 2020; Udugama et al., 2020). The procedure of a typical RT-qPCR assay included converting the viral RNA to complementary DNA (cDNA) and exponential amplification of the detection biomarker with thermal cycling (Feng et al., 2020). Obvious limitations of the RT-qPCR assays were the dependence on sophisticated thermocycling equipment and well-trained personnel. For the diagnosis needs of COVID-19 in resource-limited areas, family self-testing or mass screening, assays based on isothermal amplification technologies like loop-mediated isothermal amplification (LAMP) and recombinase polymerase amplification (RPA) had been developed to reduce the thermocycler dependence (Notomi et al., 2000; Piepenburg et al., 2006; Rabe and Cepko, 2020). Some assays incorporated the clustered regularly interspaced short palindromic repeats (CRISPR) technology to increase the specificity and sensitivity (Broughton et al., 2020; Huang et al., 2020; Zhang et al., 2020). Visualization technologies were applied to read the amplification signals with simple devices or the naked eye, such as portable fluorescence readers or lateral flow strips (LFS) (Behrmann et al., 2020; Zhang C. et al., 2021). These assays provided more choices for practical testing needs of SARS-CoV-2.

Reverse transcription (RT) that converts the viral RNA to cDNA is the fundamental first step of these assays before the nucleic acid amplification, because PCR, LAMP and RPA technologies can only amplify DNA templates. The RT procedure requires high quality of the template RNA as it involves sequential covalent bonding events along the continuous RNA strand. Because RNA is vulnerable to degradation by environmental ribonucleases (RNases), a strict sample processing requirement must be complied with to avoid RNase contamination, which poses obstacles on wide application of the RT-based assays. False negative risk exists when conditions cannot meet the requirement of preparation of RT templates. In this study, we established a ligation and RPA (L/RPA) combined assay for rapid detection of SARS-CoV-2 (Figure 1). The L/RPA assay avoided the RT procedure and had a potentially lower operating requirement as compared to RT-based assays. The DNA ligase of bacteriophage T4, an important tool enzyme widely used in molecular cloning and next-generation sequencing (NGS), was the key factor of the assay. The detection biomarkers of the L/RPA assay were RNA fragments on ORF1ab gene and N gene, as recommended by China CDC. For each biomarker, a set of ligation probes (Probe A and Probe B) were designed. Each probe had a portion complementary to the RNA biomarker, and an “amplification arm” to facilitate the RPA amplification. With the presence of viral RNA, the probe set would anneal to the biomarker and be ligated into a single-stranded DNA (ssDNA) fragment by the T4 DNA ligase. The ssDNA fragment would be exponentially

amplified in the subsequent isothermal RPA reaction, and the amplification signal could be read in real-time using the SYBR Green I fluorescence dye (Figure 1).

Ligase-based strategies had been used for gene rearrangement and single nucleotide polymorphism (SNP) detections (Albrecht et al., 2013; Ruiz et al., 2020), but there had been no report of applications to nucleic acid detection of RNA virus. This was because DNA ligases (e.g., T4 DNA ligase) were not efficient on RNA templates, which could affect sensitivity of the detection (Nilsson et al., 2001; Bullard and Bowater, 2006; Lohman et al., 2014; Wee and Trau, 2016). In this study, we addressed this problem by optimizing the ligation protocol and exploiting the high sensitivity of RPA (Euler et al., 2013; Mayboroda et al., 2018). A satisfactory sensitivity of  $10^1$  viral RNA copies per reaction was achieved, which was comparable to that of RT-qPCR. The assay could finish in less than 30 min with a simple procedure. The requirement for sophisticated thermocycling equipment had been avoided. The requirement for sample processing could potentially be eased because the RT procedure had been avoided. Once validated with clinical samples, the L/RPA assay would increase the practical testing availability of SARS-CoV-2. Moreover, the principle of L/RPA has an application potential to the identification of concerned mutations of the virus, which now mainly depends on sequencing.

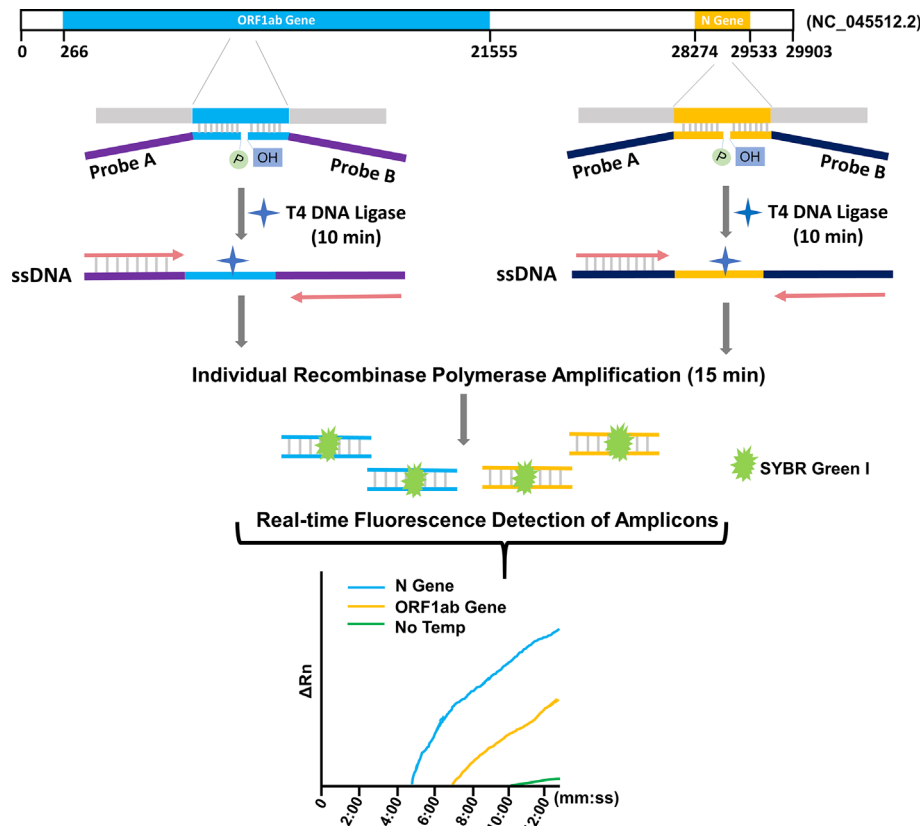
## METHODS

### Design of Probes and Primers

The ligation probes targeted the N gene and the ORF1ab gene of the SARS-CoV-2 genome (GenBank accession no. NC\_045512.2). The RNA fragments matching the forward primer, probe, and reverse primer sequences of the RT-qPCR (TaqMan) assay that recommended by the China CDC were selected as the potential detection biomarkers ([http://ivdc.chinacdc.cn/kyjz/202001/t20200121\\_211337.html](http://ivdc.chinacdc.cn/kyjz/202001/t20200121_211337.html)). Exact complementary sequences of these potential biomarkers were used to design the “annealing portion” of the ligation probes. The “amplification arms” of the probes were artificial sequences that had considered to avoid similarity to nucleic acid sequences of any microorganisms or human by using the NCBI-BLAST. The amplification primers for RPA were determined by the “amplification arm” sequences of the corresponding probes. The general requirements for designing RPA primers were also considered. The Primer Premier 5.0 software was used to analyze the cross-dimerization possibilities between the amplification primers and corresponding ligation probes. The primer and probe sequences are listed in **Supplementary Table 1**. The probes and primers were synthesized by General Biosystems Co Ltd, Anhui, China.

### SARS-CoV-2 Pseudovirus and RNA Extraction

The SARS-CoV-2 pseudovirus (Fubio Biological Technology Co Ltd, Shanghai, China) was composed of a retrovirus capsid with



**FIGURE 1** | Schematic representation of the L/RPA assay. For each target gene of SARS-CoV-2 (ORF1ab gene or N gene), an RNA fragment was selected as the detection biomarker. A probe pair (Probe A and Probe B) was designed with the “annealing portions” exactly complementary to the targeted biomarker sequence (indicated with color blue or yellow) and the “amplification arms” completely artificial (indicated with color purple or dark blue). With the presence of the viral RNA, the probe set would anneal to the targeted biomarker and be ligated into one ssDNA fragment with the T4 DNA ligase. The ligation product for each biomarker was individually amplified by RPA with the forward and reverse primers matching the “amplification arm” sequences. With the fluorescence dye SYBR Green I, the amplification signals were detected in real-time. No Temp: the control with no viral RNA template.

RNA fragments containing the ORF1ab gene, E gene and N gene sequences of SARS-CoV-2. The pseudovirus at a concentration of  $10^8$  particles/ml was stored in nuclease-free water under  $-20^{\circ}\text{C}$ . RNA in the pseudovirus was extracted with the TIANamp Virus RNA Kit (Tiagen Biotech Co Ltd, Beijing, China) and served as the template.

## RNA Standards of ORF1ab Gene and N Gene Fragments

The pseudovirus RNA was used as the reverse transcription template to synthesize cDNA with the HiScript 1<sup>st</sup> Strand cDNA Synthesis Kit (Vazyme Biotech Co Ltd, Nanjing, China), and the cDNA was used for PCR amplification to produce DNA fragments of the N gene and the ORF1ab gene. The N gene fragment contained the entire gene sequence, while the ORF1ab gene fragment contained nucleotides from position 13237 to position 13560 of the SARS-CoV-2 genome sequence (GenBank accession no. NC\_045512.2), which covered the three potential detection biomarkers on the ORF1ab gene. The PCR products

were inserted into pET-28b(+) vector between the T7 promoter and T7 terminator (restriction sites: BamHI/XhoI) to produce the two constructs for transcription of N gene and ORF1ab gene fragments *in vitro*. The two constructs were confirmed by sequencing (General Biosystems Co Ltd). *In vitro* transcription was conducted according to the manufacturer's instructions of the T7 High Efficiency Transcription Kit (TransGen Biotech Co Ltd, Beijing, China). The transcription products were quantified with a Qubit 4 fluorometer (Thermo Fisher Scientific Inc, Wilmington, DE, USA) and served as the RNA standards. The RNA copy number was calculated based on the transcription size.

## L/RPA Procedure

A 4- $\mu\text{l}$  annealing mixture containing the RNA template and 0.5  $\mu\text{l}$  of each ligation probe (1  $\mu\text{M}$ ) was heated to  $85^{\circ}\text{C}$  for 2 min and cooled on ice to anneal the probes to the template. Then 0.5  $\mu\text{l}$  of the 10X T4 DNA ligase buffer and 0.5  $\mu\text{l}$  (equal to 40-500 cohesive end units according to the enzyme concentration) of

the T4 DNA ligase (Thermo Fisher Scientific Inc) were added to the mixture. Ligation was done in 8–10 min at 37°C and inactivated at 95°C for 2 min. The whole ligation mixture was added to the RPA mixture of the RAA-Basic Nucleic Acid Amplification Reagent (Hangzhou ZC Bio-Sci & Tech Co Ltd, Hangzhou, China) containing 2 µl of each primer (10 µM), 36 µl of A Buffer and 2.5 µl of B Buffer (Hangzhou ZC Bio-Sci & Tech Co Ltd). SYBR Green I fluorescent dye (10,000X) (Beijing Solarbio Science & Technology Co Ltd, Beijing, China) was diluted to 15X by A Buffer and 2.5 µl was used in each RPA reaction (total volume 50 µl). The reaction was conducted on an Applied Biosystems 7900HT Fast Real-Time PCR System at 37°C with signal reads at 20-sec intervals for 15 min.

## RT-qPCR

The RT-qPCR reactions were performed according to the manufacturer's instructions of the Novel Coronavirus (2019-nCoV) Dual Probes qRT-PCR Kit (Beyotime Biotechnology Inc, Shanghai, China). The primer and probe sequences were designed according to the China CDC's recommendation (**Supplementary Table 1**). A total of 5 µl of the RNA template was added to the reaction premix to make the total reaction volume to 25 µl. Reactions were conducted on an Applied Biosystems 7900HT Fast Real-Time PCR System. The cycle setting was 20 min at 50°C for reverse transcription, 2 min at 95°C for denaturation, followed by 45 cycles of 15 sec at 95°C and 20 sec at 60°C. The fluorescence channels were VIC for ORF1ab gene and FAM for N gene.

## RESULTS

### Selection of the Detection Biomarkers

For this study, potential detection markers were the RNA fragments of the virus genome matching the forward primer, probe, and reverse primer sequences of RT-qPCR (TaqMan) that recommended by the China CDC for detection of SARS-CoV-2 (**Supplementary Table 1**). Thus, there were three potential detection biomarkers on the N gene, and another three on the ORF1ab gene. For each potential biomarker, a set of two ligation probes (Probe A and Probe B) was designed (**Figure 1**). More specifically, for N gene, N-Probe 1A and 1B targeted the fragment matching the qPCR forward primer sequence; N-Probe 2A and 2B targeted the fragment matching the qPCR probe sequence; and N-Probe 3A and 3B targeted the fragment matching the qPCR reverse primer sequence. Similarly, a series of O-Probes were designed for the potential biomarkers on the ORF1ab gene (**Supplementary Table 1**).

The ligation efficiency of the L/RPA procedure was fundamental for the overall performance of the detection. A series of preliminary experiments were conducted to increase the ligation efficiency and found that the concentration of T4 ligase in the ligation system was a key factor. It was determined to use 500 U (cohesive end units) of T4 ligase in the 5-µl ligation mixture (**Supplementary Table 2**). Briefly, 40 U, 200 U and 500 U of T4 ligase per reaction were tested for both N gene and

ORF1ab gene. For N gene, we used N-Probe 2A and 2B for ligation and the amplification primer set N-Primer 1F and 1R for RPA. For ORF1ab gene, we used O-Probe 1A and 1B and O-Primer 1F and 1/2R. According to the principle, the ligation probes shared the same sequences of the “amplification arms” that matched the amplification primer set (**Supplementary Table 1**). Different template amounts ( $10^7$ ,  $10^3$  and  $10^1$  copies) were tested and the Threshold time ( $T_t$ ) of the fluorescent signals were compared with the reactions with no template (No Temp). The differences of  $T_t$  ( $\Delta T_t$ ) between the reaction with no template and the reactions with various amounts of template reflected the performance of the assay. For both genes, acceptable  $\Delta T_t$  were obtained for the various template amounts when 500 U/reaction of T4 ligase was used. Poor  $\Delta T_t$  were observed for  $10^3$  or  $10^1$  copies of template when 200 U or 40 U of T4 ligase per reaction was used (**Supplementary Table 2**). Thus, it was determined to use 500 U/reaction of T4 ligase in this assay.

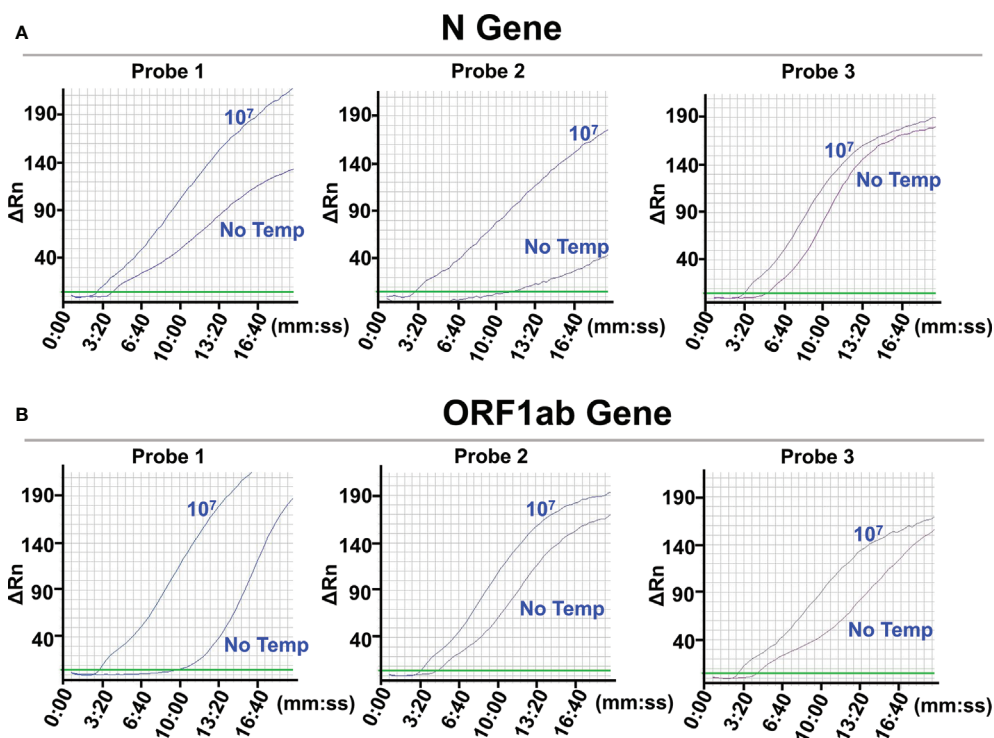
To select the biomarker for the N gene, probe pairs 1 (N-Probe 1A and 1B), 2 (N-Probe 2A and 2B), and 3 (N-Probe 3A and 3B) were tried in the L/RPA reactions using the same amplification primer set (N-Primer 1F and 1R) (**Supplementary Table 1**). The amplification signals of reactions with  $10^7$  N gene copies and reactions with no template were compared for each probe pair. The probe pair 2 produced the most distinct signals (the most different  $T_t$  values) between reactions with  $10^7$  N gene copies and reactions with no template, suggesting that the RNA fragment on the N gene matching the qPCR probe sequence should be selected as the biomarker for the N gene (**Figure 2A** and **Supplementary Table 3**).

Similarly, to select the biomarker for the ORF1ab gene, O-Probe 1A and 1B, 2A and 2B, and 3A and 3B were tried in the L/RPA reactions using O-Primer 1F and 1/2R (**Supplementary Table 1**). The probe pair 1 produced the most distinct signals and the most different  $T_t$  values between reactions with  $10^7$  ORF1ab gene copies and reactions with no template, suggesting that the RNA fragment on the ORF1ab gene matching the qPCR forward primer sequence should be selected as the biomarker for the ORF1ab gene (**Figure 2B** and **Supplementary Table 3**).

### Screening for Optimal RPA Primer Sets

The limit of detection (LOD) of the L/RPA assay for the N gene using the probe pair 2 (N-Probe 2A and 2B) and primer set 1 (N-Primer 1F and 1R) was tested with series dilutions of the N gene RNA fragments. The results showed close signal curves and  $T_t$  values of reactions with  $10^3$  copies,  $10^1$  copies, and no template (**Figure 3A** and **Supplementary Table 4**). Similar results were obtained from the L/RPA assay for the ORF1ab gene detection using the probe pair 1 (O-Probe 1A and 2B) and primer set 1 (O-Primer 1F and 1/2R) (**Figure 3B** and **Supplementary Table 4**).

For better LOD, signals of reactions with no template should appear later and distinct from reactions with  $10^1$  copies of template. Possible cross-dimerization between the amplification primers and ligation probes were analyzed with the Primer Premier 5.0 software and additional probe and primer sets were designed (**Supplementary Table 1**). For the N gene, one



**FIGURE 2 |** Selection of the detection biomarkers. The images were the fluorescence history diagrams of RPA amplifications of the ligation products from the N gene RNA standard template (A) and the ORF1ab gene RNA standard template (B). The diagrams showed the fluorescence signal ( $\Delta Rn$ ) vs. time (mm:ss). For each target gene, 3 potential detection biomarkers were targeted by ligation probe pairs 1, 2, and 3 that were indicated at the top of the respective diagrams. The curves indicated with “ $10^7$ ” represented amplification signals from ligations with  $10^7$  the RNA templates. The curves indicated with “No Temp” represented amplification signals from the ligation controls with no RNA template. Every diagram was one typical outcome of three independent experiments.

additional forward primer (N-Primer 2/3F) and two additional reverse primer (N-Primer 2R and 3R) were designed and used as Primer-Set 2 (N-Primer 2/3F and 2R) and Primer-Set 3 (N-Primer 2/3F and 3R). Because the “amplification arm” sequences of the ligation probes should match the amplification primer sequences, N-Probe 2A-2/3, N-Probe 2B-2, and N-Probe 2B-3 were designed and used together with N-Primer 2/3F, N-Primer 2R, and N-Primer 3R, respectively. For the ORF1ab gene, one additional forward primer (O-Primer 2F) was designed and used in Primer-Set 2 (O-Primer 2F and 1/2R). O-Probe 1A-2 was designed and used together with O-Primer 2F.

These newly designed primer sets showed later signals and bigger  $Tt$  values of the no template reactions that led to better LOD. For the N gene, Primer-Set 2 showed close signal curves of reactions with  $10^3$  and  $10^1$  copies of template, and Primer-Set 3 was selected (Figure 3A and Supplementary Table 4). For the ORF1ab gene, Primer-Set 2 was selected (Figure 3B and Supplementary Table 4). For both the N gene and the ORF1ab gene, the L/RPA assay exhibited a LOD of  $10^1$  copies per reaction.

The finally selected probes and primers in the L/RPA assay were listed in Supplementary Table 1 with the primer/probe names indicated in red. The sequences were as follows (5'-3', the complementary portions of the probes were italicized):

N-Probe 2A-2/3, *GCAGCAGCAAGACGAGGGAAAGAG CAGTACCTAA*;

N-Probe 2B-3, *TGTGTACGAATCCCACTAATTTCG CCAATCTGTCAA*;

N-Primer 2/3F, *TTAGGTACTGCTCTTTCCCTCGTC*;

N-Primer 3R, *TGTGTACGAATCCCACTAATTTCGCC*;

O-Probe 1A-2, *AACCCACAGGGCAATAGGGAGATCAT AGGAGTTGGCT*;

O-Probe 1B, *TAATCATATTGTAGAAGAGTAGAAG TTAAGTGTAA*;

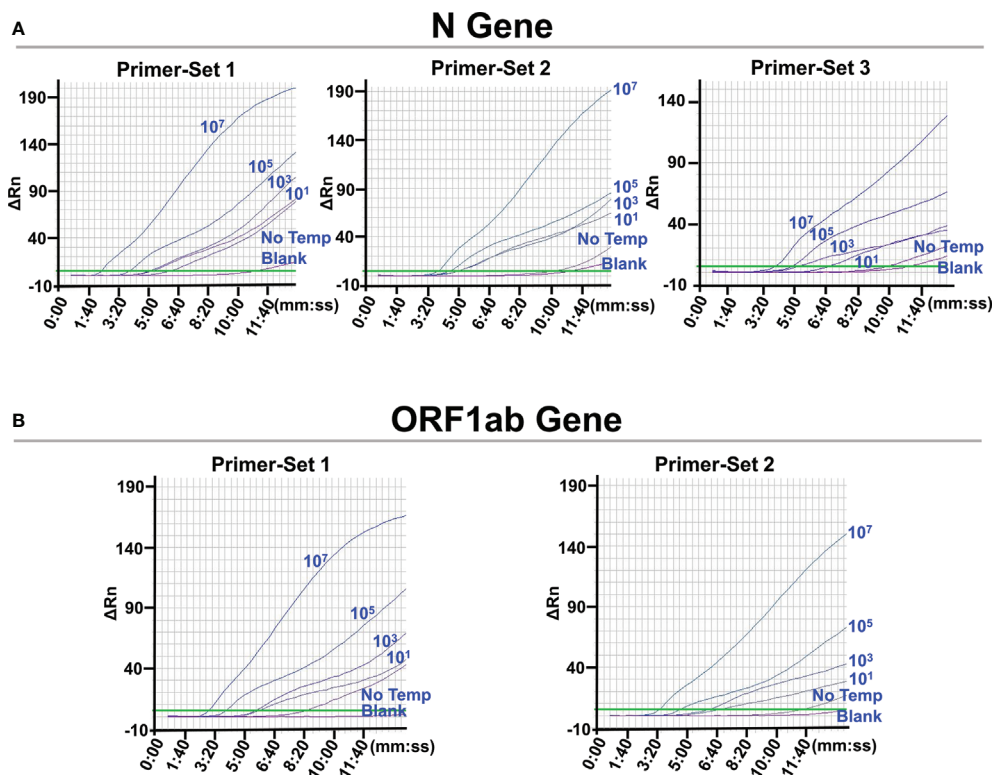
O-Primer 2F, *AGCCAACTCCTATGATCTCCCTATTG*;

O-Primer 1/2R, *TAATCATATTGTAGAAGAGTAGAAG*.

## Validation With SARS-CoV-2 Pseudovirus

The L/RPA assay was validated with SARS-CoV-2 pseudovirus. RNA extracted from the SARS-CoV-2 pseudovirus was 10-fold serially diluted and detected by the L/RPA assay for both the N gene and the ORF1ab gene. The results were compared with the RT-qPCR method (Figure 4 and Supplementary Table 5). For both genes, up to dilution multiple of  $10^5$ , the L/RPA assay could give positive signals. At this dilution multiple, the RT-qPCR detection gave a non-linear signal for the N gene, and gave a





**FIGURE 3 |** Screening for optimal RPA primer sets. The images were the fluorescence history diagrams of RPA amplifications with different primer sets for the detection of N gene (A) and ORF1ab gene (B). For RPA reactions using each primer set, the corresponding probe pairs were used for the preceding ligation reactions. The diagrams showed the fluorescence signal ( $\Delta Rn$ ) vs. time (mm:ss). The blue numbers beside the curves indicated the amount of RNA standard templates (in copies) used in the corresponding L/RPA assays. No Temp: the control with no RNA template. Blank: the control RPA reactions without the ligation reaction components and the RNA template. Every diagram was one typical outcome of three independent experiments.

signal in the range of “suspected” for the ORF1ab gene, which suggested that the template amount was close to the detection limit. Thus, the sensitivity of L/RPA assay was at the same level as RT-qPCR.

## DISCUSSION

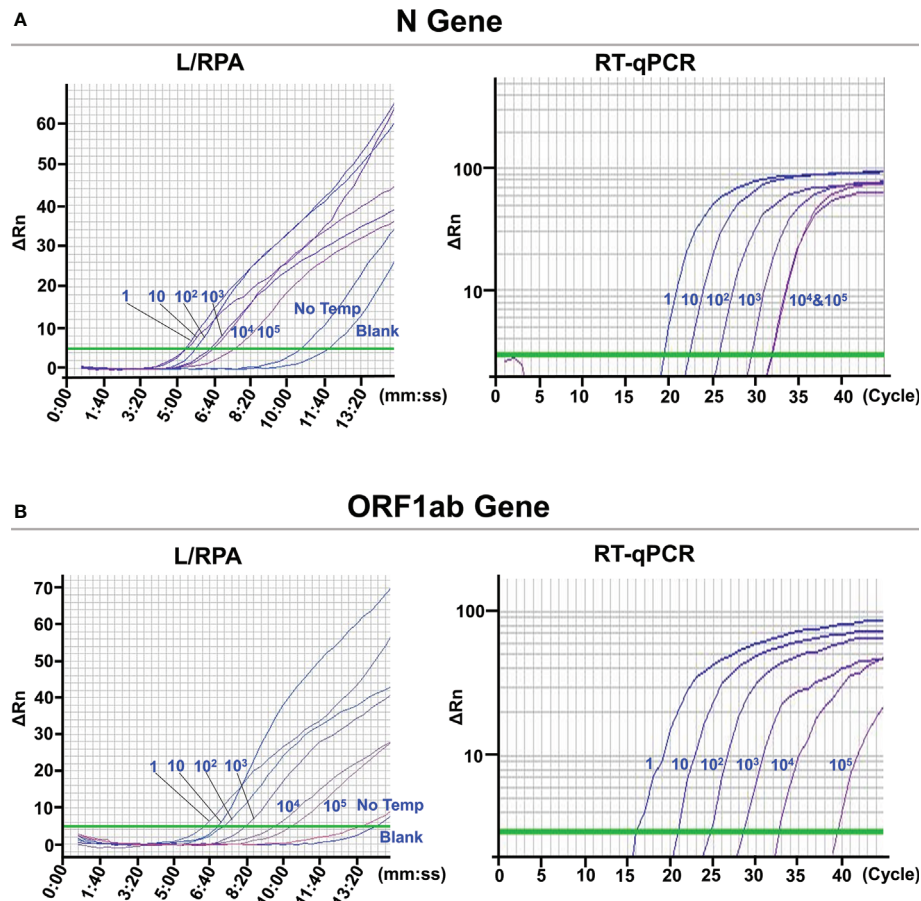
Rapid and simple SARS-CoV-2 detection methods that are not limited by sophisticated thermocycling equipment are valuable for diagnosis needs in resource-limited areas, family self-testing or mass screening. For this purpose, assays based on LAMP, RPA and CRISPR technologies have been developed (Rabe and Cepko, 2020; Haq et al., 2021; Zhang W. S. et al., 2021). These assays are generally faster and simpler than RT-qPCR, providing more choices for the testing needs of SARS-CoV-2 under different situations. Reverse transcription (RT) is the fundamental first step of these assays that converts the viral RNA to cDNA, the initial material for nucleic acid amplification. Because of the ubiquitous environmental RNase, the RT procedure has strict operating requirements for RNase-free environment, containers, and reagents, which complicate the application of RT-based assays. The L/RPA assay established in

this study avoided the RT procedure. Instead, two ligation probes were annealed to adjacency on the RNA template and ligated into a ssDNA fragment for subsequent amplification. This strategy used the RNA template only for the ligation, a “one covalent bonding” event that was quicker and much simpler than RT. The fewer RNA involvement should potentially ease the operating requirement of the whole assay.

This is the first application of ligase-based strategies to the detection of RNA virus. Because the T4 DNA ligase is inefficient on RNA templates (Nilsson et al., 2001; Bullard and Bowater, 2006), it is important to bring up the ligation efficiency to the level that exponential amplification of the ligation product can occur. During the optimization of the ligation protocol, we found that using small reaction volume and keeping high concentration of the T4 DNA ligase were the key factors. It was determined that using 500 U of the T4 ligase in a 5- $\mu$ l reaction mixture could achieve sufficient ligation efficiency. On the other hand, the highly sensitive RPA technology had to be used, while PCR was not sensitive enough to guarantee a satisfactory sensitivity of the assay.

The detection biomarkers of the L/RPA assay were selected from the viral RNA fragments matching the forward primer, probe, and reverse primer sequences of RT-qPCR (TaqMan) that





**FIGURE 4 |** Validation with SARS-CoV-2 pseudovirus. The images were the fluorescence history diagrams of the detection results of the N gene (A) and the ORF1ab gene (B) of SARS-CoV-2 pseudovirus using the L/RPA assay and RT-qPCR. The diagrams of L/RPA showed the fluorescence signal ( $\Delta Rn$ ) vs. time (mm:ss). The diagrams of RT-qPCR showed the fluorescence signal ( $\Delta Rn$ ) vs. Ct (cycle). The blue numbers beside the curves indicated the dilution multiple of the RNA extracted from the pseudovirus used in the corresponding assays. No Temp: the control with no RNA template. Blank: the control reactions without the ligation reaction components and the RNA template. Every diagram was one typical outcome of three independent experiments.

recommended by the China CDC. One biomarker was selected for each of the target genes, N and ORF1ab. This ensured the good specificity of the selected biomarkers towards SARS-CoV-2, because the sequences had been used in hundreds of millions of clinical detections worldwide in RT-qPCR assays. For each biomarker, sequence optimizations were carefully conducted for the L/RPA assay. The sequence optimization process of this study suggested that the sequences of the “amplification arms” of the probes were important. Inappropriate sequences could possibly form cross-dimers between the amplification primers and ligation probes and produce noise fluorescence signals, affecting the sensitivity.

The L/RPA assay showed a sensitivity of  $10^1$  viral RNA copies per reaction, which was comparable to that of RT-qPCR and other nucleic acid detection assays for SARS-CoV-2 (Behrmann et al., 2020; Zhang C. et al., 2021; Zhang W. S. et al., 2021). The assay could be finished in less than 30 min with simple operation and without dependence on sophisticated thermocycling

equipment. Because of the enzyme characteristics, both the ligation and the RPA reactions do not demand strict temperature control, and the set temperature of  $37^\circ\text{C}$  can even be provided by the body heat. Although a qPCR machine was used in this study to read the fluorescence signal, the thermocycling function had been avoided. Fluorescent signal detection of SYBR Green I DNA staining is a mature technology, and battery-powered portable tube scanners are commercially available and ready to be applied to the fluorescence detection of the L/RPA assay (Mondal et al., 2016).

Though the sensitivity of  $10^1$  viral RNA copies per reaction was comparable to RT-qPCR, our results did not show a good relationship between the  $T_t$  value and the template amount. This means quantitative detection of SARS-CoV-2 could not be achieved by the L/RPA assay. One possible reason was that the amplification substrate was the ligation product of the two ligation probes, and the ligation efficiency was not linearly related to the template amount. Considering the major need of

practical SARS-CoV-2 tests was to give an answer of positive or negative, the L/RPA assay without the capacity of quantification was still a competent detection choice. Based on the results of this study, a tentative evaluation standard for both N gene and ORF1ab gene could be:  $\Delta Tt \geq 2.0$  min, positive;  $2.0 \text{ min} > \Delta Tt \geq 0.5$  min, suspected;  $\Delta Tt < 0.5$  min, negative; where  $\Delta Tt = Tt_{\text{No Temp}} - Tt_{\text{Sample}}$ . As a future direction, validation of the L/RPA assay with clinical samples should be a requirement before it can be applied to practical testing, and a more accurate evaluation standard should be determined based on the detection of adequate clinical samples.

In conclusion, this study established a ligation-based L/RPA assay for rapid detection of SARS-CoV-2. The assay is more easily operated than the RT-based assays because the RNA involvement has been reduced. Not limited by sophisticated thermocycling equipment, the assay provides more choice for the detection of SARS-CoV-2 at point of need. Furthermore, the principle of the assay is readily applicable to the identification of concerned mutations of the virus, which now mainly depends on sequencing.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding authors.

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## AUTHOR CONTRIBUTIONS

QL, YC, and SG designed the research. PW, CM, XZ, LC, and LY conducted the research. PW, CM, XZ, and XL analyzed the data. PW and SG wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.680728/full#supplementary-material>

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# Phage vB\_PaeS-PAJD-1 Rescues Murine Mastitis Infected With Multidrug-Resistant *Pseudomonas aeruginosa*

Zhaofei Wang, Yibing Xue, Ya Gao, Mengting Guo, Yuanping Liu, Xinwei Zou, Yuqiang Cheng, Jingjiao Ma, Hengan Wang, Jianhe Sun and Yaxian Yan\*

School of Agriculture and Biology, Shanghai Jiao Tong University, Shanghai Key Laboratory of Veterinary Biotechnology, Shanghai, China

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### \*Correspondence:

Yaxian Yan  
yanyaxian@sjtu.edu.cn

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*Pseudomonas aeruginosa* is a Gram-negative pathogen that causes a variety of infections in humans and animals. Due to the inappropriate use of antibiotics, multi-drug resistant (MDR) *P. aeruginosa* strains have emerged and are prevailing. In recent years, cow mastitis caused by MDR *P. aeruginosa* has attracted attention. In this study, a microbial community analysis revealed that *P. aeruginosa* could be a cause of pathogen-induced cow mastitis. Five MDR *P. aeruginosa* strains were isolated from milk diagnosed as mastitis positive. To seek an alternative antibacterial agent against MDR *P. aeruginosa*, a lytic phage, designated vB\_PaeS-PAJD-1 (PAJD-1), was isolated from dairy farm sewage. PAJD-1 was morphologically classified as *Siphoviridae* and was estimated to be about 57.9 kb. Phage PAJD-1 showed broad host ranges and a strong lytic ability. A one-step growth curve analysis showed a relatively short latency period (20 min) and a relatively high burst size (223 PFU per infected cell). Phage PAJD-1 remained stable over wide temperature and pH ranges. Intramammary-administered PAJD-1 reduced bacterial concentrations and repaired mammary glands in mice with mastitis induced by MDR *P. aeruginosa*. Furthermore, the cell wall hydrolase (termed endolysin) from phage PAJD-1 exhibited a strong bacteriolytic and a wide antibacterial spectrum against MDR *P. aeruginosa*. These findings present phage PAJD-1 as a candidate for phageotherapy against MDR *P. aeruginosa* infection.

**Keywords:** *Pseudomonas aeruginosa*, MDR, phage PAJD-1, mastitis, lysin

## INTRODUCTION

Mastitis, one of the most prevalent diseases in the dairy cattle industry, leads to great economic losses for farmers caused by reduced milk production, early culling, veterinary services, and labour costs (Klaas and Zadoks, 2018). Usually, mastitis is caused by Gram-positive pathogens, such as *Staphylococcus* and *Streptococcus* (Keane, 2019). As the prevalence of udder infections due to Gram-positive pathogens is reduced to very low levels in dairy herds by the implementation of advanced mastitis control systems, the relative significance of mastitis due to Gram-negative



bacterial pathogens, such as *Escherichia coli* and *P. aeruginosa*, is expected to increase (Kawai et al., 2017; Keane, 2019; El Garch et al., 2020).

*P. aeruginosa* is widely present in nature and in the intestines and skin of humans and animals (Otto, 2014; Bachta et al., 2020). In clinical treatment, the increasing resistance of *P. aeruginosa* strains to different antibiotics has led to an increase in the emergence of multi-drug resistant (MDR) *P. aeruginosa* (Javed et al., 2020; Maslova et al., 2020). Traditional antibiotics are almost ineffective against MDR *P. aeruginosa* (Tummler, 2019). In recent years, cow mastitis caused by MDR *P. aeruginosa* has attracted increasing attention and has led to significant economic losses for farmers (Kawai et al., 2017; Klaas and Zadoks, 2018). In view of this, exploring alternative treatments has tremendous value.

In the last 15 years, a marked increase in the number of identified *P. aeruginosa* bacteriophages (termed phages) has been reported, as has great progress in the phage treatment of infections caused by *P. aeruginosa* (Dzuliashvili et al., 2007; Chegini et al., 2020). Phages are the most common organism found on earth and, as such, represent great diversity in their overall host range (Khalid et al., 2020). The bacteriolysis of phages is mainly dependent on endolysin (termed lysin), which is a kind of bacterial cell wall hydrolase synthesized by phages in the late stages of infection (Wang et al., 2018; Gutierrez and Briers, 2020). Compared to traditional antibiotics, bacteriophage agents have obvious advantages, such as being simple, cheap, highly effective in killing their target bacteria and especially available in inhibiting drug-resistant bacteria, as well as causing no serious side effects (Hesse and Adhya, 2019; Principi et al., 2019). Moreover, phages are unable to infect human or animal cells because phages recognise and bind to unique bacterial receptors. Thus, the side effects associated with phage therapy in humans and animals are thought to be minimal (Rios et al., 2016).

Numerous studies have revealed that phages are able to treat various human or animal diseases caused by *P. aeruginosa*, including lung, skin, eye and other infections (Fukuda et al., 2012; Raz et al., 2019; Ng et al., 2020). However, there is no research focused on using phages to overcome mastitis caused by *P. aeruginosa*. In this study, we investigated potential pathogen diversity in cows with mastitis in Shanghai, China using microbial community analysis. A novel lytic phage, vB\_PaeS\_PAJD-1 (PAJD-1), was isolated from sewage in dairy farms, and its antibacterial spectrum, stability and bacteriolytic activity of its endolysin were assessed. Specifically, we evaluated the therapeutic effect of using PAJD-1 in mice with mastitis infected by MDR *P. aeruginosa*.

## MATERIALS AND METHODS

### Ethics Statement

The animal experiments were carried out in accordance with animal welfare standards and approved by the Ethical Committee for Animal Experiments of Shanghai Jiao Tong University, China (Approval no. 20190103). All animal

experiments complied with the guidelines of the Animal Welfare Council of China.

### Bacterial Strains and Culture Conditions

In this study, 18 clinical isolates of *P. aeruginosa* (13 hospital-acquired strains and 5 strains isolated from the milk of dairy cows with mastitis) and 2 reference strains of *P. aeruginosa* PA01 and PA14 from the American Type Culture Collection (ATCC) were used (Table 1). All strains were grown in Luria–Bertani broth (LB) or in a 1.5% agar medium at 37°C. Also, 5% sheep blood agar was used to isolate bacteria from milk samples. *P. aeruginosa* ATCC 27853 was used as a reference strain for identification utilising the VITEK 2 system (BioMerieux, France). Antimicrobial susceptibility testing of the isolates was performed using the Kirby–Bauer disk diffusion method as described by Fathizadeh et al. with seven antimicrobials: meropenem (MEM), ampicillin (AMP), gentamicin (GN), amikacin (AK), piperacillin/tazobactam (TZP), ciprofloxacin (CIP) and cefepime (FEP) (Fathizadeh et al., 2020). Antibiotic discs (Beijing Pronade technology co., LTD, Beijing, China) were placed on the swabbed culture and incubated for 16 to 18 h at 37°C, after which the inhibition zone was measured and each strain was determined as resistant (R)/intermediate (I)/sensitive (S) to each antibiotic tested following the instruction of the experiment provided by the manufacturer.

### Sample Analysis of Microbial Community Composition and Diversity From a Dairy Farm

The udder surfaces of cows with mastitis from three dairy farms (at least three samples per dairy farm) in Shanghai were sampled using sterile cotton swabs. These samples were then suspended in sterilised phosphate-buffered saline (PBS) buffer and placed in sterilised, RNase-free tubes. After homogeneous mixing, centrifugation, and filtration, 2 mL of the filtrate was stored at -80°C. The total microbial RNA samples were extracted using an RNA Mini Kit (Bio-Rad, Hercules, CA, USA). RNA samples were reverse-transcribed to complementary DNA (cDNA) using the iScript cDNA Synthesis Kit (Bio-Rad). The quantity and quality of the extracted cDNA were measured using a NanoDrop ND-1000 spectrophotometer (Thermo, Waltham, MA, USA) and agarose gel electrophoresis, respectively. 16S rRNA gene amplicon sequencing and analysis were performed as described previously (Morella et al., 2018). The cDNA was amplified using the primer sets 515F and 806R, which targeted the V4 region of the bacterial 16S rDNA, with the reverse primer containing a 6-bp error-correcting barcode unique to each sample (Caporaso et al., 2012). Sequencing by synthesis was performed on an Illumina HiSeq MiSeq platform (Shanghai Personal Biotechnology Co., Ltd., Shanghai, China).

The Quantitative Insights into Microbial Ecology (QIIME, v1.8.0) pipeline was employed to process the sequencing data, as previously described (Caporaso et al., 2010). Briefly, the high-quality sequences were clustered into operational taxonomic units (OTUs) at 97% sequence identity by UCLUST (Edgar, 2010). A representative sequence was selected from each OTU using default

**TABLE 1 |** Drug resistance of strain and lytic activity of phage PAJD-1.

No. of strains	<sup>a</sup> Source	<sup>b</sup> EOP	Antibiotic susceptibility						
			MEM	AMP	GN	AK	TZP	CIP	FEP
PA01	I	- <sup>c</sup>	S	R	S	S	S	S	S
PA14	I	1	S	R	I	S	S	S	I
PAmas1	II	0.28	S	R	I	I	S	S	R
PAmas2	II	0.26	S	R	S	S	S	S	S
PAmas3	II	0.44	I	R	I	S	S	S	S
PAmas4	II	0.27	S	R	S	S	S	S	S
PAmas5	II	0.84	S	R	S	I	S	S	S
PA8094	III	–	S	R	I	S	S	S	I
PA8299	III	0.32	S	R	S	S	R	S	R
PA8243	III	–	S	R	S	S	S	S	S
PA7959	III	$0.41 \times 10^{-3}$	S	R	S	S	S	S	I
PA8070	III	$0.68 \times 10^{-2}$	S	S	I	S	S	R	I
PA7978	III	0.38	S	R	I	I	S	S	S
PA8244	III	0.64	S	R	S	S	S	S	S
PA8408	III	$0.21 \times 10^{-3}$	S	R	S	S	R	S	R
PA8362	III	0.71	S	R	R	S	R	R	R
PA7979	III	0.91	S	R	S	S	S	S	I
PA8177	III	–	S	R	S	S	S	S	S
PA8333	III	0.21	S	R	S	S	S	S	I
PA8218	III	0.27	S	R	S	S	R	S	R

<sup>a</sup>I, purchased from American Type Culture Collection; II, clinically-isolated strains from the milk of dairy cows with mastitis; III, hospital-acquired strains.

<sup>b</sup>EOP, efficiency of plating (EOP = phage titre on test bacterium/phage titre on strains PA14). Assays were conducted at least three times. The data shown are means from three independent experiments.

<sup>c</sup>no plaque on target bacterium.

R, resistant; I, intermediate; S, sensitive.

parameters. The OTU taxonomic classification was conducted by BLAST, searching the representative sequences set against the Greengenes Database (Desantis et al., 2006) using the best hit (Altschul et al., 1997). An OTU table was further generated to record the abundance of each OTU in each sample and the taxonomy of these OTUs. OTUs containing less than 0.001% of the total sequences across all samples were discarded. To minimize the difference in sequencing depth across samples, an averaged, rounded, rarefied OTU table was generated by averaging 100 evenly resampled OTU subsets under 90% of the minimum sequencing depth for further analysis. Taxonomy assignment of OTUs was performed by comparing sequences to the Greengenes database. The Mann–Whitney *U* test was used to test for the significance of alpha diversity. A two-sided Student's *t*-test was conducted to determine the significance of beta diversity between sample groups. Linear discriminant analysis coupled with effect size (LEfSe) was performed to identify the bacterial taxa represented between groups at the genus or higher taxonomic levels (Segata et al., 2011).

## Phage Isolation, Purification and Host Range Determination

The isolation method described by Wang et al. was applied for the isolation of *P. aeruginosa* phages, with some modifications (Wang et al., 2016). Sewage from dairy farms was centrifuged at  $5,000 \times g$  (centrifuging radius = 17.6 cm) for 20 min at 4°C. The supernatants were passed through 0.22-μm pore size membrane filters. *P. aeruginosa* PA14 of the logarithmic phase was cultured overnight together with sewage samples in LB broth at 37°C with shaking at 180 rpm. The culture was centrifuged at  $5,000 \times g$  for

20 min at 4°C; the supernatant was then filtered through 0.22-μm pore size membrane filters and checked for the presence of lytic phages by *P. aeruginosa* PA14 using the double-layer agar plate method as previously described (Wang et al., 2016). After overnight incubation, the formation of obvious zones suggested the presence of a lytic phage, which was purified by three rounds of single-plaque isolation.

For purification, single-phage plaques were precipitated in the presence of 10% (wt/vol) polyethylene glycol (PEG) 8000 and 1 M NaCl at 4°C for at least 1 h. The precipitate was collected by centrifugation at  $10,000 \times g$  for 10 min at 4°C and suspended in SM buffer (100 mM NaCl, 10 mM MgSO<sub>4</sub>·7H<sub>2</sub>O and 50 mM Tris-HCl pH 7.5). After the addition of 0.5 g/mL CsCl, the mixture was layered on top of CsCl step gradients (densities of 1.15, 1.45, 1.50 and 1.70 g/mL) in Ultra-Clear centrifugation tubes and centrifuged at  $28,000 \times g$  for 2 h at 4°C, dialysed in SM buffer. Phages were stored at 4°C for further experiments.

The PAJD-1 phage was screened against *S. aureus* strains using the efficiency of the plating method (EOP = phage titre on test bacterium/phage titre on strains PA14) to determine the effectiveness and host range against a variety of target bacteria. Ten-fold serial dilutions of phage suspensions (100 μL) were mixed with 100 μL of bacteria ( $1 \times 10^8$  CFU/mL), incubated for 5 min at room temperature (25°C) and plated as double layers on LB to determine phage titres.

## Transmission Electron Microscopy (TEM) of Phage Particles

The purified phage was loaded onto a copper grid for 10 min, negatively stained with 2% (v/v) phosphotungstic acid (pH 6.7)

and dried. The morphology of the phage was observed using a FEI TEM Tecnai G2 Spirit Biotwin (FEI, Hillsboro, US) at an accelerating voltage of 120 kV.

## Genome Sequencing and Annotation

Purified PAJD-1 phage genomic DNA was prepared using phenol–chloroform extraction and ethanol precipitation methods, as described previously (Wang et al., 2016). The Illumina MiSeq system was used for the PAJD-1 phage whole genome analysis. Sequence alignments were carried out using the Accelrys DS Gene software package of Accelrys Inc. (USA). Putative open reading frames were suggested using the algorithms of the software packages Accelrys Gene v2.5 (Accelrys Inc.) and ORF Finder (NCBI). Identity values were calculated using different BLAST algorithms (<http://www.ncbi.nlm.nih.gov/BLAST/>) on the NCBI homepage. The sequence of the PAJD-1 phage was submitted to the NCBI (GenBank accession number: MW835180).

## One-Step Growth Analysis of Phages

To determine the one-step growth of PAJD-1, *P. aeruginosa* PA14 was used as the indicator strain. One-step growth experiments were performed with a modification to the methods described previously (Pajunen et al., 2000). Briefly, PAJD-1 phage ( $1 \times 10^6$  PFU) was added at a MOI of 0.1 to the cells of *P. aeruginosa* ( $1 \times 10^7$  CFU) and allowed to adsorb for 10 min at 37°C. The mixture was then centrifuged at 4°C for 1 min at a speed of  $12,000 \times g$ . After the supernatants were removed, the pellets containing the phage-infected bacterial cells were suspended in fresh LB and incubated with shaking at 180 rpm and 37°C. Partial samples were taken at 10 min intervals, and the titrations from the aliquots were immediately determined using the double-layer agar plate method. Burst size was calculated as the ratio between the number of total released phages and the number of infected bacterial cells. This assay was performed in triplicate.

## Adsorption Analyses of Phages

The adsorption rate of PAJD-1 was performed as previously described, with some modifications (Ong et al., 2020). Briefly, *P. aeruginosa* PA14 ( $1 \times 10^7$  CFU/mL) was mixed with phage ( $1 \times 10^6$  PFU/mL) incubated at 37°C. Samples of the mixture (100  $\mu$ L) were taken at 5, 10, 15, and 20 min, and filtered (0.22- $\mu$ m pore size membrane) immediately. The filtered supernatants (unadsorbed phages) were determined using the double-layer agar plate method. The adsorption rate of PAJD-1 (%) = (1 unadsorbed phages/initial concentration of phage)  $\times$  100%.

## Phage Stability Assay

To determine phage stability at different temperatures (25°C, 37°C, 45°C, 50°C, 55°C, 60°C, 65°C and 70°C), an aliquot of the PAJD-1 phage was taken after 1 h of incubation, and the titres of the phage were assayed using the double-layer agar plate method. To determine the optimum storage temperature of the PAJD-1, phages were stored at 4°C, -20°C and -80°C for 6 months, after which their bactericidal activity (titres of the phage) were determined by the double-layer agar plate method and

compared with initial titres. To test for phage stability at the different pH values, titres were determined after the phage lysates were diluted (1:100) in SM buffer at different pH values and kept at 37°C for 3 h using the double-layer agar plate method.

## Phage Bacteriolytic Assay *In Vivo*

To improve the safety of the phage used in animals, an affinity matrix of modified polymyxin B (PMB) (GenScript, Piscataway, Nanjing, China) was used to remove phage endotoxins. Furthermore, the endotoxin levels of the phage were evaluated by the colorimetric method following the recommendations of the manufacturer (GenScript). The end-product was measured spectrophotometrically in a microplate reader. Female lactating BALB/c specific-pathogen-free (SPF) mice (10–14 days after the birth of their offspring) were purchased from the Experimental Animal Center, Shanghai Jiao Tong University. A mixture of ketamine 100 mg/kg (Imalgene, Merial Laboratorios, S.A) and xylazine 10 mg/kg (Rompun, Bayer Health Care) were administered intraperitoneally as anaesthesia for the mice. A syringe with a 33-gauge blunt-end needle was used to inoculate both the L4 (on the left) and R4 (on the right) of the fourth abdominal mammary gland pair with  $1 \times 10^5$  CFU/gland (50  $\mu$ L) of *P. aeruginosa* PAmas5. After 6 h, the phage-treated groups ( $n = 5$ ) received an intramammary dose of  $1 \times 10^6$  PFU/gland (50  $\mu$ L). After 6 h, PAmas5-infected mice were treated through intramammary injection of 20  $\mu$ g/gland ceftiofur sodium (50  $\mu$ L) and 50  $\mu$ L/gland PBS as the antibiotic-treated ( $n = 5$ ) and PBS control ( $n = 5$ ) groups, respectively. After a 24-h period, the mammary glands of the mice were photographed. The L4 mammary glands were aseptically removed, individually weighed, serially diluted in PBS (1:9) and plated in agar containing ampicillin (50  $\mu$ g/mL) to determine the number of CFU/gland. The R4 mammary glands were gently removed and immediately placed in 4% formalin. Formalin-fixed tissues were processed and stained with hematoxylin and eosin (H&E) and toluidine blue using a routine staining procedure and were subsequently analysed using microscopy. (Note that the mammary glands removed from healthy lactating mice served as positive controls for histopathology).

The alteration of mammary gland histology was measured semi-quantitatively as described previously with slight modifications (Camperio et al., 2017). A scale from 0 to 3 was applied to the following alterations: 0 = normal healthy lactating alveoli without pathological changes; 1 = a minimal degree of necrotic acinar epithelial cells and/or interstitial inflammation and relatively normal mammary glands; 2 = a moderate degree of necrotic acinar epithelial cells and/or interstitial inflammation and relatively normal mammary glands; 3 = severe tissue damage, a very large number of interstitial inflammatory cells and extensive necrotic areas. All slides were assessed by three blinded observers (ZW, MG and YY) using a light microscope, and the discordant cases were reviewed at a multi-head microscope until a consensus was reached.

## Cloning, Expression and Purification of the Lysin of the PAJD-1 Phage (PlyPAJD-1)

The PlyPAJD-1-encoding region was PCR-amplified with primers 5' *Bam*HI\_JDlys (CGCGGATCCCATGAAC

GGTGCACATAC, where the *Bam*HI site is underlined) and 3' *Xho*I\_JDlys (CCGCTCGAGTTATCGCCAATCCACTTTCTT, where the *Xho*I site is underlined), using the genomic DNA of the PAJD-1 phage as a template for PlyPAJD-1. The PCR product was digested with *Bam*HI/*Xho*I and cloned into the pET-28a vector. Constituted plasmids were expressed in *E. coli* BL21 (DE3) grown to an optical density of 0.6 at 600 nm (OD<sub>600</sub>) at 37°C, induced with 1 mM isopropyl-β-D-thiogalactoside (IPTG) and expressed for 14 h at 16°C. Cells were disrupted by sonication and purified with a Ni Sepharose 6 Fast Flow resin gravity column (GE Healthcare BioSciences, Pittsburgh, USA), as described previously (Zhang et al., 2016).

## Bactericidal Activity and Lytic Spectrum of PlyPAJD-1

To determine the bactericidal activity of PlyPAJD-1 against *P. aeruginosa* PA14, 20 mL of early log-phase bacteria cells ( $5 \times 10^8$  CFU/mL) were pelleted and resuspended in 20 mM Tris-HCl buffer (pH 7.5) supplemented with 0.1 M EDTA for 5 min at room temperature. Then, cells were pelleted and thrice washed with PBS to remove the remaining EDTA. Next, the washed cells were mixed with 10 mL semisolid TSB medium at 42°C and then spotted on a plate. After solidification, 100 μL of PlyPAJD-1 protein (1mg/mL) was put into a punched hole. PBS was spotted into the other hole as a negative control. The plates were incubated for 12 h at 37°C, and the inhibition zone was used to check the lytic activity of PlyPAJD-1. The assay was performed at least three times in biological repeats.

To verify the lytic spectrum of PlyPAJD-1, a 96-well plate was used, as described by Wang et al. with minor modifications (Wang et al., 2018). Briefly, fresh bacterial cells ( $1 \times 10^9$  CFU/mL)

were pelleted and resuspended in 20 mM Tris-HCl buffer (pH 7.5) supplemented with 0.1 M EDTA for 5 min at room temperature. Then, cells ( $5 \times 10^8$  CFU/mL) were pelleted and thrice washed with PBS to remove the remaining EDTA. The lytic effect was monitored by blending 100 μL of bacterial suspension with 100 μL (1 mg/mL) of PlyPAJD-1 in a 96-well microtiter plate. The OD<sub>600</sub> values were monitored after 2 h. The decrease in bacterial turbidity was calculated by the OD<sub>600</sub> after 2 h/original OD<sub>600</sub> of the mixture. The assay was performed at least three times in biological repeats.

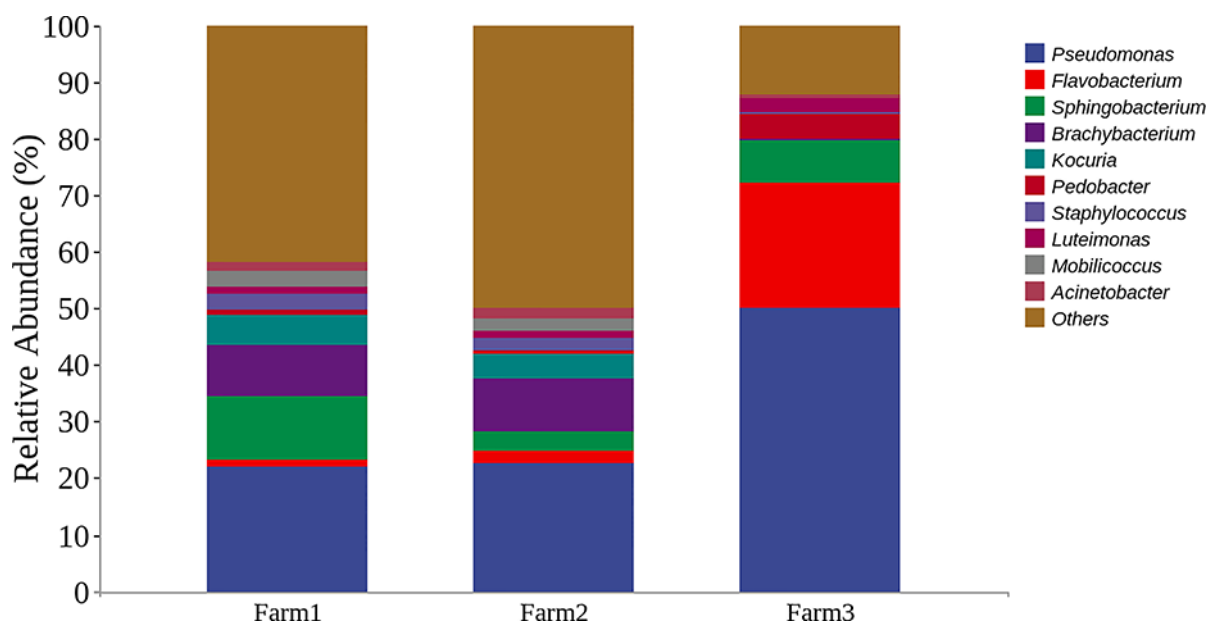
## Statistical Analysis

In all experiments, the data were plotted using GraphPad Prism 6.01 (GraphPad Software, Inc., San Diego, CA, USA). The statistical significance of changes between groups was assessed with an unpaired Student's *t*-test. The *p*-values are indicated in the figure legends.

## RESULTS

### *P. aeruginosa* Could Cause Pathogen-Induced Cow Mastitis

To evaluate the microbes from three dairy farms in Shanghai, microbial community analysis of the udder surface of cows with mastitis was carried out, as shown in **Figure 1**. The results revealed that the predominant genera for cows with mastitis mainly included *Pseudomonas* (22.12%, 22.71% and 50.16%), *Flavobacterium* (1.15%, 2.21% and 22.06%), *Brachybacterium* (8.97%, 9.46% and 0.075%), and *Staphylococcus* (2.97%, 2.16% and 0.36%). Furthermore, five *P. aeruginosa* strains identified by



**FIGURE 1** | Representation of the top bacterial genus in cows with mastitis by microbial community analysis. Bar graphs show the relative abundance of the top 10 bacterial genera from three dairy farms in Shanghai, China.



the VITEK 2 system were isolated from milk obtained from cows diagnosed with mastitis (Tables S1–S5). In particular, these strains were multidrug resistant (Table 1). These findings suggest that *Pseudomonas*, especially *P. aeruginosa*, could be a potential pathogen in dairy farms in Shanghai.

## Isolation, Identification and Host Range Determination of *Pseudomonas* Phage PAJD-1

In this study, we isolated a lytic *Pseudomonas* phage, PAJD-1, from faecal sewage in dairy farms in Shanghai, China. Using *P. aeruginosa* PA14 as the host strain, the phage formed plaques 1 to 2 mm in diameter (Figure 2A). Among 20 *P. aeruginosa* strains, 80% (16/20) of the isolates were lysed by PAJD-1. In particular, PAJD-1 could effectively lyse five MDR *P. aeruginosa* strains isolated from dairy farms (Table 1).

The morphology of the isolated phage PAJD-1 was further characterised. TEM showed that the PAJD-1 particle had an isometric head of approximately 50 nm and a long, noncontractile tail with a length of approximately 200 nm (Figure 2B). Thus, it was morphologically similar to phages of the family *Siphoviridae* according to the classification of the International Committee on Taxonomy of Viruses (ICTV).

## General Features of the PAJD-1 Genome

The PAJD-1 genome comprised 57.9 kb, double-stranded DNA and an average G+C content of 58.32%. Analysis *via* BLAST showed that the genome sequence of PAJD-1 belonged to a NP1-like phage (Table 2), which showed partial homology to phage NP1 (94%), phage Quinobequin (93%), phage PaMx25 (93%), phage PaMx25 (93%), phage PaMx25 (93%) and phage PaMx25 (93%). As shown in the whole-genome arrangement map (Figure 3), 72 open reading frames (ORFs) were defined as potential genes of PAJD-1. The genes of PAJD-1 were categorized into six modules: morphogenesis (purple), such as head or tail structural proteins and some putative virion synthetic

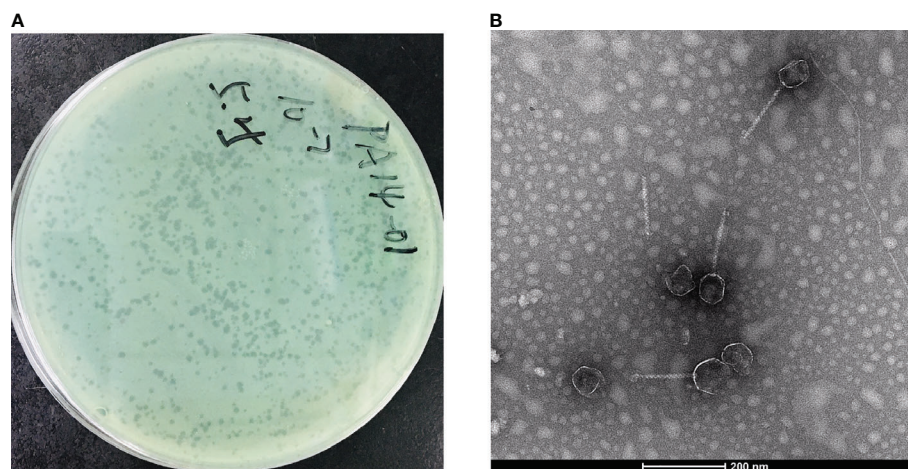
proteins; DNA replication (light green), such as DNA topoisomerase, DNA ligase and ribonuclease; nucleotide metabolism (blue), such as thymidylate synthase, ribonucleotide reductase glutamine amidotransferases and GTP cyclohydrolase; lysis modules (lysozyme-like transglycosylase, red); DNA packaging (pink), including terminase large subunit and terminase small subunit; and hypothetical proteins (bottle green). Among these ORFs, a putative tail structural protein (ORF 48) had the lowest homology (less than 65%) with the related genes of the above-mentioned phages, which are homologous to the genome of bacteriophage PAJD-1 (Table 3). Furthermore, BLAST analysis identified no ORFs associated with drug resistance, pathogenicity or lysogenisation, such as site-specific integrases or repressors in the whole-genome of PAJD-1.

## Determination of the One-Step Growth Curve and Adsorption Ability of PAJD-1

To identify the different phases of the phage infection process, a one-step growth curve of PAJD-1 was determined. The results revealed that a latent period (defined as the time interval between the absorption and the beginning of the first burst) was about 20 min, and the burst size was estimated as 223 PFU per infected cell (Figure 4A), which was calculated as the ratio of the final count of liberated phage particles to the initial count of infected bacterial cells. Furthermore, the adsorption rates of PAJD-1 were determined. After 5 min of phage–bacteria incubation, about 95% of the phage particles were attached to the host cells (Figure 4B). After 30 min, only 65% of the phage particles were adsorbed, indicating that phage PAJD-1 had begun to lyse bacteria and that progeny phages were produced (Figure 4B).

## The Temperature and pH Stability of Phage PAJD-1

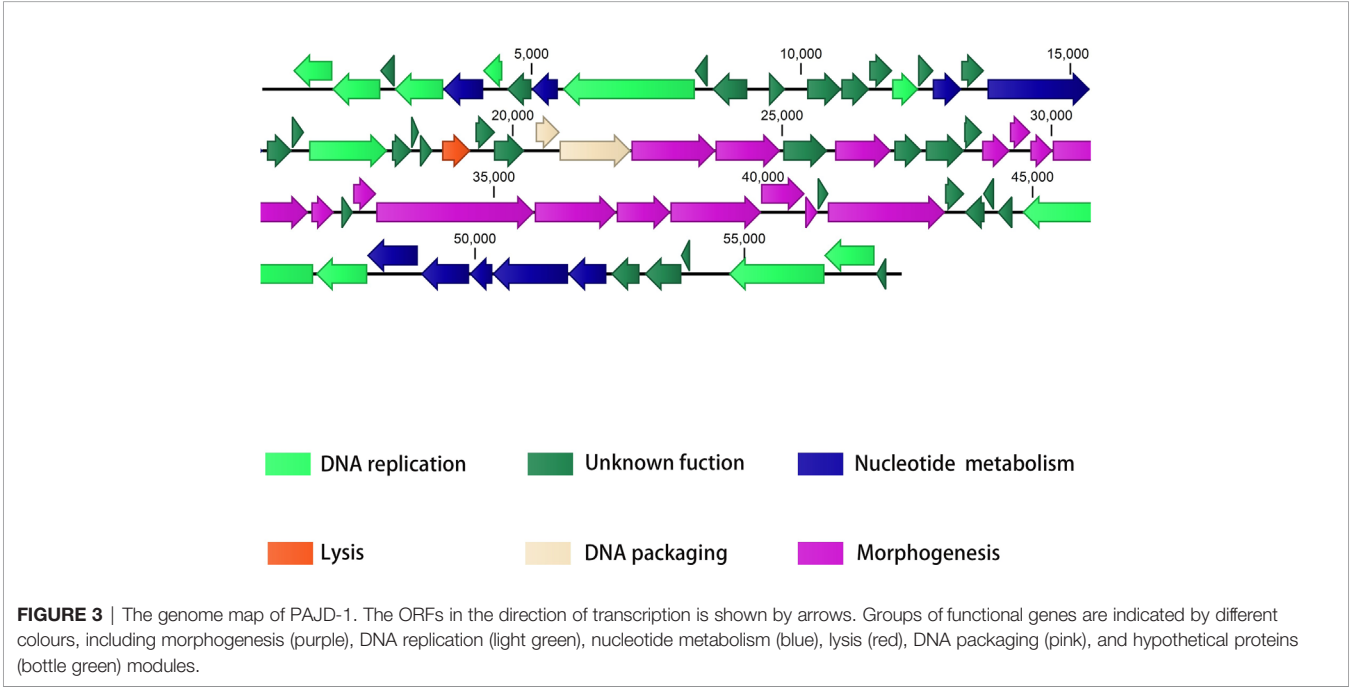
To evaluate the suitability of phage PAJD-1 for potential clinical application in the future, a series of physical and chemical stabilities of phage PAJD-1 were examined. The stability of



**FIGURE 2** | Morphological images of PAJD-1. (A) Single-plaque in a double-layer agar plate. (B) Transmission electron microscopy image of PAJD-1.

**TABLE 2 |** The sequence identity of the PAJD-1 genome with other *Pseudomonas* phage.

Accession	Other phages	Phage type	Genome size (bp)	Morphology	Query cover
KX129925.1	<i>Pseudomonas</i> phage NP1	Lytic	58566	Siphoviridae	94%
MN504636.1	<i>Pseudomonas</i> phage Quinobequin-P09	Lytic	58277	Siphoviridae	93%
NC_041953.1	<i>Pseudomonas</i> phage PaMx25	Lytic	57899	Siphoviridae	93%
KX898399.1	<i>Pseudomonas</i> phage JG012	Lytic	58359	Siphoviridae	93%
KX898400.1	<i>Pseudomonas</i> phage JG054	Lytic	57839	Siphoviridae	90%



**FIGURE 3 |** The genome map of PAJD-1. The ORFs in the direction of transcription is shown by arrows. Groups of functional genes are indicated by different colours, including morphogenesis (purple), DNA replication (light green), nucleotide metabolism (blue), lysis (red), DNA packaging (pink), and hypothetical proteins (bottle green) modules.

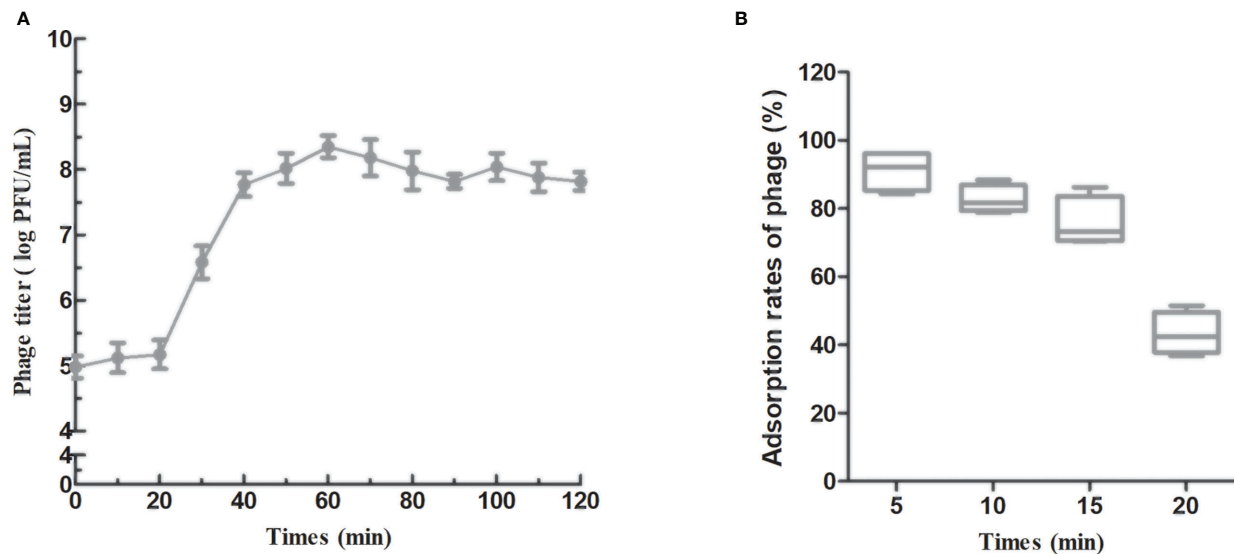
**TABLE 3 |** The sequence identity of the PAJD-1 ORF48 with other *Pseudomonas* phage.

Accession	Description	The corresponding phage	Identity
KX129925.1	putative structural protein	<i>Pseudomonas</i> phage JG012	64.3%
MN504636.1	Putative virion structural protein	<i>Pseudomonas</i> phage NP1	63.51%
NC_041953.1	hypothetical protein	<i>Pseudomonas</i> phage Quinobequin-P09	61.86%
KX898399.1	hypothetical protein	<i>Pseudomonas</i> phage JG054	60.83%
KX898400.1	structural protein	<i>Pseudomonas</i> phage PaMx25	60.43%
YP_006561077.1	tail fiber structural protein	<i>Pseudomonas</i> phage MP1412	52.38%

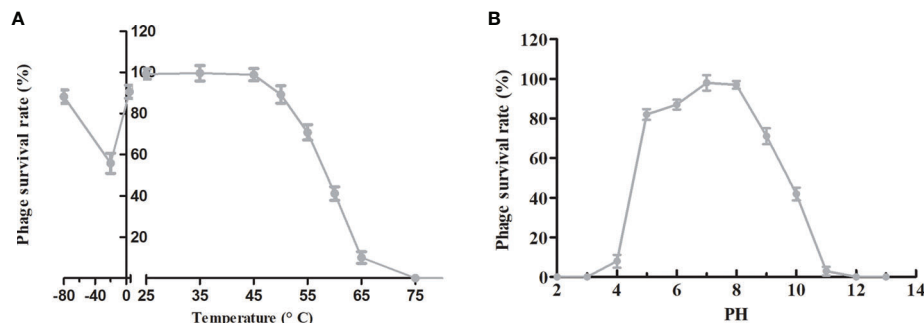
phage PAJD-1 was investigated at several temperatures. We found that the activity of phage PAJD-1 remained stable over a wide range of temperatures up to 50°C. Higher temperatures resulted in progressive inactivation. Phage PAJD-1 was completely inactivated when heated to 65°C (**Figure 5A**). Moreover, **Figure 5A** shows that PAJD-1 maintained more than 80% of its bactericidal activity when stored at 4°C and -80°C for 6 months. pH stability was evaluated in SM buffers adjusted to values between pH 2 and pH 12. Phage PAJD-1 remained at a relatively high survival rate (more than 80%) in the pH range of 5 to 8. Beyond these values, the activity decreased dramatically (**Figure 5B**).

### Phage Treatment in a Mouse Model of *P. aeruginosa*-Induced Mastitis

To evaluate the therapeutic potential of phage PAJD-1 *in vivo*, assays were performed on female lactating mice infected with MDR *P. aeruginosa* strain PAmas5, which was isolated from milk samples diagnosed as mastitis positive and efficiently lysed by PAJD-1 *in vitro* (**Table 1**). The results showed that the mammary glands of mice treated with PBS had the highest CFU burden (about log 5.79 CFU/gland). By contrast, mammary glands from mice treated with antibiotic had the lowest CFU burden (about log 2.41 CFU/gland). Mammary glands from the phage-treated mice had median CFU burdens



**FIGURE 4** | *In vitro* characterization of phage PAJD-1. **(A)** One-step growth curves and **(B)** adsorption rates of PAJD-1. Results are shown as means  $\pm$  SEM from triplicate experiments.



**FIGURE 5** | Stability tests of phage PAJD-1. **(A)** Temperature stability: phage PAJD-1 was incubated at various temperatures as indicated. **(B)** pH stability: phage PAJD-1 was incubated at different pH conditions for 3 h. Results are shown as means  $\pm$  SEM from triplicate experiments.

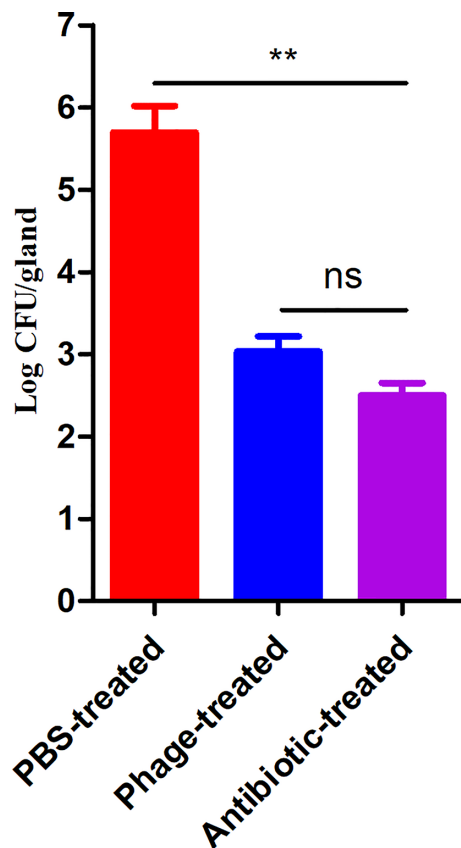
of about log 3.08 CFU/gland, significantly lower than the PBS control group ( $p < 0.01$ , **Figure 6**).

Damage to the mammary glands was observed by anatomical photograph in the mice (**Figure S1**). Representative images after histopathological examination are shown in **Figure 7**. The histopathological changes were semi-quantified as the tissue alteration score as shown in **Figure 7E**. Healthy lactating mice revealed normal healthy lactating alveoli without pathological changes (**Figure 7B**); however, the mammary glands of the PBS-treated mice with *P. aeruginosa*-induced mastitis showed obvious oedema and bleeding (**Figure S1A**). The H&E results showed an obvious intraglandular neutrophilic infiltration. The acinar epithelial cells were necrotic and detached with the acinar space infiltrated by a very large number of interstitial inflammatory cells (**Figure 7A**). By contrast, the mice treated

with antibiotics exhibited relatively normal mammary glands (slight hyperaemia), and a minimal degree of necrotic acinar epithelial cells and interstitial inflammation were identified (**Figures S1D** and **7D**). Compared with the PBS-treated mice, the mammary gland tissues of the mice from the phage-treated group showed relatively moderate oedema and bleeding (**Figure S1C**). Patchy, minimal neutrophilic inflammation and necrotic acinar epithelial cells were observed only in several glands (**Figure 7C**).

## The Expression, Purification and Lytic Activity of PlyPAJD-1

To determine the bacteriolytic activity of the lysin (PlyPAJD-1) from phage PAJD-1, PlyPAJD-1 was expressed and purified. The results showed that PlyPAJD-1 was successfully expressed in



**FIGURE 6** | *P. aeruginosa* concentrations in the mammary glands of mice treated with phage PAJD-1. The fourth abdominal mammary gland was infected with *P. aeruginosa* PAmas5. After 6 h, the mice were treated with the phage, an antibiotic and PBS. After 24 h, the L4 mammary glands were aseptically removed. Results are shown as means  $\pm$  SEM from triplicate experiments. Significant differences ( $p < 0.01$ ) are indicated by asterisks and ns represents no significant.

*E. coli* BL-21, and the size was 19.5 kDa (**Figure 8A**). The purified protein concentration was 2.1 mg/mL (data not shown). To evaluate the bacteriolytic activity of the PlyPAJD-1 protein against *P. aeruginosa*, a plate lytic assay was performed. The results showed a marked inhibition zone around the punched hole where the PlyPAJD-1 lay (**Figure 8B**). Notably, PlyPAJD-1 could not kill *P. aeruginosa* directly without pre-treatment with EDTA (**Figure 8C**). The lytic spectrum of PlyPAJD-1 against different *P. aeruginosa* is shown in **Figure 8D**. The result showed that after treatment with PlyPAJD-1, the concentrations of 90% (18/20) of the *P. aeruginosa* strains were significantly reduced compared with the strains treated with PBS.

## DISCUSSION

In recent years, the incidence of clinical mastitis due to *P. aeruginosa* and the associated risk of large economic losses

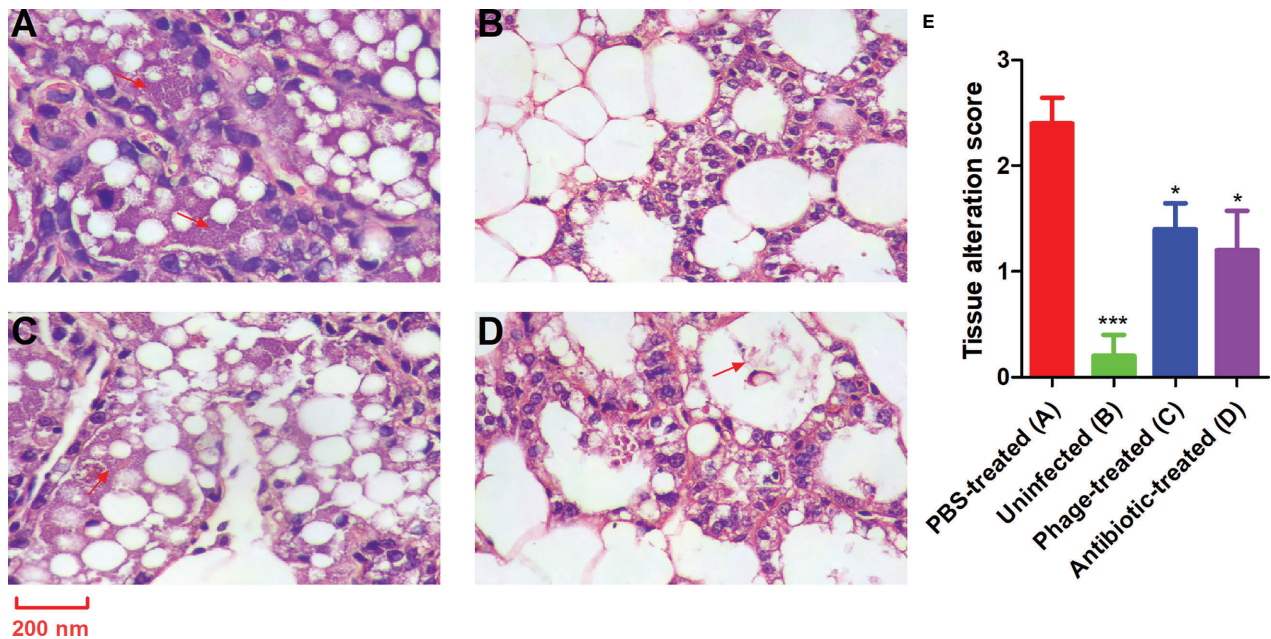
have increased in large dairy herds, causing significant problems for affected farmers (Kawai et al., 2017; Klaas and Zadoks, 2018). Consistent with the previous issue, our study found that *P. aeruginosa* might become the major pathogenic bacterium that survives on the milk or udder surface of cows with mastitis (Kawai et al., 2017; Schauer et al., 2021). Notably, all five *P. aeruginosa* isolates from these cows were MDR. Therefore, there is an urgent need for novel therapies to treat and prevent bovine mastitis caused by *P. aeruginosa*.

With the rapid development of phage therapy, the use of phages against *P. aeruginosa* infections has been widely studied in experimental infections in humans and animals (Raz et al., 2019; Ng et al., 2020). In this study, we successfully isolated a lytic phage against *P. aeruginosa* from sewage in a dairy farm. The isolated PAJD-1, which was different from other *Siphoviridae* of previous reports (Amgarten et al., 2017; Jeon and Yong, 2019), showed intraspecific broad-spectrum lytic activities and good bactericidal activity against MDR *P. aeruginosa* strains (**Table 1**). It is known that the adsorption capacity, which is affected by the receptor-binding proteins (RBPs) of a phage, is the most important factor in determining its bactericidal broad-spectrum (Rios et al., 2016). Studies have found that through the exchange or insertion of RBPs (for instance, tail fibre protein) of virulent phages, such as members of the T3 or T7 families, the host range of related phages has been modified and expanded (Ando et al., 2015; Yehl et al., 2019). In this study, the hypothetical tail fibre protein of PAJD-1 (gb48) showed relatively low homology to other NP1-like phages (less than 65%), suggesting that phage PAJD-1 might have a different or relatively broad lytic spectrum than other NP1-like phages. Besides a wide host range, an optimal phage therapeutic agent necessitates certain features, such as a strictly lytic lifestyle, no toxins, and antibiotic-resistant genes (Rios et al., 2016). In addition to the intraspecific broad spectrum, the clarity of the genetic background is also a key factor for the application of bacteriophages (Rios et al., 2016). Genome sequence analysis showed that no ORFs associated with drug resistance, pathogenicity, or lysogenisations (such as site-specific integrases or repressors) were identified, which indicated that PAJD-1 has the potential for biocontrol and therapy.

Moreover, a high number of therapeutic phages (at least  $1 \times 10^8$  PFU/mL) must be used to ensure sufficient contact and rapid infection of targeted cells. Selected phages should be easily propagated in liquid media with high titre (Brovko et al., 2012). Our study found that phage PAJD-1 rapidly proliferated (from  $1 \times 10^5$  PFU/mL to  $3 \times 10^8$  PFU/mL) within *P. aeruginosa* at 60 min after infection based on assays of one-step kinetics. Moreover, PAJD-1 showed a relatively short latent adsorption period (20 min) but a remarkable adsorption capacity (95% of adsorption rate). These findings indicate that the concentration of PAJD-1 can be achieved quickly and efficiently for application.

Among the physiological properties of phages, temperature and pH stability are considered important factors in the survival of phages during infectivity and storage (Wang et al., 2016).





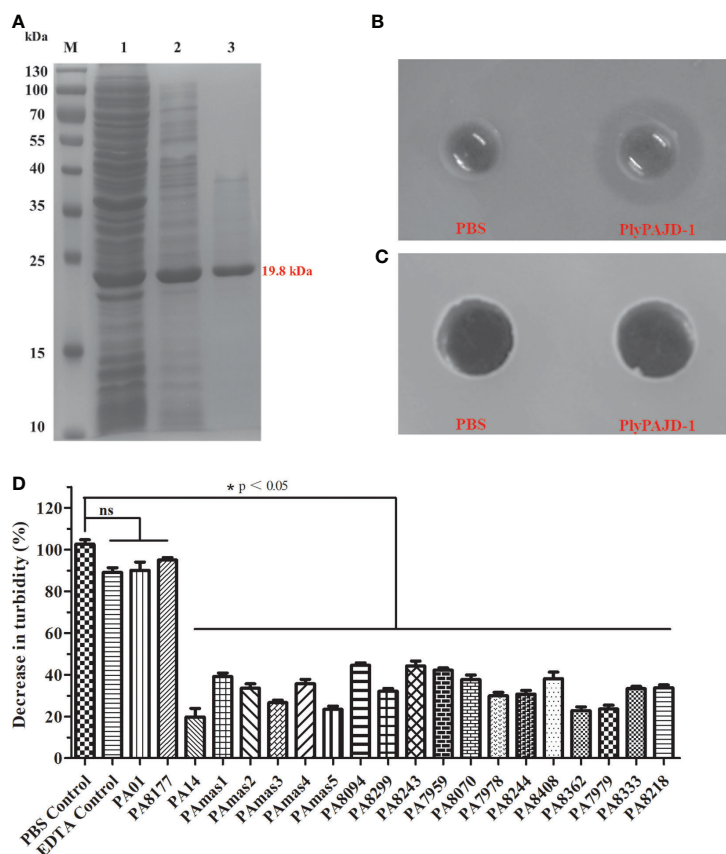
**FIGURE 7 |** PAJD-1 reduced *P. aeruginosa*-induced mammary gland lesions of mice. Mice were infected with *P. aeruginosa* PAmas5 strains and treated with (C) PAJD-1 and (D) ceftiofur sodium. (A) Mice were treated with PBS after infection as a medium-treated group. (B) An uninfected mouse served as a positive control. (E) The tissue alteration score was measured in tissue sections above. The scoring criteria were present in the materials and methods section. Mammary glands were collected 24 h after treatment and processed for H&E staining and microscopic examination. Results are shown as means  $\pm$  SEM. Asterisks indicate when the tissue alteration score of uninfected mice and mice treated with PAJD-1 or ceftiofur sodium after infection were significantly lower (\*\* $p < 0.001$  and \* $p < 0.05$ ) than untreated mice after infection. The red arrows indicate neutrophilic infiltration. Magnification  $\times 100$ , scale bars represent 200 nm.

Therefore, phages that have high stability at various temperatures and pH values are better candidates for applications, such as alternative therapeutic agents (Rios et al., 2016). Our study found that phage PAJD-1 showed a relatively broad range of temperature tolerance and pH stability. PAJD-1 stored at 4°C and -80°C for at least half a year maintained antibacterial activity. These data can be used to optimize the storage and therapeutic application of phages under various physicochemical conditions.

Scholars have reported many successful outcomes for the local and systemic application of phages in the treatment of human and animal infections with *P. aeruginosa*. For example, Jeon and Yong reported that nasal inhalation of phage significantly decreased the *P. aeruginosa* concentrations in the lungs of mice with pneumonia (Jeon and Yong, 2019). Moreover, there have been two clinical trials of *P. aeruginosa* phage therapy: one trial involving treatment of a *P. aeruginosa*-infected ear (Wright et al., 2009) and the other a treatment of burn infections (Jault et al., 2019). Nevertheless, there are no reports of *P. aeruginosa* infection nor a phage therapy model for murine mastitis. In this work, we successfully established a mouse model with mammary glands infected using *P. aeruginosa* strains isolated from cows with mastitis. In order to block adverse impact (reduced and variable disease induction) from suckling pups, we performed ‘forced weaning’ (removal of pups from the lactating female) before the time of mastitis induction

(Ingman et al., 2015). However, prolonged forced weaning resulted in rapid accumulation of milk in the mammary gland causing some complication (Nazemi et al., 2014), which convoluted the analysis of mastitis induction. Therefore, consistent with most studies, we completed the experiment within 48 h following mastitis induction and forced weaning (Gonen et al., 2007; Breyne et al., 2014). Importantly, phage PAJD-1 exhibited the same satisfactory curative effect as antibiotics against mastitis infection. Moreover, no adverse effects were observed due to phage treatment in this study.

Compared with phages, the application of lysins, which are derived from phages, has been widely studied in recent years (Wang et al., 2018; Raz et al., 2019); in particular, lysins of phages that overcome Gram-positive pathogens infection (Rios et al., 2016; Wang et al., 2018). However, lysins were not initially recommended against Gram-negative pathogens because their impermeable outer membrane blocked lysin contact with peptidoglycans, which is the target of lysins (Gutierrez and Briers, 2020). Phage PAJD-1 had a strong bactericidal effect against a broad spectrum of bacteria, suggesting that the lysin encoded by the phage had a strong bactericidal effect. Therefore, the PlyPAJD-1 (lysin of PAJD-1) was expressed and purified. However, PlyPAJD-1 could not penetrate the outer membrane directly to kill bacteria, but it showed synergetic bactericidal efficacy when combined with EDTA, which disrupts the outer



**FIGURE 8** | The expression and lytic activity of PlyPAJD-1. **(A)** Sodium dodecyl sulphate–polyacrylamide gel electrophoresis (SDS-PAGE) analysis of PlyPAJD-1. M, molecular size marker; Lane 1, unpurified protein; Lane 2, flow-through sample; Lane 3, purified PlyPAJD-1 (19.8 kDa); **(B, C)** show the inhibition zone around the punched hole where the PlyPAJD-1 and PBS in bacteria pre-treated **(B)** with EDTA or **(C)** without EDTA, respectively. **(D)** The lytic spectrum of PlyPAJD-1 against different *P. aeruginosa*. Results are shown as means  $\pm$  SEM from triplicate experiments. Significant differences ( $p < 0.05$ ) are indicated by asterisks and ns represents no significant.

membrane by removing stabilizing cations and facilitating bacterial lysis by PlyPAJD-1 (Yang et al., 2018). At present, many studies have proposed different methods to transform lysin to effectively kill Gram-negative strains, including combining lysins with outer membrane permeabilizers (Oliveira et al., 2016), protein engineering (Wang et al., 2017; Yan et al., 2017) and formulating with nanocarriers (Ciepluch et al., 2019), which is the focus of our future research.

## CONCLUSION

In conclusion, the results presented herein provide insight into a lytic phage PAJD-1, which exhibited a wide host range, and strong lytic activity and stability under various conditions. Clearly, our animal experiments demonstrated that phage PAJD-1 can protect mice from mastitis infection by MDR *P. aeruginosa*. Thus, phage PAJD-1 may be an alternative antimicrobial agent in the clinic.

## DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found here: GenBank accession number: MW835180.

## ETHICS STATEMENT

The animal study was reviewed and approved by Ethical Committee for Animal Experiments of Shanghai Jiao Tong University.

## AUTHOR CONTRIBUTIONS

YY, JS, and ZW designed the experiments. ZW, YX, and YG performed the experiments and collected the data. ZW, MG, YL, XZ, and YC collected and analyzed the data. JS, JM, and HW performed critical revision of the article. ZW wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.689770/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Bacteriophage Cocktails Protect Dairy Cows Against Mastitis Caused By Drug Resistant *Escherichia coli* Infection

Mengting Guo, Ya Gao, Yibing Xue, Yuanping Liu, Xiaoyan Zeng, Yuqiang Cheng, Jingjiao Ma, Hengan Wang, Jianhe Sun, Zhaofei Wang\* and Yaxian Yan\*

School of Agriculture and Biology, Shanghai Jiao Tong University, Shanghai Key Laboratory of Veterinary Biotechnology, Shanghai, China

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### \*Correspondence:

Zhaofei Wang  
wzfxlzx@sjtu.edu.cn  
Yaxian Yan  
yanyaxian@sjtu.edu.cn

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Mastitis caused by *Escherichia coli* (*E. coli*) remains a threat to dairy animals and impacts animal welfare and causes great economic loss. Furthermore, antibiotic resistance and the lagged development of novel antibacterial drugs greatly challenge the livestock industry. Phage therapy has regained attention. In this study, three lytic phages, termed vB\_EcoM\_SYGD1 (SYGD1), vB\_EcoP\_SYGE1 (SYGE1), and vB\_EcoM\_SYGMH1 (SYGMH1), were isolated from sewage of dairy farm. The three phages showed a broad host range and high bacteriolytic efficiency against *E. coli* from different sources. Genome sequence and transmission electron microscope analysis revealed that SYGD1 and SYGMH1 belong to the *Myoviridae*, and SYGE1 belong to the *Autographiviridae* of the order *Caudovirales*. All three phages remained stable under a wide range of temperatures or pH and were almost unaffected in chloroform. Specially, a mastitis infected cow model, which challenged by a drug resistant *E. coli*, was used to evaluate the efficacy of phages. The results showed that the cocktails consists of three phages significantly reduced the number of bacteria, somatic cells, and inflammatory factors, alleviated the symptoms of mastitis in cattle, and achieved the same effect as antibiotic treatment. Overall, our study demonstrated that phage cocktail may be a promising alternative therapy against mastitis caused by drug resistant *E. coli*.

**Keywords:** cow mastitis, *Escherichia coli*, phage cocktails, therapy, drug resistant

## INTRODUCTION

Mastitis (intramammary inflammation) remains a devastating disease in dairy animals worldwide. It adversely threatens the health of udder, decreases the quality and production of milk, impedes the growth of bovine, increases rearing and prevention costs, and negatively impacts animal welfare (Khan et al., 2021). The incidence of clinical mastitis in China from 2015 to 2017 was estimated to range between 0.6% and 18.2% monthly among seven farms, which cost 12000 to 76000 USD/farm/month (He et al., 2020).

Mastitis usually caused by *Escherichia coli* (*E. coli*), *Streptococcus uberis*, *Staphylococcus aureus*, and *Klebsiella pneumonia* (Bar et al., 2008; Klaas and Zadoks, 2017; Machado and Bicalho, 2018).

Bacterial culture was done on milk samples from 161 large Chinese (>500 cows) dairy farms, the most frequently isolated pathogens were *Escherichia coli* (14.4%), *Klebsiella* spp. (13.0%), coagulase-negative staphylococci (11.3%), *Streptococcus dysgalactiae* (10.5%), and *Staphylococcus aureus* (10.2%) (Gao et al., 2017). Among the pathogens, *E. coli* is the most common Gram-negative bacteria causing acute clinical mastitis in dairy cows during early lactation (Cortinhas et al., 2016). During *E. coli* mastitis, the common visible symptoms of the affected part include the complete udder showing redness, swelling, pain upon touch, and the highest loss of milk or abnormal milk. Also, the cows become anorexic and pyrexial, thereby resulting in low production of milk (Khatun et al., 2013b). Additionally, *E. coli* mastitis incurs subclinical phenotypes, including an increase in somatic cell count (SCC) in milk (Abdi et al., 2021) and the production of proinflammatory cytokines in blood (Cobirka et al., 2020). Currently, several measures to control mastitis have been taken, such as antibiotic therapy (Mcdougall et al., 2019), antimicrobial peptides (Gurao et al., 2017), bacteriophage therapy (Ngassam-Tchamba et al., 2020), probiotics (Pellegrino et al., 2018), and nanoparticle-based therapy (Orellano et al., 2019). Among them, because of their remarkable effectiveness, antibiotics are the most common method (Gomes and Henriques, 2016). However, due to the abuse of antibiotics, multidrug-resistance bacteria have emerged which is projected to be the greatest challenges of the 21<sup>st</sup> century (Streicher, 2021).

Bacteriophages (referred to hereafter as phages) are a group of viruses that can infect and kill bacteria at the end of their lytic cycle (Rehman et al., 2019). Phages and their bacterial hosts coexist and coevolve in various environments, such as soil, oceans, freshwater, humans, and animals (Zalewska-Pitek and Pitek, 2020). Phages are the most abundant entities on earth, with approximately  $10^{31}$  individual particles, which outnumber their hosts by 10 to 100 fold, depending on the environment, seemingly keeping an endless equilibrium state (Moelling et al., 2018; Zalewska-Pitek and Pitek, 2020). Further, interest in phage therapy began when a 'bacteriolytic agent' was discovered, and phage treatments were quickly applied to humans at the beginning of the 20<sup>th</sup> century (Chanishvili, 2012). Phage preparations remain in use and commercially available today in many countries, such as Georgia and Russia (Kutter et al., 2010). Although little progress has been made in phage research, phage therapy has been renewed due to the threat of multidrug-resistant bacterial infection and the advantages of phages, including specificity, efficacy, harmlessness to humans, and diversity (Clara, 2018; Aslam and Schooley, 2019). To increase efficacy, phages can be used alone, as cocktails, or synergistically with other antimicrobials *in vitro* or *in vivo* (Melo et al., 2020). Unprecedentedly, researchers have used a cocktail of three engineered phages to a 15-year-old patient who developed cystic fibrosis with *Mycobacterium abscess* infection, and the patient's clinical conditions improved without adverse reactions (Dedrick et al., 2019). A 63-year-old female patient suffering recurrent urinary tract infection (UTI) caused by drug-resistant *Klebsiella pneumoniae* (ERKp) was treated with antibiotic and

phage cocktail. After treatment, the patient successfully combated UTI and was cured (Bao et al., 2020). Hence, phage therapy is an attractive approach for treating multidrug-resistant organisms.

To evaluate the efficiency and potential of the phage cocktail in treating cow mastitis, we isolated *E. coli* phages from dairy farm sewage. To learn more details, we further tested the spectra, efficiency of plating (EOP), and biological properties of three phages. We also identified their morphology and sequenced their genome. Finally, we evaluated their potential as phage therapy candidates in cows with mastitis caused by *E. coli* infection. This study expands our knowledge of the phage genome and highlights that phages have great potential as therapeutic adjuncts to relieve the embarrassing situation of antibiotic resistance.

## MATERIALS AND METHODS

### Ethics Statement

The animal experiments were carried out according to animal welfare standards and approved by the Ethical Committee for Animal Experiments of Shanghai Jiao Tong University, China. All animal experiments complied with the guidelines of the Animal Welfare Council of China.

### Bacterial Strains and Culture Conditions

Eighteen *E. coli* (12 Food-borne strains and six strains isolated from the milk of dairy cows with mastitis) and two *E. coli* reference strains of MC1061 and MG1655 from the American Type Culture Collection (ATCC) were used in this study were stored in our laboratory (Table 1). Bacteria were cultured at 37°C in Luria-Bertani (LB) broth in a shaker at 200 rpm. The double-layer plate for purifying the phages was prepared by solid media containing LB and soft agar overlays, containing 0.75% agar into the LB broth agar (1.5%). Also, 5% sheep blood agar containing ampicillin (100 µg/mL) was used to determine the number of CFU/mL in milk sample.

### Antibiotic Susceptibility Testing

All strains used in this study were subjected to antibiotic susceptibility testing against ampicillin, cefepime, gentamicin, kanamycin, ciprofloxacin, norfloxacin, and meropenem using the Kirby Bauer disc diffusion method. Briefly, bacteria were grown to an optical density at 600 nm ( $OD_{600}$ ) of 0.4 to 0.6 in 3 mL LB, and 200 µL of each culture was swabbed on the surface of LB agar plates. Antibiotic discs (Beijing Pronade technology co., LTD, Beijing, China) were placed on the swabbed culture incubated for 16 to 18 h at 37°C, following which the inhibition zone was measured, and each strain was determined as resistant/intermediate/sensitive to each antibiotic tested following the chart provided by the manufacturer.

### Isolation of Phages

Phages were isolated from the sewage of the different dairy farms in Shanghai using a traditional method, as described previously, with some modifications (Wang et al., 2016). Briefly, all samples

**TABLE 1** | The host range and EOP of vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1.

Bacterial No.	Source <sup>a</sup>	vB_EcoM_SYGD1		vB_EcoM_SYGE1		vB_EcoM_SYGMH1	
		Spot test <sup>b</sup>	EOP <sup>c</sup>	Spot test	EOP	Spot test	EOP
MG1655	I	—	—	++	0.79 ± 0.03	—	—
MC1061	I	++	1.00	++	1.00	++	1.00
DG03512	II	++	0.66 ± 0.03	+	0.07 ± 0.00	++	0.20 ± 0.02
Min27	II	++	0.94 ± 0.02	++	0.40 ± 0.02	++	1.19 ± 0.02
ECS1	II	+	0.10 ± 0.01	+	0.11 ± 0.01	++	0.34 ± 0.02
ECS2	II	—	—	—	—	—	—
ECS3	II	++	0.36 ± 0.02	++	0.26 ± 0.01	+	0.09 ± 0.01
ECS4	II	—	—	—	—	—	—
ECS5	II	++	0.29 ± 0.02	++	0.29 ± 0.02	++	0.31 ± 0.02
ECS6	II	++	0.27 ± 0.03	++	0.30 ± 0.02	++	0.21 ± 0.02
ECS7	II	+	0.02 ± 0.00	+	0.21 ± 0.02	++	0.30 ± 0.02
ECS8	II	++	0.74 ± 0.03	++	0.41 ± 0.01	++	0.49 ± 0.03
ECS9	II	++	0.48 ± 0.04	++	0.28 ± 0.01	++	0.26 ± 0.00
ECS10	II	—	—	++	0.33 ± 0.02	—	—
ECD1	III	+	0.11 ± 0.02	+	0.19 ± 0.02	+	0.24 ± 0.02
ECD2	III	++	0.42 ± 0.02	++	0.49 ± 0.01	++	0.62 ± 0.06
ECD3	III	—	—	+	0.21 ± 0.02	—	—
ECD4	III	—	—	+	0.17 ± 0.01	—	—
ECD5	III	—	—	—	—	—	—
ECD6	III	—	—	—	—	—	—

<sup>a</sup>I, purchased from American Type Culture Collection; II, hospital-acquired strains; III, clinically-isolated strains from the milk of dairy cows with mastitis.

<sup>b</sup>++, clear plaque; +, hazy plaque, and -, no plaque.

<sup>c</sup>EOP, efficiency of plating (EOP = phage titre on test bacterium/phage titre on strains MC1061). The EOP values are shown as the mean of the three repeats ± SD. -, no plaque on target bacterium.

were centrifuged at 5,000 g for 20 min at 4°C, and the debris of supernatants was removed through the 0.22 µm microporous membrane and stored at 4°C. The pre-filtered samples were co-cultured with logarithmic phase DG03512, MG1655, and Min27 host strains for 12 h at 37°C before re-filtering through a 0.22 µm membrane filter to discard bacteria and their debris. The enriched phage suspensions were mixed with host strains (mid-log phase, OD<sub>600</sub> = 0.4 to 0.6), and the mixture was added to 10 mL soft agar (0.75% agar) before pouring on top of an LB agar plate (1.5% agar). The plates were cultured at 37°C for 12 h until plaques were observed. To gain pure phage lysate, a single plaque was picked, and the above process was repeated following three successive times purification. Finally, phages were stored at 4°C or -80°C.

Phage particles were concentrated and purified by polyethylene glycol (PEG) precipitation and CsCl step gradients (Baker et al., 2006). Briefly, 200 mL of exponential-phase indicator bacteria was infected with phage stocks for 5 h at 37°C with shaking, and the supernatant lysates were collected by centrifuging at 10,000 g for 10 min before filtering through a 0.22 µm filter. To precipitate the phage particles, PEG 8000 was added at 10% final concentration to the supernatant, the mixture was incubated overnight at 4°C with constant shaking before centrifuging at 10000 g for 20 min, and the supernatant was removed. The resulting pellets were re-suspended in SM buffer (100 mM NaCl, 10 mM MgSO<sub>4</sub>·7H<sub>2</sub>O, and 50 mM Tris-HCl pH 7.5). After the addition of 0.5 g/mL CsCl, the mixture was layered on top of CsCl step gradients (densities of 1.15, 1.45, 1.50, and 1.70 g/mL) in Ultra-Clear centrifugation tubes and centrifuged at 28,000 × g for 2 h at 4°C, dialyzed in SM buffer. Phages were stored at 4°C or -80 °C for further experiments.

## Determination of the Lytic Activity of the Phages Against Clinical Isolates of *E. coli*

The infection and lysis capacity of phages were determined using the spot test method, as previously described (Shahin et al., 2020). Briefly, 100 µL of 20 exponential growth strains were mixed evenly with 10 mL semisolid LB medium and overlaid on the LB Agar (1.5% agar). Also 5 µL of undiluted phage suspensions (~10<sup>7</sup> PFU/mL) were dropped on the culture surface. The plates were incubated at 37°C overnight, and a clear zone at the spot area indicated bacterial susceptibility to the phage. EOP, the ratio of the phage titer on the test strain to the phage titer obtained from the reference bacteria, was performed using the double-layer agar method. The reference strains for all isolated phages were MC1061. The experiment was performed in triplicate, and the results were recorded as the EOP mean ± standard deviation (SD). Finally, the EOP of each phage was classified as high (EOP ≥ 0.5), medium (0.1 ≤ EOP ≤ 0.5), low (0.001 < EOP < 0.1), and no lysis (EOP ≤ 0.001) (Shahin et al., 2021).

## DNA Extraction and Sequencing

Phage DNA was extracted from 10 mL concentrated phage suspensions (10<sup>10</sup> PFU mL<sup>-1</sup>) using phenol-chloroform extraction and ethanol precipitation methods (Wang et al., 2016). Subsequently, Illumina MiSeq system was used for phages whole genome analysis. Sequence alignments were carried out using the Accelrys DS Gene software package of Accelrys Inc. (USA). Putative open reading frames were suggested using the algorithms of the software packages Accelrys Gene v2.5 (Accelrys Inc.) and ORF Finder (NCBI). Identity values were calculated using different BLAST algorithms (<http://www.ncbi.nlm.nih.gov/BLAST/>) at the NCBI homepage.

The sequences of phages were submitted to the NCBI. tRNA coding regions were searched using tRNAscan-SE 2.0 (Lowe and Chan, 2016).

## Morphological Characterization

Purified phage particles ( $10^9$  PFU/mL) were placed onto carbon-coated copper grids and negatively stained with 2% (w/v) uranyl acetate (pH 6.7) for 10 min. Finally, the purified viral particle morphology was examined using a FEI TEM Tecnai G2 Spirit Biotwin (FEI, Hillsboro, US) at an accelerating voltage of 120 kV.

## One-Step Growth Curve

The one-step growth curves of phages were determined as previously reported (Yang Z. et al., 2019). Briefly, mid-exponential phase *E. coli* DG03512, MG1655, and Min27 were infected with vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1 at a multiplicity of infection (MOI) of 10, 1, 0.1, 0.01, 0.001, and 0.0001, respectively. After incubation for 4 h, the optimal MOIs of SYGD1, SYGE1, and SYGMH1 were determined using the double-layer agar method. For one-step growth experiments, host cells grew to an exponential phase and were infected with phages at the optimal MOI. After absorption for 10 min at 37°C, the cultures were centrifuged for 1 min at 13000 g to remove the unabsorbed phages. The pellets were washed with an LB medium, followed by resuspension in an equivalent LB medium. The cultures were grown at 37°C with shaking at 160 rpm. Samples were taken every 10 min (up to 120 min), and the number of phage particles was quantified using the method described above. The LB medium was only used as a control, and all experiments were conducted in triplicate.

## Biological Characteristics of Phages

The procedures were performed as described previously, with modifications (Lu et al., 2017). To determine the thermostability of the three phages, phage suspensions at  $10^8$  PFU/mL were subjected to different temperatures (25°C, 37°C, 45°C, 50°C, 60°C, and 70°C) for 1 h. To evaluate the stability of the phage at different pH levels, phage suspensions were incubated in an LB broth adjusted to pH values ranging from 2 to 12 with HCl or NaOH for 1 h. Additionally, the ultraviolet (UV) sensitivity of phages was determined by exposing the phage suspensions at 35 cm under a UV light (30 W), and 100  $\mu$ L aliquots were collected every 15 min until 75 min. The sensitivity of phages to chloroform was examined by mixing phage suspensions with different concentrations (5%, 25%, 50%, and 75%) of chloroform, respectively, and shaking vigorously, then incubated at 37°C for 30 min. The mixtures were centrifuged at 800 rpm for 15 min, and the hydrophilic layer was collected. The phage suspensions were diluted serially with  $1 \times$  SM buffer, and phage titers were calculated using double-layer agar methods. All tests were performed in triplicate.

## Determination of Endotoxins of Phage Cocktails

To improve the safety of the phage, an affinity matrix of modified polymyxin B (PMB) (GenScript, Nanjing, China) was used to remove phage cocktail endotoxins. Furthermore, the endotoxin

levels of the phage cocktails were evaluated by colorimetric method following the recommendations of the manufacturer (GenScript). The end-product was measured spectrophotometrically in a microplate reader.

## The Treatment of Cow Mastitis Caused by *E. Coli* Using Phage Cocktails

The antibacterial activity of phage cocktails was evaluated in cows. We selected eight milk-secreting Holstein heifers (4–5 years old, 3–5 months after calving), and they were randomly divided into four groups, with two animals each. Three groups of cows were intramammary challenged with 60 CFU ECD2 suspended in 1 mL of pyrogen-free phosphate buffer saline PBS (Khatun et al., 2013a). After 24 h of inoculating *E. coli*, milk and blood samples were collected and analyzed immediately. Phage cocktails containing SYGD1, SYGE1, and SYGMH1 were prepared by mixing the three phages at a 1:1:1 ratio with a primary concentration of about  $10^{10}$  PFU/mL, respectively. Finally, the mixture was diluted 100 times using (PBS) for therapy. One group was intramammary treated with 5 mL ceftiofur sodium (600 mg/mL). The second group was intramammary injected with 5 mL phage cocktails ( $1 \times 10^8$  PFU/mL). The third group was intramammary treated with 5 mL PBS alone. All treatments were administered once a day for three consecutive days. The fourth group, as a control group, was neither challenged nor treated. The eight animals were kept separately during the trial and monitored every day. After three-day treatment, milk and blood samples were collected and detected for three consecutive days.

## Bacteriological Loading and Somatic Cell Count (SCC)

Milk samples were collected at 0 day before treatment and 4, 5, and 6 days after the beginning of the treatment from each individual quarter into 50 mL sterile tubes. Serial 10-fold dilutions of each milk sample were made under aseptic conditions by pipetting the sample into sterile PBS, and three 10  $\mu$ L drops were plated on 5% sheep blood agar containing 100  $\mu$ g/mL of ampicillin because of the resistance of ECD2 to ampicillin, and count colony was started after 24-hour incubation at 37°C. SCC of each milk sample was determined using a cell counter immediately after collection. Briefly, 10  $\mu$ L of diluted fresh milk samples were thoroughly mixed with Trypan Blue and spread on a cell-counting plate.

## Determination of Inflammatory Cytokine Levels in Serum

Blood samples were collected on the sixth day in 9 mL blood tubes stabilized with 50 to 200 IU of Na-heparin and immediately placed on ice for inflammatory biomarker analysis. A hot water bath (50°C) was used to evaporate the extracted solution. The blood tubes were centrifuged at 2,000 g at 4°C for 20 min. Serum was collected and stored at –20°C until used. Concentrations of inflammatory biomarker interleukin (IL)-1 $\beta$  and tumor necrosis factor (TNF)- $\alpha$  were measured via an enzyme-linked immunosorbent assay kit (ELISA kit) (Abcam, England) following the manufacturer's recommendations.



## Statistical Analysis

All statistical analyses, unless otherwise stated, were executed using GraphPad Prism 8 (Graph Pad Software, Inc., La Jolla, CA). Error bars in graphs show the standard error of the mean ( $\pm$  SEM). The unpaired t-test was applied to compare the differences between each two groups. Most assays were performed in triplicate, and the data were expressed as the mean of three independent experiments. Statistical significance was considered as  $p < 0.05$ .

## Data Availability

The whole-genome sequences of vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1 can be found in the GenBank Access Code MW883059, MW883060 and MW883061.

## RESULTS

### Phage Isolation and Host Range Determination

In this experiment, three samples from different dairy farms in Shanghai were detected to isolate the phages. Using DG03512, MG1655, and Min27 as host strains, we successfully isolated three phages that could form clear plaques in the lawn of their host cells, and phages were named vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1, respectively. Spot assay showed that SYGD1 and SYGMH1 had a wide host range with a similar spectrum (12 of 20, 60%), and SYGE1 could lyse more strains (16 of 20, 80%) (**Table 1**). In particular, 90% strains were resistant to two or more antibiotics (**Table 2**). EOPs assay

(**Table 1**) revealed that SYGE1 had higher production levels of progeny phages compared to SYGD1 and SYGMH1. The high and medium EOPs levels that is, the ratio of titers  $\geq 0.1$ , of the former phage were observed in about 93.8% of tested strains; SYGD1 and SYGMH1 was 91.2% (11 out of 12 strains).

### Phage Morphology and Characteristics of the Phage Genome

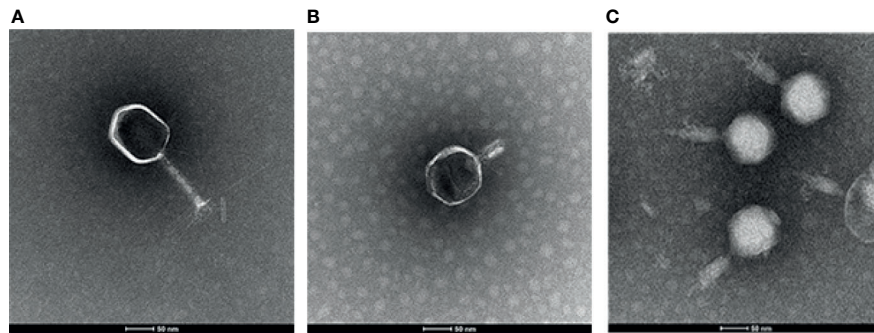
To determine the morphological characteristics of phages, the purified viral particles were stained, and TEM was performed. As shown in **Figure 1**, SYGD1 (**Figure 1A**) and SYGMH1 (**Figure 1C**) displayed a highly similar appearance with a prolate icosahedral head about 50–60 nm in diameter and a long tail with a length of nearly 100 nm and a width of about 10 nm surrounded by a helical sheath and long-tail fibers attached to the baseplates, similar to the *Myoviridae* family of the order *Caudovirales*. Phage SYGE1 (**Figure 1B**) was smaller than the other two phages with a short tail of about 10 nm, and had a head diameter of 30–40 nm which was typical for *Autographiviridae* phages. Genome sequencing analysis revealed that SYGD1, SYGE1, and SYGMH1 comprised 171.3 kb, 39.7 kb, and 137.4 kb, with G + C content of 35.33%, 48.69%, and 43.56%, as well as 271, 46, and 205 proposed open reading frames (ORFs), respectively. There were 8 and 6 tRNAs in the genome of SYGD1 and SYGMH1, respectively. No ORFs encoding tRNA were found in SYGE1 by analysis with tRNAscan-SE 2.0 (details were shown in **Supplementary Tables S4, S5**). The genome of phage SYGE1 were flanked with terminal repeats with a size of 170 bp. All of them comprised a typical modular format, including DNA replication and modification, structural components and DNA packaging, tail structural components

**TABLE 2** | Antibiotic susceptibility profiles of *E. coli* strains used in this study.

Strains	AMP	FEP	GEN	KM	CIP	NOR	MEM
MG1655	Resistant	Resistant	Sensitive	Sensitive	Sensitive	Sensitive	Sensitive
MC1061	Resistant	Sensitive	Resistant	Intermediate	Intermediate	Resistant	Resistant
DG03512	Resistant	Sensitive	Resistant	Intermediate	Resistant	Resistant	Resistant
Min27	Resistant	Sensitive	Sensitive	Sensitive	Sensitive	Sensitive	Sensitive
ECS1	Resistant	Sensitive	Intermediate	Intermediate	Sensitive	Intermediate	Intermediate
ECS2	Resistant	Sensitive	Resistant	Resistant	Sensitive	Sensitive	Sensitive
ECS3	Resistant	Sensitive	Sensitive	Intermediate	Intermediate	Sensitive	Sensitive
ECS4	Resistant	Sensitive	Resistant	Resistant	Intermediate	Sensitive	Sensitive
ECS5	Resistant	Sensitive	Intermediate	Resistant	Resistant	Sensitive	Sensitive
ECS6	Resistant	Sensitive	Intermediate	Resistant	Resistant	Sensitive	Sensitive
ECS7	Resistant	Sensitive	Resistant	Intermediate	Sensitive	Sensitive	Sensitive
ECS8	Resistant	Sensitive	Sensitive	Intermediate	Intermediate	Intermediate	Intermediate
ECS9	Resistant	Sensitive	Sensitive	Sensitive	Sensitive	Sensitive	Sensitive
ECS10	Resistant	Resistant	Sensitive	Sensitive	Sensitive	Sensitive	Sensitive
ECD1	Resistant	Sensitive	Resistant	Sensitive	Intermediate	Resistant	Resistant
ECD2	Resistant	Resistant	Resistant	Resistant	Intermediate	Resistant	Resistant
ECD3	Resistant	Resistant	Resistant	Intermediate	Sensitive	Sensitive	Sensitive
ECD4	Resistant	Resistant	Resistant	Intermediate	Resistant	Sensitive	Sensitive
ECD5	Resistant	Resistant	Resistant	Intermediate	Resistant	Sensitive	Sensitive
ECD6	Resistant	Resistant	Resistant	Intermediate	Resistant	Sensitive	Sensitive

Resistant.  
Intermediate.  
Sensitive.

AMP, Ampicillin; FEP, Cefepime; GEN, Gentamicin; KM, Kanamycin; CIP, Ciprofloxacin; NOR, Norfloxacin; MEM, Meropenem.



**FIGURE 1** | Images of phage particles by transmission electron microscopy. **(A)** vB\_EcoM\_SYGD1. **(B)** vB\_EcoP\_SYGE1. **(C)** vB\_EcoM\_SYGMH1 (scale bar was 50 nm).

and host cell lysis (**Figure 2**). Furthermore, the BLAST analysis revealed that SYGD1 and SYGMH1 belonged to T4-like phage (**Table 2**), which showed relatively high homology (about 95%–97%) to NCBI database published T4-like phage (**Supplementary Tables S1, S3**). SYGE1 belonged to a T7-like phage, which showed partial homology (about 95%) to published T7-like phage (**Supplementary Table S2**). There are no homologs of known harmful genes, such as virulence, antibiotic resistance genes, or lysogenic genes, in the genome of phages. Thus, SYGD1, SYGE1, and SYGMH1 were considered lytic phages.

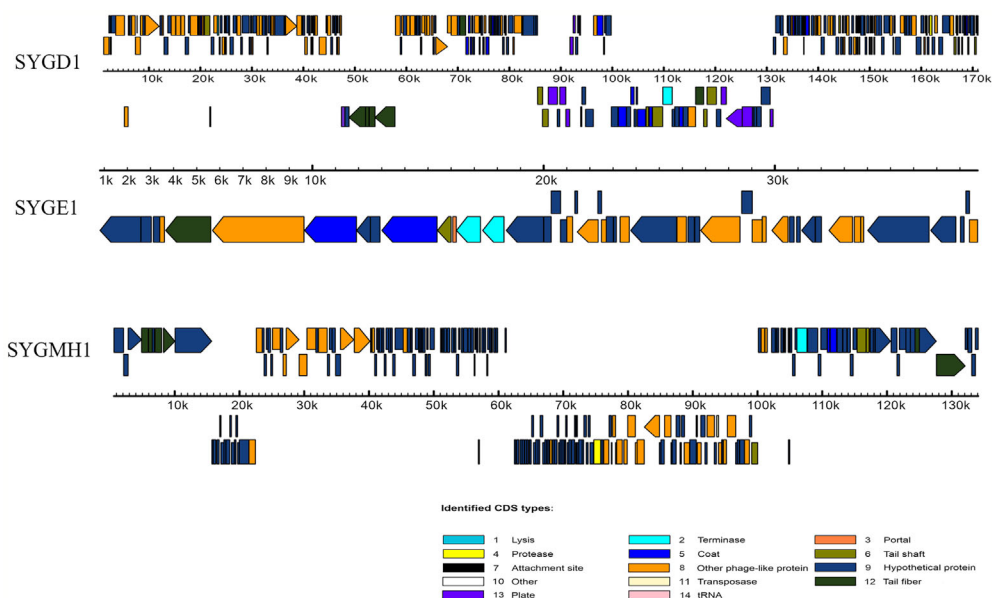
### Determination of One-Step Growth Curve

From **Figure 3A**, SYGD1 and SYGE1 infected their host strains at an MOI of 0.01, generating the highest phage titer, while the

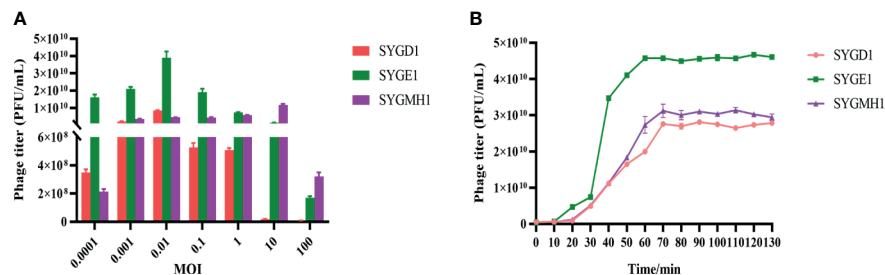
SYGMH1 optimal MOI was 10. From **Figure 3B**, the one-step growth curve of SYGD1 and SYGMH1 had similar characteristics, that both of them had latent period of about 20 min with almost no release of progeny phages and entered about 50 min and 70 min lysis period with a burst size of 52 and 58 PFU/cell, respectively. SYGE1 exhibited a relatively shorter latent period was about 10 min and the burst size was estimated as 129 PFU per infected cell.

### Biological Characteristics of Phages

To examine the characteristics of the three phages, we analyzed their sensitivity to temperature, pH, UV, and chloroform. From **Figure 4A**, SYGD1, SYGE1, and SYGMH1 had similar thermostability under 25°C–37°C; with an increase in temperature, the activity of phage decreased gradually. When the



**FIGURE 2** | Genome features of vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1 and vB\_EcoM\_SYGMH1. Their predicated ORFs and their orientations are represented by arrows. The function modules are shown in different colors.



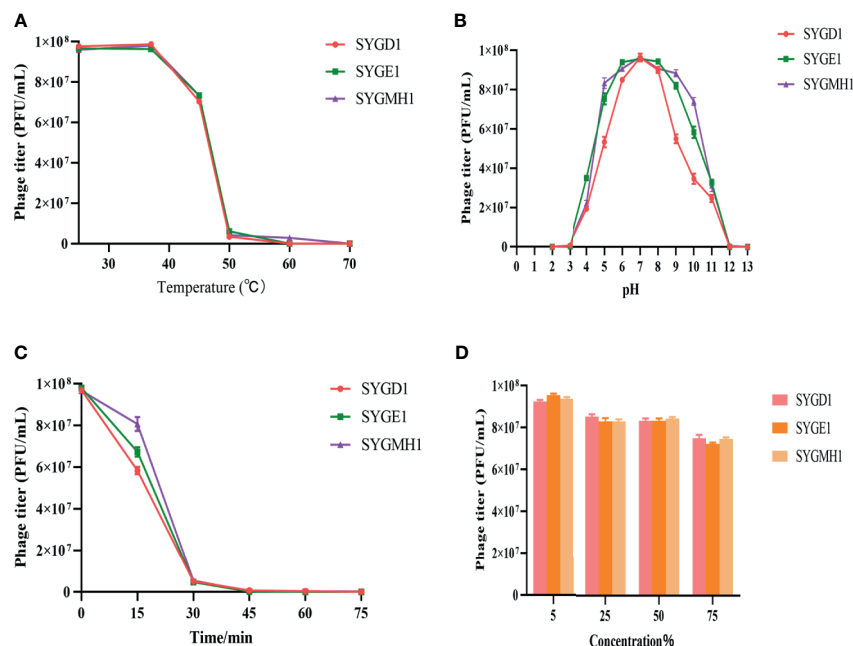
**FIGURE 3** | The optimal MOI and one-step growth curve of phages. **(A)** vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1 infected their host strain at an MOI of 0.01 or 10, reaching their peak titer, indicating the most suitable concentration for lysing bacteria. **(B)** SYGD1, SYGE1, and SYGMH1 infected their host strains at the optimal MOI. The supernatants were harvested at 10 min intervals post-infection, and titers were determined using the double-layer method.

temperature reached 60°C, the phage titer decreased significantly, especially SYGD1, with only 3.4% survival. The activity of the three phages was completely lost after incubation at 70°C. Comparing, higher temperature inactivated the phages, but SYGMH1 had a relatively good resistance to temperature than other two phages. The pH sensitivity test showed that the three phages survived over a broad pH range (3–10), and pH 5–9 was their optimal growth condition, but became inactivated when pH was below 5 or above 10, indicating that the three phages were sensitive to strong acid or alkali (**Figure 4B**). From **Figure 4C**, all the phages were sensitive to UV radiation, and their activity decreased sharply after short exposure. Although the chloroform sensitivity test suggested that the activity of phages decreased with the prolongation of incubation time or the increase in chloroform concentration, it

was not significant, indicating that phages were tolerant of chloroform (**Figure 4D**).

### Phage Therapy Alleviates CFU Loads and Inflammatory Response *In Vivo*

The lipopolysaccharide of Gram-negative bacteria is always recognized by host cell receptors (such as TLR-4), which is one of the induced factors of pathogenesis for mastitis (Griesbeck-Zilch et al., 2008; Bhattarai et al., 2018). The endotoxins of crude phages were higher up to 8 EU/mL. After CsCl purification, the endotoxins were reduced the amounts to 0.48 EU/mL (data not shown), which is a safe level for animal experiments (Bonilla and Barr, 2018; Luong et al., 2020). Cows were intramammary infused with phage cocktails or antibiotics



**FIGURE 4** | Stability of vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1 under various conditions. **(A)** Temperature. **(B)** pH stability. **(C)** UV radiation stability. **(D)** Chloroform sensitivity. Survived phage particles were determined by double-layer tests. Error bars show the SEM among triplicate samples.

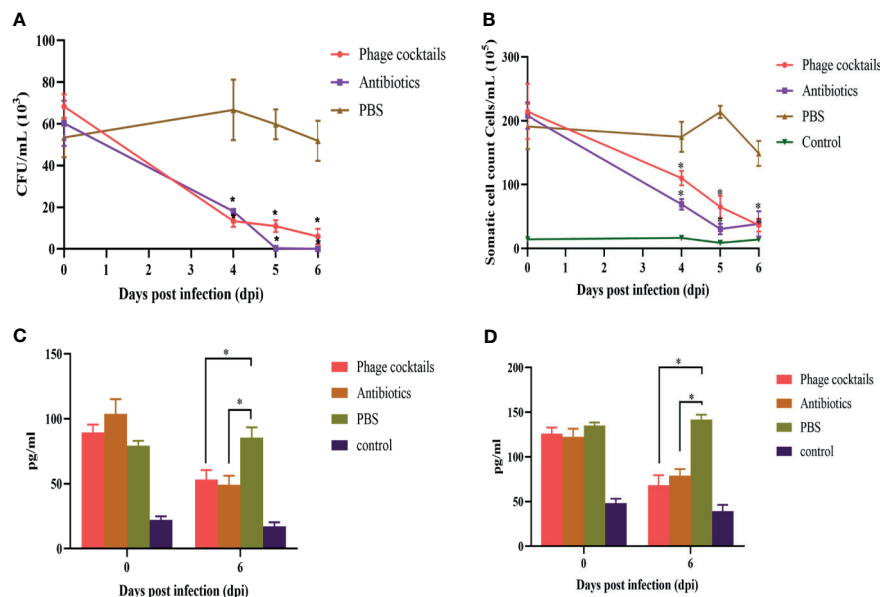
for three consecutive days after infection. From **Figure 5A**, PBS-treated group showed high bacterial loads at 4 day after infection, approximately  $6 \times 10^4$  CFU/mL. However, compared to the untreated groups, both phage-treated and antibiotic-treated groups showed statistically significant decreases in CFU burden at all-time points. On the sixth day, the antibiotic or phage-treated groups almost could not detect bacteria. Milk SCCs were estimated once collected. High SCC values indicated a high prevalence of subclinical mastitis. From **Figure 5B**, cows treated with phage cocktails and antibiotics had lower SCC values than untreated cows. On the sixth day, the SCC values of the treated groups were basically the same as those of the healthy groups after three-day treatment. These results demonstrate that the quality of milk is efficiently enhanced through phage therapy. Analysis of cytokines showed that cows with mastitis significantly increased the levels of IL-1 $\beta$  and TNF- $\alpha$ . The cytokine in the phage and antibiotic-treated groups revealed a pattern of decreased proinflammatory markers after three-day treatment, indicating that phage therapy can alleviate inflammatory reaction (**Figures 5C, D**).

## DISCUSSION

Recently, *E. coli* has become the most important pathogen inducing cow mastitis, mainly causing high fever or lower milk production, even resulting in lethal consequences (Fazel et al., 2019; Guerra et al., 2020). Also, *E. coli* is an important antibiotic-resistant priority pathogen and can disseminate

resistant genes in a process known as ‘horizontal transfer’ into other microbial communities (Jianying et al., 2008). Therefore, with the increase in antibacterial-resistant bacteria and discharge of antibiotics to the environment prompted scientists to explore alternative treatments.

Phage therapy is currently considered an efficient and suitable replacement for antibiotic therapy due to its specificity, safety, productive potential and lower economic burden (Mathieu et al., 2019; D’Accolti et al., 2021). Environmental source contains abundant phages which are able to lyse their target and the successful phage isolation is greatly facilitating the understanding of the ecology and the development of phage therapy (Gill and Hyman, 2010). In the present study, we isolated and characterized three phages, vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1, with broad host spectrum. The major advantages of phage therapy are specificity and strong bactericidal activity. However, Costa et al. have reported that *E. coli* can develop resistance to phage at a short time within 12 h (Costa et al., 2019). To overcome this disadvantage, phage cocktail comprising multiple phages may be considered an efficacy treatment modality (Costa et al., 2019; Pires et al., 2020). In our study, the three phages showed a high EOP against drug-resistant bacteria (EOP  $\geq 0.1$ ), which displayed the same or higher antibacterial efficacy as other reported lytic *E. coli* phage *in vitro* (Tolen et al., 2018; Montso et al., 2019). In addition, they had a broad host spectrum and could lyse *E. coli* strains with different degrees of antibiotic resistance. Compared with the other two phages, SYGE1 could lyse more strains (16 of 20, 80%), which indicated that phage



**FIGURE 5 |** Antibacterial efficacy of phage cocktails against mastitis induced by *E. coli*. Cows challenged by *E. coli*-induced mastitis were intramammarily infused with phage cocktails containing vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1, and the bacteria load (**A**) and SCC (**B**) of milk samples were detected after three-day treatment, every 24 h. Concentrations of IL-1 $\beta$  (**C**) and TNF- $\alpha$  (**D**) in the sera of cows before treatment (0 day) and on the sixth day after beginning of treatment were measured using indirect ELISA. Data were analyzed using GraphPad Prism v 8.0 software. \*Indicate significant differences between phage cocktails or antibiotics and untreated groups, as calculated by *t*-tests ( $p < 0.05$ ).



cocktails could lyse a wider range of hosts than a single phage. The phage multiplication stages in a life cycle were divided into attachment, adsorption, injection, biosynthesis, maturation, assembly, and lysis (Yang et al., 2019). The parameters of phage life cycle play a significant role in determining both *in vitro* and *in vivo* phage antibacterial activities, because phage multiplication is directly proportional to reduction in bacteria (Manohar et al., 2019). In this study, SYGD1 had a growth profile with the latent period of 20 min and burst size of 51.7 PFU/cell. SYGE1 and SYGMH1 had a latent period of 10 min which was shorter than earlier studies and also had a high burst size (Dalmasso et al., 2016; Manohar et al., 2019). The three phages had different latent period and higher productive potential indicates they could deliver sufficient infective phages where problematic bacteria appear and achieve the success of microbial control in the form of phage cocktail (Pires et al., 2020).

Understanding the genetic information of phage is essential for the safe and efficient clinical application (Shahin et al., 2020). All three isolated phages lacked harmful genes, such as lysogenic genes, antimicrobial resistance, and bacterial virulence, suggesting that they met the first criterion for phage therapy (Shahin et al., 2020). Moreover, the genomes of all the three phages showed 90% similarities to the already existing phage genomes in the database indicating that there is abundance of these phages in the environment and is valuable to study the interaction of phages and their host for therapeutic purpose (Manohar et al., 2019). Phages may be inactivated by various environmental stresses before reaching the target bacteria (Pires et al., 2020). The stability of phage preparations is a key requirement for successful treatment. In our study, SYGD1, SYGE1, and SYGMH1 were found to be relatively stable at various temperatures, pH values, and chloroform values, meaning that these three phages could be potential phage candidates for therapy.

Extensive clinical research and studies on bacteriophages and phage therapy could put forth phage therapy as one of the alternative treatment against 'superbug' infections (Manohar et al., 2019). However, a single phage therapy is easier to develop its resistance cells (Kaabi and Musafer, 2020). So, the phage mixtures could effective to solve this problem. As expected, our results, which was similar to most reported treatment effects of phage cocktails, exhibited that the symptoms of animals have improved significantly (Tanji et al., 2005b; Jin et al., 2020; Nale et al., 2021). Furthermore, the innate inflammatory response is the initial stage of infection and is a key factor in protecting the body from infectious pathogens (Khan et al., 2015). In numerous *in vitro* and *in vivo* studies, proinflammatory signals, such as TNF- $\alpha$ , IL-1 $\beta$ , and IL-6, have been implicated in deviating immune responses to infection with *S. aureus* and *E. coli* (Bannerman et al., 2004). In our study, the cows' blood had high concentrations of IL-1 $\beta$  and TNF- $\alpha$  when infected with *E. coli* which was consistent with early study (Bannerman et al., 2004), but the release of these proinflammatory mediators dropped to a lower level on the third day after three consecutive treatments with phages or antibiotics. Phage therapy achieved the similar therapeutic effect of antibiotics suggesting that phage cocktails could lysis bacteria effectively *in*

*vivo* and reduce the host inflammation. Moreover, the response of the immune system may be more important to the severity of the disease than the infection itself. The cell wall of lipopolysaccharides (LPS) in *E. coli* is a key virulence factor, which induces the upregulation of pro-inflammatory cytokines during the mastitis (Griesbeck-Zilch et al., 2008 and Guha and Mackman, 2001). Notably, *E. coli*, which makes use of LPS as a receptor of phage, generally evades phage infection by mutating genes involved in LPS biosynthesis (Labrie et al., 2010). This change in structure and function of LPS under the pressure of phage infection is usually accompanied by pleiotropic fitness costs which may have negative consequences (such as decrease in virulence) in pathogenic bacterial populations (Burmeister et al., 2020). Although, in this study, it was difficult to distinguish whether the effect is from bacteriolysis of phage or from decrease in pathogenic bacterial populations as mentioned above played the key role during phage therapy, the treatment with a phage cocktail significantly alleviates the symptoms of mastitis in cows. It is clear that SYGD1, SYGE1, and SYGMH1 in the form of phage cocktails could be the candidates of phage therapy.

## CONCLUSION

Overall, antibiotic resistance and the transfer of resistant genes should be considered serious public health issues. Phages are a powerful option for the post-antibiotic era. This study described the isolation and characteristics of three phages of *E. coli* and evaluated their therapeutic effects in cow mastitis caused by drug resistant *E. coli*. We found that these three phages show promise as antimicrobial agents especially when used in a cocktail to significantly reduce the number of bacteria, somatic cells, and inflammatory factors, alleviates the symptoms of mastitis in cattle, and achieves the same effect as antibiotic treatment. It enhanced our knowledge about phage information and increased our confidence in using phage cocktails. Further research on the efficacy of phages in therapeutic applications will be significant.

## DATA AVAILABILITY STATEMENT

The whole-genome sequences of vB\_EcoM\_SYGD1, vB\_EcoP\_SYGE1, and vB\_EcoM\_SYGMH1 can be found in NCBI using accession numbers MW883059, MW883060 and MW883061.

## ETHICS STATEMENT

The animal study was reviewed and approved by The Ethical Committee for Animal Experiments of Shanghai Jiao Tong University. Written informed consent was obtained from the owners for the participation of their animals in this study.

## AUTHOR CONTRIBUTIONS

YY and ZW designed the experiments. ZW, MG, and YG performed the experiments and collected the data. MG, YX,

YL, XZ, and YC collected and analyzed the data. JS, JM, and HW performed critical revision of the article. MG and ZW wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.690377/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Characterisation of Bacteriophage-Encoded Depolymerases Selective for Key *Klebsiella pneumoniae* Capsular Exopolysaccharides

George Blundell-Hunter<sup>1</sup>, Mark C. Enright<sup>2</sup>, David Negus<sup>3</sup>, Matthew J. Dorman<sup>4</sup>, Gemma E. Beecham<sup>1</sup>, Derek J. Pickard<sup>5</sup>, Phitchayapak Wintachai<sup>6</sup>, Supayang P. Voravuthikunchai<sup>6</sup>, Nicholas R. Thomson<sup>4,7</sup> and Peter W. Taylor<sup>1\*</sup>

<sup>1</sup> School of Pharmacy, University College London, London, United Kingdom, <sup>2</sup> Department of Life Sciences, Manchester Metropolitan University, Manchester, United Kingdom, <sup>3</sup> School of Science & Technology, Nottingham Trent University, Nottingham, United Kingdom, <sup>4</sup> Parasites and Microbes Programme, Wellcome Sanger Institute, Hinxton, United Kingdom, <sup>5</sup> Department of Medicine, University of Cambridge, Addenbrooke's Hospital, Cambridge, United Kingdom, <sup>6</sup> Faculty of Science, Prince of Songkla University, Songkhla, Thailand, <sup>7</sup> Department of Infectious and Tropical Diseases, London School of Hygiene & Tropical Medicine, London, United Kingdom

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### \*Correspondence:

Peter W. Taylor  
peter.taylor@ucl.ac.uk

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Capsular polysaccharides enable clinically important clones of *Klebsiella pneumoniae* to cause severe systemic infections in susceptible hosts. Phage-encoded capsule depolymerases have the potential to provide an alternative treatment paradigm in patients when multiple drug resistance has eroded the efficacy of conventional antibiotic chemotherapy. An investigation of 164 *K. pneumoniae* from intensive care patients in Thailand revealed a large number of distinct K types in low abundance but four (K2, K51, K1, K10) with a frequency of at least 5%. To identify depolymerases with the capacity to degrade capsules associated with these common K-types, 62 lytic phage were isolated from Thai hospital sewage water using K1, K2 and K51 isolates as hosts; phage plaques, without exception, displayed halos indicative of the presence of capsule-degrading enzymes. Phage genomes ranged in size from 41–348 kb with between 50 and 535 predicted coding sequences (CDSs). Using a custom phage protein database we were successful in applying annotation to 30–70% (mean = 58%) of these CDSs. The largest genomes, of so-called jumbo phage, carried multiple tRNAs as well as CRISPR repeat and spacer sequences. One of the smaller phage genomes was found to contain a putative Cas type 1E gene, indicating a history of host DNA acquisition in these obligate lytic phage. Whole-genome sequencing (WGS) indicated that some phage displayed an extended host range due to the presence of multiple depolymerase genes; in total, 42 candidate depolymerase genes were identified with up to eight in a single genome. Seven distinct virions were selected for further investigation on the basis of host range, phage morphology and WGS. Candidate genes for K1, K2 and K51 depolymerases were expressed and purified as his<sub>6</sub>-tagged soluble protein and enzymatic activity demonstrated against *K. pneumoniae* capsular polysaccharides by gel electrophoresis and Anton-Paar rolling ball viscometry. Depolymerases completely removed the capsule



in K-type-specific fashion from *K. pneumoniae* cells. We conclude that broad-host range phage carry multiple enzymes, each with the capacity to degrade a single K-type, and any future use of these enzymes as therapeutic agents will require enzyme cocktails for utility against a range of *K. pneumoniae* infections.

**Keywords:** *Klebsiella pneumoniae*, bacteriophage, capsule depolymerase, whole-genome sequencing (WGS), jumbo phage, capsular polysaccharide, alternative antibacterial therapy

## INTRODUCTION

Extracellular polysaccharide and polypeptide capsules are major virulence determinants of Gram-positive and Gram-negative pathogens, aiding colonisation of mucosal surfaces and protecting invasive bacteria from immune recognition and killing by cellular and humoral immune mechanisms during infection of susceptible hosts. They are key contributors to the enormous diversity of bacterial surfaces and provide the interface with their immediate external environment (Roberts, 1996; Mostowy and Holt, 2018). The rising incidence of antibiotic resistance in both nosocomial and community-acquired pathogenic bacteria poses a serious threat to global health, compounded by the paucity of new antibiotics in the drug development pipeline, and has sparked renewed interest in alternative, non-antibiotic modes of treatment for bacterial infections, including the therapeutic use of bacteriophage (Lin D. M. et al., 2017), stimulation of immune cellular functions (Haney and Hancock, 2013) and the development of agents that advantageously modify the antibiotic resistance and virulence of bacterial pathogens (Mellbye and Schuster, 2011; Taylor, 2017).

There is growing evidence that rapid removal of the protective capsule during infection facilitates elimination of the invading pathogen from the host. This approach, which circumvents the consequences of acquisition of genes conferring antibiotic resistance, was initially investigated in the pre-antibiotic era by Dubos and Avery using an enzyme preparation from cultures of a peat soil bacterium to selectively remove the polysaccharide capsule from the surface of type III pneumococci (Avery and Dubos, 1930; Avery and Dubos, 1931; Dubos and Avery, 1931; Goodner et al., 1932; Francis et al., 1934). The enzyme selectively degraded the capsule; administration to mice prior to challenge with type III pneumococci gave rise to type III-specific protection; the enzyme terminated normally fatal type III pneumococcal dermal infection in rabbits and abrogated spread of the pneumonic lesion in infected cynomolgus monkeys. More recently, a depolymerase from an environmental bacterium was shown to prevent lethal infection in mice infected with a highly virulent strain of *Bacillus anthracis* (Negus et al., 2015).

Bacteriophage, predominantly of the *Podoviridae*, *Siphoviridae* and *Myoviridae* families, are a primary source of depolymerase. These enzymes usually manifest as structural proteins such as tail fibres and baseplates but may also be present as soluble proteins during the lytic cycle; they facilitate initial binding to the host bacterium and effect degradation of the capsule to initiate phage infection (Pires et al., 2016; Knecht et al., 2020). These enzymes show promise as putative therapeutic agents. Endosialidase E derived from an *Escherichia coli* K1-specific phage rapidly and

selectively degraded the polysialic acid K1 capsule that enables these bacteria to cause potentially lethal sepsis and meningitis in the neonate (Tomlinson and Taylor, 1985); intraperitoneal administration of the enzyme interrupted the transit of *E. coli* K1 from gut to brain via the blood circulation in colonised neonatal rat pups, abrogated meningeal inflammation and prevented death from systemic infection (Mushtaq et al., 2004; Zelmer et al., 2010; Birchenough et al., 2017). Other investigations have also shown that phage-derived depolymerases have wide utility. For example, phage-encoded capsule depolymerase sensitised extensively drug-resistant *Acinetobacter baumannii* to complement and rescued normal and immunocompromised mice from lethal peritoneal sepsis (Liu et al., 2019). Depolymerase increased survival of mice infected with a lethal bolus of *Pasteurella multocida* (Chen et al., 2018) and alginate-selective enzymes can disrupt a number of bacterial biofilms (Sutherland et al., 2004).

Extended-spectrum  $\beta$ -lactamase-producing clones of *K. pneumoniae* have emerged as a global threat to the health of hospitalised patients and, increasingly, to otherwise healthy individuals in the community (Paczosa and Meccas, 2016; Wyres et al., 2020). The therapeutic challenge has been compounded by the recent emergence of hypervirulent *K. pneumoniae* clones associated with pyogenic liver abscesses, pneumonia, and meningitis in young, healthy patients (Paczosa and Meccas, 2016). In consequence, a number of reports describe the characterisation of *K. pneumoniae*-selective capsule depolymerases (recently reviewed by Knecht et al., 2020) and they demonstrate efficacy in *Galleria* (Majkowska-Skrobek et al., 2016) and murine models of infection (Lin et al., 2014; Lin H. et al., 2017; Wang et al., 2019). We have characterised 164 recent clinical isolates of *K. pneumoniae* from three hospitals in Thailand by whole-genome sequencing and phenotypic assays for virulence markers and defined the frequency of capsular (K) chemotypes (Loraine et al., 2018) with a view to developing a palette of depolymerases that would address the most abundant pathogens in intensive care settings in Thailand. A large number of distinct K types were found in low abundance although four (K2, K51, K1 and K10) were found with a frequency of >5%. Here, we report on the isolation and molecular characterisation of phage specific for K1, K2 and K51 *K. pneumoniae* and describe the properties of their depolymerases that selectively disrupt capsules associated with these serotypes.

## MATERIALS AND METHODS

### Reagents

All reagents were supplied by Sigma-Aldrich, Gillingham, UK unless otherwise specified.

## Bacteria

*K. pneumoniae* clinical isolates used in this study are detailed in **Table 1**. The majority of isolates were from Thammasat University Hospital (Pathum Thani Province), Siriraj Hospital (Bangkok) and Songklanagarind Hospital (Hat Yai, Songkhla Province); these are major tertiary care hospitals in Thailand. All Thai isolates were obtained in 2016 (Loraine et al., 2018). *K. pneumoniae* isolate NTUH-K2044 has been described by Fang et al. (2004) and ATCC-43816 by Broberg et al. (2014). Bacteria were cultured in tryptic soy broth (TSB) and stored at -80°C.

## Phage Isolation, Amplification and Host Range

Sewage water samples were collected from the Thai hospitals: 10 ml aliquots of sewage water sample were mixed with 0.3 g TSB powder, inoculated with 100 µl overnight bacteria culture and incubated overnight at 37°C in an orbital incubator (200 rpm). After centrifugation (4,000 rpm; 20 min) supernatants were filtered (0.45 µm Merck Millipore filter) and maintained at 4°C prior to use. Individual plaques were obtained using tenfold dilutions ( $10^{-1}$  to  $10^{-8}$ ) of supernatants with the double-layer agar method (Kropinski et al., 2009): 100 µl overnight culture was mixed with top agar and poured over a tryptic soy agar (TSA) plate, 10 µl supernatant was dropped onto the surface and the plates incubated overnight at 37°C. Plaques were picked with a sterile tip and transferred to 300 µl sterile SM buffer (Cold Spring Harbour, 2006) and maintained at 4°C overnight. Serial dilutions were prepared in SM buffer and used to inoculate TSB containing 200 µl logarithmic phase culture, which was then mixed with top agar, poured onto TSA plate and the plate incubated overnight at 37°C. Single plaque isolation was repeated three times: plaques from the third cycle were picked from the plates, transferred to

300 µl sterile SM buffer, maintained at 4°C overnight, serially diluted in SM buffer prior to inoculation into TSB containing 200 µl logarithmic phase bacteria, dilutions mixed with top agar and mixtures poured onto TSA for overnight incubation at 37°C. For collection of phage, 10 µl of phage stock was added to 10 ml logarithmic phase bacteria in TSB and the mixture incubated overnight at 37°C in an orbital incubator at 200 rpm. The culture was centrifuged (4,000 rpm; 4°C; 30 min) and supernatants filtered (0.45 µm). Suspensions were stored at 4°C. Phage host range was determined by spot test; 100 µl logarithmic phase bacterial culture was mixed with 10 ml 0.75% TSA, the mixture poured onto 10 ml 1.5% TSA plates and 10 µl phage lysate ( $\sim 10^9$  pfu/ml) spotted onto the plate prior to overnight incubation at 37°C. All host range assays were performed in triplicate.

## Visualisation of Phage

For transmission electron microscopy (TEM), formvar/carbon grids (Agar Scientific Ltd., Stansted, UK) were prepared by glow discharge (10 mA, 10 s) using a Q150R ES sputter coater (Quorum Technologies Ltd., Lewes, UK). Bacteriophage suspensions (15 µl) were pipetted onto the surface of the grids for 30 s before removal with Whatman filter paper. Samples were then stained by pipetting 15 µl 2% phosphotungstic acid onto the grids. Excess stain was removed with Whatman filter paper and grids air dried. Samples were visualised using a JOEL JEM-2100Plus transmission electron microscope at an accelerating voltage of 160 Kv.

## Genomic DNA Extraction and Sequencing

Phage DNA was extracted using protocol 3.3.3 described by Pickard (2009). Briefly, 1.8 ml phage lysate was incubated with

**TABLE 1** | *K. pneumoniae* isolates used in this study.

Isolate	Location/source	Sequence type	O type	K type
SR7	Siriraj Hospital	ST23	O1v2	K1
SR65	Siriraj Hospital	ST23	O1v2	K1
TU37	Tammasat University Hospital	ST23	O1v2	K1
NTUH-K2044	National Taiwan University Hospital	ST23	O1v?	K1
SR3	Siriraj Hospital	ST14	O1v1	K2
TU18	Tammasat University Hospital	ST14	O1v1	K2
TU30	Tammasat University Hospital	ST14	O1v1	K2
SG44	Songklanagarind Hospital	ST14	O1v1	K2
SG46	Songklanagarind Hospital	ST65	O1v2	K2
SR10	Siriraj Hospital	ST65	O1v2	K2
SR51	Siriraj Hospital	ST86	O1v1	K2
ATCC-43816	American Type Culture Collection	ST493	?	K2
SR57	Siriraj Hospital	ST16	O3s	K51
TU16	Tammasat University Hospital	ST16	O3s	K51
SG43	Songklanagarind Hospital	ST16	O3s	K51
SG45	Songklanagarind Hospital	ST16	O3s	K51
SG79	Songklanagarind Hospital	ST16	O3s	K51
SR54	Siriraj Hospital	ST231	O1v2	K51
TU9	Tammasat University Hospital	ST231	O1v2	K51
TU29	Tammasat University Hospital	ST45	O3s	K10
SR4	Siriraj Hospital	ST147	O3l	K10
SG95	Songklanagarind Hospital	ST629	O3l*	K10
TU1	Tammasat University Hospital	ST36	O2v2	K102
SG41	Songklanagarind Hospital	ST307	O2v2	K102
SG56	Songklanagarind Hospital	ST307	O2v2	K102

10 µg/ml DNase I and 50 µg/ml RNase A for 30 min, then incubated for a further 30 min with 10 µg/ml Proteinase K and 0.5% SDS, both at 37°C. Mixtures were transferred to phase-lock Eppendorf tubes, mixed with 500 µl phenol:chloroform:isoamyl alcohol (25:24:1), centrifuged (1,500 g; 5 min), the aqueous phase moved to fresh phase-lock tubes and the extraction repeated. Aqueous phases were then moved to fresh phase-lock tubes and mixed with 500 µl chloroform:isoamyl alcohol (24:1), centrifuged (6,000 g; 5 min), the aqueous phase removed, mixed with 45 µl 3M sodium acetate (pH 5.2) and 500 µl isopropanol, maintained at room temperature for 20 min, centrifuged (14,000 rpm; 20 min), the pellet washed twice with 70% ethanol, left to dry and suspended in TE buffer. DNA quality was assessed by restriction digestion with HindIII-HF (New England Biolabs UK) followed by electrophoresis on 0.8% agarose gels. In all cases, clear bands indicated absence of contamination with bacterial DNA; no ethanol contamination was detected when DNA was subjected to nanodrop analysis. Phage genomic DNA (~0.5 µg) was sequenced using Illumina HiSeq X10 paired-end sequencing. Annotated assemblies were produced according to Page et al. (2016). Sequence reads were assembled *de novo* with Velvet v1.2 (Zerbino and Birney, 2008) and VelvetOptimiser v2.2.5 (Gladman and Seemann, 2008). Assemblies were also made using SPades v1.3.1. (Nurk et al., 2017) with the -meta option to identify prophage. Reads were annotated using PROKKA v1.14.6 (Seemann, 2014) using a custom Caudovirales gene database provided by Dr Andrew Millard, University of Leicester. The stand-alone scaffolder SSPACE (Boetzer et al., 2011) was used to refine contig assembly; sequence gaps were filled using GapFiller (Boetzer and Pirovano, 2012). Phylogenetic trees were prepared using Archaeopteryx (<https://sites.google.com/site/cmzmasek/home/software/archaeopteryx>).

## Protein Expression and Purification

Putative depolymerase gene coding sequences were cloned directly from phage genomes using PCR (conditions in **Supplementary Table 1**) by ligation into the pET26b+ expression vector (Merck KGaA, Darmstadt, Germany) between NdeI-XhoI or HindIII-XhoI depending on the presence or absence of the pelB leader sequence to aid protein solubility. All expressed proteins carried a C-terminal-his<sub>6</sub> tag. Plasmid sequences are shown in **Supplementary Data Sheet 1**. Proteins were expressed in T7 express cells (ER2766, NEB) by IPTG induction at OD<sub>600</sub> ~0.4. Cells were collected by centrifugation (5,000 g; 4°C; 15 min), the pellet suspended in 40 ml binding buffer (50 mM NaH<sub>2</sub>PO<sub>4</sub>; 300 mM NaCl; 10 mM imidazole; pH 7.4) and one protease inhibitor cocktail tablet (Roche Applied Science) together with 100 µg/ml lysozyme. After maintenance on ice for 30 min, the lysate was centrifuged (10,000 g; 4°C; 30 min) and the supernatant loaded onto a 5 ml Histrap column housed in an AKTApriime FPLC system (Cytiva, Amersham, UK). Proteins were eluted with a 30 ml gradient of loading buffer into elution buffer (binding buffer with 500 mM imidazole), dialysed against storage buffer (binding buffer, no imidazole; 5 ml eluate against 5 l buffer), filtered (0.22 µm) and stored at -80°C. Protein concentration was determined by Bradford assay.

## Enzyme Characterisation

*Klebsiella pneumoniae* capsules were prepared essentially as described by Domenico et al. (1989): 200 ml bacterial culture

was mixed with 40 ml 1% Zwittergent 3-14 detergent in 100 mM citric acid (pH 2.0) for 30 min at 50°C. Bacteria were removed by centrifugation (16,000 g; 2 min), supernatants mixed with ice cold ethanol to a final ethanol concentration of 80%, and maintained at 4°C for 30 min. After centrifugation (16,000 g; 5 min) pellets were air dried, suspended in 40 ml H<sub>2</sub>O and treated with DNase and RNase (both 30 µg/ml) for 30 min at 37°C. Preparations were lyophilised after heat inactivation (65°C; 10 min).

To determine the impact of depolymerase on capsule viscosity, enzyme aliquots (final protein concentrations: GBH001\_056, 25 ng/µl; GBH038\_054, 22.2 ng/µl; GBH019\_279, 1.6 ng/µl) were mixed with 400 µg polymer in 1 ml protein storage buffer and incubated for 1 h at 37°C; reactions were terminated by maintenance at 98°C for 5 min and, if required, samples stored at -20°C before viscometric analysis. Polymer viscosity was determined using an Anton Paar rolling ball microviscometer (Anton Paar, Graz, Austria); samples were transferred to a glass viscometry 1.6 mm diameter capillary containing a solid steel ball. Viscosity was determined as the time taken for the ball to fall 25 cm through the sample at an angle of 20° to the horizontal; each automated, timed determination was performed six times. Degradation of polysaccharide substrate was also determined by gel electrophoresis. Enzyme aliquots were mixed with 7.5 µg of substrate in 22.5 µl reaction volume, the reactions terminated as described above and after electrophoresis using 10% SDS-PAGE, gels were washed (10% acetic acid; 25% ethanol) after 5, 10 and 15 min at 50°C, stained with 0.1% Alcian blue in 10% acetic acid and 25% ethanol for 30 min at 50°C and de-stained with wash buffer at room temperature overnight. Enzyme activity was also monitored by spotting 10 µl depolymerase onto agar plates seeded with appropriate *K. pneumoniae* strains (spot tests). The capacity of recombinant depolymerases to remove capsule from the surface of *K. pneumoniae* was examined by preparation of turbid bacterial suspensions (OD<sub>600</sub> ~ 1) in 100 µl PBS, enzyme added to give a final concentration of 1 µg/ml and incubation for 90 min at 37°C. Negative controls were incubated with PBS. Bacterial suspensions were combined with nigrosin and examined by phase contrast microscopy. Area occupied by the capsule was determined using the microbeJ application of ImageJ (<https://imagej.net/Welcome>).

## RESULTS

### Phage Isolation

*K. pneumoniae* isolates TU37 (capsule K1), TU18 (K2), TU30 (K2), SG44 (K2), SG46 (K2), TU9 (K51), SG43 (K51), SG45 (K51) and SG79 (K51) (**Table 1**) were used to isolate phage selective for these capsule types from three filtered sewage water samples. Sixty-two phage were isolated, encompassing a variety of distinct host range patterns (**Supplementary Table 2**); 28 of these were chosen for further study, based on divergent morphology, host range, genome size and sequence similarity, and were ordered into seven groups based on a high degree (>95%) of nucleotide sequence identity (**Table 2**). In general, phage producing plaques on a host carrying the target K-type lysed other clinical isolates of the same K type, although there



were exceptions as detailed in **Supplementary Table 2** and **Table 2**. The majority did not have absolute specificity for K-type: for example, GBH013 and GBH017, isolated on and with specificity for isolates carrying the K2 capsule type, were able to lyse all four K1 indicator strains, and the six phage isolated on and active against K51 strains also lysed two of three K102 indicator strains, producing incomplete lysis of the third. Incomplete lysis was independent of virion concentration and is therefore not due to “lysis from without”. All lytic plaques on fully-susceptible host strains were surrounded by halos after overnight incubation at 37°C followed by 3–4 days storage at 4°C, indicating diffusion of soluble (non-virion) capsule depolymerase into the surrounding bacterial lawn (Adams and Park, 1956). Representative phage from each of the seven groups shown in **Table 2** were also examined against *K. pneumoniae* expressing capsular K-types K5, K20, K15-1, K21, K24, K25, K28, K54, K103 and K122. GBH029 and GBH054 lysed the K21 isolate SR95 and GBH001, GBH014, GBH029 and GBH033 lysed the K28 isolate SR33; in all other cases no lytic activity was noted.

The genetic relatedness of the 28 phage genomes sequenced in this study (**Figure 1A**) in comparison to the 314 from published studies of *Klebsiella* phage is shown in **Figure 1B**. **Figure 1A** uses formal designations for the phage (e.g., vB\_KpnA\_GBH001) but here and elsewhere in this study the abbreviated form has been used (e.g., GBH001) for clarity (Adriaenssens and Brister, 2017). The *Klebsiella* phage genome diversity found in our study paralleled that in studies of previously sequenced phage (Santiago and Donlan, 2020; Townsend et al., 2021). A combination of genome sequence comparison and TEM was employed to classify the phage in accord with the most recent International Committee on Taxonomy of Viruses (ICTV) guidelines (Adriaenssens et al., 2020); five (GBH054, GBH055, GBH056, GBH060, GBH061) were assigned to the family *Siphoviridae* (order *Caudovirales*), five (GBH019, GBH020, GBH023, GBH033, GBH035) to the *Myoviridae* (order *Caudovirales*), fifteen (GBH001, GBH002, GBH003, GBH005, GBH007, GBH013, GBH014, GBH017, GBH018, GBH038, GBH039, GBH045, GBH046, GBH049, GBH050) to the *Autographiviridae* (order *Tubulavirales*) and three (GBH026, GBH027, GBH029), to the *Drexlerviridae* (order *Tubulavirales*). There was complete concordance between assignments based on genome sequence and morphology as determined by TEM; representative images from each phage family together with corresponding plaque morphology are shown in **Figure 2**.

From the genome sequence data the 28 phage showed a wide range of genome sizes (**Table 2**). Four phage selective for either capsular K1 or K2 strains possessed genomes of <50 kb, seven genomes of 50–100 kb and seven genomes of 100–200 kb. Three K51-selective phage displayed non-identical genomes of 348 kb and consequently fell into the category of jumbo (Yuan and Gao, 2017) also known as huge (Al-Shayeb et al., 2020) phage characterised by genomes of >200 kb. As isolation-based methods select against large phage (Yuan and Gao, 2017), only 94 jumbo phage have been described following isolation and only three of these, with a high degree of sequence similarity, are *Klebsiella* spp virions (Šimoliūnas et al., 2013; Pan et al., 2017; Mora et al., 2021); these have genomes of 345.8 kb, making phage

GBH019, GBH020 and GBH023 (**Table 2**) amongst the largest *Klebsiella* phage described and sequenced to date.

## Phage Genome Analysis

The 28 phage selected for sequencing were found to belong to seven groups with members sharing MASH (Ondov et al., 2016) DNA sequence similarity of >95%. These groups are exemplified by phage GBH001 (45 kb), GBH014 (41 kb), GBH019 (348 kb), GBH029 (50 kb), GBH033 (166 kb), GBH038 (44 kb) and GBH054 (59 kb). Phage GBH038 and GBH050 share 96.5% MASH sequence identity but have divergent gene orders. As others have noted (Townsend et al., 2021), analysis of genome assemblies using metaSPAdes showed the presence of prophage in sequenced lysate preparations of lytic phage GBH001 (prophage genome size 48.6 kb) and GBH029 (43.4 kb) and closely related phage. However, these were low coverage assemblies compared to those of the lytic phage with coverage depths of <7% compared to the main phage assembly. Presumably these prophage were released from the host genome during phage lysate preparation. Prophage from *K. pneumoniae* on which GBH001 formed plaques were 48.6 kb in length and contained genes associated with integration and excision from host genomes such as integrases and transposases, and a *cro* repressor protein. GBH029 and similar phage contain a prophage of 43.4 kb whose genomes contain integrase, transposase and putative excisionase genes.

## Jumbo Phage GBH019

The genome of phage GBH019, at 347,546 bp, is the largest of any *K. pneumoniae* phage sequenced to date. It contains 534 CDSs and six tRNA genes with a coding gene density of 90.2% and GC% of 32.0. Annotations were attributed to 141 CDSs (shown in colour in **Figure 3**) leaving a total of 393 (73.6%) CDSs of unknown function. GBH019 shows high similarity to previously described jumbo phage Muenster (Mora et al., 2021), K64-1 (Pan et al., 2017) and vB\_KleM-RaK2 (Šimoliūnas et al., 2013) with DNA similarity values calculated using MASH of 98.2–98.4% but with highly dissimilar gene organisation (**Supplementary Figure 1**). Examination of the pangenome of these four phage using Roary 3.13 (Page et al., 2015) showed that 384 genes were common to all genomes (BLASTP > 90%). The genome of GBH019 has three putative tail fibre protein genes (**Figure 3**) as well as tail spike and other tail-associated protein genes. These genes encode structures involved in host receptor recognition and binding, and the presence of several such structures in the genomes of these large phage is associated with extended host ranges (Pan et al., 2017). One of these putative tail fibre genes, corresponding to GBH019 gene 00383 is common to all four phage and is highly conserved (>98% DNA sequence identity, determined by Clustal Φ).

GBH019 contains six tRNA genes in common with those of phage Muenster, with K64-1 having seven and KleM-RaK2 eight. GBH019 also has many genes involved in nucleotide metabolism, DNA transcription, replication and repair including a DNA polymerase and DNA and RNA ligases and at least two endonucleases (**Figure 3**). These genes are presumably

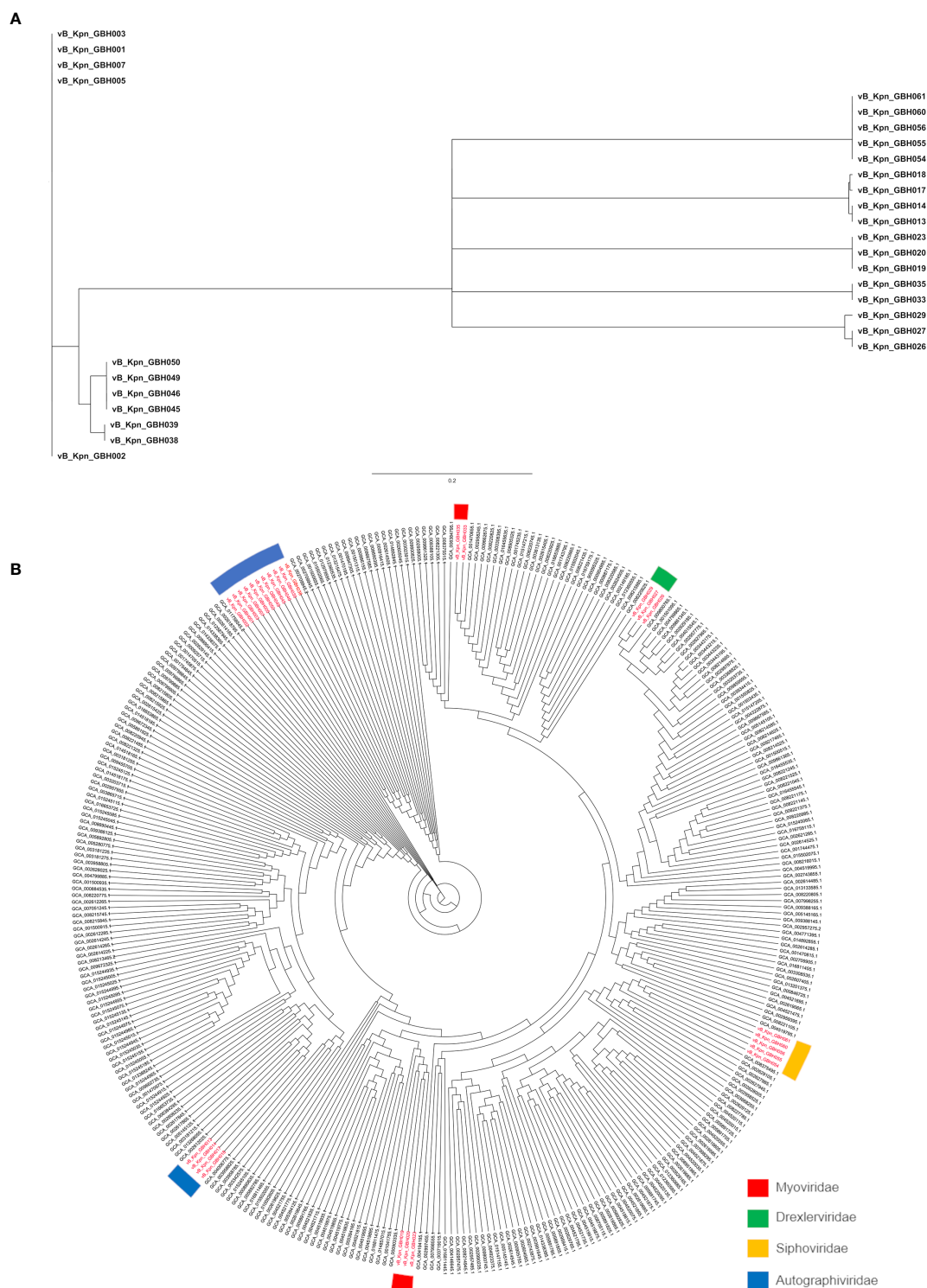


**TABLE 2** | *K. pneumoniae* phage isolates sequenced in this study, showing patterns of lytic activity against various *K. pneumoniae* K-types.

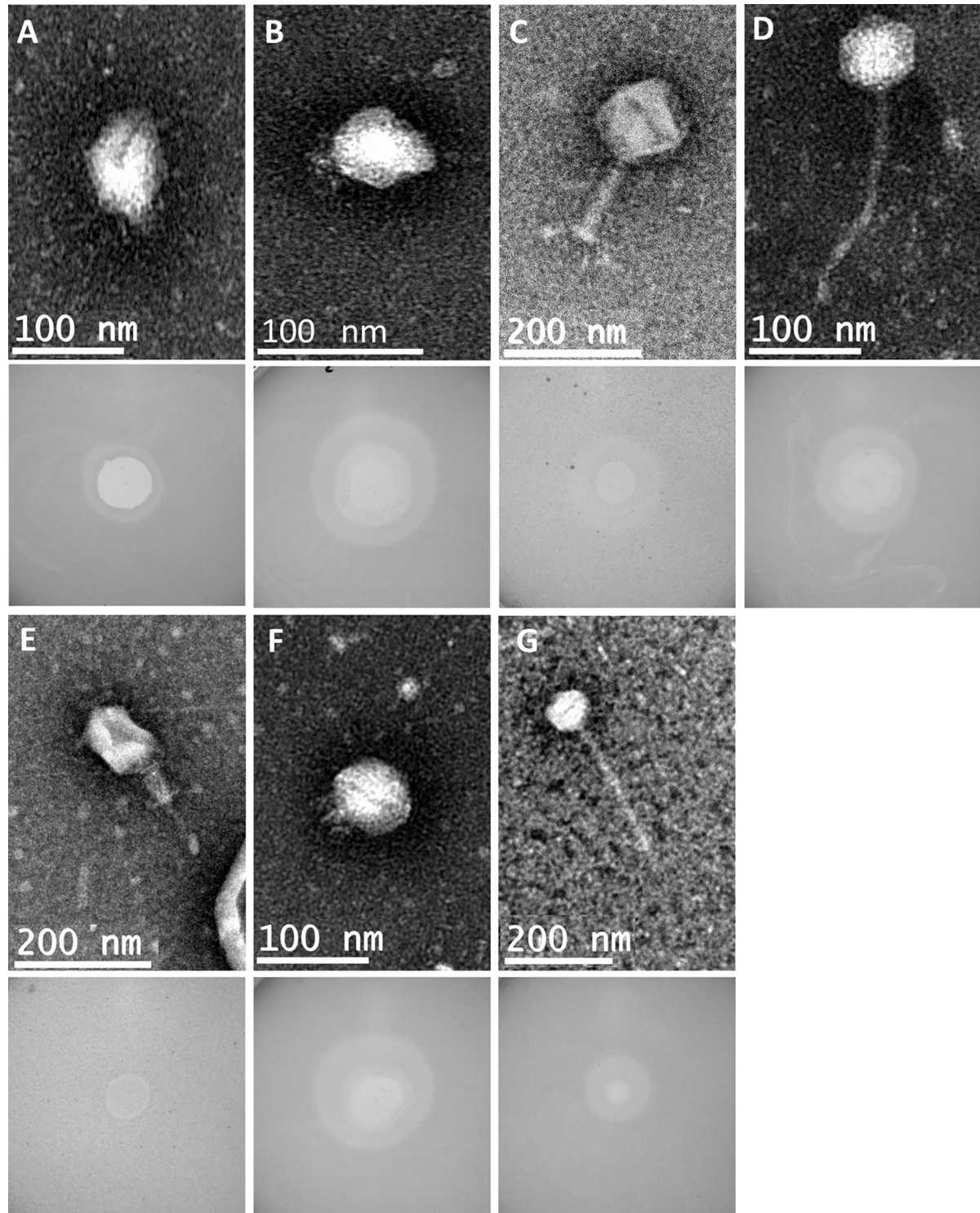
Phage	Host <sup>a</sup>	kb <sup>b</sup>	SR7	SR65	TU37	NTUH-K2044	SR3	TU18	SG44	SR10	SR51	ATCC-43816	SR57	TU16	SG45	SR45	TU9	TU29	SR4	SG95	TU1	SG41	SG56
			K1				K2					K51					K10			K102			
GBH001	TU37	45.96	++ <sup>c</sup>	+	++	++	-	-	-	+	-	-	-	+	-	-	-	-	-	-	-	-	-
GBH002	TU37	45.97	++	+	++	++	-	-	-	-	-	-	-	+	-	-	-	-	-	-	-	-	-
GBH003	TU37	45.96	++	+	++	+	-	-	-	-	-	-	-	+	-	-	+	-	-	-	-	-	-
GBH005	TU37	45.96	++	+	++	++	-	-	-	-	-	-	-	+	-	-	-	-	-	-	-	-	-
GBH007	TU37	45.96	++	+	++	++	-	-	+	++	-	-	-	+	-	-	-	-	-	-	-	-	-
GBH014	SG44	41.70	+	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH013	SG44	41.70	++	++	++	++	++	++	++	++	++	++	-	+	-	-	-	-	-	-	-	-	-
GBH017	SG44	41.09	++	++	++	++	++	++	++	++	++	++	-	+	-	-	-	-	-	-	-	-	-
GBH018	SG44	40.87	-	-	-	-	++	++	++	++	-	++	-	++	-	-	-	-	-	-	-	+	-
GBH019	TU9	347.55	-	-	-	-	-	-	+	-	-	-	++	++	++	++	++	-	-	-	+	++	++
GBH020	TU9	347.54	-	-	-	-	-	-	-	-	-	-	++	++	++	++	++	-	-	-	+	++	++
GBH023	TU9	347.55	-	-	-	-	-	-	-	-	-	-	++	++	++	++	++	-	-	-	+	++	++
GBH029	SG43	49.92	-	-	-	-	-	-	++	+	-	+	-	++	-	-	-	-	+	-	-	-	-
GBH026	SG43	50.49	-	-	-	-	-	++	++	+	-	++	-	++	-	+	+	-	+	-	-	+	-
GBH027	SG43	50.49	-	-	-	-	-	++	++	+	-	+	-	++	-	+	+	-	+	-	-	+	-
GBH033	SG45	165.75	-	-	-	-	-	-	+	-	-	+	-	++	+	+	-	-	-	-	-	-	-
GBH035	SG45	165.75	-	-	-	-	-	-	++	+	-	+	-	++	+	+	-	-	-	-	-	-	-
GBH038	SG46	43.73	-	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH039	SG46	43.73	-	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH045	TU18	44.03	-	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH046	TU18	44.65	-	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH049	TU30	44.22	-	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH050	TU30	44.20	-	-	-	-	++	++	++	++	++	++	-	-	-	-	-	-	-	-	-	-	-
GBH054	SG79	58.59	-	-	-	-	-	++	++	++	+	-	-	++	++	-	++	-	-	-	-	-	-
GBH055	SG79	58.52	-	-	-	-	-	++	++	++	-	-	+	++	++	+	++	-	-	-	-	-	-
GBH056	SG79	58.52	-	-	-	-	-	++	++	++	-	-	+	++	+	-	++	-	-	-	-	-	-
GBH060	SG79	58.52	-	-	-	-	-	-	++	++	-	-	+	++	++	-	++	-	+	-	-	-	-
GBH061	SG79	58.52	-	-	-	-	-	-	+	++	-	-	++	++	++	+	++	-	-	-	-	-	-

<sup>a</sup>clinical isolates detailed in **Table 1**.<sup>b</sup>genome length determined from whole-genome data.<sup>c</sup>clear plaque, ++; +, incomplete lysis; -, no lysis. Determined by spot assay, phage concentration ~10<sup>10</sup> virions/ml.

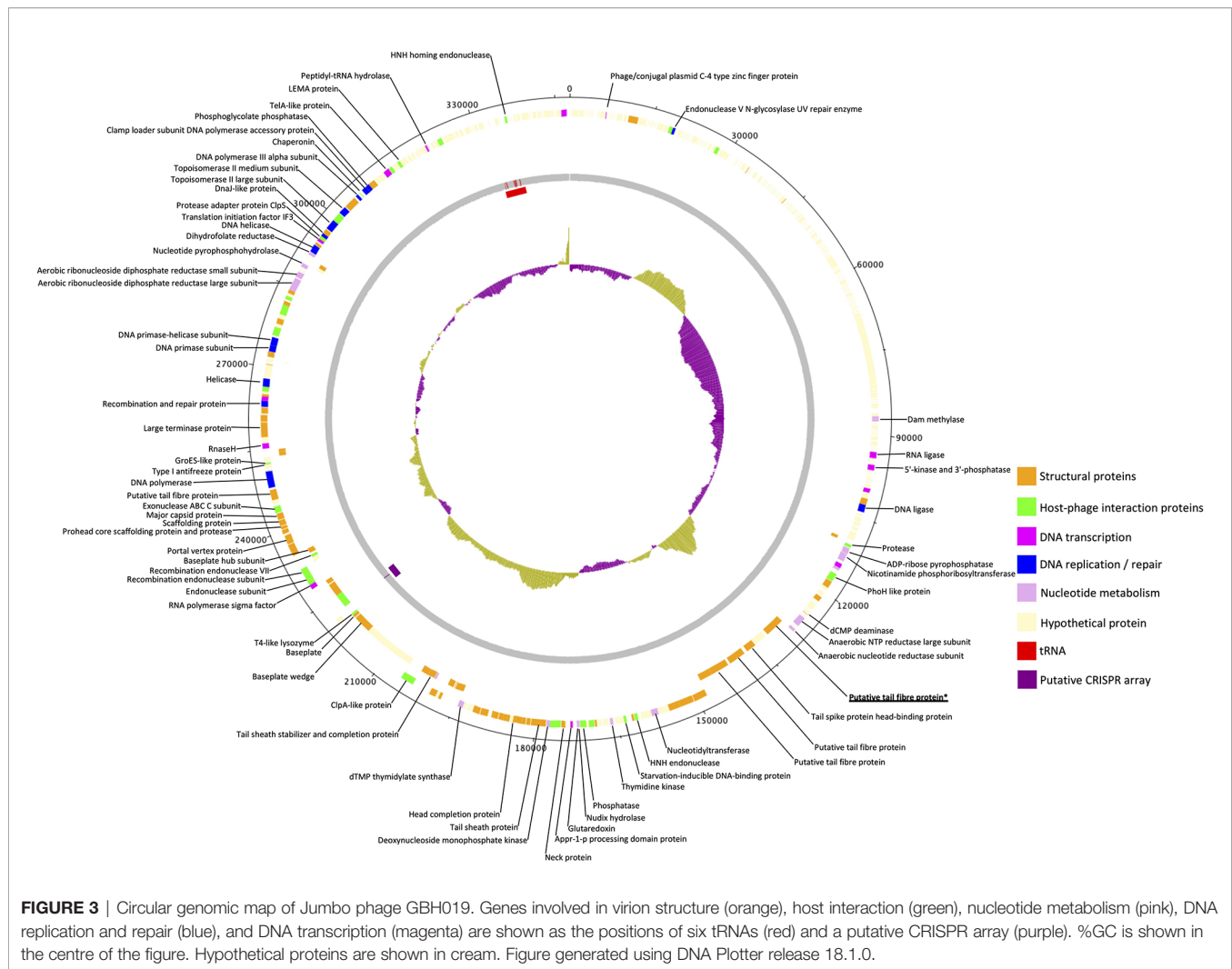
The 28 phages selected fell into seven groups based on sequence similarity of &gt;95%. Exemplars for each group in bold.



**FIGURE 1** | Phylogeny of Thai *K pneumoniae*-selective phage. **(A)** MASH tree with degree of genetic similarity amongst the 28 phage genomes sequenced in this study. **(B)** Phylogenetic tree prepared using Archaeopteryx showing the relationship of the 28 phage genomes (in red) to 314 published *Klebsiella* phage genomes.



**FIGURE 2** | Representative transmission electron micrographs and plaque morphology of the seven exemplars for each of the genetically distinct phage groups defined in this study and shown in **Table 2**. **(A)** GBH001 (mean capsid diameter 63.4 nm; mean tail length N/A;  $n = 10$ ) **(B)** GBH014 (64.0 nm; N/A;  $n = 7$ ) **(C)** GBH019 (132.7 nm; 163.4 nm;  $n = 9$ ) **(D)** GBH029 (73.8 nm; 188.8 nm;  $n = 7$ ) **(E)** GBH033 (86.7 nm; 105.2 nm;  $n = 8$ ) **(F)** GBH038 (72.0 nm; N/A;  $n = 6$ ) **(G)** GBH054 (81.2 nm; 256.4 nm;  $n = 11$ ).



involved in sophisticated re-purposing of the host DNA and protein synthesis machinery to phage particle biosynthesis. GBH019, in common with the three other similar phage has a putative CRISPR array of the sequence CCCAGTATTCATGC GGGTTGTAGGAATTAGGGACAC although no Cas genes are present in these genomes.

### Phage GBH033

With the second largest phage genome in this study (165,752bp), GBH033 is similar to several *Myoviridae* genomes (>95% BLASTN nucleotide similarity) including *Klebsiella* virus JD18 (Genbank accession number KT239446). It has an AT-rich genome with %GC of 39.5 and contains 290 CDSs which we annotated with functions or putative functions. The genome of GBH033 contains 16 tRNA genes, the same number as found in the genome of phage JD18.

### Phage GBH001 and GBH038

Phage GBH001 and GBH038 have genome sizes of 44,964 and 43,726 bp respectively. They share 93.4% DNA similarity,

determined using MASH, and have 56 and 54 CDSs respectively. We ascribed functions or putative functions to 31 of 56 GBH001 and 29 of 54 GBH038 genes. Their genomes are more GC-rich than that of GBH019 with GC% of 54.2 and 54.3, compared to 32.2. The most closely related genomes of both phage determined by BLASTN homology are phage of the family *Autographiviridae* (subfamily *Slopekvirinae*, genus *Drulisvirus*) whose genomes share <92% BLASTN DNA similarity and therefore both phage may represent a new species within this subfamily as <95% BLASTN similarity equates to new species (Adriaenssens et al., 2020).

### Phage GBH014

The genome length of phage GBH014 is 40,697 bp, with GC% of 53.2, and the phage shares 96.2% BLASTN similarity (over 85% of its genome) with the *Klebsiella Autographidae* phage 066028 (Genbank accession MW042796.1) that is currently designated as an unclassified *Przondovirus*. However, GBH014 may represent a new species of *Klebsiella* phage as it shares <5% DNA similarity over its whole genome with any phage genome described thus far.



We were able to annotate functions or putative functions to 25 of the 51 predicted CDSs in the GBH014 genome.

## Phage GBH029

GBH029 has a genome of 49,924 bp, a GC% of 51.2% and is most similar to *Klebsiella* phage Sweeney (Genbank accession NC\_049839.1) which is an unclassified *Webevirus* in the family *Drexelviriidae*. Functions or putative functions were annotated to 35 of the 77 CDSs in this genome.

## Phage GBH054

The genome of phage GBH054 is 58,588 bp long and has a GC% of 56.2. Functions or putative functions could only be annotated to 28 of 79 CDSs in this genome. This genome is most similar to that of the *Siphoviridae* phage Soft (Genbank accession MN106244.1). BLASTN comparison of both genomes revealed 93.84% sequence identity over 84% of the GBH054 genome, indicating that this phage may be a new species of *Siphovirus*.

## Identification and Expression of Phage Depolymerase Genes

To identify genes encoding depolymerases with the capacity to degrade capsular polysaccharides produced by *K. pneumoniae* K1, K2 and K51 isolates, BLASTX (States and Gish, 1994) was used to search a customised library (**Supplementary Table 3**) of 25 published phage-encoded *K. pneumoniae* capsule depolymerases.

Phage GBH001 displayed lytic activity against the four clinical isolates of K1 capsule type (**Table 2**). The search identified two potential GBH001-encoded depolymerase genes, GBH001\_048 (541 residues) and GBH001\_056 (651 residues). The former was matched to multiple tail fibre and tail spike proteins, and to other putative depolymerase proteins using JACKHMMER (Finn et al., 2015); we also identified an N-terminal match with a tail fibre protein using the EMBL protein family database InterProScan (Quevillon et al., 2005). It was cloned and expressed as described in *Materials and Methods* but displayed no capsule depolymerase activity against K1 capsule. The latter showed >96% identity with known K1-selective depolymerases, was matched to tail fibre proteins using JACKHMMER (Finn et al., 2015) with the reference proteomes database and contained a pectin-lyase fold (residues 265-568) as determined with the EMBL protein family database InterProScan. GBH001\_056 was inserted between pET26b+ NdeI and XhoI sites and grown for 16 h at 26°C following induction with 0.01 mM IPTG at OD<sub>600</sub> ~0.4. Spot tests indicated that the his<sub>6</sub>-tagged, affinity-purified protein possessed depolymerase activity against the K1 capsule but not against K2, K51, K10 and K102 capsules (**Table 3**). Depolymerase GBH001\_056 possessed no activity against Thai clinical isolates expressing the K20, K24, K15-1, K21, K25, K103, K122, K28, K5, K54, K62 and K74 capsules; these K-types were found with relatively high frequency (incidence >1.5%) in clinical isolates from our Thai *K. pneumoniae* collection (Loraine et al., 2018).

Phage GBH014 and GBH038 were both able to lyse the six *K. pneumoniae* K2 isolates examined (**Table 2**). BLASTX revealed three potential K2 depolymerase candidate genes, GBH014\_001 (215 residues) and GBH014\_051 (433 residues) from phage GBH014 and GBH038\_054 (577 residues) from phage

**TABLE 3** | Spot tests showing the capacity of individual depolymerases to degrade the most common *K. pneumoniae* K-types encountered in Thai hospitals (Loraine et al., 2018).

Depolymerase	Strain/isolate; capsule (K) type															
	SR7	SR65	TU37	NTUH-K2044	SR3	TU18	SG44	SR10	SR51	ATCC-43816	SR57	TU16	SG45	SR54	TU9	TU29
	K1				K2				K51				K10			
GBH001_056	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
GBH038_054	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
GBH019_279	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+

TSA plates were seeded with bacteria and 10 µl phage lysate (~10<sup>9</sup> pfu/ml) spotted onto the plate prior to overnight 37°C.

GBH038. With GBH014\_001, JACKHMMER showed matches to phage tailspikes and tail fibres, and to tailspike 63D sialidase from phage 1611E-K2-1, shown to be an active K2 depolymerase (Wang et al., 2020). In addition, a galactose binding domain (residues 65-214) was identified by InterProScan; galactose is a constituent of the K2 capsular polysaccharide (Clements et al., 2008). GBH014\_051 showed matches to tail fibres using JACKHMMER and to serralyisin metalloprotease using InterProScan. We were unable to purify and test this protein. GBH014\_001 was cloned into pET26b+ both with and without a *pelB* tag on the N-terminus to migrate the protein to the periplasm and aid folding. GBH014\_001 expressed better without the addition of the *pelB* tag, but could not be purified without denaturation of the protein. Refolded protein possessed no depolymerase activity when tested against K1, K2 and K51 capsules. GBH038\_054 (577 residues) showed >98% amino acid homology with vP\_KpnP\_KpV74\_564, the sole K2 depolymerase published to date (Solovieva et al., 2018) and was inserted between the HindIII and XhoI sites of pET26b+ with and without N-terminal *pelB* and grown for 16 h at 16°C following induction with 0.01 mM IPTG at OD<sub>600</sub> ~0.4. The gene was expressed only in the presence of *pelB*. In spot tests, affinity-purified protein degraded the K2 capsule, but not K1, K51, K10 or K102 capsules (Table 3), or any of the capsules described in the preceding paragraph.

Only jumbo phage GBH019 and closely related phage were able to lyse the five *K. pneumoniae* K51 isolates examined (Table 2). Putative depolymerase genes were restricted to a 30,000 bp section of the GBH019 genome (125,000-155,000 bp) where eight CDSs (278-285) were located in series; such clustering of depolymerase genes is consistent with a recent study of jumbo *K. pneumoniae* phage phiK64-1 (Pan et al., 2017). There are currently no published K51 depolymerase sequences and none of the eight putative depolymerases showed sufficient homology with currently available sequences to guide the identification of a K51 depolymerase gene. Attempts were therefore made to clone and express these eight genes. Although all showed some degree of alignment with sequences in the protein database (Supplementary Table 3), the majority could not be expressed as enzymatically active protein. GBH019\_279 (809 residues) showed limited alignment with tail fibre and tail spike proteins within the first 200 residues. This was supported by InterProScan analysis which predicted a pectin-lyase fold between residues 139 and 532, and Phyre2 modelling (Kelley et al., 2015) which predicted a hydrolase with 98.7% confidence, suggesting potential depolymerase activity but with no link to the specific K51 capsule target. BH019\_279 was cloned into pET26b+ with *pelB*, the gene inserted between HindIII and XhoI sites, grown for 16 h at 16°C following induction with 0.01 mM IPTG at OD<sub>600</sub> ~0.4 and the protein affinity-purified in soluble form. Spot tests indicated that this gene product degraded the K51 capsule but had no effect on any other capsule types in our K-type panel described above.

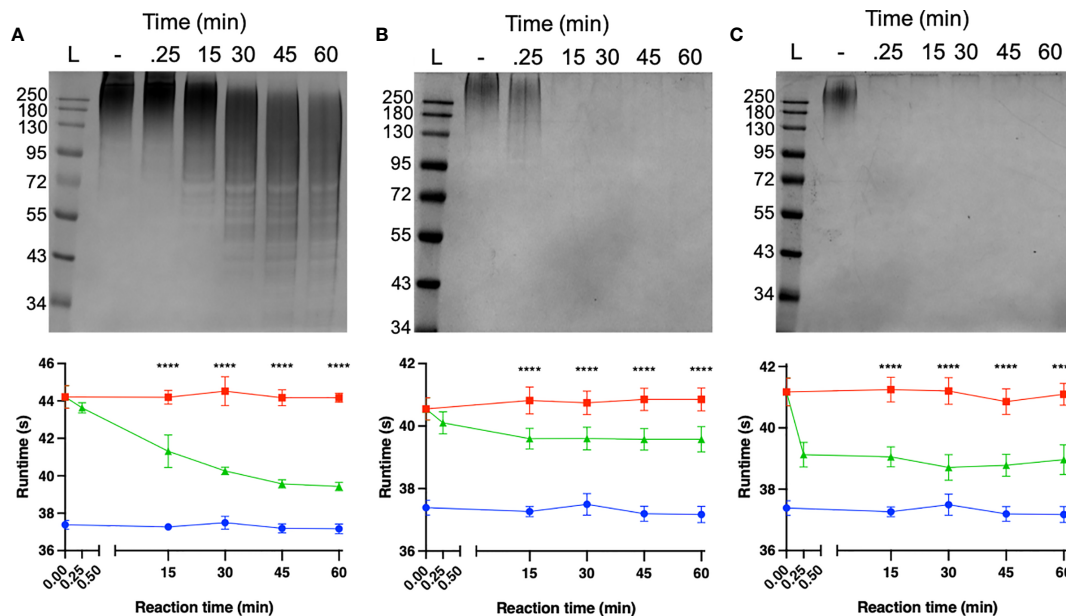
GBH001\_056 (K1 depolymerase), GBH038\_054 (K2 depolymerase) and GBH019\_279 (K51 depolymerase) were assigned molecular weights of 71 kDa, 66 kDa and 93 kDa respectively using SDS-PAGE and were in line with values predicted from the size of the coding region of each gene.

## Characterisation of K1, K2 and K51 Depolymerases

The capacity of each depolymerase to degrade their respective primary substrates was determined by observing reductions in polysaccharide molecular mass and polysaccharide viscosity. Capsular substrates were purified from two K1 (SR7 & SR65), two K2 (SR3 & SR10) and two K51 (TU16 & SR54) clinical isolates. Essentially identical results were obtained for each K-type-specific pair so only data for the SR65, SR3 and TU16 polymers is presented in Figure 4. The three enzymes rapidly reduced the molecular mass with evidence of degradation after less than 1 min incubation time. After 60 min incubation each polymer appeared substantially degraded although depolymerisation of K2 and K51 polymers appeared greater than for K1. Reduction in molecular mass was accompanied in all cases by a large decrease in polymer viscosity using an Anton-Paar rolling ball viscometer. *K. pneumoniae* SR65 (K1), SR3 (K2) and TU16 (K51) were also employed to examine the capacity of the three depolymerases to remove the primary target capsule. The area occupied by the capsule was determined before addition of enzyme (final concentration 25 ng/μl for K1 depolymerase, 25 ng/μl for K2 depolymerase and 1.6 ng/μl for K51 depolymerase) and after 90 min incubation at 37°C following addition of the respective depolymerase. As noted in an earlier study (Loraine et al., 2018), there were significant differences in capsule size between each of the clinical isolates. K1, K2 and K51 depolymerases removed completely the capsules surrounding, respectively, isolates SR65, SR3 and TU16 (Figure 5). No significant differences were seen between bacteria prior to incubation and following 90 min incubation with PBS. Phase contrast images for *K. pneumoniae* SR65 are also shown in Figure 5.

## DISCUSSION

The ideal candidate for capsule depolymerase therapy would be a difficult-to-treat infection caused by a single bacterial infectious agent producing a protective capsule that does not vary in its chemical composition between isolates and is essential for pathogenesis. Inhalation anthrax, due to *B. anthracis* strains that universally produce a poly-γ-D-glutamic acid capsule that is absolutely required for systemic, often lethal infection (Mock and Fouet, 2001), is probably the only infectious condition in which these tenets hold, so capsule depolymerisation as a principle for the therapy of other bacterial infections will be conditioned by a degree of structural variability, sometimes very large, at the cell surface. Our study confirms previous work showing that the host range of *K. pneumoniae* phage is to a large extent governed by carriage of distinct capsule depolymerases, each with a narrow substrate specificity (reviewed by Knecht et al., 2020). In a particularly illustrative example of this principal, the capacity of phage ΦK64-1 to infect *K. pneumoniae* belonging to eight capsule chemotypes was facilitated by carriage by the phage of eight capsule depolymerases, each capable of degrading only one structurally distinct capsule (Pan et al., 2017). Strains of *K. pneumoniae* elaborate a wide array of different capsule types (Wyres et al.,



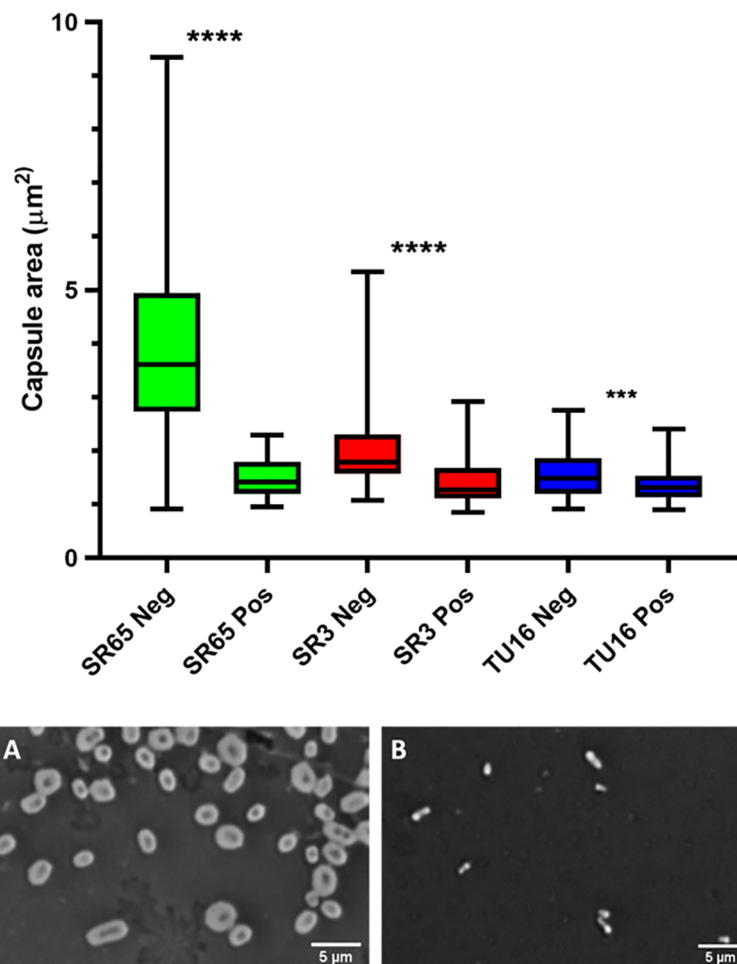
**FIGURE 4 |** Degradation of high-molecular-weight capsular polysaccharide from *K. pneumoniae* SR65 (A), SR3 (B) and TU16 (C) following incubation at 37°C with the corresponding K1, K2 and K51 depolymerases. 10% SDS-PAGE gels; 5  $\mu$ l Color Prestained Protein Standard, Broad Range (10–250 kDa) (New England Biolabs UK) as ladder; 22.5  $\mu$ l loaded into each lane. Enzyme-mediated reductions in polymer viscosity as determined by Anton-Paar rolling ball viscometry are also shown alongside the corresponding gels. No polymer degradation was observed in the absence of enzyme. \*\*\*\* $P$  < 0.0001 (unpaired t-test with Welch's correction between treated and untreated groups).

2020) and this population diversity was reflected in the large number of distinct K-types we found in our analysis of Thai nosocomial isolates (Loraine et al., 2018); only one K-type (K2) was found in more than 10% of total isolates and only six were found with an incidence of 4.5% or more. Successful application of depolymerase therapy will therefore require a cocktail of enzymes in much the same way as proposed from phage therapy of *Klebsiella* infections (Townsend et al., 2021), unless personalised therapy can be augmented in tandem with rapid and accurate strain identification and K-typing.

Although bacteria have been exploited as occasional sources of capsule depolymerase (Avery and Dubos, 1930; Scorpio et al., 2007; Stabler et al., 2013), phage remain the primary source of O-glycosyl hydrolases and lyases with the capacity to selectively degrade a wide range of capsules (Knecht et al., 2020), including those from *K. pneumoniae*, and for the dispersal of biofilms (Rumbaugh and Sauer, 2020). The capsular diversity of *K. pneumoniae* correlates to a correspondingly high degree of variation of phage genotypes that have evolved a lifestyle enabling lytic cycles within specific K-type hosts, with the consequence that we were able to isolate a diverse selection of phage, belonging to four families and displaying a wide range of genome sizes (41–348 kb), from hospital sewage using three different K-types as host. The majority of these phage were distinct from, but genomically related to, phage described in previous studies (Figure 1B). Plaques from all phage developed halos when plated on their primary and on the majority of secondary hosts, indicating the presence of a soluble form of

capsule depolymerase that diffuses into the surrounding agar from the plaque during incubation. Genomic analyses were complicated by the presence of prophage sequences in a small number of DNA preparations that were presumably released from the host genome during phage lysate preparation as a consequence of host DNA damage, or prophage may have been induced by the lytic phage (Raya and Hebert, 2009; Townsend et al., 2021). It is however unlikely that this low level of contamination would have compromised the host range determined in this study (Table 2 and Supplementary Table 2).

Phage-encoded capsule depolymerases are predominantly located in receptor binding proteins (RBPs) structured as tail fibres or tailspikes. *In situ*, these structures form trimers and each unit generally consists of a conserved N-terminal anchor domain that aids phage self-assembly, a K-type-variable central  $\beta$ -helical domain for host recognition and catalysis, and a C-terminal domain responsible for trimerisation and receptor recognition (Latka et al., 2017; Latka et al., 2019). Although direct evidence is lacking, it is generally accepted that both the soluble and integrated forms of RBP can freely diffuse through an agar matrix and that soluble and integrated monomers are structurally identical (Latka et al., 2017). However, due to their aggregative properties and multi-domain structure, RBPs are likely to form aqueous microparticulate dispersions rather than true solutions (Chi et al., 2003) and this may compromise high yield RBP expression. In the current study, as well as in our previous work (Leggate et al., 2002; Negus and Taylor, 2014), issues of solubility and low yield were encountered which in the



**FIGURE 5** | Box and whiskers plot of the impact on capsules of the target *K. pneumoniae* isolates SR65 (K1), SR3 (K2) and TU16 (K51) following 90 min incubation at 37°C with the corresponding K1, K2 and K51 depolymerases. SR65 Neg, negative control (PBS incubation),  $n = 319$ , mean capsule area  $\mu\text{m}^2$   $3.41 \pm 1.00$  (Mean  $\pm$  1SD); SR65 Pos, K1 depolymerase-exposed,  $n = 74$ ,  $1.49 \pm 0.34$ ; SR3 Neg,  $n = 119$ ,  $1.96 \pm 0.58$ ; SR3 Pos,  $n = 66$ ,  $1.41 \pm 0.42$ ; TU16 Neg,  $n = 84$ ,  $1.54 \pm 0.42$ ; TU16 Pos,  $n = 170$ ,  $1.36 \pm 0.31$ . \*\*\*\* $P < 0.0001$ , \*\*\* $P < 0.002$  (unpaired t-test with Welch's correction between treated and untreated groups). Also shown: phase contrast microscopy images of nigrosin staining for isolate SR65 (K1) after 90 min incubation in PBS (A) and with K1 depolymerase (B). Capsule can be seen in (A) as a bright halo around the cell.

current study were in part overcome by introduction of the *pelB* leader sequence into the plasmid vector, which directs the protein to the bacterial periplasm. Even so, a number of putative depolymerase genes identified in our screen could not be expressed as active protein in spite of repeated efforts: one possible way towards simplification of expression of a range of highly catalytic depolymerases could involve excision of the central catalytic domain of the RBP, where expression is more likely to lead to a fully soluble, enzymatically active polypeptide (Squeglia et al., 2020).

All seven exemplary phage that we studied in detail were closely related to other, previously described *Klebsiella* virions (Figure 1B), even though they were isolated from widely dispersed geographical regions. Of interest was the isolation of the jumbo phage GBH019, the source of the K51 depolymerase described herein, that counts amongst the largest *Klebsiella*

phage isolated to date. In common with other jumbo phage, the GBH019 genome contained multiple putative depolymerase genes and this phage may be a source of enzymes for the degradation of the less abundant K-types that we encountered in our study of nosocomial Thai isolates (Loraine et al., 2018). Although relatively few jumbo phage have been isolated and characterised to date, direct sequencing of phage DNA from diverse ecosystems has revealed that they are highly abundant (Al-Shayeb et al., 2020) and as they are excluded from typical phage preparations processed using membrane filters (Yuan and Gao, 2017) they are unlikely to be recovered unless special precautions are employed during their isolation. In common with other jumbo phage, the GBH019 genome carries a large number of genes for *de novo* synthesis of purines and pyrimidines, DNA and RNA polymerases and interconversion of nucleotide phosphorylation states (Figure 3). As has been



previously noted (Al-Shayeb et al., 2020) these gene sets are similar to those of small bacteria and archaea with restricted symbiotic lifestyles (Castelle et al., 2018), may reduce the dependence of jumbo phage on their bacterial hosts and broaden their host range by acquisition of new genetic information, as reflected by the presence of multiple depolymerase genes. The presence of CRISPR-Cas systems is also a feature of jumbo phage and may be involved in the redirection of bacterial host biosynthesis toward phage-encoded functions as well as contribute to host-directed elimination of incoming phage. Indeed, the first description of phage-encoded CRISPR-Cas showed the system was able to counteract a phage inhibitory chromosomal island of the bacterial host (Seed et al., 2013).

The increasing number of *Klebsiella* phage genomes in the public domain show certain trends in their genome sequences. Whereas most of the phage genomes in this study and in public databases have genomes with %GC of 50–55, similar to that of their bacterial host (56–57), jumbo phage genomes had markedly more AT-rich genomes with ~32% GC. A group of phage genomes, including that of phage GBH033 from this study, are also relatively AT-rich with GC% of ~40%. These two groups of phages are notable for their content of multiple tRNA genes that may serve to lessen the possible impact of divergent codon usages in their genomes when subverting their hosts' metabolism. Comparative genomic analyses of our phage genomes with those in the databases revealed a number of phages that may represent novel phage species (with >5% sequence divergence and other differences) according to recent guidance from the ICTV (Adriaenssens et al., 2020). The increasing number of *Klebsiella* phage genomes deposited in databases increases our basic knowledge of gene composition and key phage phenotypic characteristics such as host range if appropriate metadata is available. However, difficulties in assigning functions to the majority of *Klebsiella* phage genes is an issue that is especially marked in jumbo phage genomes, where approximately three quarters of genes have no annotated function.

The trend toward untreatable, invasive *K. pneumoniae* infections shows no signs of abating and is being driven by the emergence and rapid spread of multi-drug-resistant forms. The problem is particularly acute in Asia, where hypervirulent *K. pneumoniae* clones associated with pyogenic liver abscesses, pneumonia, and meningitis in younger, otherwise healthy patients were first recognised, and as a consequence we have focused our efforts on finding alternative approaches to *Klebsiella* infections using recent clinical isolates from intensive care patients in Thailand (Loraine et al., 2018). There are clear advantages as well as obvious disadvantages in targeting capsule removal as an alternative therapeutic paradigm. Although there is substantial data from animal models showing that administration of capsule depolymerase under tightly controlled conditions during the early phase of infection with a known pathogen can rapidly resolve infection and prevent symptoms and death, there is no evidence that the approach would reduce morbidity and mortality in immunocompromised patients with established infections who are sick enough to be cared for in intensive care units. The complex array of surface-structure-related phenotypes of

*K. pneumoniae* encountered in nosocomial infections will also complicate therapy. However, the capsule is the major determinant of virulence in *Klebsiella*, conferring a high degree of resistance to complement (Merino et al., 1992; Short et al., 2020) and to phagocytosis (Williams et al., 1983) and is rapidly and efficiently destroyed, *in vitro* and *in situ*, by each enzyme that we evaluated.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

## AUTHOR CONTRIBUTIONS

PT conceived the study. GB-H, ME, NR, DN and PT designed experimental procedures. GB-H, ME, DN, DP, GB, MD and PW performed the experiments, analysed and curated the data. SV, PW and GB-H assembled the phage collection. PT and ME wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.686090/full#supplementary-material>

**Supplementary Figure 1 |** Genomic comparison of four *K. pneumoniae* jumbo phage: Muenster (top), GBH019, K64-1, and vB\_KleM-RaK2 (bottom) highlighting regions of gene similarity and synteny. Red bars indicate >99% BLASTN similarity

between pairs of phage strains. Generated using Artemis Comparison Tool (Carver et al., 2005) with pairwise BLASTN comparisons using default parameters for highly similar sequences.

**Supplementary Table 1** | Primers and conditions for cloning of depolymerase genes.

**Supplementary Table 2** | Host range of 62 *K. pneumoniae* phage isolated from Thai sewage.

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- Supplementary Table 3** | Published protein sequences of phage-encoded *K. pneumoniae* depolymerases employed for BLASTX search of Thai *K. pneumoniae* phage genomes.
- Supplementary Table 4** | Accession numbers for sequenced phage. Formal numbering of phage is affirmed in this table.
- Supplementary Data Sheet 1** | Sequences of plasmids used in this study.
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# Phenotypic and Genotypic Characterization of Novel Polyvalent Bacteriophages With Potent *In Vitro* Activity Against an International Collection of Genetically Diverse *Staphylococcus aureus*

Elliot Whittard<sup>1</sup>, James Redfern<sup>1</sup>, Guoqing Xia<sup>2</sup>, Andrew Millard<sup>3</sup>,  
Roobinidevi Ragupathy<sup>1</sup>, Sladjana Malic<sup>1</sup> and Mark C. Enright<sup>1\*</sup>

<sup>1</sup> Department of Life Sciences, Manchester Metropolitan University, Manchester, United Kingdom, <sup>2</sup> Lydia Becker Institute of Immunology and Inflammation, University of Manchester, Manchester, United Kingdom, <sup>3</sup> Department of Genetics and Genome Biology, University of Leicester, Leicester, United Kingdom

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### \*Correspondence:

Mark C. Enright  
m.enright@mmu.ac.uk

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Phage therapy recently passed a key milestone with success of the first regulated clinical trial using systemic administration. In this single-arm non-comparative safety study, phages were administered intravenously to patients with invasive *Staphylococcus aureus* infections with no adverse reactions reported. Here, we examined features of 78 lytic *S. aureus* phages, most of which were propagated using a *S. carnosus* host modified to be broadly susceptible to staphylococcal phage infection. Use of this host eliminates the threat of contamination with staphylococcal prophage — the main vector of *S. aureus* horizontal gene transfer. We determined the host range of these phages against an international collection of 185 *S. aureus* isolates with 56 different multilocus sequence types that included multiple representatives of all epidemic MRSA and MSSA clonal complexes. Forty of our 78 phages were able to infect > 90% of study isolates, 15 were able to infect > 95%, and two could infect all 184 clinical isolates, but not a phage-resistant mutant generated in a previous study. We selected the 10 phages with the widest host range for *in vitro* characterization by planktonic culture time-kill analysis against four isolates: - modified *S. carnosus* strain TM300H, methicillin-sensitive isolates D329 and 15981, and MRSA isolate 252. Six of these 10 phages were able to rapidly kill, reducing cell numbers of at least three isolates. The four best-performing phages, in this assay, were further shown to be highly effective in reducing 48 h biofilms on polystyrene formed by eight ST22 and eight ST36 MRSA isolates. Genomes of 22 of the widest host-range phages showed they belonged to the *Twortvirinae* subfamily of the order *Caudovirales* in three main groups corresponding to *Silviavirus*, and two distinct groups of *Kayvirus*. These genomes assembled as single-linear dsDNAs with an average length of 140 kb and a GC content of c. 30%. Phages that could infect > 96% of *S. aureus* isolates were found in all three groups, and these have great potential as therapeutic candidates if, in future



studies, they can be formulated to maximize their efficacy and eliminate emergence of phage resistance by using appropriate combinations.

**Keywords:** *Staphylococcus aureus*, bacteriophage, biofilm, methicillin-resistant *Staphylococcus aureus*, genomics

## INTRODUCTION

*Staphylococcus aureus* is one of the major causes of both hospital- and community-acquired infections globally. It is an extremely versatile pathogen, causing a broad spectrum of diseases ranging in severity from minor skin and soft-tissue infections to life-threatening invasive infections (Lowy, 1998). Hospitalized patients are particularly prone to *S. aureus* infections because of the presence of compromised immune systems and surgical site infections caused by the implantation of indwelling medical devices (Brandt et al., 1999). *S. aureus* is commonly resistant to penicillin and methicillin-resistant *S. aureus* (MRSA) infections, resistant to all beta-lactam antibiotics, are most frequently treated with intravenous vancomycin, the antibiotic of “last resort” for resistant staphylococcal infections. However, resistance to this antibiotic (Centers for Disease Control and Prevention, 2002) and newer agent, such as linezolid (Tsiodras et al., 2001) and daptomycin (Skiest, 2006), have emerged, and there is a great and ever-increasing need for novel antibiotics and other therapeutic strategies for treating this pathogen.

Phage therapy exploits the natural ability of lytic bacteriophages (phages) to invade, multiply intracellularly, and then kill their host. Obligately, lytic phage are regarded as the most appropriate candidates in human health because they are capable of rapidly killing their host, greatly reducing the chances of bacteria developing phage resistance (Skurnik et al., 2007; Cui et al., 2017b). They also lack the required genetic factors for genome incorporation found in temperate phages. Despite widespread use in the 1930s, phage therapeutics were largely eclipsed by the discovery and clinical development of broad-spectrum antibiotics in western countries. However, phage therapy continues to be used at The G. Eliava Institute of Bacteriophage, Microbiology, and Virology in Tbilisi, Georgia and the Institute of Immunology and Experimental Therapy in Wrocław, Poland, and these have become major centers for the development and application of phage therapy (Summers, 2001; Kutter et al., 2010). Success rates exceeding 85% have been reported in treating antibiotic-resistant infections caused by pathogens, including *S. aureus*, *Pseudomonas aeruginosa*, *Klebsiella pneumoniae*, and *Escherichia coli* in Poland (O’Flaherty et al., 2009) in studies involving large patient numbers. To date, there have been three early-stage clinical trials of phages for the treatment of *S. aureus* infections. In 2009, Rhoads et al. reported safety in a trial of phages against venous leg ulcers (Rhoads et al., 2009) and more recently McCallin et al. (2018) demonstrated the safety of a broad-spectrum phage cocktail in a placebo-controlled study, including nasal and oral administration. A recent study in Australia demonstrated safety of a good manufacturing practice — quality three-phage cocktail administered intravenously to 13 patients with invasive *S. aureus* infections (Petrovic Fabijan et al., 2020). This study marked a milestone in phage therapy, the first regulated clinical trial of systemically administered phages.

Most reports in the literature regarding lytic staphylococcal phages show that these are typically members of the *Twortvirinae* subfamily, which currently comprises five genera representing distinct lineages — *Kayvirus*, *Sepunavirus*, *Silviavirus*, *Twortvirus*, and unclassified *Twortvirinae*. These phages display a broad host range, infecting most *S. aureus* isolates and even those of other staphylococcal species (Lobocka et al., 2012). Studies on these group of phages and also their lytic enzymes hold promise for future clinical development alone or in combination with antibiotics (Berryhill et al., 2021) especially as comparative genomic analysis show little similarity between phages from different lineages in gene complement or in their lytic gene sequences (O’Flaherty et al., 2005a; Synnott et al., 2009; Lobocka et al., 2012). These may therefore represent an important and diverse source of phages for traditional therapy or development of treatments based on novel antimicrobial enzymes.

Here, we report on the isolation and characterization of 78 lytic phages with broad host range against a diverse collection of *S. aureus*. These 185 isolates include members of all globally disseminated MRSA and methicillin-sensitive lineages, including multiple isolates of clonal complexes (CCs) 1, 5, 8, 22, 30, 45, 59, and CC80 containing the main MRSA lineages (Chatterjee and Otto, 2013). Wherever possible, we isolated and propagated phages on a modified *S. carnosus* isolate that is avirulent (Rosenstein et al., 2009), containing none of the virulence genes associated with *S. aureus* prophages that could potentially compromise the safe production of phages for therapeutic use. We examined the *in vitro* characteristics of selected phages in planktonic and biofilm culture and characterized the genomic similarity and taxonomy of 22 phages with some of the broadest host ranges.

## MATERIALS AND METHODS

### Bacterial Strains

A modified *S. carnosus* strain, TM300H, a hybrid strain derived from TM300H expressing both its native glycerol-phosphate (GroP)- and *S. aureus* ribitol-phosphate (RboP)-type wall teichoic acids, was used for phage isolation and propagation. *S. carnosus* is a non-virulent staphylococcal species used in meat production, and as such, we considered it a benign propagating host for phage production. TM300H was transformed with a chloramphenicol resistance plasmid encoding polyribitol-phosphate (RboP) repeating units of *S. aureus* wall teichoic acid to promote phage adsorption (Winstel et al., 2013). This required supplementation of growth medium with 10 µg/ml chloramphenicol. The methicillin-sensitive isolate D329 was used as an alternative host for phage propagation where this was not possible using TM300H. *S. aureus* isolate 15981 (obtained from Prof ATA Jenkins, University of Bath, UK) was also included in this study as it is a very strong biofilm producer

and has been used in several studies of *S. aureus* virulence and biofilm regulation including those by Valles et al. (2003) and Toledo-Arana et al. (2005). All bacterial isolates were cultured in tryptone soy broth (TSB) or agar (TSA) and stored at  $-80^{\circ}\text{C}$  in TSB containing 25% (v/v) glycerol. One hundred eighty-five genetically diverse isolates of *S. aureus* from our collection, including isolates from 13 published studies of human and animal carriage and disease, were used in this study (Table 1). They comprised 126 MRSA and 59 MSSA isolates from 14 different countries with 58 different multilocus sequence types (Enright et al., 2000). This collection contains multiple representative isolates of all major MRSA and MSSA lineages (Enright et al., 2002; Feil et al., 2003; Holden et al., 2013), including those associated with community-onset (Vandenesch et al., 2003) and livestock-associated (van Belkum et al., 2008) MRSA infections. Table 1 shows the MLST sequence type and clonal complex of each isolate, as well as a reference to the original study where the isolate was first characterized using MLST, and for MRSA isolates — SCCmec typing. Further information on study isolates are available from the cited source and, in most cases, from the PubMLST website at <https://pubmlst.org/organisms/staphylococcus-aureus>.

## Phage Isolation, Propagation, and Host Range Determination

Sewage effluent samples were collected from various process tanks at Davyhulme and Eccles wastewater treatment works, Manchester, England. Organic matter was removed from samples by centrifugation at 3,000g for 30 min. 10-ml aliquots of supernatant were filtered (0.22  $\mu\text{m}$  pore size), before being combined with 10 ml of double-strength TSB and 100  $\mu\text{l}$  of exponentially growing bacterial cultures, followed by incubation at  $37^{\circ}\text{C}$ , in an orbital incubator at 150 rpm for 24 h. Bacterial debris were removed by centrifugation (3,000g, 30 min), and supernatants were filtered (0.22  $\mu\text{m}$ ) and stored at  $4^{\circ}\text{C}$ . This supernatant was used to check the presence of lytic phages using the double-agar overlay method (Kropinski et al., 2009). Isolated single plaques were picked into SM buffer (50 mM Tris-HCl, 8 mM  $\text{MgSO}_4$ , 100 mM NaCl, and 0.01% gelatin, pH 7.5) in sterile distilled water, and successive rounds of single plaque purification were carried out until purified plaques were obtained. Purified phage suspensions were maintained at  $4^{\circ}\text{C}$ . *S. carnosus* strain TM300H was used for phage propagation whenever possible; however, for some phages, the methicillin-sensitive *S. aureus* isolate D329 was used (Table 1).

Phage host range was determined by spot test, 100  $\mu\text{l}$  of log phase bacterial culture was mixed with 10 ml soft agar, the mixture poured onto 10 ml TSA plates and 10  $\mu\text{l}$  phage lysate (~106 pfu/ml) spotted onto the plate prior to overnight incubation at  $37^{\circ}\text{C}$ . Bacterial strains were classed as wholly sensitive to a particular phage if spot test resulted in a clear plaque, intermediately sensitive if plaques showed evidence of clearing but were hazy or turbid and resistant if no clearing was present. All host range assays were performed in triplicate.

A collection of 32 uncharacterized *S. aureus* phages collected in previous studies was also included in this study.

## In Vitro Growth Experiments

### Growth Kinetics in Planktonic Culture

The growth rate of each bacterial isolate in liquid culture was studied in 96-well flat-bottomed microtiter plates by measuring absorbance of each well using the method of Alves et al. (2014). Briefly, bacterial growth was measured by absorbance (600 nm) over 19 h at  $37^{\circ}\text{C}$ , with shaking, using a microplate reader (FLUOstar Omega, BMG LABTECH). The plate reader provided absorbance data points every 180 s following a 10-s agitation at 200 rpm. Data points after every 30 min were used for analysis.

### Time-Kill Assays

Time-kill assays were performed to determine the sensitivity of planktonic bacterial cells to phage infection and to investigate the frequency of phage-resistant bacterial mutants using the method described in Alves et al. (2014). Briefly, 200  $\mu\text{l}$  of 1:100 dilutions of overnight bacterial cultures were added to wells of 96-well microtiter plates. Dilutions were made using TSB. After 2 h of incubation at  $37^{\circ}\text{C}$ , phage lysate, at an MOI of 0.1, was added, and the microplates were incubated for a further 17 h. Experiments were performed in triplicate.

### Formation and Treatment of *S. aureus* Biofilms

Biofilm assays followed a standard 96-well plate method as described previously (Alves et al., 2014). Briefly, 200  $\mu\text{l}$  of 1:100 dilutions of overnight bacterial culture, made using TSB supplemented with 1% D-(+)-glucose (TSBg), were added to microtiter plates. Microtiter plates with lids were sealed with Parafilm were wrapped in moistened paper towel, then placed in a sealed plastic box to maintain humidity. Plates were incubated at  $37^{\circ}\text{C}$  for 48 h without agitation to allow biofilm formation. After 24 h, 50  $\mu\text{l}$  of spent medium was withdrawn and replaced with 50  $\mu\text{l}$  of fresh TSBg. Plates were then incubated for a further 24 h at  $37^{\circ}\text{C}$ . Biofilms were washed three times with PBS before air drying and staining with 0.1% (w/v) crystal violet (CV). Stained biofilms were rinsed with PBS, air dried then solubilized in 200  $\mu\text{l}$  of 30% (v/v) glacial acetic acid. Biofilm mass was measured spectrophotometrically using a FLUOstar plate reader at absorbance of 590 nm. Enumeration of *S. aureus* cells recovered from 48-h biofilms was performed by washing with PBS to remove non-adherent bacteria and residual media. Biofilms were then resuspended in 200- $\mu\text{l}$  PBS and serially diluted, with 100  $\mu\text{l}$  spread on TSA plates to determine the CFU for each isolate.

48-h biofilms were treated with 200  $\mu\text{l}$  of diluted phage lysate at two different MOIs, of 1.0 and 0.1. Quantification of biofilm biomass and viable cell counts following exposure to phage for 6 and 24 h was performed as described above.

## Phage Genome Sequencing

### Isolation of Phage Genomic DNA

Phage genomic DNA was extracted by a phenol/chloroform/isoamyl alcohol (25:24:1 [v/v]) method using 1.5 ml of lysate (107 to 109 pfu/ml). Lysates were centrifuged at 10,000g for 10 min at  $4^{\circ}\text{C}$ , and 1-ml supernatant was transferred into a fresh microfuge tube and treated with DNase I (10  $\mu\text{l}$  of 1 mg/ml DNase I) and RNase A (4  $\mu\text{l}$  of 12.5 mg/ml RNase A). 1 ml of phenol (pH 10) was added to each tube, before vortexing for 30 s, and centrifugation at 10,000g for 10 min at  $4^{\circ}\text{C}$ . The aqueous layer was removed to a fresh tube and 1 ml phenol/

**TABLE 1 |** Details of *Staphylococcus aureus* isolates used in this study.

Isolate	ST	CC	MRSA	Country	Year	Reference	Isolate	ST	CC	MRSA	Country	Year	Reference	Isolate	ST	CC	MRSA	Country	Year	Reference
H462	1	1	N	UK	1997	(Avrani and Lindell, 2015)	ARI7	22	22	Y	UK	2007	(Berryhill et al., 2021)	D97	55		N	UK	1997	(Beeton et al., 2015)
NL0118512	1	1	N	Netherlands	1999	(Bankevich et al., 2012)	F88956	22	22	Y	UK	2007	(Berryhill et al., 2021)	D318	57	30	N	UK	1997	(Beeton et al., 2015)
BTN2164	1	1	N	UK	1999	(Cui et al., 2017a)	H43162	22	22	Y	UK	2008	(Berryhill et al., 2021)	D508	58	15	N	UK	1997	(Beeton et al., 2015)
HT2001-254	1	1	Y	USA	2001	(Dickey and Perrot, 2019)	H91491	22	22	Y	UK	2007	(Berryhill et al., 2021)	D535	59		N	UK	1997	(Beeton et al., 2015)
A93-0066	5	5	Y	France	1993	(Adriaenssens and Brister, 2017)	HO50960412	22	22	Y	UK	2005	(Berryhill et al., 2021)	D551	59		N	UK	1997	(Beeton et al., 2015)
FIN61974	5	5	Y	Finland	2002	(Adriaenssens and Brister, 2017)	HO5322054809	22	22	Y	UK	2005	(Berryhill et al., 2021)	D473	69	1	N	UK	1997	(Beeton et al., 2015)
H157	5	5	N	UK	1997	(Avrani and Lindell, 2015)	HO72300407/05	22	22	Y	UK	2007	(Berryhill et al., 2021)	CDCUSA700	72	8	Y	USA	1998	(Chatterjee and Otto, 2013)
AR110735	5	5	Y	Ireland	1993	(Bankevich et al., 2012)	HO73740468/05	22	22	Y	UK	2007	(Berryhill et al., 2021)	SWEDEN8890/99	80	80	Y	Sweden	1999	(Adriaenssens and Brister, 2017)
BK519	5	5	Y	USA	1991	(Bankevich et al., 2012)	M81008	22	22	Y	UK	2007	(Berryhill et al., 2021)	HT2002-0664	80	80	Y	France	2002	(Dickey and Perrot, 2019)
NJ992	5	5	Y	USA	2002	(Bankevich et al., 2012)	T27706	22	22	Y	UK	2008	(Berryhill et al., 2021)	HT20040991	80	80	Y	France	2004	(Dickey and Perrot, 2019)
D10	5	5	N	UK	1997	(Beeton et al., 2015)	T50530	22	22	Y	UK	2007	(Berryhill et al., 2021)	BK1563	88		Y	USA	1991	(Bankevich et al., 2012)
CDC-USA800	5	5	Y	USA	1998	(Chatterjee and Otto, 2013)	W44936	22	22	Y	UK	2008	(Berryhill et al., 2021)	HT2001-0634	93	93	Y	Australia	2001	(Dickey and Perrot, 2019)
BTN2242	5	5	N	UK	2002	(Cui et al., 2017a)	370.07	22	22	Y	UK	2007	(Berryhill et al., 2021)	HT2002-0635	93	93	Y	Australia	2002	(Dickey and Perrot, 2019)
C56	6	5	N	UK	1997	(Avrani and Lindell, 2015)	99ST18131	22	22	Y	Australia	1999	(Berryhill et al., 2021)	H560	121		N	UK	1998	(Avrani and Lindell, 2015)
E228	8	8	N	Denmark	1957	(Alves et al., 2014)	RH06000061/09	22	22	N	UK	2000	(Brandt et al., 1999)	D139	145		N	UK	1997	(Beeton et al., 2015)
99ST22111	8	8	Y	Australia	1997	(Bankevich et al., 2012)	BTN1626	22	22	Y	UK	2002	(Cui et al., 2017a)	FIN62305	156		Y	Finland	1990	(Adriaenssens and Brister, 2017)
EMRSA13	8	8	Y	UK	1999	(Bankevich et al., 2012)	C49	23	22	N	UK	1997	(Avrani and Lindell, 2015)	D22	182		N	UK	1997	(Beeton et al., 2015)
EMRSA2	8	8	Y	UK	1999	(Bankevich et al., 2012)	D279	25		N	UK	1997	(Beeton et al., 2015)	CAN6428-011	188	1	N	Canada	2002	(Bankevich et al., 2012)
EMRSA6	8	8	Y	UK	1999	(Bankevich et al., 2012)	H118	28		N	UK	1997	(Avrani and Lindell, 2015)	D470	207		N	UK	1997	(Beeton et al., 2015)
EMRSA7	8	8	Y	UK	1999	(Bankevich et al., 2012)	CUBA4030	30	30	N	Cuba	2000	(Bankevich et al., 2012)	NOT116	227		N	UK	2000	(Brandt et al., 1999)
NL010548-1	8	8	N	Netherlands	1999	(Bankevich et al., 2012)	C390	31	30	N	UK	1997	(Avrani and Lindell, 2015)	WW2594/97-2	228	5	Y	Germany	1997	(Adriaenssens and Brister, 2017)
D137	8	8	N	UK	1997	(Beeton et al., 2015)	H399	33	30	N	UK	1997	(Avrani and Lindell, 2015)	GERMANY131/98	228	5	Y	Germany	1998	(Bankevich et al., 2012)
NOT110	8	8	N	UK	2000	(Brandt et al., 1999)	C160	34	30	N	UK	1997	(Avrani and Lindell, 2015)	CDC16	231	5	Y	USA	1998	(Chatterjee and Otto, 2013)
CDC-USA300	8	8	Y	USA	1998	(Chatterjee and Otto, 2013)	MRSA252ΦKmut	36	30	Y	UK	2013	(Abedon, 1992)	99.3759.V	235	5	Y	UK	2002	(Bankevich et al., 2012)
15981	8	8	N	Spain	2003	(Cui et al., 2017b)	FIN75916	36	30	Y	Finland	1996	(Adriaenssens and Brister, 2017)	91-4990	239	8	Y	Netherlands	1991	(Bankevich et al., 2012)
H169	9	1	N	UK	1997	(Avrani and Lindell, 2015)	UK96/32010	36	30	Y	UK	1996	(Adriaenssens and Brister, 2017)	EMRSA11	239	8	Y	UK	1999	(Bankevich et al., 2012)
D295	9	1	N	UK	1997	(Beeton et al., 2015)	H119MRSA	36	30	Y	UK	1997	(Avrani and Lindell, 2015)	EMRSA4	239	8	Y	UK	1999	(Bankevich et al., 2012)
D316	11	12	N	UK	1997	(Beeton et al., 2015)	H325	36	30	N	UK	1997	(Avrani and Lindell, 2015)	FFP200	239	8	Y	Portugal	1996	(Bankevich et al., 2012)
H117	12	12	N	UK	1997	(Avrani and Lindell, 2015)	MRSA252	36	30	Y	UK	1997	(Avrani and Lindell, 2015)	EMRSA9	240	8	Y	UK	1999	(Bankevich et al., 2012)
D329	12	12	N	UK	1997	(Beeton et al., 2015)	EMRSA16	36	30	Y	UK	1999	(Bankevich et al., 2012)	SWEDEN408/99	246	8	Y	Sweden	1999	(Adriaenssens and Brister, 2017)
H402	13		N	UK	1997	(Avrani and Lindell, 2015)	NottmA	36	30	Y	UK	2000	(Brandt et al., 1999)	FRA97393	247	8	Y	France	2002	(Adriaenssens and Brister, 2017)
C154	14	15	N	UK	1997	(Avrani and Lindell, 2015)	NottmA2	36	30	N	UK	2000	(Brandt et al., 1999)	82MRSA	247	8	Y	UK	1997	(Avrani and Lindell, 2015)
C357	15	15	N	UK	1997	(Avrani and Lindell, 2015)	03.1791.F	36	30	Y	UK	2003	(Centers for Disease Control and Prevention, 2002)	EMRSA5	247	8	Y	UK	1999	(Bankevich et al., 2012)
H291	18	15	N	UK	1997	(Avrani and Lindell, 2015)	06.9570.L	36	30	Y	UK	2006	(Centers for Disease Control and Prevention, 2002)	EMRSA8	250	8	Y	UK	1999	(Bankevich et al., 2012)
D17	20		N	UK	1997	(Beeton et al., 2015)	07.1227.Z	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	KD12168	250	8	Y	UK	1965	(Bankevich et al., 2012)
98/10618	22	22	Y	UK	1998	(Adriaenssens and Brister, 2017)	07.1696.F	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	27969	398	398	Y	UK	2012	N/A
SwedenAO9973	22	22	Y	Sweden	1999	(Adriaenssens and Brister, 2017)	07.2449.K	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	09.4620.V	398	398	Y	UK	2012	N/A
WW1678/96	22	22	Y	Germany	1996	(Adriaenssens and Brister, 2017)	07.2496.L	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	09.6440.M	398	398	Y	UK	2012	N/A
C101	22	22	N	UK	1997	(Avrani and Lindell, 2015)	07.2589.M	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	11.1299.J	398	398	Y	UK	2012	N/A
C720	22	22	Y	UK	1998	(Avrani and Lindell, 2015)	07.2880.V	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	11.2530.K	398	398	Y	UK	2012	N/A
H182MRSA	22	22	Y	UK	1997	(Avrani and Lindell, 2015)	07.3841.N	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	11.3281.H	398	398	Y	UK	2012	N/A
H65	22	22	N	UK	1998	(Avrani and Lindell, 2015)	07.6636.Y	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	11.4910.K	398	398	Y	UK	2012	N/A
EMRSA15-90	22	22	Y	UK	1990	(Bankevich et al., 2012)	07.6659.K	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	11.5252.H	398	398	Y	UK	2012	N/A
NL011399-5	22	22	N	Netherlands	1999	(Bankevich et al., 2012)	07.7206.Y	36	30	Y	UK	2007	(Centers for Disease Control and Prevention, 2002)	11.5654.T	398	398	Y	UK	2012	N/A
403.02	22	22	Y	UK	2002	(Berryhill et al., 2021)	97.2483.Hb	36	30	Y	UK	1997	(Centers for Disease Control and Prevention, 2002)	12.2167.C	398	398	Y	UK	2012	N/A
434.07	22	22	Y	UK	2007	(Berryhill et al., 2021)	98.5806.F	36	30	Y	UK	1998	(Centers for Disease Control and Prevention, 2002)	12.2539.L	398	398	Y	UK	2012	N/A
723.07	22	22	N	UK	2007	(Berryhill et al., 2021)	USA200	36	30	Y	USA	1998	(Chatterjee and Otto, 2013)	12.2732.H	398	398	Y	UK	2012	N/A
921.07	22	22	Y	UK	2007	(Berryhill et al., 2021)	BTN1429	36	30	Y	UK	2002	(Cui et al., 2017a)	42-57	398	398	Y	UK	2012	N/A
930.02	22	22	Y	UK	2002	(Berryhill et al., 2021)	BTN2172	36	30	Y	UK	2002	(Cui et al., 2017a)	BVCA92	398	398	Y	UK	2012	N/A
1018.07	22	22	Y	UK	2007	(Berryhill et al., 2021)	BTN2292	36	30	Y	UK	2002	(Cui et al., 2017a)	C7-1011	398	398	Y	UK	2007	N/A
1091	22	22	Y	UK	2008	(Berryhill et al., 2021)	BTN766	36	30	Y	UK	2002	(Cui et al., 2017a)	C7(P11)	398	398	Y	UK	2007	N/A
729192	22	22	Y	UK	2007	(Berryhill et al., 2021)	H137	38	30	N	UK	1997	(Avrani and Lindell, 2015)	C7(P4)	398	398	Y	UK	2007	N/A
98.4823.X	22	22	Y	UK	1998	(Berryhill et al., 2021)	C253	40	30	N	UK	1997	(Avrani and Lindell, 2015)	GKP136-53	398	398	Y	UK	2012	N/A
AR0650784	22	22	Y	Ireland	1993	(Berryhill et al., 2021)	C427	42		N	UK	1997	(Avrani and Lindell, 2015)	h-RVC57276	398	398	Y	UK	2012	N/A
ARI10	22	22	Y	UK	2007	(Berryhill et al., 2021)	FIN76167	45	45	Y	Finland	1996	(Adriaenssens and Brister, 2017)	m-38-53	398	398	Y	UK	2012	N/A
ARI11	22	22	Y	UK	2007	(Berryhill et al., 2021)	BTN2299	45	45	Y	UK	1999	(Cui et al., 2017a)	m-mecA-17-57	398	398	Y	UK	2012	N/A

(Continued)

TABLE 1 | Continued

[illegible]

chloroform/isoamylalcohol (25:24:1) was added, vortexed for 30 s, and centrifuged at 10,000g for a further 10 min at 4°C. The phenol/chloroform/isoamylalcohol step was then repeated before DNA was precipitated with two volumes of ice-cold absolute ethanol and 1/10 volume of 7.5 M ammonium acetate, and stored at -20°C overnight. Samples were centrifuged at 10,000g for 20 min at 4°C, and DNA pellets were washed twice with 1 ml 70% ethanol (v/v), then resuspended in 100 µl nuclease-free water.

## Whole-Genome Sequencing

Libraries of the selected phage DNA samples (input DNA 0.2 ng/μl) were prepared using the Illumina NexteraXT DNA Sample Preparation Kit following manufacturer's instructions. Sequencing of phage DNA (paired-end 2 × 150 high output) was carried out using the Illumina NextSeq500 platform at Manchester Metropolitan University, UK.

## Genome Assembly, Annotation, and Comparison

Sequence reads were assembled using SPAdes v3.11 (Bankevich et al., 2012). All phage assemblies resulted in a single large contig plus a number of small repeats. The largest contig and their coverage were assessed and visualized using Bandage (Wick et al., 2015), individual genome assemblies were analyzed using Artemis (Rutherford et al., 2000), and the largest scaffolds were compared to the similarity of previously sequenced genomes using BLASTN. Based on the similarity between our query sequences and the top hits (closely related genomes) identified using BLASTn, genome assemblies of all related phage infecting *S. aureus* were retrieved from GenBank (<https://www.ncbi.nlm.nih.gov/nucleotide>) and the European Nucleotide Archive (ENA) databases in April 2021 to achieve a final collection of 122 phage genomes.

Genomes were annotated with PROKKA v1.14.6 (Seemann, 2014) using a custom *Caudovirales* gene database (Michniewski et al., 2019). Neighbor-joining trees were constructed using min-hash distances implemented in Mashree (Katz et al., 2019). Genome comparisons were made using min-hash implemented in MASH (Ondov et al., 2016), and the pan-genome analysis tool Roary v3.13.0 (Page et al., 2015) was used to assess the number of genes shared by each genome (Page et al., 2015). Phylogenetic trees were constructed using Archaeopteryx v0.9929 (<https://sites.google.com/site/cmzmasek/home/software/archaeopteryx>).

## Statistical Analysis

Planktonic and biofilm experiments were performed with a minimum of three replicates, and these values were used to plot mean  $\pm$  standard deviation. Statistical analysis was performed using GraphPad Prism Version 7.0 software package, data were analyzed as an ordinary one-way analysis of variance (ANOVA) and Sidak's multiple comparison test to determine significance of results. Results were taken as significantly different by a  $p$  value of  $< 0.05$  unless otherwise stated.

## RESULTS

## Phage Isolation

The modified *S. carnosus* strain TM300H and *S. aureus* strain D329 were used to isolate and propagate 46 phages from 150



filtered sewage samples over a period of several months. Plaques were all small in size with most being <1 mm in diameter ( $n=39$ ) and the largest being 2 mm. 39 of 46 phages were propagated on TM300H; however, the remainder (EW20, EW29, EW30, EW41, and EW44-46) could not reliably infect this strain and were propagated on *S. aureus* D239 instead. Phages were named in accordance with recent guidance on nomenclature with the designations vB\_SauM\_EW1 to vB\_SauM\_EW46 (Adriaenssens and Brister, 2017) and are henceforth referred to as EW1, EW2 ...

EW46. The 32 phages isolated previously were propagated on modified TM300H and were named EW47 to EW78.

## Host Range

The host range of the 78 phages was determined by spot test of lysates against 185 *S. aureus* isolates (Table 2) in agar overlays. Bacterial strains were classified as sensitive, intermediately sensitive, or resistant, depending on plaque morphology — examples of these are shown in Figure 1. The majority

**TABLE 2 |** Percentage coverage of EW phage against 185 *S. aureus* isolates.

Phage	Isolates Resistant	Isolates Intermediate	Isolates Sensitive	Coverage	Phage	Isolates Resistant	Isolates Intermediate	Isolates Sensitive	Coverage
EW1	80	104	1	56.76%	EW40	17	158	10	90.81%
EW2	80	104	1	56.76%	EW41	6	124	54	96.76%
EW3	27	149	9	85.41%	EW42	6	163	16	96.76%
EW4	59	124	2	68.11%	EW43	11	159	15	94.05%
EW5	26	153	6	85.95%	EW44	44	112	29	76.22%
EW6	42	137	6	77.30%	EW45	36	147	2	80.54%
EW7	48	117	20	74.05%	EW46	65	116	4	64.86%
EW8	163	21	1	11.89%	EW47	123	62	0	33.51%
EW9	70	110	5	62.16%	EW48	60	122	3	67.57%
EW10	59	117	9	68.11%	EW49	14	147	24	92.43%
EW11	97	83	5	47.57%	EW50	139	41	5	24.86%
EW12	71	112	2	61.62%	EW51	15	151	19	91.89%
EW13	82	100	3	55.68%	EW52	6	152	27	96.76%
EW14	80	101	4	56.76%	EW53	27	149	9	85.41%
EW15	7	119	59	96.22%	EW54	16	94	75	91.35%
EW16	69	57	59	62.70%	EW55	76	96	13	58.92%
EW17	76	52	57	58.92%	EW56	19	119	47	89.73%
EW18	3	98	84	98.38%	EW57	16	116	53	91.35%
EW19	70	59	56	62.16%	EW58	14	107	64	92.43%
EW20	71	60	54	61.62%	EW59	13	101	71	92.97%
EW21	18	109	58	90.27%	EW60	12	84	89	93.51%
EW22	26	102	57	85.95%	EW61	13	99	73	92.97%
EW23	37	119	29	80.00%	EW62	12	94	79	93.51%
EW24	30	139	16	83.78%	EW63	12	99	74	93.51%
EW25	46	130	9	75.14%	EW64	11	97	77	94.05%
EW26	7	116	62	96.22%	EW65	13	107	65	92.97%
EW27	5	126	54	97.30%	EW66	12	96	77	93.51%
EW28	19	146	20	89.73%	EW67	11	94	80	94.05%
EW29	6	142	37	96.76%	EW68	11	97	77	94.05%
EW30	16	158	11	91.35%	EW69	10	103	72	94.59%
EW31	19	154	12	89.73%	EW70	1	88	96	99.46%
EW32	54	122	9	70.81%	EW71	1	83	101	99.46%
EW33	19	160	6	89.73%	EW72	5	91	89	97.30%
EW34	36	138	11	80.54%	EW73	13	95	77	92.97%
EW35	4	169	12	97.84%	EW74	2	76	107	98.92%
EW36	7	164	14	96.22%	EW75	8	81	96	95.68%
EW37	14	167	4	92.43%	EW76	10	83	92	94.59%
EW38	36	148	1	80.54%	EW77	13	89	83	92.97%
EW39	142	41	2	23.24%	EW78	10	85	90	94.59%

The phage host range is scored as resistant, intermediate, and susceptible based on the level of clearing on host overlays. Percentage coverage is the cumulative number of isolates that displayed intermediate and sensitive susceptibility to phage.

Coloured shading has been used to indicate level of resistance (red), intermediate-sensitivity (orange), and sensitivity of the isolate collection to phage infection.

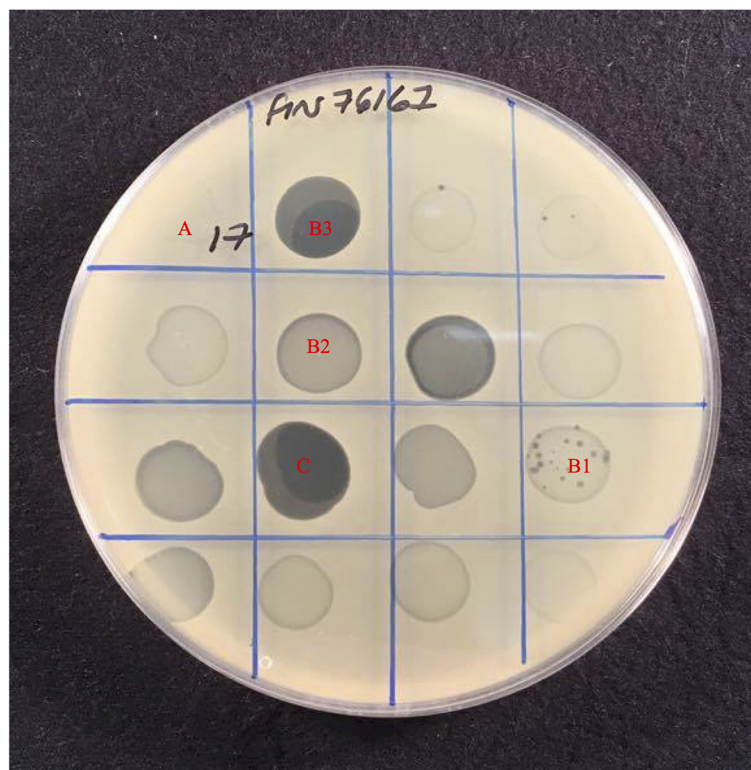
exhibited a broad host range phenotype with 40 of our 78 phage capable of infecting over 90% of isolates as determined by their sensitivity or intermediate-sensitivity to phages in this assay (**Table 2**). Fifteen of these were capable of disrupting the growth of over 95% (178/185) of isolates. Phages EW70 and EW71 had the broadest host range and were capable of infecting 184 of the 185 isolates. Of the 184 isolates, they could infect 96 (53%), and 101 (55%) were fully susceptible, respectively, that is, they produced distinct clear plaques. The only phage they could not infect was a mutant of isolate MRSA252 generated in a previous study during growth of the isolate in liquid culture with phage K (Alves et al., 2014).

### Time Kill Assays in Planktonic Culture

To investigate the dynamics of phages and their hosts in liquid culture, we quantified the ability of phages to reduce bacterial numbers in broth cultures and observed any phage-resistant mutant emergence. We selected ten of the fourteen phages that were able to infect > 96% of isolates tested, for time kill experiments (from **Table 2**). These were phages EW15, EW18, EW27, EW29, EW36, EW41, EW52, EW71, EW72, and EW74. Suspensions of these ten phages were tested against TM300H, D329, MRSA252, and 15981. These isolates were chosen as they include the two propagating bacteria used, MRSA252,

a representative of a major MRSA lineage (CC30) and the first genome sequenced MRSA isolate (Holden et al., 2004) and the well-studied abundant biofilm producing isolate 15981. Phages were introduced to growing cultures to achieve a multiplicity of infection (MOI) of 0.1, and incubated for 19 h with OD<sub>600</sub> readings taken every 3 min.

Both EW41 and EW52, propagated on D329 were the only phage ineffective against TM300H in this planktonic culture assay. The remaining eight phages were successful in reducing the growth of TM300H within 4 h following their introduction and they prevented any observable growth of phage-resistant mutants after 19 h (**Figure 2A**). With isolate D329, phages EW27 and EW29 initially took an hour longer than other phage before having any effect on the host as seen in **Figure 2B**. However, both EW27 and EW29 effectively reduced the growth of D329 after 4 and 6 h, respectively, while preventing the emergence of phage-resistant mutants. As for phage EW72, it was unsuccessful at depleting bacterial numbers before phage-resistant mutants emerged after 5 h, although this had an effect on the growth rate of D329 when compared with controls. Interestingly, an increase in bacterial density compared with the control was observed in D329 following addition of EW36. Individual growth phases appear less clearly defined with MRSA252 when challenged with phage (**Figure 2C**), which is also observed with strain 15981 (**Figure 2D**).



**FIGURE 1** | Host range assay showing effect of 16 phages against *S. aureus* strain Fin76167. Plaque formation was scored based on the level of clearing, (A) Resistant with no disturbance to lawn, (B) Intermediate-sensitivity varied from, B1 Few plaques with slight disturbance to lawn, B2 Substantial turbidity throughout clear zone, B3 High degree of clearing of with numerous mutant colonies present, to (C) Sensitive with complete clearing of bacterial lawn.

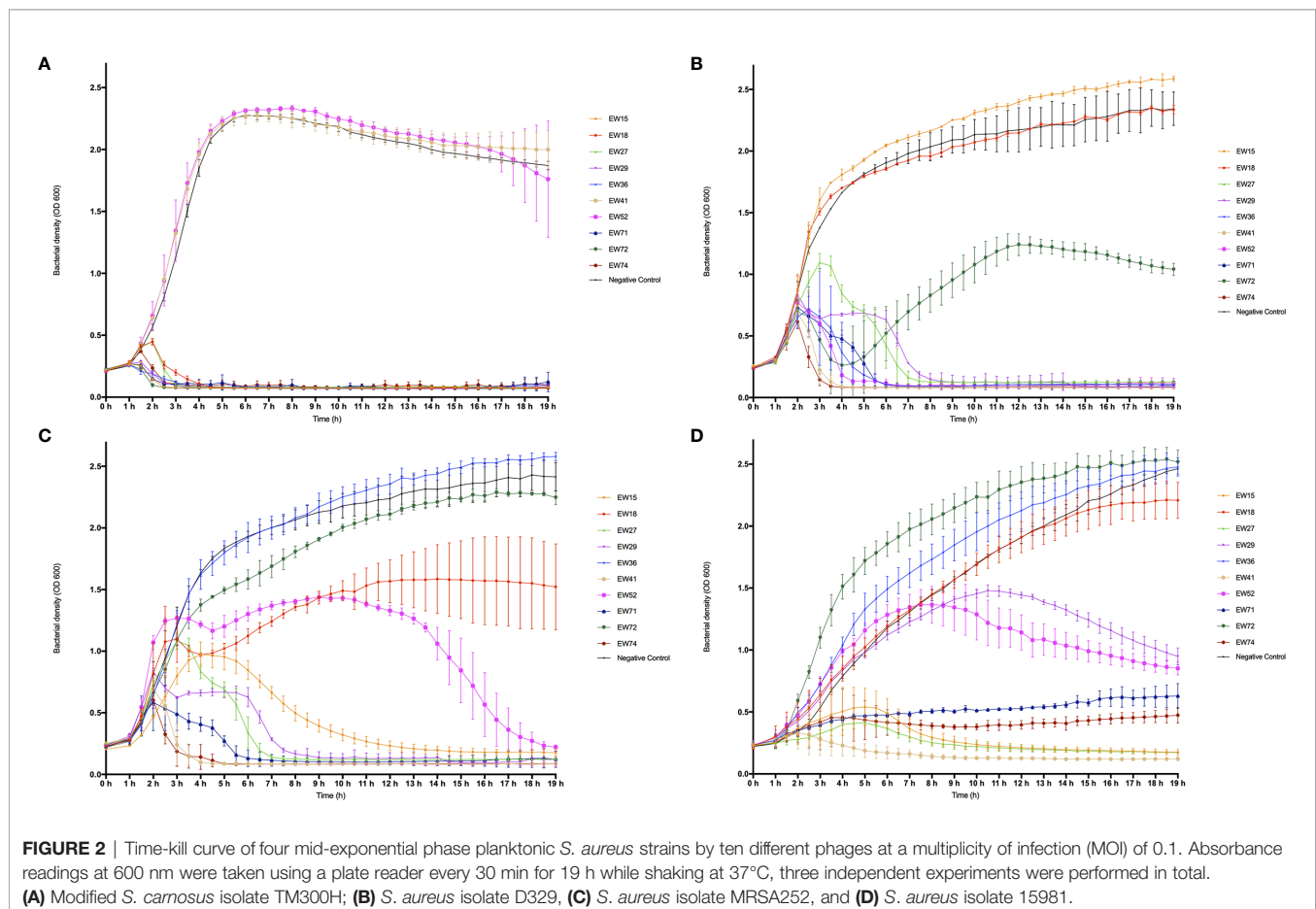
It is clear that a number of phages could not effectively reduce bacterial numbers before resistant mutants emerge. A reduced rate of killing was observed among phage when challenged against other strains compared with their propagating hosts, decreasing bacterial numbers at a much more gradual rate, with some phage such as EW15 taking several hours. Interestingly, phage EW71 and EW74 appeared to have a bacteriostatic effect on strain 15981 with no change in absorbance observed for c. 14 h before slowly increasing (Figure 2D).

As observed in D329, EW36 seemed to have a positive effect on the growth of MRSA252 when compared with the control, as a significant increase in OD<sub>600</sub> with time was observed. The same trend was also observed with EW72 on the growth of 15981, however, only slightly more than the control. Interestingly, EW52 appeared to have a brief positive effect on the growth rate of MRSA252 for a period of 2 h when initially introduced to the wells, followed by a prolonged infection period in which MRSA252 appeared to increase in concentration momentarily, before eventually decreasing in bacterial density below the initial concentration after 15 h. For all three *S. aureus* isolates used, phages that were able to successfully reduce the optical density and prevent bacterial regrowth were able to achieve it within 8 h relative to controls. Nevertheless, there were a number of phages including EW15, EW27, EW29, and EW52 that exhibited a lower degree of bacteriolytic ability against their hosts, taking up to ~13 h

to have any inhibitory and bactericidal effect on the bacteria. Isolate 15981 rapidly evolved resistance to phages EW18 and EW52. However, phage EW52 was successful in reducing bacterial density eventually, whereas phage EW18, moderately reduced growth of 15981 but elicited resistant mutants. Strain 15981 was found to be the most resistant to phage infection killing in this assay. Reductions to growth were at a much lower rate compared to other hosts and the appearance of phage-resistance was observed in each experiment. Phage EW41 was the most effective at inhibiting the growth of all three *S. aureus* isolates, decreasing cell densities rapidly, within 2 h following phage application. However, as EW41 was isolated and propagated on D329 because it could not be propagated on TM300H, it is not surprising that it had little or no effect on TM300H in this assay.

## *S. aureus* Biofilms

The two most common MRSA lineages in the UK have MLST sequence types (ST) 22 and 36 and these currently have global distributions (Holden et al., 2004; Holden et al., 2013). We selected isolates from these lineages to examine their biofilm forming properties and susceptibility to phages as these genotypes are the most common in our collection. We compared the biofilm densities produced by 43 ST22, and 27 ST36 isolates after 48 h by measurement of absorbance at OD<sub>590</sub>



following CV staining. We found considerable variation within isolates of these genotypes (**Supplementary Figure 1**) with an obvious dichotomy between relatively little biofilm produced by most ST22 isolates compared to those of ST36. This can be seen by visual inspection of stained biofilms from the four most proficient biofilm producing isolates from each ST with ST36 isolate biofilms being generally darker stained than those of ST22 (**Supplementary Figure 2**).

We used the same ST22 isolates; ARI10, WW44936, 1018.07, and HO5322054809 and ST36 isolates; 07.1696.F, 06.9570.L, 07.1227.Z, and 07.2880.V (see **Table 1** for details on isolates) to examine the relationship between biofilm biomass and viable cell count. We compared OD<sub>590</sub> readings of CV-stained 48 h mature biofilms for these eight isolates and compared these to viable cell counts. **Supplementary Figure 3** shows that the variation in biofilm biomass between strongest and weakest biofilm formers does not necessarily correlate to the number of viable cells present. All isolates had approximately similar numbers of cells in their biofilms but there was markedly greater variation within OD<sub>590</sub> readings for some isolates. ST22 isolates ARI10 and WW936 produced significantly more CV-stained biofilm than 1018.07 and 370.07 and similarly for ST36 isolates 07.1696.F and 06.9570.L produced much more CV-stained biofilm than the other two isolates of this genotype.

ST22 strains displayed a propensity to form moderately adhered biofilms that had significantly lower ODs than the best ST36 biofilm formers, yet they had consistently higher cell counts — similar to those values observed from ST36 isolates. The biofilms produced by *S. aureus* were found at the air-biofilm interface and as large aggregates at the solid-liquid interface at the base of microtiter plate wells.

## Effect of Phages on 48 h Biofilms

We selected four phages (EW27, EW36, EW41, and EW71) for analysis of biofilm reduction on the basis of; i) their broad host range against study isolates (**Table 2**) and ii) rapidly lytic characteristics in planktonic culture and observed lack of resistant-mutant selection (**Figure 2**). Each of these four phages was added to 48 h biofilms at an MOI of 0.1 or 1.0 and viable cell counts and OD<sub>600</sub> readings of CV-stained biofilms were performed after 6 and 24 h following phage application. Biofilm readings for each phage and corresponding viable cell counts are presented in **Supplementary Figures 4–7** and biofilm readings summarized in **Table 3**.

### EW27

Viable cell counts recovered from each EW27 phage-treated biofilm for all ST22 and ST36 isolates except for isolate 07.2496.L, were significantly reduced ( $p < 0.001$ ) following a 6-h exposure to EW27 when compared to untreated biofilm controls (**Table 3** and **Supplementary Figure 4**). However, following an initial decrease in CFU/ml after 6 h, an increase in bacterial concentration can be seen across all phage-treated ST22 isolates after 24 h, suggesting that resistance to phage had occurred within that time. There was no significant difference

between CFU counts from wells treated with phage for 6 and 24 h ( $p < 0.05$ ). When considering the overall biofilm biomass following phage exposure and CV staining, results revealed phage EW27 was highly effective at reducing the biofilms produced by all ST22 and ST36 strains. For EW27 treated ST36 isolates, biofilm biomass significantly increased in isolates 07.1696.F, 06.9570.L, and BTN 2172 using both MOI 1 and 0.1 ( $p < 0.05$ ), despite a minor reduction in bacterial numbers after 24-h treatments compared to 6 h. Following treatment of EW27 after both timepoints, EW27 at a MOI of 0.1 proved to be the most effective at both reducing bacterial cells and biofilm biomass for almost all ST22 and ST36 strains.

### EW36

Phage EW36 produced significant reductions in biofilm biomass for all study isolates except for 07.2496.L at both MOIs ( $p < 0.01$ ), with MOI 0.1 proving to be most effective (**Table 3** and **Supplementary Figure 5**). For both ST22 and ST36, no increase to biofilm density was observed from 6 to 24 h, suggesting that phage EW36 successfully disrupted biofilms preventing regrowth. This is further supported by the greater reduction in viable cell counts when biofilms were treated for 24 h. Interestingly, the populations of viable bacteria recovered from each biofilm produced by the four ST22 and ST36 isolates were found to be higher in wells treated by phage EW36 at MOI 0.1, despite producing lower absorbance readings than biofilms treated with a higher titer of phage at a MOI 1. EW36 was able to reduce viable cell numbers for both ST22 and ST36 isolates by at least one-log after 6 h and two-logs after 24 h at an MOI 0.1. With biofilms treated at an MOI 1, two-log reductions were observed after 6 h and three-log reductions after 24 h.

### EW41

Significant reductions ( $p < 0.01$ ) in biofilm biomass were observed for all ST22 and ST36 isolates tested with phage EW41 except for isolate 370.07 where two-log reductions in cells recovered and 60% to 93% reductions in biofilm biomass were observed after 6 h treatment (**Table 3** and **Supplementary Figure 6**). Interestingly, phage EW41 had the least effect in reducing biofilm biomass of isolate W449 36 after 6 h — reducing it by roughly 22% at an MOI 1 and 19% at an MOI 0.1; however, viable cell counts were relative to all other isolates and two-log reductions were observed across both time points. Furthermore, biofilm biomass and viable cell counts recovered from the biofilms challenged with EW41 after 24 h produced levels similar to 6 h exposure. Phage EW41 was able to further reduce biofilm levels of W449 36 by ~85% when exposed for 24 h. ST36 biofilms challenged with phage EW41 for 24 h produced higher levels of biofilm biomass and increase in cells recovered by up to one-log when compared to 6 h exposure, suggesting regrowth had occurred within that time. Across all ST22 and ST36 isolates, both biofilm biomass and viable cells recovered were consistently lower in wells challenged with EW41 at an MOI 0.1 when compared with MOI 1, although this was not significant.



**TABLE 3** | Summary table showing the relative difference in biofilm reduction of study phage at two multiplicities of infection (MOI) against four ST22 and four ST36 isolates.

	ST22	OD590	MOI 1	EW27MOI 0.1	MOI 1	EW36MOI 0.1	MOI 1	EW41MOI 0.1	MOI 1	EW71MOI 0.1
6 h	<b>ARI 10</b>	1.2034	-44%	-61%	-47%	-63%	-80%	-85%	-84%	-87%
	<b>W449 36</b>	1.1929	-71%	-78%	-77%	-78%	-22%	-19%	-75%	-68%
	<b>1018.07</b>	0.5941	-59%	-74%	-58%	-72%	-59%	-70%	-67%	-73%
	<b>370.07</b>	0.4381	-43%	-68%	-52%	-63%	-43%	-69%	-63%	-76%
24 h	<b>ARI 10</b>	1.2034	-58%	-55%	-81%	-82%	-82%	-83%	-87%	-86%
	<b>W449 36</b>	1.1929	-68%	-77%	-82%	-85%	-85%	-86%	-84%	-84%
	<b>1018.07</b>	0.5941	-20%	-49%	-68%	-69%	-69%	-70%	-76%	-74%
	<b>370.07</b>	0.4381	-25%	-43%	-59%	-68%	-63%	-66%	-78%	-75%
	ST36	OD590	MOI 1	EW27MOI 0.1	MOI 1	EW36MOI 0.1	MOI 1	EW41MOI 0.1	MOI 1	EW71MOI 0.1
6 h	<b>07.1696.F</b>	3.0191	-89%	-89%	-79%	-79%	-90%	-86%	-95%	-95%
	<b>06.9570.L</b>	2.7274	-75%	-60%	-81%	-80%	-71%	-74%	-91%	-92%
	<b>BTN 2172</b>	1.0997	-74%	-72%	-76%	-73%	-86%	-85%	-83%	-83%
	<b>07.2496.L</b>	0.7536	-64%	-69%	-20%	-29%	-70%	-70%	-81%	-79%
24 h	<b>07.1696.F</b>	3.0191	-77%	-80%	-88%	-90%	-86%	-89%	-89%	-95%
	<b>06.9570.L</b>	2.7274	-25%	-51%	-89%	-90%	-59%	-84%	-90%	-92%
	<b>BTN 2172</b>	1.0997	-30%	-57%	-73%	-78%	-83%	-68%	-77%	-83%
	<b>07.2496.L</b>	0.7536	-40%	-66%	-56%	-60%	-36%	-66%	-59%	-79%

48 h biofilms were challenged with phage for 6 and 24 h, percentages are based on control values.  
Colour used to indicate different treatments.

## EW71

Phage EW71 was the most effective of the four in reducing biofilm density and viable cell numbers (**Table 3** and **Supplementary Figure 7**). Phage EW71 was effective at reducing ( $p < 0.01$ ) biofilm biomass after 6 h treatment while greatly limiting the amount of regrowth after 24 h. Furthermore, phage EW71 was successful in reducing the number of viable cells by up to three-logs after 6 h, and continued to reduce after 24 h treatment by up to four logs versus controls. Biofilm densities of ST22 following treatment of EW71 after 6 h ranged from 63% to 87% while consequently preventing the regrowth of all four ST22 hosts after 24 h, further reducing biofilm densities. Greater reductions in biofilm densities were also observed when ST36 isolates were challenged with phage EW71 with OD<sub>590</sub> values reduced by 59–95% after 6 h. Interestingly, a marginal increase in absorbance was observed in across all four ST36 biofilms when exposed to phage for 24 h. However, increases to viable cell counts were only observed for 07.1696.F and 07.2496.L suggesting phage resistance and regrowth had occurred within the two sampling periods. Phage applied to biofilms at an MOI 1 were found to be the most effective at reducing viable cell counts within the biofilm after 6 and 24 h exposures; however, biofilm densities were somewhat higher with this MOI. Even so, biofilm biomasses were approximately similar across the majority of hosts for both 6- and 24-h treatments, except for isolate W449 36; however, this difference was not significant.

## Evaluation of Phage Biofilm Assays

**Table 3** and **Supplementary Figures 4–7** show the percentage reduction of *S. aureus* ST22 and ST36 isolate biofilms when challenged by EW27, EW36, EW41, and EW71 at MOIs of 1 and 0.1 after 6 and 24 h. For all ST22 isolates the median reduction in biofilm biomass for MOI 1 and MOI 0.1 after 6 h was 59% and

71% respectively, whereas the median reduction for MOI 1 and MOI 0.1 after 24 h exposure was 72% and 75%, respectively. Whereas, for ST36 isolates, the median biofilm biomass reduction for MOI 1 and MOI 0.1 after 6 h was 80% and 79%, respectively. After 24 h treatment, the median reduction for MOI 1 and MOI 0.1 for ST36 isolates was 75% and 80%, respectively.

Overall, the highest biofilm biomass reductions after 6 and 24 h phage treatments was observed with phages EW41 and EW71 respectively, with an MOI of 0.1. Although significant reductions in biofilm biomass was observed when treated with both MOIs of phage, the greatest reductions across all ST22 and ST36 isolates after 6 and 24 h exposure was achieved when biofilms were treated at an MOI 0.1. Although each phage was able to disperse the biofilms of all study isolates, complete elimination of cells was not observed across any of the hosts at either MOI as cells were recoverable when treated with phages for 6 h and 24 h.

## Phage-Resistant Mutants

The morphology of colonies recovered from phage-treated biofilms were heterogeneous and this was most marked for isolates treated with phage EW71 as shown in **Figure 3**. Phage-resistant mutant isolates recovered from each phage experiment were found to be resistant to all phage upon spot testing on agar overlays.

## Genomics

We sequenced the genomes of 22 phages with broad host range based upon spot testing results (**Table 2**). All are *Myoviruses* and members of the *Twortvirinae* sub-family of the family *Herelleviridae* based on BLASTN similarity. To further investigate the relatedness and taxonomy of our phages we compared their genomes to the 100 publicly available *Twortvirinae* genomes in Genbank (as of April 2021) using the

min-hash algorithm implemented in MASH (Ondov et al., 2016) to generate distance matrices that were used to construct the neighbor-joining dendrograms shown in **Figure 4**. The 22-phage genomes segregated into three main groups, designated 1–3.

### Group 1

This group contains five phages EW15, EW20, EW22, EW27, and EW41 (shown in red in **Figure 4**). They share very high similarity (MASH distances < 1%) with each other, except for phage EW41 that differs by 1.6% (**Supplementary Table 1**). It also has a slightly smaller genome of 132,999 bp compared to the others which are c.135,800 bp in size. These phages are very similar to, and cluster with 11 staphylococcal phages of the genus *Silviavirus* (**Figure 4B**). They include the broad host-range phages Romulus and Remus, proposed as an ideal candidate phages for human therapy due to their broad host-range and virulence (Vandersteegen et al., 2013).

### Group 2

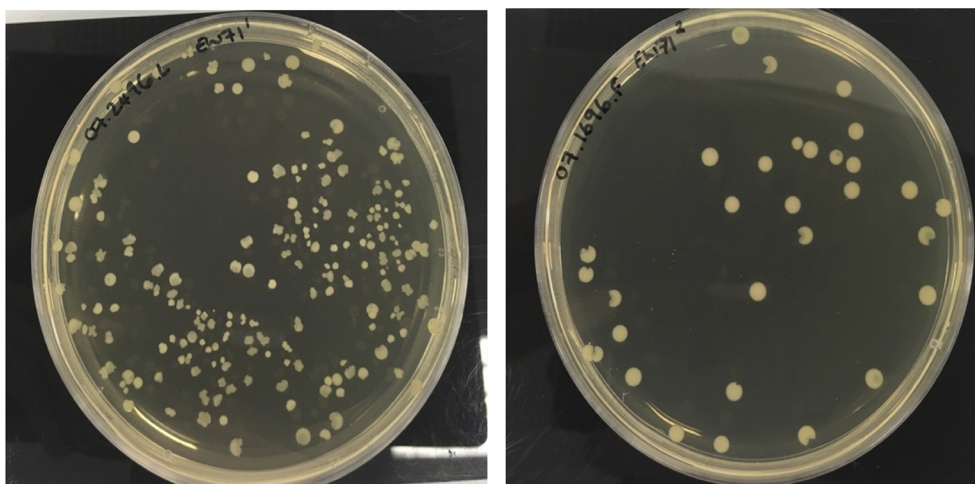
The largest group in this study contains 11 phages of the genus *Kayvirus* based on genomic sequence similarity, with genomes that share >95% similarity (i.e. < 5% MASH distance) with each other (**Figure 4** and **Supplementary Table 1**). It contains three subgroups A, B, and C, and these are colored green in **Figure 4**. Group 2A contains phages EW36 and EW42 that are 139,881 and 139,874 bp in length, respectively. Group 2B is made up of phages EW1, EW2, EW4 that are very similar (>99%) to each other and EW13 that differs from these by about 1.8% of bases. The first three phages have genomes that are 140,906 bp long, about 4 kb shorter than that of EW13 at 145,736 bp. Group 2C comprises phages EW3, EW5, EW6, EW7, and EW9 with genomes of between 141,953 and 143,288 bp. Their genomes are >99.5% similarity to each other. Group 2 phages form a distinct clade on their own in the dendrogram in **Figure 4B** and are most closely related to genomes belonging to phages of the

genus *Kayvirus* that include the species “Staphylococcus phage MCE-2014” (Alves et al., 2014) and several unclassified *Kayviruses*, sharing c.90% sequence similarity. Phage MCE-2014 (also known as DRA88) was first reported in a study where it was used in combination with phage K to treat experimental *S. aureus* biofilms. The phage K mutant of strain MRSA252 used here was derived from this study (**Table 1**).

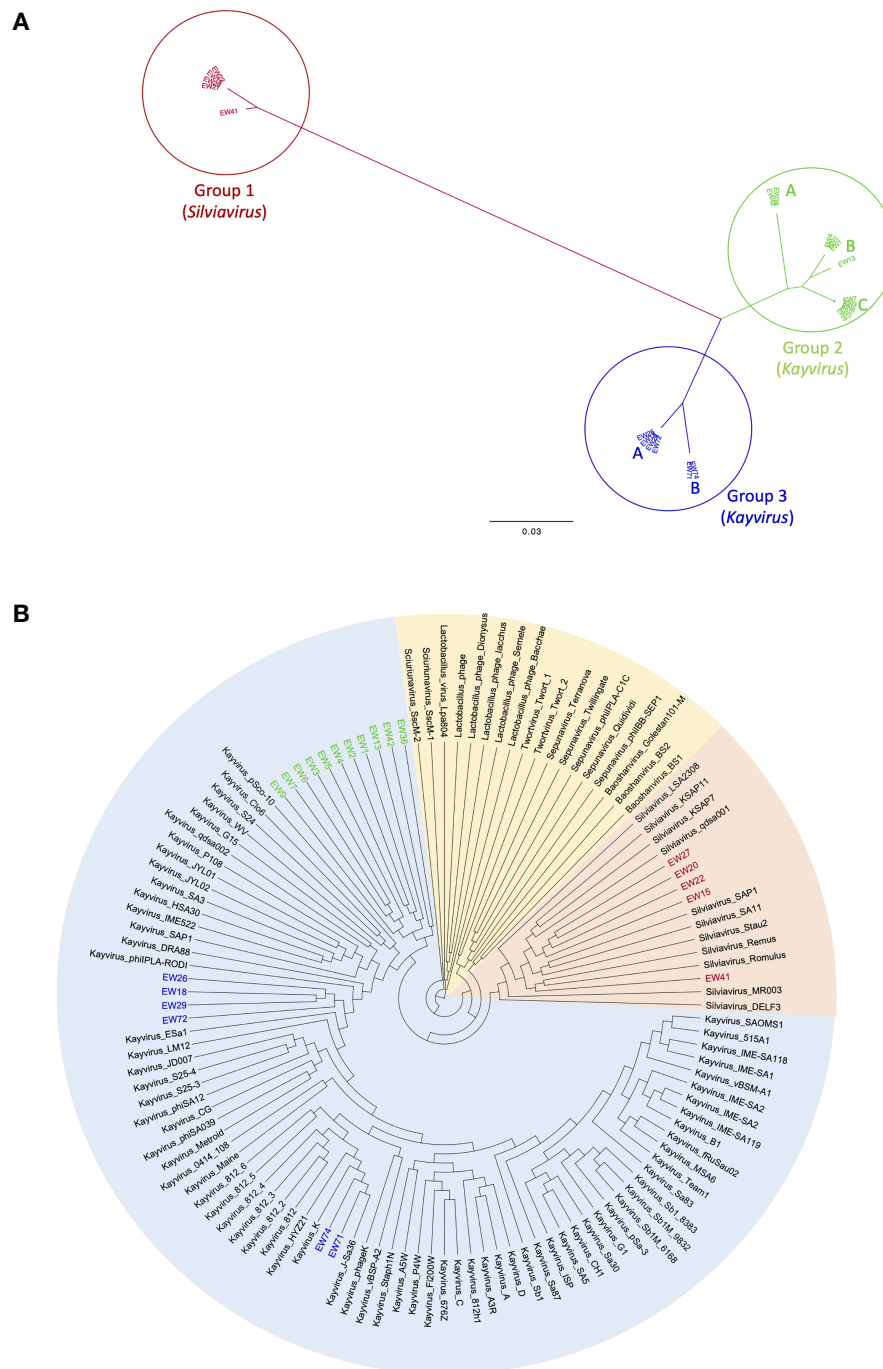
### Group 3

This group contains two closely related subgroups of phages whose genomes differ by c.3%. The four group 3A phages in this group are EW18, EW26, EW29, and EW72 with genomes of 143,240 to 143,287 bp that are > 99.5% similar to each other. These cluster most closely with database isolates of the genus *Kayvirus* that include the species phiPLA-Rodi whose reference phage, phiPLA-RODI, has been used in several studies, including those involving *S. aureus* biofilms (Gutierrez et al., 2015; Gonzalez et al., 2017). The genomes of group 3B phages EW71 and EW74 are 139,939 and 139,896 bp in length respectively, and they share >99% sequence similarity with each other and with the genomes of three phages in Genbank from the genus *Kayvirus*. These include phage K (Gill, 2014), the most well known of the staphylococcal phages (**Figure 4B**) that is commonly included in staphylococcal phage preparations (O’Flaherty et al., 2005b).

Overall, the genomes of *Silviavirus* phages of group 1 share about 25% to 26% DNA sequence similarity to those of group 2 and group 3 *Kayvirus* phages. Groups 2 and 3 are more similar with approximately 90% DNA similarity using min-hash distances (**Supplementary Table 1**). **Supplementary Table 2** lists all annotated genes in the 122 *Twortvirinae* studied and shows their presence/absence (BLASTP identity > 95%). Group 1 phages had no genes in common with those of groups 2 and 3 using Roary with default parameters. Groups 2 and 3 shared 38 genes common at BLASTP identity > 95% (18% of the 202 genes in the EW1 genome). No known function could be assigned to 33



**FIGURE 3** | Heterogeneous colony phenotypes produced by *S. aureus* phage-resistant mutants of 07.2496.L and 07.1696.F following exposure to EW71.



**FIGURE 4** | Neighbor-joining dendrograms based on min-hash (MASH) distances. **(A)** unrooted dendrogram of phages EW1-22 showing three major groupings and subgroupings. **(B)** Circular dendrogram showing the relatedness of the same phages in relation to 100 *Twortvirinae* genome assemblies and the phage genera they represent. Dendrogram is rooted at the midpoint of the longest branch.

but the core genes comprised a terminase gene, an intron-encoded endonuclease, a LysM domain-containing protein, a putative DNA repair protein, and a virion component protein.

The host range of group 1 phages as measured by percentage of strains able to be infected (coverage) varied from 61.62%

to 97.3%. In group 2 this coverage varied from 56.76% to 96.76% and in group 3 from 96.22% to 99.46% (Table 2). All three groups therefore had phages that could infect the great majority, if not all 184 clinical isolates, but not the phage K mutant MRSA252 strain.

## DISCUSSION

Phage therapy has potential for the treatment of many bacterial diseases, but for most bacterial pathogens, the limited host range of lytic phages means that empiric use requires the use of cocktails of different phage strains with varying host ranges and virulence characteristics. Broad host range, highly virulent *S. aureus* phages are relatively easy to isolate, and their use in phage therapy, especially in Georgia and Poland, has been associated with a high degree of success. This study confirms reports of the extremely broad host range of some Myoviridae, especially some of the Kayviruses and Silviaviruses characterized here. Two of these Kayviruses, EW70 and EW71, were able to infect the complete panel of our genetically diverse 185 *S. aureus* isolates in agar overlays that included a very wide range of MRSA and MSSA genotypes. Nine other phages could infect >96% of isolates, and these comprised three Silviaviruses, two Kayviruses of a different clade from EW70 and EW71, as well as four others that are closely related to EW70 and EW71. The broad host range of *S. aureus* Myoviruses is in part explained by their sharing a common receptor that has been found to be the backbone of cell wall teichoic acids (Xia et al., 2011; Winstel et al., 2013).

In our planktonic assays, all 10 phages were able to infect at least one of the four *S. aureus* isolates tested, and five were able to infect and significantly reduce the growth of two. EW41 was found to be the most effective under planktonic conditions, as it immediately reduced bacterial cell numbers preventing the regrowth of all three *S. aureus* isolates, yet it had no effect on the modified *S. carnosus* isolate. As it was propagated on *S. aureus* strain D239 host, its specificity may be more limited compared to other phages in infecting coagulase-negative staphylococci. Four phages had no effect on the growth of at least one of the three *S. aureus* hosts in liquid culture. However, phages that were effective were able to prevent the appearance of resistant mutants throughout the duration of the experiment. When resistance mutants were observed, the growth rate and presumably, fitness of these phage-resistant cells was clearly affected and did not recover to the levels achieved by uninfected controls. This suggests that resistance to phage infection was at the expense of growth capacity (Middelboe, 2000; Avrani and Lindell, 2015). Emergence of spontaneous phage resistance can involve selection of sub-populations with altered receptor structures that in *S. aureus* includes wall teichoic acid (Hall et al., 2011; Xia et al., 2011; Avrani and Lindell, 2015). Fitness costs associated when acquiring phage-resistance, can cause a variety of structural and morphological changes (Inal, 2003; Ormala and Jalasvuori, 2013). One approach is concealing surface receptors that phages used as docking sites to adsorb to their hosts; however, these sites are often used for the uptake of nutrients (Mizoguchi et al., 2003), thus possibly limiting their growth and virulence which may be why cell numbers were able to recover after 24 h treatment compared with 6 h, yet biofilm densities remained considerably low.

When applied at low concentrations (MOI 0.1), phage must infect and replicate enough to increase their number to surpass the rate of replication for the bacterial host. This would explain

why most host isolates continued to grow for at least 1 h following introduction. In similar studies comparing phage infection at various MOIs (Abedon, 1992; Beeton et al., 2015; Cui et al., 2017a), the greater the MOI the more effective the phage was in the study, which presumably is largely because of the increased rate of phage collisions and infections, thus leading to higher densities in viral progeny in a shorter time frame.

The four *S. aureus* phages used in biofilm studies were selected based on their lytic potential in spot plate assays and in liquid culture. All four exhibited generally high efficacy in effectively reducing biofilm biomass and cell numbers of each *S. aureus* isolate after 6 and 24 h. Cell regrowth was detected following 24 h infection with phage compared to 6 h by at least one of the hosts suggesting growth of phage-resistant mutants had occurred, however this was at the expense of biofilm regrowth. These observations were similar to those reported in a previous study of *S. aureus* biofilm (Melo et al., 2018). The observation of biofilm regrowth and phage resistance still remains a major issue and is something regularly observed in biofilms when challenged by single lytic phage that promotes mutant selection (Drilling et al., 2014). This necessitates a phage combination approach using phage cocktails or co-administration with antibiotics to prevent the emergence of phage-resistant mutant bacteria. Previous studies have made use of the disruptive ability of phage to reduce biofilm structures produced by *S. aureus* and reduce bacterial populations enough to facilitate the penetration of antibiotics and eradicate infection (Tkhalishvili et al., 2018; Dickey and Perrot, 2019). Previous evidence suggests that the phage resistance phenotype increases sensitivity to antibiotics and also results in a loss of fitness (Leon and Bastias, 2015). Additionally, the application of phage cocktails consisting of multiple polyvalent phage that target different receptor proteins to prevent multi-resistance, but also increase the rate of killing, thus greatly reducing the probability of hosts acquiring resistance to phage (Gu et al., 2012). The anti-biofilm capabilities and broad host range demonstrated by the four study phage make them promising candidates for possible future combination studies.

Previous phage/host studies have demonstrated that by increasing the concentration of phage-to-bacteria (MOI), which, essentially increases the rate of collisions between phage and biofilm cells leads to increased rate of bacterial killing (Gupta and Prasad, 2011; Lopes et al., 2018). Additionally, greater reductions could have been facilitated by direct bacterial lysis the lysis from without effect. However there was no significant difference between MOI values, suggesting that an increased phage-to-bacteria ratio offered no advantages in reducing biofilm biomass, as described previously (Lopes et al., 2018). Overall, reductions in biofilm biomass (OD<sub>590</sub>) were generally higher in biofilms treated at an MOI 0.1 (compared to 1) using any of the four phage after both 6 and 24 h treatments. The effectiveness of the low MOI demonstrates the self-perpetuating nature of lytic phage to proliferate in number, therefore only requiring small initial dosing.

Compared to the characteristic smooth, round colonies phenotypes typically produced by *S. aureus*, the recovery of heterogenous morphotypes produced by phage-resistant



derivatives following phage exposure were regularly detected during this study, although most commonly observed in biofilms treated with EW71. Similar irregular-shaped colonies have been reported in previous studies and are thought to be caused by a subpopulation within a colony that has reverted back to a phage-sensitive phenotype, subsequently leading to cell death as the colony forms (Mizoguchi et al., 2003; O'Flynn et al., 2007; Kocharunchitt et al., 2009). However, the observation of “pacman”-like colonies, as seen here, has not been as well documented and warrants further investigation.

A major consideration in producing phages for human therapy is the possible presence of induced prophage from propagating host bacteria. *S. aureus* isolates typically harbor several prophages in their genomes and these mediate horizontal gene transfer and contain virulence genes such as toxins (Xia and Wolz, 2014). In this study, we found that most phages could be propagated on an avirulent *S. carnosus* strain that could be used to increase the safety of staphylococcal phage therapeutics in future GMP manufacturing if used in place of potentially virulent *S. aureus* hosts.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://www.ncbi.nlm.nih.gov/genbank/>, GCA\_011207355, GCA\_011207615, GCA\_011207935, GCA\_011208035, GCA\_011208175, GCA\_011208205, GCA\_011208235, GCA\_011208435, GCA\_011207435, GCA\_011207495, GCA\_011207545, GCA\_011207665, GCA\_011207715, GCA\_011207785, GCA\_011207815, GCA\_011207845, GCA\_011207985, MT080595, GCA\_011208105, GCA\_011208285, GCA\_011208355, and GCA\_01120837.

## AUTHOR CONTRIBUTIONS

ME conceived this study. ME, EW, JR, GX, AM, RR, and SM designed experimental procedures. EW, ME, JR, SM, and RR performed the experiments, analyzed and curated the data. EW and ME assembled the phage collection. ME, EW, JR, AM, and GX wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.698909/full#supplementary-material>

**Supplementary Figure 1** | Biofilm formation of (A) 43 *S. aureus* ST22; and (B) 27 ST36 isolates grown in tissue culture microtiter plates over 48 h at 37°C. Biofilms densities produced were assessed following crystal violet staining, dissolved in 30% acetic acid, and measured at an OD590. Each assay was performed in triplicate, data presented as mean values ( $\pm$  standard deviation).

**Supplementary Figure 2** | Variation in biofilm production by ST22 (A) and ST36 (B) isolates after 48 h incubation in TSB supplemented with 1% D-(+)-glucose. Biofilms were visualized following staining with 0.1% crystal violet.

**Supplementary Figure 3** | Comparison of biofilm densities of eight *S. aureus* isolates assessed by CV staining (A) and corresponding viable cell counts (B).

**Supplementary Figure 4** | Effect of phage EW27 on mature biofilms of *S. aureus* ST22 (A) and ST36 isolates (B). Static biofilms were initially grown in tissue-culture microtiter plates for 48 h and challenged with EW36 at a multiplicity of infection of 1 and 0.1 for a period of 6 and 24 h. (A) Biofilms initially stained with crystal violet and optical density was measured at an absorbance of 590 nm. (B) Viable cells were recovered from phage treated wells by scratching and dislodging the biofilms from the surface plate wells and plated out in triplicate. Each assay was performed in triplicate, data presented as mean values ( $\pm$  standard deviation).

**Supplementary Figure 5** | Effect of phage EW36 on mature biofilms of *S. aureus* ST22 (A) and ST36 isolates (B). Static biofilms were initially grown in tissue-culture microtiter plates for 48 h and challenged with EW36 at a multiplicity of infection of 1 and 0.1 for a period of 6 and 24 h. Top Biofilms initially stained with crystal violet and optical density was measured at an absorbance of 590 nm. Bottom Viable cells were recovered from phage treated wells by scratching and dislodging the biofilms from the surface plate wells and plated out in triplicate. Each assay was performed in triplicate, data presented as mean values ( $\pm$  standard deviation).

**Supplementary Figure 6** | Effect of phage EW41 on mature biofilms of *S. aureus* ST22 (A) and ST36 isolates (B). Static biofilms were initially grown in tissue-culture microtiter plates for 48 h and challenged with EW36 at a multiplicity of infection of 1 and 0.1 for a period of 6 and 24 h. Top Biofilms initially stained with crystal violet and optical density was measured at an absorbance of 590 nm. Bottom Viable cells were recovered from phage treated wells by scratching and dislodging the biofilms from the surface plate wells and plated out in triplicate. Each assay was performed in triplicate, data presented as mean values ( $\pm$  standard deviation).

**Supplementary Figure 7** | Effect of phage EW71 on mature biofilms of *S. aureus* ST22 (A) and ST36 isolates (B). Static biofilms were initially grown in tissue-culture microtiter plates for 48 h and challenged with EW36 at a multiplicity of infection of 1 and 0.1 for a period of 6 and 24 h. Top Biofilms initially stained with crystal violet and optical density was measured at an absorbance of 590 nm. Bottom Viable cells were recovered from phage treated wells by scratching and dislodging the biofilms from the surface plate wells and plated out in triplicate. Each assay was performed in triplicate, data presented as mean values ( $\pm$  standard deviation).

**Supplementary Table 1** | Min-hash distances of study phage genomes expressed as percent.

**Supplementary Table 2** | Gene presence or absence in 122 study phage genomes (BLASTP>95%).4L2\_FileName: fcimb.2021.698909\_styled.docx

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# A Lytic *Yersinia pestis* Bacteriophage Obtained From the Bone Marrow of *Marmota himalayana* in a Plague-Focus Area in China

Junrong Liang<sup>1†</sup>, Shuai Qin<sup>1†</sup>, Ran Duan<sup>1†</sup>, Haoran Zhang<sup>1</sup>, Weiwei Wu<sup>1,2</sup>, Xu Li<sup>3</sup>, Deming Tang<sup>1</sup>, Guoming Fu<sup>4</sup>, Xinmin Lu<sup>5</sup>, Dongyue Lv<sup>1</sup>, Zhaokai He<sup>1</sup>, Hui Mu<sup>1</sup>, Meng Xiao<sup>1</sup>, Jinchuan Yang<sup>1</sup>, Huaiqi Jing<sup>1</sup> and Xin Wang<sup>1\*</sup>

<sup>1</sup> State Key Laboratory of Infectious Disease Prevention and Control, National Institute for Communicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention, Beijing, China, <sup>2</sup> Sanitary Inspection Center, Xuzhou Municipal Centre for Disease Control and Prevention, Xuzhou, China, <sup>3</sup> School of Light Industry, Beijing Technology and Business University, Beijing, China, <sup>4</sup> Sanitary Inspection Center, Subei Mongolian Autonomous County Center for Disease Control and Prevention, Jiuquan, China, <sup>5</sup> Sanitary Inspection Center, Akesai Kazakh Autonomous County Center for Disease Control and Prevention, Jiuquan, China

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### \*Correspondence:

Xin Wang  
wangxin@icdc.cn

<sup>†</sup>These authors have contributed  
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A lytic *Yersinia pestis* phage vB\_YpP-YepMm (also named YepMm for briefly) was first isolated from the bone marrow of a *Marmota himalayana* who died of natural causes on the Qinghai-Tibet plateau in China. Based on its morphologic (isometric hexagonal head and short non-contractile conical tail) and genomic features, we classified it as belonging to the *Podoviridae* family. At the MOI of 10, YepMm reached maximum titers; and the one-step growth curve showed that the incubation period of the phage was about 10 min, the rise phase was about 80 min, and the lysis amount of the phage during the lysis period of 80 min was about 187 PFU/cell. The genome of the bacteriophage YepMm had nucleotide-sequence similarity of 99.99% to that of the *Y. pestis* bacteriophage Yep-phi characterized previously. Analyses of the biological characters showed that YepMm has a short latent period, strong lysis, and a broader lysis spectrum. It could infect *Y. pestis*, highly pathogenic bioserotype 1B/O:8 *Y. enterocolitica*, as well as serotype O:1b *Y. pseudotuberculosis*—the ancestor of *Y. pestis*. It could be further developed as an important biocontrol agent in pathogenic *Yersinia* spp. infection.

**Keywords:** bacteriophage, *Yersinia pestis*, *Marmota himalayana*, natural plague focus, Qinghai-Tibet plateau

## INTRODUCTION

Bacteriophages are the most abundant organisms on earth that can interactions with myriad bacterial hosts (Bergh et al., 1989). Lytic bacteriophages have been used as agents for identification and therapeutic of infections in animals and humans (Mukerjee et al., 1963; Gorski et al., 2009; Muniesa et al., 2012; Chhibber et al., 2013; Moojen, 2013; Doub, 2020). Integrity of the bacteriophage tail is essential for the viability of tailed phages, which belong to the *Caudovirales* (Hardy et al., 2020). The tail protein of *Caudovirales* has an important role in the interaction between bacteriophages and host bacteria, which can serve as an adsorption device, a host cell wall-perforating machine, and a genome delivery pathway (Flayhan et al., 2014; Zhang et al., 2018).



In the bacteria of the genus *Yersinia*, bacteriophages have also been used for typing and diagnostics. Bacteriophages  $\Phi$ YeO3-12 and  $\phi$ iYe-F10 are specific for the *Yersinia enterocolitica* serotype O:3 (Kiljunen et al., 2003; Liang et al., 2016);  $\phi$ iA1122 and Yep- $\phi$ i are used as a diagnostic agent to confirm the identification of *Yersinia pestis*; YpsP-G and YpP-R have been reported to diagnose *Yersinia pseudotuberculosis* infection. Many genomes of *Y. pestis* bacteriophages have been fully sequenced, including the *Podoviridae* bacteriophages  $\phi$ iA1122, Yep- $\phi$ i, Berlin, Yepe2, YpP-R, YpP-G, YpsP-G, Yps-Y, and the *Myoviridae* bacteriophages L-413C, PY100, YpsP-PST, and  $\phi$ iD1 (Garcia et al., 2003; Kiljunen et al., 2011; Rashid et al., 2012; Zhao and Skurnik, 2016).

Qinghai-Tibet plateau is one of the most active natural plague focus in China with *M. himalayana* as the primary host in this area (Figure 1A) (Figure 1E shows a healthy *Marmota himalayana* in a plague-focus area of the Qinghai-Tibet plateau). The high altitude and harsh climate in the Qinghai-Tibet plateau show that there are few human inhabitants, and the local ecology is relatively stable. Local *M. himalayana* carries a significantly high seropositivity rate of *Y. pestis* F1 antibody, which can be witnessed by continuous outbreaks of plague in animals (*M. himalayana*) and occasionally spreading to humans

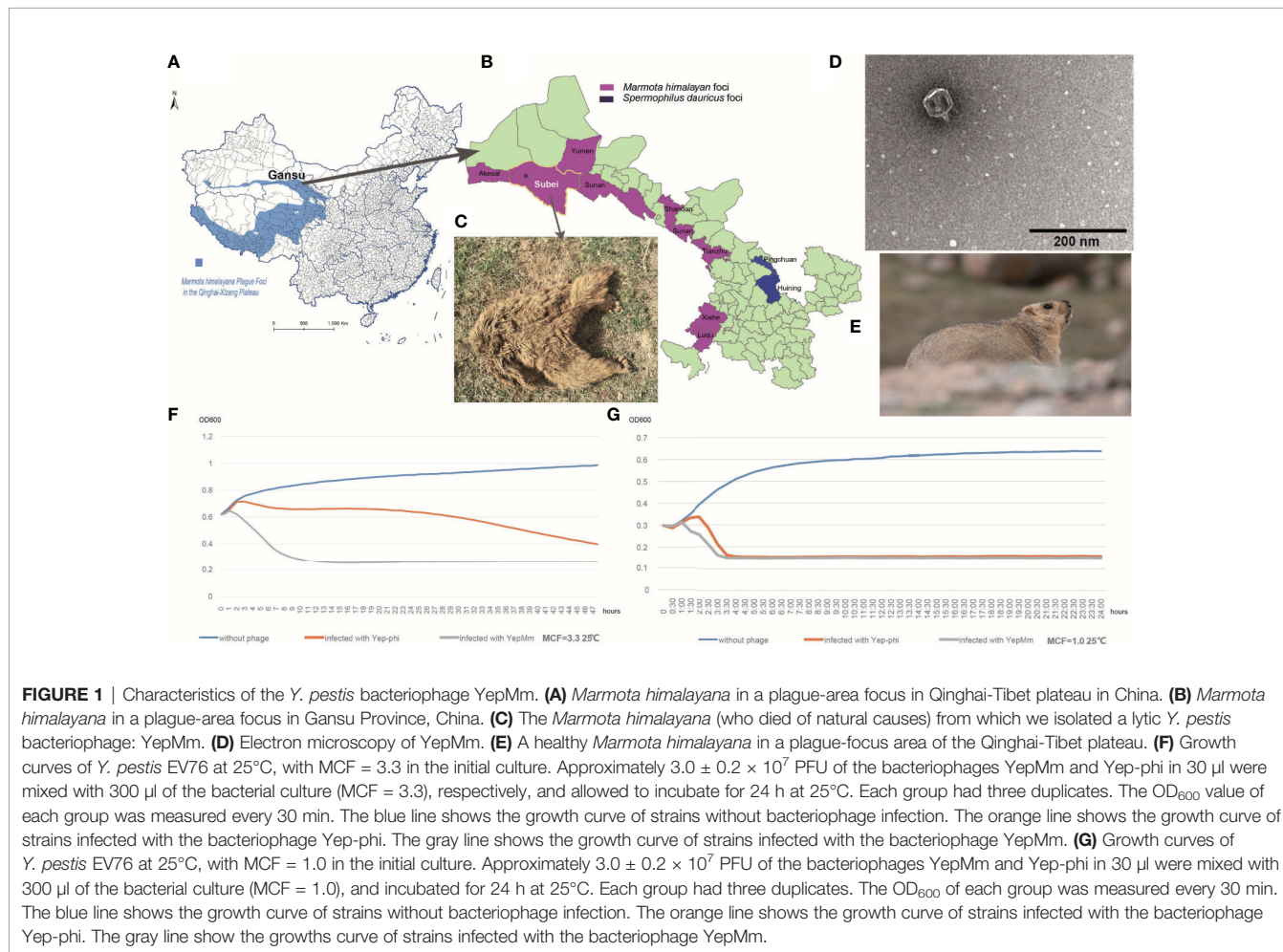
(Wang et al., 2011; Ge et al., 2015; Wang et al., 2017). With one human case in 2004, two cases in 2007, one case in 2010, and three cases in 2014 (Ge et al., 2015) among the natural-focus area of Qilian Mountain (Figure 1B). There is no report about the *Y. pestis* bacteriophage that naturally existed in the host animals of natural plague foci. So we try to isolate *Y. pestis* bacteriophage from different sources in Qinghai-Tibet plateau and investigate the characterization and subsequent employment of the phages.

In the present study, the bacteriophage vB\_YpP-YepMm obtained from the bone marrow of self-died *Marmota himalayana*. The bacteriophage YepMm could lyse three human pathogenic *Yersinia* species and can be used as a biocontrol agent.

## MATERIALS AND METHODS

### Bacteriophage Isolation

In the routine prevalence surveillance for *Y. pestis* in China, a *Marmota himalayana* that had died of natural causes (Figure 1C) was collected from a plague-focus area in the Qinghai-Tibet plateau in China at an altitude of 3076.85 m (39°52' N, 95°03' E). *Yersinia* species-selective Cefsulodin-Irgasan-Novobiocin (CIN) agar (Oxoid, Basingstoke, UK) was used to detect the host strain



*Y. pestis*. The *Y. pestis*-specific phage can lyse the host strains to form transparent plaques on it. The phage YepMm and its original host strain (*Y. pestis* dcw-bs-007) were isolated together from the same bone-marrow samples of *M. himalayana*. The lytic bacteriophage (vB\_YpP-YepMm) was propagated and spotted on CIN agar plates after incubating for 24 h at 25°C. Subsequently, a single-lysis zone of bacteriophage was picked with a sterile truncated tip and amplified in the presence of *Y. pestis* EV76 in *Brucella* medium for 24 h at 37°C. The solution was filtered through a sterile 0.22-μm syringe filter. Afterward, the filtered fluid and EV76 were poured on top of the agar plate to obtain purified bacteriophage.

## Electron Microscopy

Crude bacteriophage lysates ( $\sim 5 \times 10^{10}$  PFU/mL) were filter-sterilized using a 0.22-μm membrane (Millipore, Waltham, MA, USA) and then pelleted at 25,000g for 1 h at 4°C using a high-speed centrifuge (Beckman Coulter, Palo Alto, CA, USA). The bacteriophage pellet was resuspended in 150 μl of SM-buffer supplemented with CaCl<sub>2</sub> (5 mM) after washing twice in a neutral solution of ammonium acetate (0.1 M). Bacteriophage particles were deposited onto a carbon-coated Formvar film on copper grids and stained with 20 μl of 2% potassium phosphotungstate (pH 7.2). After dye removal with filter paper, bacteriophage particles were examined under a transmission electron microscope (TECNAI 12; FEI, Hillsboro, OR, USA) at 120 kV. Images were collected and analyzed using Digital Micrograph™ (Gatan, Pleasanton, CA, USA). Taxonomic assignments were made according to the classification scheme for bacteriophages developed by Ackermann and Berthiaume (Berthiaume and Ackermann, 1977) and the International Committee on the Taxonomy of Viruses.

## Genome Sequencing of Bacteriophage DNA, Assembly, and Bioinformatics Analysis

Bacteriophage DNA was obtained from purified  $2.4 \times 10^9$  PFU/ml bacteriophage particles as described previously (Shubeita et al., 1987). We tested the quality of the whole genome of bacteriophages with Qubit3.0 (Life Technologies, Carlsbad, CA, USA). A random “shotgun” library was constructed using the NEBNext DNA ultra II protocol. Whole-genome sequencing was carried out using the HiSeq2500 Genome Analyzer (Illumina, San Diego, CA, USA). Generated reads were assembled using the SPAdes algorithm. The average nucleotide identity (ANI) was determined among all pairwise combinations of phage genomes. The assembly sequence was evaluated and corrected with PhageTerm (Hu et al., 2020), putative open reading frame (ORF) was predicted by Prokka 1.1.3. The annotated genome sequence of the bacteriophage YepMm has been deposited into the National Center for Biotechnology Information GenBank database under the accession numbers MW767996 and BankIt 2439990.

## Determination of Host Ranges

The host range of the bacteriophage YepMm was estimated using the classical plaque assay. The infectivity of the membrane-filtered phage lysate ( $2.4 \times 10^9$  PFU/ml) was tested on the bacterial strains listed in Table 1. All experiments with viable *Y. pestis* except EV76 were undertaken in a Biosafety Level-3

laboratory. The formation of lysis zone was determined using a double-layer plaque at 25°C or 37°C after 24 h of incubation.

## Optimal Multiplicity of Infection Determination and One-Step Growth Assays

To estimate MOI, different amounts of phages were serially diluted and incubated with host bacteria EV76 ( $2 \times 10^8$  CFU/ml) (at different MOI of 100, 10, 1, 0.1, 0.01, 0.001) at 37°C for 3 h. After incubation, the phage titer of each MOI phage-host assay group was examined. The highest phage titer group was the optimal MOI. Three parallel experiments were performed for this MOI assay.

The one-step growth assay was carried out as follows: equivalent ratios of overnight cultures of EV76 were mixed with YepMm suspension at an MOI=10. After incubation at 37°C for 15 min, the mixture was centrifuged at 11,000g for 30 s. The pellet was then resuspended in 10-ml fresh media. The phage titer was tested with 5-min intervals at the first 30 min and 10-min intervals at the last 90 min by a double-layer agar method.

## Comparison of the Lytic Ability of the Bacteriophages YepMm and Yep-phi

The growth conditions and lytic ability of the bacteriophages YepMm and Yep-phi were tested on host strain *Y. pestis* EV76. EV76 was grown in *Brucella* medium at 27°C to reach McFarland turbidity (MCF) of 3.3 and 1.0, respectively. Each MCF culture solution was divided into three groups (with 300 μl of bacterial culture in each group). Group A was mixed with 30 μl of the bacteriophage YepMm ( $\sim 3.0 \times 10^7$  PFU), group B was mixed with 30 μl of the bacteriophage Yep-phi ( $\sim 3.2 \times 10^7$  PFU), group C was mixed with 30 μl of phosphate-buffered saline in EV76 culture solution. Each group with three duplicates was allowed to incubate for 48 h, and OD<sub>600</sub> for each group was measured every 30 min. Experiments were carried out at 25°C and 37°C, respectively. Data are the mean ± SD of three independent experiments.

## RESULTS

### Electron Microscopy and Biological Characteristic

Purified phages YepMm was examined using transmission electron microscopy after negative staining (Figure 1D). The virions showed hexagonal outlines with isometric, hexagonal heads and short, noncontractile, conical tails and were classified as members of the *Podoviridae* family.

The optimal multiplicity of infection for phage vB\_YpP-YepMm was 10 (Table S1), and the one-step growth curve showed that the incubation period of the phage was about 10 min, the rise phase was about 80 min, and the lysis amount of the phage during the lysis period of 80 min was about 187 PFU/Cell (Figure S1).

### Sensitivity Test

Three *Y. pseudotuberculosis* strains were sensitive to the bacteriophage YepMm: O:1b and O:14 were sensitive at 25°C and 37°C; O:1a was sensitive at 37°C but not at 25°C. The bacteriophage YepMm could lyse *Y. pestis* and strains of the

**TABLE 1** | Lytic activity of the bacteriophages Yep-phi and YepMm at 37°C and 25°C.

Species	Serotype (Bioserotype for <i>Y.e</i> )	Strain	YepMm		Yep-phi	
			37°C	25°C	37°C	25°C
<i>Y. pestis</i>	/	Azi30	+	+	+	+
	/	Azi32	+	+	+	+
	/	Azi34	+	+	+	+
	/	Azi36	+	+	+	+
	/	Azi39	+	+	+	+
	/	Azi42	+	+	+	+
	/	EV76	+	+	+	+
<i>Y. enterocolitica</i>	1B/O:8	YE92010	+	–	–	–
	1B/O:8	Pa12986	+	+	–	–
	1B/O:8	WA	+	+	–	–
	1B/O:8	52211	+	+	–	–
	1A/O:8	JS2012-xz034	–	–	–	–
	1A/O:8	JS1986-Y40	–	–	–	–
	2/O:9	2 strains	–	–	–	–
	3/O:3	3 strains	–	–	–	–
	1A/O:5,27	3 strains	–	–	–	–
<i>Y. pseudotuberculosis</i>	O:14	YP014	+	+	+	+
	O:1a	53512	+	–	–	–
	O:1b	PTB3	+	+	–	–
	O:2a	53517	–	–	–	–
	O:3	YP3	–	–	–	–
	O:3b	YP2B	–	–	–	–
	O:4b	YP4B	–	–	–	–
	O:6	YP6	–	–	–	–
	O:8	YP09	–	–	–	–
	O:10	YO010	–	–	–	–
<i>Escherichia coli</i>	O:15	YP15	–	–	–	–
	EPEC	2 strains	–	–	–	–
	EIEC	2 strains	–	–	–	–
	ETEC	2 strains	–	–	–	–
	EAEC	2 strains	–	–	–	–
<i>Shigella</i> species	EHEC	2 strains	–	–	–	–
	<i>Shigella flexneria</i>	5 strains	–	–	–	–
<i>Salmonella</i> species	<i>Shigella sonnei</i>	5 strain	–	–	–	–
		10 strains	–	–	–	–

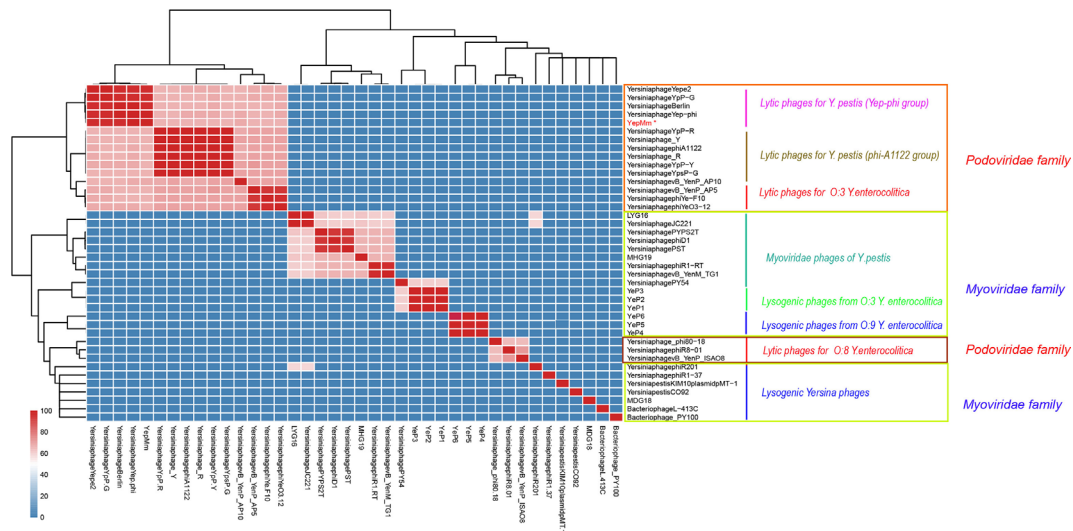
no serotype for *Y. pestis*.

highly pathogenic *Y. enterocolitica* bioserotype 1B/O:8 at both temperatures (**Table 1**). However, the bacteriophage Yep-phi can only lyse *Y. pestis* and O:14 *Y. pseudotuberculosis*. YepMm can form larger plaques at 25°C than at 37°C (data not shown), indicating (as expected) a temperature-dependent response.

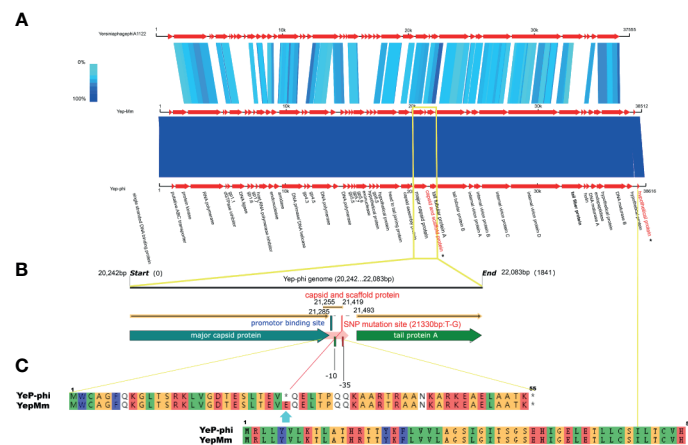
## Genome Sequencing and Bioinformatics Analyses

The complete nucleotide sequence of YepMm is 38,512 bp, with G+C content of 47.1 mol%. It was assembled as a circular molecule and contains no RNA genes. The lysis genes encoding the holin (33,704 to 33,910 bp), endolysin (9,108 to 9,563 bp), and so on existed; no genes associated with lysogenic cycle were founded, such as integrase, lysis repressor. In total, 43 gene products were predicted in the YepMm genome; functions were assigned to 42 of them based on the similarities of the predicted products to known proteins. Genomic comparisons indicated that the genome of some lytic *Y. pestis* phages was highly similar. Bacteriophage YepMm shares 99.99% nucleotide sequence identity with Yep-phi, 97.91% with Berlin, 96.46% with Yepe2, 96.35% with YpP-G, but only 67.48% nucleotide sequence identity with phiA1122 (**Figure 2**). The

genome sequences of YepMm and Yep-phi had exactly similar genetic organization, which all contain 222-bp direct repeats at the termini of the mature DNAs and both had head and tail genes in the same relative positions. There are 43 new open reading frame (ORFs) in genome sequence of YepMm and 41 ORFs are 100% identical to Yep-phi, except for the new ORF -29 (phage capsid and scaffold, 21,255 to 21,419 bp) and ORF-43 (**Figure 3A** and **Table S2**). All together, the mutations were primarily for 104 bp deletions in the intergenic and six short nucleotide polymorphisms (SNPs) in the coding regions. Among the six SNPs of YepMm, one at 21,330 bp located in the new ORF-29, which encoded phage capsid and scaffold; one at 37,921 bp of ORF-43 encoded hypothetical protein; and the rest four SNPs located at the direct repeats (DR) terminal regions (216, 217, 38,610, and 38,611 bp). The SNP at 21,330 bp located in the upstream activating sequence of tail tubular protein A (TTPA) in genome of phage Yep-phi; however, a new ORF-encoded phage capsid and scaffold generated by this SNP in the genome of YepMm (**Figure 3B**). The missense mutation of 21,330 bp caused the termination codon to change to Glu amino acid; 37,921 bp caused the Ile to change to Leu amino acid (**Figure 3C**).



**FIGURE 2** | Average nucleotide identity (ANI) matrix of phages. \*Indicates the lytic bacteriophage of *Yersinia pestis* in this study.



**FIGURE 3** | The biological sequence analysis of the bacteriophages YepMm, Yep-phi, and phiA1122. **(A)** Pairwise comparison of the nucleotide sequences of bacteriophages YepMm, Yep-phi, and phiA1122 (the two different ORFs were indicated in asterisks). **(B)** The main difference between the two *Y. pestis* phages of YepMm, Yep-phi. The intergenic region and new ORFs with SNP mutation of YepMm was indicated in yellow rectangle. **(C)** The amino acid similarity alignment of the new ORF-29 of bacteriophages YepMm and Yep-phi.

Compared with the lytic phages for *Y. pestis* characterized previously, the nine available genome sequences could be divided into two subgroups (Figure 2). The genome of YepMm clustered with the bacteriophages Yep-phi, Berlin (GenBank accession number, AM183667.1), YpP-G (JQ965702.1), and Yepe2 (EU734170.1), and these bacteriophages comprised subgroup A. The other subgroup comprised *Yersinia* phage YpP-R (GenBank accession number, JQ965701.1), *Yersinia* phage\_Y (JQ957925.1), *Yersinia* phage phiA1122 (AY247822.1), *Yersinia* phage\_R (JX000007.1), *Yersinia* phage YpP-Y (Q965700.1), and *Yersinia* phage YpsP-G (JQ965703.1).

## Lytic Abilities and Efficiency of the Bacteriophages YepMm and Yep-phi on the Host Strain EV76

Every half hour, the optical density at 600 nm ( $OD_{600}$ ) value was plotted to generate a growth curve for each group. The  $OD_{600}$  of EV76 increased initially and then decreased rapidly upon bacteriophage addition. The growth curve decreased more rapidly after infection with the bacteriophage YepMm compared with that in infection with the bacteriophage Yep-phi. With the initial concentration of MCF = 3.3, the  $OD_{600}$  of culture solution infected with bacteriophage YepMm began to descend at 2 h later



compared with 4.3 h after being infected with bacteriophage Yep-phi. When with the initial concentration of MCF=1.0, the OD<sub>600</sub> of culture solution infected with bacteriophage YepMm began to descend at 1.3 h later compared with 2.3 h after being infected with bacteriophage Yep-phi. Hence, the lytic ability of the bacteriophage YepMm was more efficient than that of the bacteriophage Yep-phi. Statistical analysis showed the difference is significant at the 0.05 level (**Table S3** and **Figures 1F, G**). The culture solution infected with bacteriophage YepMm lyse absolutely within three and half hours with the initial concentration of MCF=1.0, shorter than initial concentration of MCF=3.3 (almost within 10 h) (**Figures 1F, G**).

## DISCUSSION

*Y. pestis* is the causative agent of plague. It emerged from the enteropathogen O:1b *Y. pseudotuberculosis* 3,000 years ago by losing many genes and the horizontal acquisition of several genetic elements (Wren, 2003). Lytic bacteriophages have been used as therapeutic and prophylactic agents for controlling bacterial infections. Over the past 100 years, lytic bacteriophages have been used for the diagnosis of *Y. pestis* infections and to identify plagues caused by *Y. pestis* (D'Herelle and Malone, 1927; Duckworth, 1976).

We isolated, for the first time, the lytic bacteriophages of *Y. pestis* from an epidemic-focus area of *Y. pestis* in China. Our study on the bacteriophage YepMm showed a very broad range of hosts for bacteria of the genus *Yersinia*. This range included all of the three human pathogenic *Yersinia* species: *Y. pestis*, *Y. pseudotuberculosis* (O:1a, O:1b, and O:14), and the highly pathogenic *Y. enterocolitica* bioserotype 1B/O:8. Even though the genomes of YepMm and Yep-phi are almost identical, they varied in their ability to lyse bacteria of the genus *Yersinia*. Analyses of the host range showed that YepMm could infect not only *Y. pestis* strains but also the strains of the highly pathogenic *Y. enterocolitica* bioserotype 1B/O:8 and several strains of *Y. pseudotuberculosis*. However, Yep-phi is a *Y. pestis*-specific lytic bacteriophage (Zhao et al., 2011). The different phage receptors for adsorption are one of the important reasons to different bacteriolytic efficacy (Liang et al., 2016; Zhao and Skurnik, 2016). Our findings suggest that a sense mutation of an upstream activating sequence of TTPA generate a new ORF, which may modify phage tail protein and cause differences in host sensitivity. TTPA has been described as a structural protein of a bacteriophage tail. It forms an attachment for tail spikes to mediate infection through sensing the deflection of side fibers upon cell-wall binding. During infection by bacteria, TTPA can bind with bacterial receptors to mediate bacteriophage adsorption and subsequent bacterial lysis (Hu et al., 2020; Pyra et al., 2020a; Pyra et al., 2020b). If differences occur specifically in the genes encoding the tail fibers, then recognition of the cell target will change (Vacheron et al., 2021). How a mutation in the upstream activating sequence of TTPA modifies its expression merits investigation.

We discovered that YepMm could form plaques on two more strains (*Y. enterocolitica* YE92010 and *Y. pseudotuberculosis*

53512) at 37°C than at 25°C (**Table 1**). This finding was likely because of the receptors being recognized specifically at a higher temperature, with a reduced ability of the bacteriophage (and parental bacteriophage) to infect and grow on host strains at a lower temperature. Despite the almost identical genome sequences of the bacteriophages YepMm and Yep-phi, they varied in their ability to lyse host bacteria among *Yersinia* species, which suggests that they might use different receptors for adsorption. The bacteriophages Yep-phi and φA1122 have been used as a diagnostic agent *Y. pestis* infection (Hu et al., 2020). Unlike the bacteriophage YepMm, the bacteriophage Yep-phi infects *Y. pestis* exclusively and is inactive toward other *Yersinia* species, irrespective of the growth temperature (Zhao et al., 2011; Zhao and Skurnik, 2016); the phage A1122 only grows on *Y. pseudotuberculosis* at 37°C and not at 25°C. Obviously, the phage YepMm has the broadest host range. Interestingly, strains of the highly pathogenic *Y. enterocolitica* bioserotype 1B/O:8 differed markedly in their susceptibility to the bacteriophage YepMm and had a temperature-dependent response.

Bacteriophage control is the most environmentally friendly method used to eradicate pathogens from food products. The lytic properties and activity of the bacteriophage YepMm in controlling infection from *Yersinia* species will be studied in the future.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://www.ncbi.nlm.nih.gov/genbank/>, MW767996.

## ETHICS STATEMENT

The animal study was reviewed and approved by Ethics Committee of National Institute for Communicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention.

## AUTHOR CONTRIBUTIONS

JL, XW, SQ, RD preparing manuscript, writing, and correction this manuscript, JL, ZH, and XuL did designed figures. HJ, HZ, WW, DT, GF, XML, DL generated experimental data and wrote the manuscript. HM, MX, JY, JL, SQ, RD, HJ, XW conceived the work and critically review the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.700322/full#supplementary-material>

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**Supplementary Figure 1 |** One-step growth curve of YepMm with MOI = 10. Each data is shown as mean ± SD from three biological experiments.

**Supplementary Table 1 |** Titers of the phage YepMm under different MOI.

**Supplementary Table 2 |** Structural comparison of the ORFs of bacteriophages Yep-phi and YepMm.

**Supplementary Table 3 |** Statistical analysis between YepMm and Yep-phi at two MCFs with different time points.

**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Characterization of an *Enterococcus faecalis* Bacteriophage vB\_EfaM\_LG1 and Its Synergistic Effect With Antibiotic

Min Song, Dongmei Wu, Yang Hu, Haiyan Luo and Gongbo Li\*

Department of Neurology, The Second Affiliated Hospital of Chongqing Medical University, Chongqing, China

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### \*Correspondence:

Gongbo Li  
ligongbo@hospital.cqmu.edu.cn

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*Enterococcus faecalis* is a Gram-positive opportunistic pathogen that could cause pneumonia and bacteremia in stroke patients. The development of antibiotic resistance in hospital-associated *E. faecalis* is a formidable public health threat. Bacteriophage therapy is a renewed solution to treat antibiotic-resistant bacterial infections. However, bacteria can acquire phage resistance quite quickly, which is a significant barrier to phage therapy. Here, we characterized a lytic *E. faecalis* bacteriophage Vb\_EfaM\_LG1 with lytic activity. Its genome did not contain antibiotic resistance or virulence genes. Vb\_EfaM\_LG1 effectively inhibits *E. faecalis* growth for a short period, and phage resistance developed within hours. However, the combination of antibiotics and phage has a tremendous synergistic effect against *E. faecalis*, prevents the development of phage resistance, and disrupts the biofilm efficiently. Our results show that the phage-antibiotic combination has better killing efficiency against *E. faecalis*.

**Keywords:** bacteriophage, phage-antibiotic combination, *Enterococcus faecalis*, antibiotic resistance, phage therapy

## INTRODUCTION

*Enterococci* are Gram-positive facultative anaerobes, examples of which include *E. faecalis* and *Enterococcus faecium*, which cause bacteremia, pneumonia, endocarditis, and urinary tract infections (Beganovic et al., 2018; Jabbari Shiadeh et al., 2019). In addition, *E. faecalis* is also one of the major pathogens for pneumonia and bacteremia in stroke patients, and the infection after stroke could lead to the death of the stroke patient (Hannawi et al., 2013; Dyal and Sehgal, 2015; Stanley et al., 2016). Moreover, the intrinsic and acquired antibiotic resistance of *Enterococci* is a formidable public health threat (Arias et al., 2011; Banla et al., 2018). *Enterococci* have evolved extensive drug resistance, including that to vancomycin, and could transmit antibiotic resistance among diverse bacteria (Palmer et al., 2010). Therefore, new therapeutic approaches are needed to treat *Enterococcal*-associated infections (Khalifa et al., 2015; Kortright et al., 2019; Bao et al., 2020).

Phages are viruses that infect and kill bacteria and are used to treat antibiotic-resistant bacteria (Barbu et al., 2016; Kortright et al., 2019; Dion et al., 2020). Phage therapy has several advantages over antibiotics. First, phages have a particular host range and only infect the targeted bacterium, so phage therapy would not affect other bacteria and did not interrupt the commensal microbes (Waters et al., 2017). Second, because of the different phage resistance and antibiotic resistance

mechanisms in bacteria, phages could infect multidrug-resistant superbugs. Thus, phage therapy is being proceeded in many countries (Jault et al., 2018; Leitner et al., 2021).

Currently, numerous phages against pathogens had been characterized; however, there are only 63 sequenced *E. faecalis* bacteriophage deposited in NCBI (Chatterjee et al., 2021), which is relatively understudied compared with phages that infect other pathogens, such as *Pseudomonas aeruginosa* or *Staphylococcus aureus* phages (De Smet et al., 2017). More phages need to be characterized to provide more therapeutic options for treating the multidrug-resistant *E. faecalis*. Moreover, phage resistance is quite common for *E. faecalis*, which could be quickly selected because of the mutations of cell wall-associated polysaccharide or membrane protein (Duerkop et al., 2016; Banla et al., 2018; Chatterjee et al., 2019). Thus, a better strategy to hinder phage resistance should be investigated. In this study, we identified a phage infecting a broad range of *E. faecalis* strains and proved that phage-antibiotic synergism effectively inhibits phage resistance and disrupts biofilm.

## RESULTS

### The Biology of an *E. faecalis* Phage

A phage was isolated from the hospital sewage using *E. faecalis* strain ef118 as a host. It forms an obvious plaque on the host in the double layer agar plates (Figure 1A). The phage particle was extracted from the bacterial lysate and was observed by transmission electron microscopy. The head of the phage is a regular icosahedral structure with a diameter of approximately 80 nm, and it has a contractable tail with a length of approximately

110 nm (Figure 1B). Thus, the morphology of this phage conforms to the characteristics of the Myoviridae family, and it is named *Enterococcus faecalis* phage vB\_EfaM\_LG1 (refer as LG1 hereafter).

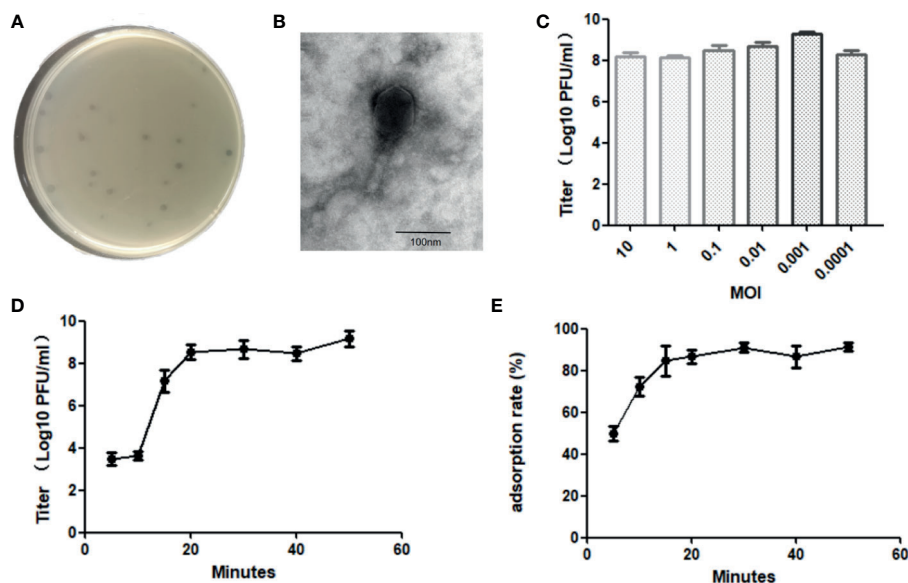
The phage titer reached the highest as  $4 \times 10^9$  PFU/ml when the multiplicity of infection (MOI) was 0.001, the optimal MO of bacteriophage LG1 was 0.001 (Figure 1C). The one-step growth curve of LG1 was shown in Figure 1D. The latent phase was approximately 10 min, and then the titer of phages increased rapidly between 10 and 20 min, indicating a lysis period of approximately 20 min. The burst size was estimated as about 40 pfu per bacterium.

The adsorption rate of LG1 onto the host strain was determined by measuring the remaining phages in the supernatant. LG1 absorbed onto the host ef118 efficiently, and over 50% of the phage particles were adsorbed by the ef118 within 5 min, and approximately 80% of the phage could bind to the host within 20 min (Figure 1E).

Spot agar assays were performed to determine the phage infectivity against 10 *E. faecalis* clinically isolated strains. The formation of clear plaques indicates that the strain is sensitive to LG1, whereas the formation of blurred plaque or no spots is considered non-sensitive. LG1 infects 50% of the clinical isolated *E. faecalis* strains, representing a relatively broad host range, but LG1 cannot infect any *E. faecium* strain (Table 1).

### Sequencing Analysis of an *E. faecalis* Phage LG1

Phage LG1 is a double-stranded (ds) DNA phage with a linear genome of 150,025 base pairs (bp). Its G + C content is 35.88%, and the genome is visualized by CPT Phage Galaxy (Ramsey et al., 2020).



**FIGURE 1 |** Biological characterization of *E. faecalis* phage vB\_EfaM\_LG1. The plaque (A) and transmission electron micrograph (B) of LG1. (C) The optimal MOI test of phage. (D) The one-step growth curve of LG1. (E) The adsorption rate of LG1 against host strain ef118 within 60 min.



**TABLE 1 |** The host range of phage LG1.

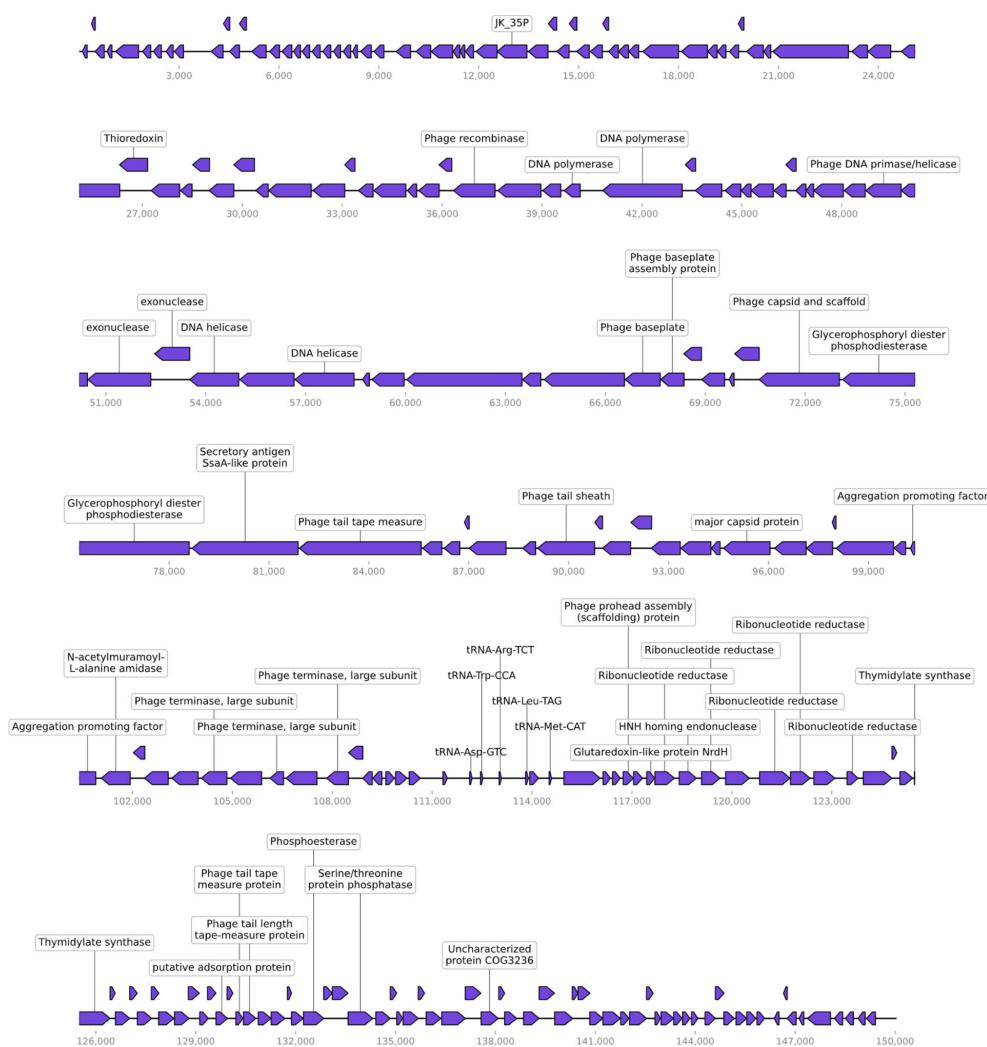
Strain	Origin	LG1 sensitivity
<i>Enterococcus faecalis</i> ef118	Blood	+
<i>Enterococcus faecalis</i> ef122	Blood	—
<i>Enterococcus faecalis</i> ef153	Blood	—
<i>Enterococcus faecalis</i> ef177	Blood	+
<i>Enterococcus faecalis</i> ef134	Blood	+
<i>Enterococcus faecalis</i> ef189	Urine	—
<i>Enterococcus faecalis</i> ef101	Urine	+
<i>Enterococcus faecalis</i> ef116	Urine	—
<i>Enterococcus faecalis</i> ef126	Urine	+
<i>Enterococcus faecalis</i> ef148	Urine	—
<i>Enterococcus faecium</i> ef13	Blood	—
<i>Enterococcus faecium</i> ef14	Urine	—
<i>Enterococcus faecium</i> ef15	Blood	—
<i>Enterococcus faecium</i> ef16	Urine	—

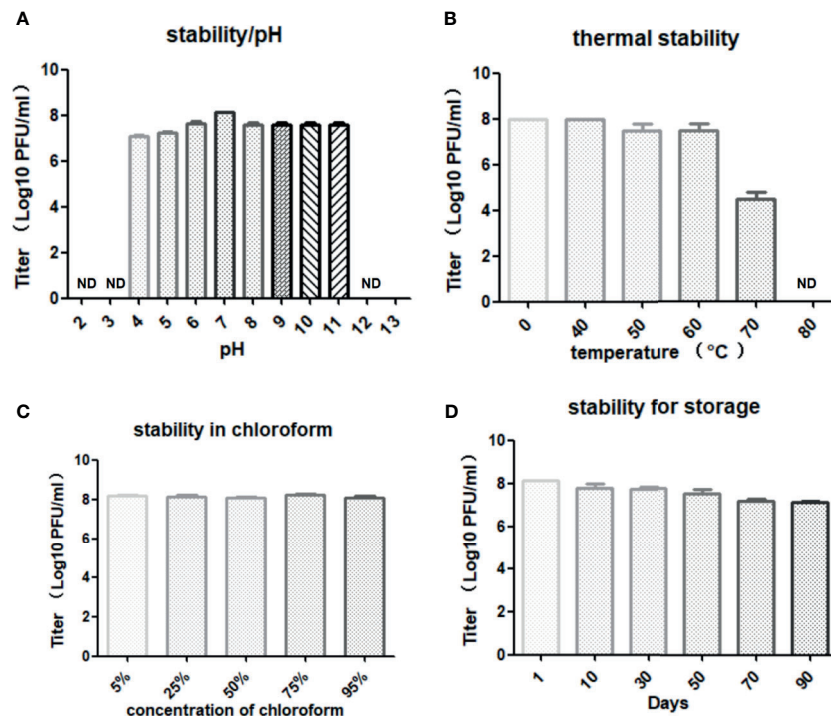
+ indicates the strain is sensitive to phage LG1 and forms clear plaque; — indicates the strain is not sensitive to phage LG1.

There are 231 putative ORFs predicted by RAST (Overbeek et al., 2014), whereas most of the ORFs are functionally unknown. Also, LG1 encodes five tRNA genes. The annotated ORFs can be categorized into several functional modules, including phage replications, DNA metabolism/modifications, lysis, phage structural protein (**Figure 2**). LG1 encodes multiple ribonucleotide reductases, implying that LG1 could perform *de novo* DNA biosynthesis. Moreover, no antibiotic-resistant genes or virulence genes were predicted in the genome of LG1. BlastN searches of the non-redundant database at NCBI reveals that LG1 genome exhibits 90% to 98% nucleotide identity with a group of enterococcal phages, such as *Enterococcus* phage ECP3 and vB\_EfaM\_Ef2.1.

## Stability of LG1

The optimal pH for storing LG1 was 7, and its viability was lost entirely when the pH was lower than 4 or higher than 11 (**Figure 3A**). The phage titers were further monitored when

**FIGURE 2 |** Genomic characterization of vB\_EfaM\_LG1. LG1 is a dsDNA phage with 231 proteins predicted based on sequence homology and five tRNA genes.



**FIGURE 3** | Stability of LG1. **(A)** Phage LG1 is stable under pH4~11 but significantly inactivated under pH4 or above pH11. **(B)** LG1 is inactivated by 80°C treatment. **(C)** LG1 is non-sensitive to chloroform treatment. **(D)** LG1 is stable for 3 months without a significant decrease of titer when stored at 4°C. ND, not detected.

LG1 was incubated at different temperatures. It was found to be stable at different temperatures, maintained a titer of  $10^5$  after 60 min incubation at 70°C (**Figure 3B**), and was wholly inactivated over 80°C. Besides, chloroform treatment did not affect the phage titer, precluding the presence of lipid components on the phage surface (**Figure 3C**). Finally, the chloroform-treated phage was stored at 4°C, and its titer was monitored for 3 months (**Figure 3D**). And the titer of LG1 did not significantly decrease during this period, indicating LG1 was relatively stable at 4°C, and this feature is vital to produce phage agents.

### The Phage-Antibiotic Combination Significantly Inhibits the Development of Phage Resistance and Disrupts the Biofilm

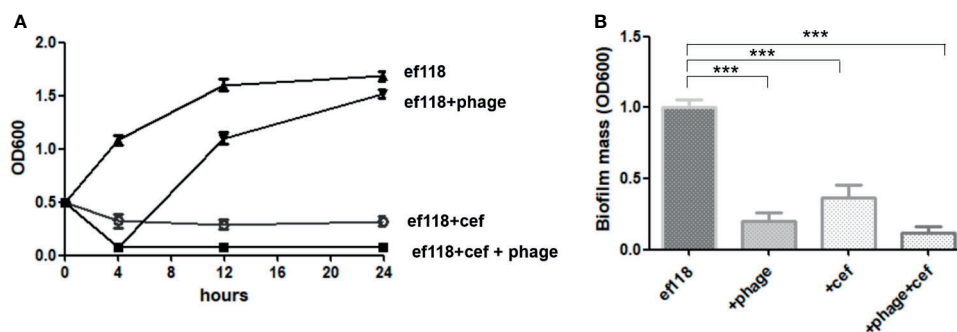
Phage resistance is quickly developed and is selected *in vitro* and *in vivo* (Labrie et al., 2010). And phage resistance in *E. faecalis* can be achieved through mutations of the receptors on the cell surface (Duerkop et al., 2016). As expected, in the liquid culture, phage LG1 was added to the log phase ef118 (OD<sub>600</sub> = 0.5) to a final titer of  $5 \times 10^8$  pfu/ml, and LG1 could only inhibit *E. faecalis* ef118 for several hours, and phage-resistant mutants regrow to a high density within 24 h (**Figure 4A**). The sensitive antibiotic cefotaxime (32 µg/ml) could inhibit the ef118, but the phage-antibiotic combination shows the best killing efficiency (**Figure 4A**). And in the *in vitro* biofilm model, cefotaxime (32 µg/ml) is less effective in disrupting the established biofilm

than phage ( $5 \times 10^8$  pfu/ml) alone, and the phage-antibiotic combination has a more significant effect in disrupting the biofilm than single treatment (**Figure 4B**).

## DISCUSSION

With an ever-increasing amount of antibiotic-resistant strains of *E. faecalis* found in clinical and the difficulties in the treatment of those caused by the biofilm formation (Arias et al., 2011; Palmer et al., 2011). A better strategy to constrain *E. faecalis* infection is needed more than ever, and lytic bacteriophage is a promising alternative treatment to fight multidrug-resistant *E. faecalis* (Al-Zubidi et al., 2019). In this study, we isolated a dsDNA phage LG1, which effectively infects *E. faecalis* strains with a relatively broad host range. Transmission electron microscopy showed that the phage belongs to the Myoviridae family, and its genome sequence exhibited similarity to other *E. faecalis* phages in the Myoviridae family.

Phage stability is an essential parameter for manufacturing phage agents (Pires et al., 2020). In Phagoburn project, researchers found that the phage cocktail is significantly inactivated because of long-term storage, and the phage titer is as low as  $10^2$  pfu/ml per daily dose, which is one of the reasons for the failure of this phage therapy clinical trial (Jault et al., 2018). LG1 is stable under different conditions, including heat and pH, and it can be stored at 4°C without significant loss of the



**FIGURE 4 |** Phage-antibiotic synergism. **(A)** Phage could only inhibit the growth of *E. faecalis* for several hours, and then the phage resistance mutant grows to a high density. **(B)** The phage-antibiotic combination has better efficacy in destroying the biofilm than phage or antibiotic alone (\*\* $P < 0.05$ ).

titer for 3 months, which is an important parameter when LG1 is included in a phage cocktail agent.

Phage resistance is also an issue in phage therapy. Because bacteria are able to obtain phage resistance because of various mechanisms, including mutations of the receptor, restriction and modification systems, CRISPR-cas systems (Labrie et al., 2010; Goldfarb et al., 2015; Shen et al., 2018b; Azam and Tanji, 2019), and phage resistance have been reported in phage therapy cases (El Haddad et al., 2019; Bao et al., 2020), which is a severe issue in phage therapy. *E. faecalis* phage resistance has been investigated previously, mainly through the mutation of phage receptor, including membrane protein PIP for phage phiVPE25 (Duerkop et al., 2016) and enterococcal polysaccharide antigen for phage (Chatterjee et al., 2019). Various approaches had been suggested to inhibit the development of phage resistance. Phage-antibiotic is the well-acknowledged method in treating other pathogens, such as *P. aeruginosa* (Oechslin et al., 2017). This study also suggests that phage-antibiotic combination is a better strategy to treat *E. faecalis* infection.

Formation of biofilm is a severe issue in infections because the established biofilm is extremely difficult to disrupt, and the biofilm increases antibiotic resistance (Pires et al., 2017). Phage effectively disrupts biofilm because phage could penetrate the biofilm, and some phage encodes depolymerase to degrade the biofilm matrix to destroy further the biofilm. Depolymerases can be associated with the phage particle or be released during lysis of the host bacteria. Depolymerases are enzymes to degrade the extracellular polysaccharide. Therefore, it is particularly interesting in the removal of biofilms. (Wu et al., 2019; Ferriol-Gonzalez and Domingó-Calap, 2020).

Moreover, this experiment shows phage-antibiotic combination has better effects in treating biofilms, which would be a better approach to treat chronic *E. faecalis* when biofilm might have already formed. The phage-antibiotic synergism is mainly because of the different antibacterial targets. And under certain conditions, phages provide an adjuvating effect by lowering the minimum inhibitory concentration for drug-resistant strains to enhancing the effect of antibiotics (Liu et al., 2020). Overall, these data indicate phage-

antibiotic synergism has better treating efficiency than single phage therapy.

## Experimental Procedures

### Bacterial Strains, Phages, and Culture Conditions

The bacterial strains in this work were listed in **Table 1**. *Enterococcus* strains were collected from the Department of Clinical Laboratory Medicine and grown aerobically on Luria-Bertani (LB) broth at 37°C.

Bacteriophage LG1 was isolated from hospital sewage as previously described (Duerkop et al., 2016). Briefly, the sewage was pelleted, and the supernatant was filtered through a 0.45-μm pore-size filter to remove particles. Then, 50-μl sample was immediately mixed with 200 μl bacterial culture, and 4 ml of molten LB soft agar (0.7%) was added and poured onto LB agar plates, followed by overnight culture. Any formed plaque was picked using a pipette, deposited in 1 ml of LB, followed by 10-fold dilution, and double-layer agar assay to purify the phage. The phage was purified by three consecutive rounds. Then, one plaque from the third round was picked for this study.

### Transmission Electron Microscopy

Phage particles were dropped on carbon-coated copper grids for 10 min. Then phosphotungstic acid (pH 7.0) was used to stain the sample for 15 s and examined under a Philips EM 300 electron microscope. The sizes of the phage were measured based on five randomly selected images using AxioVision LE.

### Phage Titering and MOI Experiment

Phage titer was calculated by standard double-layer agar plate assay. Briefly, 10-fold dilutions of phage suspension were mixed with 200 μl host bacteria, then mixed with 5 ml molten 0.7% LB agar broth. Then poured on a 1.5% agar plate. After overnight incubation at 37°C, one plaque is calculated as a plaque-forming unit (pfu). MOI experiments were performed by mixing log-phase bacteria (OD600 = 0.5) with a different number of phages, and the coculture was incubated at 37°C with shaking for 5 h. Then the titer in the supernatant was calculated using a double-layer agar plate assay.

## One-Step Growth

The one-step growth curve of LG1 was determined as described (Zhong et al., 2020). Briefly, 1 ml of log-phase bacteria and 1 ml of LG1 were mixed at an MOI of 1 and incubated at 37°C for 3 min. Then, the mixture was centrifuged at 4°C for 2 min at a speed of 12,000g, and the pellet was resuspended in 10 ml LB medium. And samples were taken at the given time points, which are immediately pelleted, and phage titer in the supernatant was measured by directly using double-layer plate assay.

## Adsorption Rate Experiments

Bacteriophage adsorption assay with various time points was performed as previously described (Al-Zubidi et al., 2019). Briefly, the log phase bacterial cultures were pelleted and resuspended in LB medium to a final concentration of  $5 \times 10^8$  CFU/ml. Then, phage was added to a final titer of  $5 \times 10^5$  pfu/ml. Then, the samples were cultured at 37°C for 60 min, and a 1-ml sample was collected at the set time point and centrifuged at 16,000g for 1 min. The phages in the supernatant were titered using the double-agar plating assays. At a given time point, the adsorption rate was calculated as (the original phage titer – the remaining phage titer)/the original phage titer.

## Determination of Host Range

Ten *E. faecalis* and five *E. faecium* strains were selected as test strains. The host range of phage LG1 was determined using spot testing by dropping 1 µl of phage onto the double-layer soft agar premixed with the test strain and cultured at 37°C for 18 h. The formation of a clear plaque is considered as the sensitive host for phage LG1.

## Isolation of Bacteriophage DNA

The phage DNA extraction is performed as previously described (Khan et al., 2021). Briefly, DNase I and RNase A were added to a final concentration of 5 and 1 µg/ml, respectively, and the purified phage particle was treated for 1 h at 37°C. Proteinase K (final concentration of 50 µg/ml), EDTA (pH 8.0), and 0.5% SDS were added and treated at 56°C for 1 h. Then, phage genome DNA was extracted with saturated phenol (pH 8.0). After centrifugation, the aqueous phase was extracted with chloroform and mixed with the same volume of isopropyl alcohol and stored at –20°C for 1 h. Then, phage DNA was precipitated by centrifugation and was washed with 70% ethanol and absolute ethanol, respectively. After drying, the precipitate was dissolved in TE solution, and the phage DNA was stored at –80°C.

## Genome Sequencing and Annotation

Phage genomic DNA was sequenced using an Illumina HiSeq 2500 platform (~1 Gbp/sample). Fastp (Chen et al., 2018) was used for adapter trimming and quality filtering after demultiplexing the raw reads. The read data were assembled using the *de novo* assembly algorithm Newbler Version 2.9 with default parameters, and the assembled genome was annotated by RAST. The DNA

and protein sequences were checked for homologs with BLAST manually. The genome map was drawn by a phage genome visualization online software CPT Phage Galaxy (Ramsey et al., 2020). The sequence data are available in the NCBI under accession number MZ420150.

## Stability Studies

To test the phage stability under various conditions,  $10^8$  pfu of LG1 was treated with different pH, temperature, or chloroform for 60 min, then the titer of the phage was calculated by double-layer agar assay. The LG1 was stored at 4°C, and its titer was determined at the given time points for 3 months.

## Biofilm Assay

Biofilms were examined by the crystal violet staining method as previously described (Shen et al., 2018a). Briefly, 0.2 ml of log-phase bacterial culture were added to 96-well polystyrene microplates and incubated for 24 h at 37°C to establish biofilm. Then, the untreated control wells were washed with phosphate-buffered saline (PBS) and stained with crystal violet for 15 min, which was solubilized in 0.2 ml of 95% ethanol, and the biofilm biomass was estimated by measuring the OD 600, which was determined using a SpectraMax M3 multimode microplate reader. For the treatment groups, the wells were washed and PBS, then 0.2 ml of phage or antibiotic was added and incubated at 37°C for 4 h, the biofilm biomass was determined by crystal violet staining method.

## Statistical Analysis

All the experiments were performed three times, and statistical analysis was performed using one-way ANOVA or t-test, and statistical significance was assumed if the P value was <0.05.

## DATA AVAILABILITY STATEMENT

The data sets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://www.ncbi.nlm.nih.gov/>, MZ420150, PRJNA723057.

## AUTHOR CONTRIBUTIONS

All the authors listed have made a substantial, direct and intellectual contribution to this work, and approved the submitted version for publication.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Pharmacokinetics and Pharmacodynamics of a Novel Virulent *Klebsiella* Phage Kp\_Pokalde\_002 in a Mouse Model

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### \*Correspondence:

Gunaraj Dhungana  
grdhungana79@gmail.com

### †Present address:

Roshan Nepal,  
Adelaide Medical School,  
Faculty of Health and Medical  
Sciences, The University of Adelaide,  
Adelaide, SA, Australia

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Gunaraj Dhungana<sup>1\*</sup>, Roshan Nepal<sup>1,2†</sup>, Madhav Regmi<sup>1</sup> and Rajani Malla<sup>1</sup>

<sup>1</sup> Central Department of Biotechnology, Tribhuvan University, Kirtipur, Nepal, <sup>2</sup> Adelaide Medical School, Faculty of Health and Medical Sciences, The University of Adelaide, Adelaide, SA, Australia

Phage therapy is one of the most promising alternatives to antibiotics as we face global antibiotic resistance crisis. However, the pharmacokinetics (PK) and pharmacodynamics (PD) of phage therapy are largely unknown. In the present study, we aimed to evaluate the PK/PD of a locally isolated virulent novel øKp\_Pokalde\_002 (*Podoviridae*, C1 morphotype) that infects carbapenem-resistant *Klebsiella pneumoniae* (Kp56) using oral and intraperitoneal (IP) route in a mouse model. The result showed that the øKp\_Pokalde\_002 rapidly distributed into the systemic circulation within an hour via both oral and IP routes. A higher concentration of phage in plasma was found after 4 h ( $2.3 \times 10^5$  PFU/ml) and 8 h ( $7.3 \times 10^4$  PFU/ml) of administration through IP and oral route, respectively. The phage titer significantly decreased in the blood and other tissues, liver, kidneys, and spleen after 24 h and completely cleared after 72 h of administration. In the Kp56 infection model, the bacterial count significantly decreased in the blood and other organs by 4–7 log<sub>10</sub> CFU/ml after 24 h of øKp\_Pokalde\_002 administration. Elimination half-life of øKp\_Pokalde\_002 was relatively shorter in the presence of host-bacteria Kp56 compared to phage only, suggesting rapid clearance of phage in the presence of susceptible host. Further, administration of the øKp\_Pokalde\_002 alone in healthy mice (via IP or oral) did not stimulate pro-inflammatory cytokines (TNF- $\alpha$  and IL-6). Also, treatment with øKp\_Pokalde\_002 resulted in a significant reduction of pro-inflammatory cytokines (TNF- $\alpha$  and IL-6) caused by bacterial infection, thereby reducing the tissue inflammation. In conclusion, the øKp\_Pokalde\_002 possess good PK/PD properties and can be considered as a potent therapeutic candidate for future phage therapy in carbapenem-resistant *K. pneumoniae* infections.

**Keywords:** bacteriophage, PK/PD, carbapenem-resistant infections, *Klebsiella pneumoniae*, phage therapy

## INTRODUCTION

Antibiotic resistance has become one of the biggest challenges to the global public health. According to the World Health Organization (WHO), the world is heading towards a post-antibiotic era and it would force millions of people into extreme poverty and death by 2050 (WHO, 2017). The discovery of new class of antibiotics is often time consuming and requires tremendous investment, and as bacteria quickly become resistant to antibiotics, it will shortly be ineffective (Spellberg, 2014). As no new class of antibiotics has been discovered since the 1980s, researchers are warning about the imminent antibiotic resistance crisis of pandemic proportion if we fail to find effective alternative approaches to antibiotics in addition to development new classes of antibiotics. Recently, the ESKAPE (*Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and *Enterobacter* species) pathogens are causing life-threatening infections throughout the world in both hospital and community settings with high morbidity and mortality (Paczosa and Mecsas, 2016). They are mostly multidrug-resistance (MDR) and acquire drug resistance potentially through different mechanisms such as drug inactivation, target modification, reduced permeability, or by increased efflux pump (Santajit and Indrawattana, 2016). Carbapenem-resistant *K. pneumoniae* is one of the ESKAPE pathogens categorized as critical by WHO, and research and development of new classes of antimicrobial agents is highly prioritized. A high prevalence of carbapenem-resistant *Enterobacteriaceae*, including *K. pneumoniae* infections, has also been reported in recent years in Southeast Asia including Nepal (Hsu et al., 2017; Nepal et al., 2017).

Bacteriophages (phages) are viruses that target specific bacterial species and has two distinct lifestyles: lytic and lysogenic, that dictate its role in bacterial biology. Recently, virulent phages (that strictly kill the host bacteria) have received heightened attention as a potent antimicrobial agent to treat bacterial infections, especially antibiotic resistant infections (Clokic et al., 2011). Phage therapy (using phage and its components as a therapeutic agent) has been known for more than 100 years and recently regained heightened interest as the modern understanding of phage biology, genetics, immunology, and pharmacology recognizes its use in mitigating the antibiotic resistance crisis (Young and Gill, 2015). Several studies have already demonstrated the safety and efficacy of phage therapy in systemic and tropical infections in both animal and human (Vinodkumar et al., 2008; Kumari et al., 2011; Pouillot et al., 2012; Furfaro et al., 2018; Wang et al., 2018). Phage therapy in humans is still routinely used in Georgia, Poland, and Russia, and Western countries like USA, UK, Belgium, France and Germany are using phages in therapeutics occasionally as personalized, magistral preparations and/or compassionate use to treat infections when all of the available antibiotics fail (Pirnay et al., 2018; Romero-Calle et al., 2019). Although there are more than 10 case reports published over last 10 years about phage therapy (Sybesma et al., 2018; Pirnay, 2020), and most of them showing encouraging results (Schooley et al., 2017; Dedrick et al., 2019; Petrovic Fabijan et al., 2020), it is yet to be adopted in

mainstream medicine so far. Beside regulatory hurdles, one of the possible reasons for this is poor understanding of pharmacokinetics (PK) and pharmacodynamics (PD) of phages *in vivo*. Phages possess a unique tripartite dynamic relationship between their host bacteria and human immune system (Wahida et al., 2021) as they co-evolve and self-replicate within the human body in the presence of host bacteria (Payne and Jansen, 2003). As a result, the PK/PD of phages are distinct from those of classical antimicrobials. In addition, phages have ability to pass through body barriers, potentially eliciting an immune response (Barr et al., 2013; Dąbrowska and Abedon, 2019). It is necessary to understand the PK/PD of the phage in terms of biodistribution, bioavailability, clearance, and immune response *in vivo* (Cafilisch et al., 2019). For successful phage therapy, route and dosage of phage administration must be assessed and standardized to each individual phage-bacteria combination (Payne and Jansen, 2003; Dąbrowska, 2019; Nilsson, 2019). In this study, we aimed to evaluate the PK/PD of a novel virulent (lytic) *Klebsiella* phage Kp\_Pokalde\_002 (GenBank ID: MT425185, hereafter referred as øKp\_Pokalde\_002) that infects carbapenem-resistant *K. pneumoniae* using oral and intraperitoneal (IP) route in a mouse model.

## MATERIALS AND METHODS

### Ethical Clearance and Animal Model

Ethical approval was obtained for the use of animal prior to the study (Ethical approval No.161/2018) from Nepal Health Research Council (NHRC), Kathmandu. The protocol was also approved by the Ethical Review Board, NHRC. Female Swiss albino mice (6–8 weeks old) weighing  $23 \pm 2.5$  g were purchased from Natural Products Research Laboratory (NPRL), Kathmandu. The animals were housed in an animal room at Central Department of Biotechnology, Tribhuvan University and fed with normal antibiotic-free diet. Chloroform vapor was used to anesthetize the mice and then euthanized by cervical dislocation before any invasive procedures. Each experiment was performed in triplicates.

### Bacterial Strain and Phage Amplification

A clinical isolate of *K. pneumoniae* (hereafter referred as Kp56) confirmed as a carbapenem-resistant strain (presence of gene *blaNDM1*, *blaKPC*) was obtained from the Microbiology Laboratory, Central Department of Biotechnology, Tribhuvan University (unpublished data). The bacteria were propagated in Luria-Bertani (LB) broth (HiMedia, India) at 37°C. A virulent øKp\_Pokalde\_002 (*Podoviridae*, C1 morphotype) isolated using Kp56 as a host was used in this study. The lytic-lifestyle and Gram-negative host of the phage was confirmed based on its physiochemical characteristics (Dhungana et al., 2021) and its genome analysis through PHACTS (<https://edwards.sdsu.edu/PHACTS>) (McNair et al., 2012).

The øKp\_Pokalde\_002 was amplified from glycerol stocks as described previously (Bourdin et al., 2014). Briefly, 1.0 ml overnight culture of the host bacteria (Kp56) was mixed with 100.0 ml LB broth and incubated at 37°C for 2.0 h with agitation



(100 rpm) to reach an exponential growth phase ( $OD_{600} = 0.3$ ). The phage stock, acclimatized to room temperature, was then added at a multiplicity of infection (MOI) of 10, and the culture was further incubated at 37°C in a shaking incubator (250 rpm) for 5.0 h until the media was visually clear. The phage lysate was centrifuged at 3220xg (Centrifuge 5810 R, Eppendorf, Hamburg, Germany) for 15 min at 4°C, and the supernatant was filtered through a 0.22 µm pore-size Whatman<sup>TM</sup> syringe filter (Sigma-Aldrich, Missouri, United States). The phage lysate was further purified and concentrated by isopycnic cesium-chloride (CsCl) density-gradient ultracentrifugation as described elsewhere (Sambrook and Russell, 2001).

## Phage/Bacteria Enumeration

Blood and homogenized tissue samples were serially diluted up to  $10^{-6}$  in a 1.5 ml Eppendorf tubes. For bacterial count, 100 µl aliquot from each dilution was spread-plated on nutrient agar (NA) plates in duplicates and incubated at 37°C for 24 h. Similarly, for phage titer, the blood and homogenized tissue samples were centrifuged at 3220xg (Centrifuge 5810 R, Eppendorf, Hamburg, Germany) for 10 min at 4°C and filtered through a 0.22 µm pore size Whatman<sup>TM</sup> syringe filter (Sigma-Aldrich, Missouri, United States). The filtrate was serially diluted to up to  $10^{-8}$  and phage titer was determined by Double Layer Agar (DLA) assay as described elsewhere. The phage and bacteria counts were corrected for tissue-fluid weights using following formula.

$$\frac{\text{\# plaques or colonies/ml plated} \times \text{dilution factor}}{\text{\# grams tissue/ml original homogenate}} \\ = \text{PFU or CFU/gm of tissue}$$

## In Vivo Pharmacokinetics of øKp\_Pokalde\_002 Through Oral and IP Route

*In vivo* PK assessment was performed as described previously (Verma et al., 2009; Pouillot et al., 2012) with modifications. Seventy-two mice were divided into four groups [2 phage only and 2 vehicle (SM buffer) control, 18 mice in each group]. In a phage only control group, the first group of mice received 200 µl ( $1.2 \times 10^8$  PFU/ml) of the highly purified øKp\_Pokalde\_002 *via* oral route while the same dosage of phage preparation was injected *via* IP route in the second group. The vehicle control group (third and fourth) received 200 µl of SM buffer only *via* oral and IP route, respectively. Three mice from each group were euthanized by cervical dislocation at 1 h, 4 h, 8 h, 24 h, 48 h, and 72 h after phage administration. Blood samples were collected in tubes containing 0.05 M EDTA anticoagulant by cardiac puncture. Tissue samples from lungs, liver, spleen, and kidneys were collected aseptically from euthanized mice and further divided into two parts. One part of each tissue was immersed in 10% formalin for histopathological examinations. Another part of tissue was weighed and homogenized in 1.0 ml PBS aseptically. The homogenized tissue was centrifuged at 10,000 rpm for 10 min at 4°C, and supernatant was filtered through a

0.22 µm pore size Whatman<sup>TM</sup> syringe filter (Sigma-Aldrich, Missouri, United States). The phage titer was determined by standard DLA technique as described elsewhere (Dhungana et al., 2021).

## Klebsiella pneumoniae Infection Model

In a separate study, 54 mice (3 groups, 18 in each group) were inoculated with 200 µl ( $1 \times 10^8$  CFU/ml) of exponentially growing Kp56 intraperitoneally. Immediately after bacterial inoculation, 200 µl of SM buffer was injected to all mice in the first group (sepsis control) and 200 µl of øKp\_Pokalde\_002 ( $1.2 \times 10^8$  PFU/ml) was administered to all mice in second and third groups (treatment) through IP and oral routes, respectively. Three mice from each group were euthanized by cervical dislocation at 1 h, 4 h, 8 h, 24 h, 48 h, and 72 h post bacterial inoculation. Blood and tissue samples were collected and processed as described earlier to determine the phage titer and the levels of pro-inflammatory cytokines.

## Histology

Histological examination of the lung tissue was done as described previously (Singla et al., 2015) with modifications. Briefly, tissues were fixed with 10% formalin and embedded in paraffin wax. Serial sections of 4–6 µm thickness were cut using microtome, de-paraffinized, rehydrated, and stained with Hematoxylin and Eosin (H&E stain). The tissue sections were examined under the light microscope for histological changes.

## Cytokine Quantification

Pro-inflammatory cytokines: tumor necrosis factor alpha (TNF-α) and interleukin 6 (IL-6)] levels were measured in all Kp56 infected and øKp\_Pokalde\_002 treated mice. Total RNA was isolated from the blood samples using Direct-zol<sup>TM</sup> RNA MiniPrep Plus Kits (Zymo Research, USA), and cDNA was synthesized using iScript<sup>TM</sup> cDNA Synthesis Kit (Bio-Rad Laboratories, USA) following the manufacturer's instruction. DNase I (6 U/µl) was used to digest any residual DNA. Total RNA concentration was measured using NanoDrop 8000 (Thermo Fisher Scientific, USA) by spectrophotometric optical density measurement at 260/280 nm. The mRNA levels of TNF-α and IL-6 were measured by two-step relative qRT-PCR. The β-actin housekeeping gene was amplified as an internal control. Gene expressions were normalized to the expression of β-actin gene. The sequences of primers of IL-6, TNF-α, and β-actin are listed in **Supplementary Table S1**. The real time PCR was performed using SYBR<sup>®</sup> Green Master Mix (2x) Kit in CFX Connect<sup>TM</sup> RT-PCR system (Bio-Rad Laboratories, USA). Melting curve analysis was performed after the amplification phase to eliminate the possibility of nonspecific amplification or primer-dimer formation. All samples were processed in duplicate, and the output level was reported as an average. The comparative CT method was used to calculate the relative expression ratio from the real time PCR efficiency and the CT (Livak and Schmittgen, 2001; Jain et al., 2006). mRNA expression level change was calculated using double delta Ct (DDCT) method, and the change in mRNA expression levels of cytokines was expressed as fold change.

$$\text{Fold change} = 2^{-\Delta\Delta Ct}$$

where  $2^{-\Delta\Delta Ct} = [(Ct \text{ of gene of interest} - Ct \text{ of internal control}) \text{ sample A} - (Ct \text{ of gene of interest} - Ct \text{ of internal control}) \text{ sample B}]$ .

## Data Interpretation and Statistical Analysis

Non-compartmental PK parameters: the peak plasma concentration ( $C_{max}$ ) and the time to reach peak plasma concentration ( $T_{max}$ ) were obtained by visual inspection of the data. The area under the plasma concentration-time curve (AUC) was calculated according to the linear trapezoidal rule up to the  $T_{last}$  phage concentration using GraphPad Prism 8 (Version 8.3.0). The half-life ( $T_{1/2}$ ) was calculated from the one-phase exponential regression equation ( $T_{1/2} = 0.693/K_{el}$ ) (Dufour et al., 2018; Chow et al., 2020). The elimination rate constant ( $K_{el}$ ) was estimated from the slope of the elimination phase of the log transformed plasma concentration-time curve fitted by the method of least squares. All elimination phase data with associated variability were included in the estimation. Data were expressed as mean  $\pm$  standard error of mean (SEM). Comparisons of phage count and cytokine levels were performed by one-way ANOVA with Tukey's multiple-comparison test and Student's t-test. Inter mice PD variability was expressed as coefficient of variation (%CV). All statistical analysis were performed using GraphPad Prism 8 (Version 8.3.0), and differences with  $p < 0.05$  were considered statistically significant.

## RESULTS

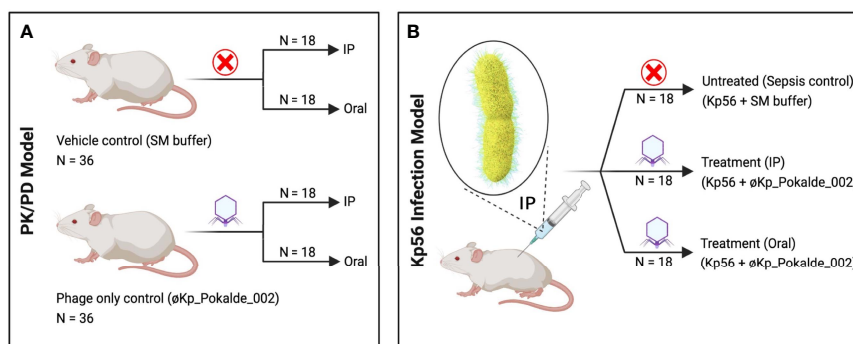
### Pharmacokinetics

We examined the PK/PD of  $\phi Kp\_Pokalde\_002$  administered through IP and oral routes in mice model in the presence and absence of host bacteria Kp56 (Figure 1). Mice that received only

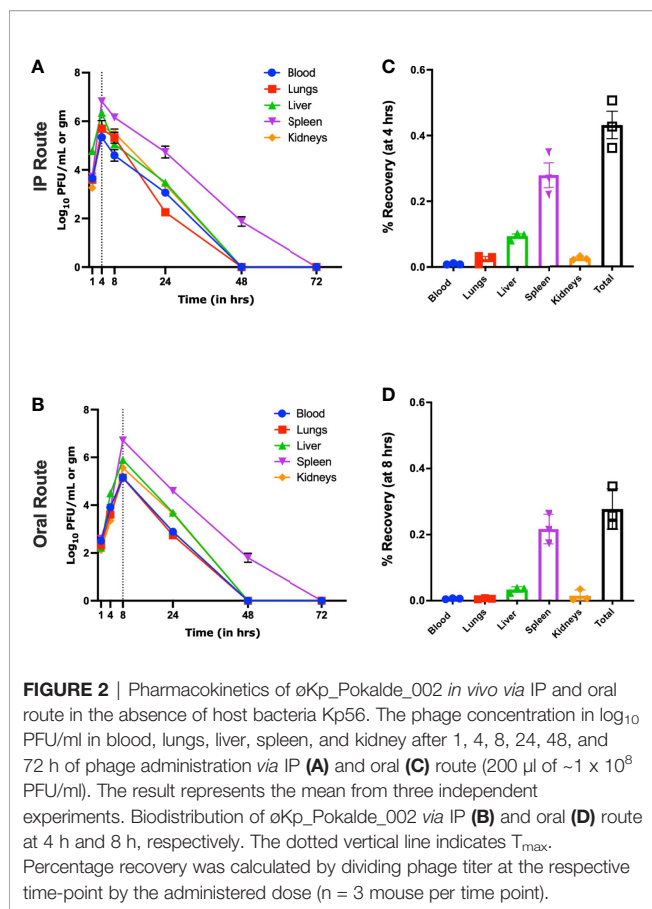
$\phi Kp\_Pokalde\_002$  through IP or oral routes did not show any sign of illness during the experimental period (72 h post phage inoculation), and  $\phi Kp\_Pokalde\_002$  was detected in blood and other body tissues within the first hour of both IP and/or oral route of administrations.

In an IP group and in the absence of host bacteria, maximum biodistribution of the  $\phi Kp\_Pokalde\_002$  was found at 4 h (43% of inoculated phage titer) post phage injection (Figures 2A, B). At 4 h, the phage titer was significantly higher in spleen ( $6.8 \pm 0.10 \log_{10}$  PFU/ml,  $6.69 \times 10^7$  PFU/ml) compared to blood ( $5.3 \pm 0.12 \log_{10}$  PFU/ml,  $2.22 \times 10^5$  PFU/ml), lungs ( $5.6 \pm 0.4 \log_{10}$  PFU/ml,  $5.78 \times 10^5$  PFU/ml), liver ( $6.3 \pm 0.05 \log_{10}$  PFU/ml,  $2.25 \times 10^6$  PFU/ml), and kidneys ( $5.8 \pm 0.10 \log_{10}$  PFU/ml,  $6.04 \times 10^5$  PFU/ml) ( $p < 0.0001$ , two-way ANOVA with Tukey's multiple comparisons) (Figure 2A and Supplementary Table S2). After 4 h, there was a gradual decrease in phage titer in all organs and the phage was completely cleared within 48 h of phage inoculation except from spleen, where the complete clearance was seen at 72 h.

Similarly, in an oral route and in the absence of the host bacteria, maximum biodistribution of the  $\phi Kp\_Pokalde\_002$  was found at 8 h (28%) post phage administration (Figures 2C, D). At 8 h, the phage titer was significantly higher ( $p < 0.0001$ , two-way ANOVA with Tukey's multiple comparisons test) in spleen ( $6.7 \pm 0.09 \log_{10}$  PFU/ml,  $5.21 \times 10^6$  PFU/ml) compared to blood ( $4.8 \pm 0.1 \log_{10}$  PFU/ml,  $1.45 \times 10^5$  PFU/ml), lungs ( $5.1 \pm 0.13 \log_{10}$  PFU/ml,  $1.44 \times 10^5$  PFU/ml), liver ( $5.9 \pm 0.12 \log_{10}$  PFU/ml,  $8.10 \times 10^5$  PFU/ml), and kidneys ( $5.5 \pm 0.35 \log_{10}$  PFU/ml,  $4.50 \times 10^5$  PFU/ml) (Figure 2C). After 8 h, the phage titer gradually decreased and completely cleared from all organs within 48 h of phage administration except spleen, where the complete clearance was seen at 72 h. As expected, we further observed that relative bioavailability was lower when phage was administered through oral route compared to IP (Table 1) in the absence of host bacteria Kp56. Although the results were similar in the presence of host Kp56, the relative bioavailability of phage was higher in blood and spleen when administered orally compared to IP.



**FIGURE 1** | Schematic representation of the experimental design. **(A)** In PK/PD model, SM buffer (vehicle control) and same dose of purified  $\phi Kp\_Pokalde\_002$  (phage only control) was administered via both IP and oral route. **(B)** In Kp56 infection model, bacteria (*K. pneumoniae*) were administered via IP route only, while treatment ( $\phi Kp\_Pokalde\_002$ ) was administered via both IP and oral route. Figure created in BioRender.com. PK, pharmacokinetics; PD, pharmacodynamics; SM, Sodium Magnesium; IP, intraperitoneal.



In the presence of host bacteria Kp56, maximum titer of the øKp\_Pokalde\_002 was found at 8 h post phage injection (IP) and

24 h (oral) (Figures 3A, B) and gradually decreased after 24 h. In both group, maximum phage titer was found in the spleen at 24 h post phage injection. However, in contrast to phage inoculations without host, the phage did not clear from spleen until 72 h when inoculated with host Kp56.

We further report that mean elimination half-lives of øKp\_Pokalde\_002 in different organs were route independent [mean = 7.48 h, CV = 7.2% (IP) and mean = 7.6 h, CV = 10.5% (oral)] but the half-life was significantly lower [mean = 6.33 h, CV = 14.0% (IP) and mean = 5.3 h, CV = 4.0% (oral)] when susceptible host (Kp56) was present (Table 1) via both IP (p = 0.03, r<sup>2</sup> = 0.72, paired t-test) and oral (p = 0.0034, r<sup>2</sup> = 0.90, paired t-test) (Figure 3C) route possibly because of strong immune response from mice against bacteria and phage in the presence of Kp56 suggesting rapid clearance.

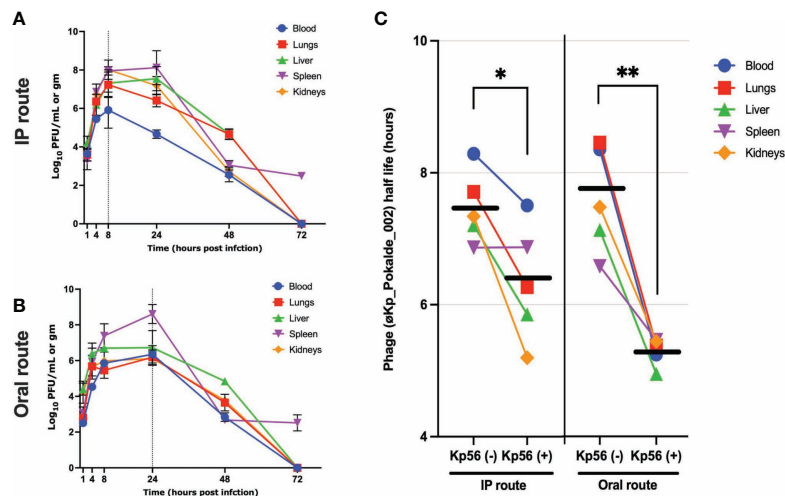
## Pharmacodynamics

The groups of mice in PK/PD model (not infected by Kp56) that received øKp\_Pokalde\_002 via IP or oral route showed only mild to moderate alveolar wall thickening and remarkably reduced neutrophil infiltration in perivascular and peri bronchial areas (Figure 4). Moreover, they also did not show any significant histological changes compared to the vehicle control (SM buffer only) group at 24 h post phage inoculation. On the other hand, in the Kp56 infection model, bacterial count increased exponentially in the blood and lungs for up to 24 h when treated with SM buffer only (untreated group), while the bacterial count gradually decreased after 8 h when treated with øKp\_Pokalde\_002 (treatment group) via both IP and oral routes. The bacterial count significantly reduced by 4–7 log<sub>10</sub> CFU/ml in the blood (p < 0.001) and lungs (p < 0.05) at 24 h of øKp\_Pokalde\_002 administration compared to untreated (Kp56 + SM buffer) group (Supplementary Figure S3, two-

**TABLE 1 |** Estimated pharmacokinetic parameters of virulent phage (øKp\_Pokalde\_002) in the absence and in the presence of host *K. pneumoniae* (Kp56).

Organ	Blood		Lungs		Liver		Spleen		Kidneys	
Route of administration	IP	Oral	IP	Oral	IP	Oral	IP	Oral	IP	Oral
Parameters	In the absence of host bacteria (Kp56)									
	Administered dose: 200 µl of 1.2 × 10 <sup>8</sup> PFU/ml of øKp_Pokalde_002									
C <sub>max</sub> (pfu/ml)	222778	72311	578611	14471	2258318	87056	6694839	521210	604444	45097
T <sub>max</sub> (h)	4	8	4	8	4	8	4	8	4	8
Vd (L)	1.27	9.7	0.86	18.8	0.16	4.3	0.07	2.07	0.60	12.8
T <sub>1/2</sub> (h)	8.29	8.35	7.21	8.45	7.34	7.13	6.87	6.58	7.34	7.49
CL (L/h)	0.21	1.32	0.15	2.36	0.03	0.62	0.01	0.3	0.1	1.6
AUC <sub>0-t</sub> (pfu/h/ml)	269539	155155	807450	149419	2407478	848459	8248503	5262198	948204	458160
Relative bioavailability (F)	58%		19%		35%		64%		48%	
Parameters	In the presence of host bacteria (Kp56)									
	Administered dose: 200 µl of 1.0 × 10 <sup>8</sup> CFU/ml of Kp56 + 200 µl of 1.2 × 10 <sup>8</sup> PFU/ml of øKp_Pokalde_002									
C <sub>max</sub> (pfu/ml)	2923000	3315027	34693333	2107333	56589196	16643667	293940000	579333333	23068000	1695000
T <sub>max</sub> (h)	8	24	8	24	24	24	24	24	24	24
Vd (L)	0.15	0.48	0.01	0.2	0.005	0.009	0.01	0.02	0.006	0.03
T <sub>1/2</sub> (h)	7.51	5.24	6.26	5.37	5.85	4.94	6.87	5.46	5.20	5.44
CL (L/h)	0.02	0.06	0.002	0.02	0.0009	0.001	0.001	0.002	0.001	0.005
AUC <sub>0-t</sub> (pfu/h/ml)	3263704	4075882	43003899	2979558	97074444	25790850	399112587	626186433	190270651	3977100
Relative bioavailability (F)	125%		7%		27%		157%		2%	

C<sub>max</sub>, maximum observed plasma concentration; T<sub>max</sub>, time to the C<sub>max</sub>; V<sub>d</sub>, Volume of distribution; T<sub>1/2</sub>, elimination half-time; CL, clearance; AUC<sub>0-t</sub>, area under the concentration-time curve from time 1 h to the last quantifiable concentration. Relative bioavailability (F) was calculated using the following formula: F, AUC<sub>0-t</sub> (oral)/AUC<sub>0-t</sub> (IP) × 100%.



**FIGURE 3** | Pharmacokinetics of øKp\_Pokalde\_002 *in vivo* and half-life of øKp\_Pokalde\_002 in the presence and absence of host bacteria Kp56 in mice when administered *via* IP and oral routes. The phage concentration in log<sub>10</sub> PFU/ml in blood, lungs, liver, spleen, and kidneys after 1, 4, 8, 24, 48, and 72 h in Kp56 treatment group after administration of phage *via* IP (A) and oral (B) route (200 µl of  $\sim 1 \times 10^8$  PFU/ml). The dotted vertical line indicates T<sub>max</sub>. (C) The overall elimination half-life of øKp\_Pokalde\_002 is lower when host bacteria are present, signifying rapid clearance of phage from circulation in the presence of susceptible host. The individual data point represents an average from three replicates from three mouse. The horizontal line represents the grand mean.

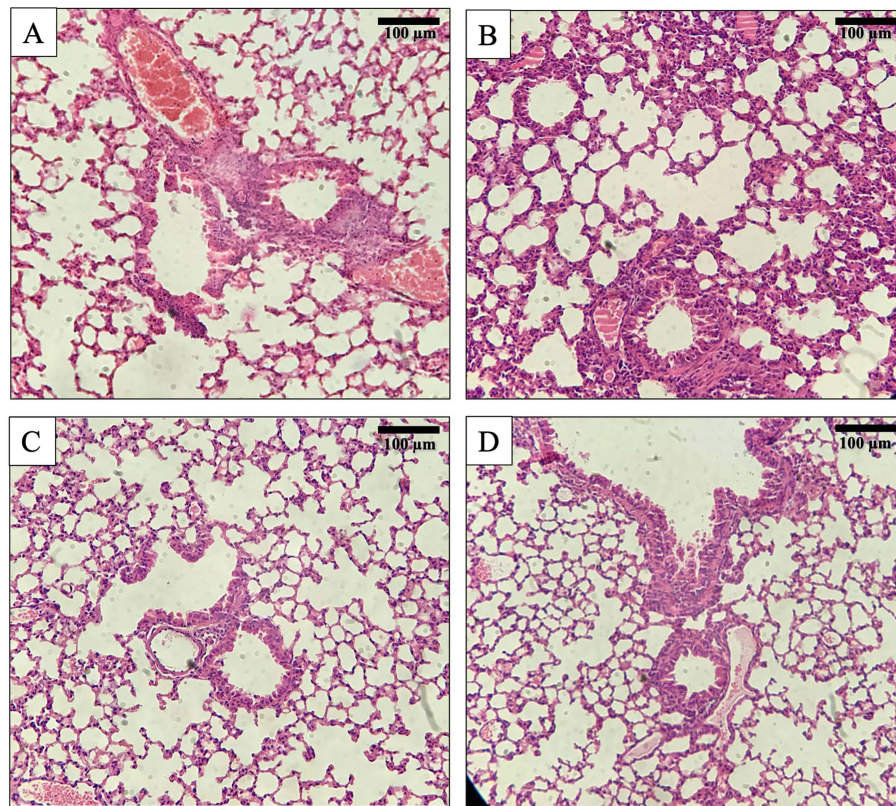
way ANOVA with Tukey's multiple comparisons). Further, comparison of histological changes in the lung tissues from untreated group (Kp56 + SM buffer) and treatment group (Kp56 + øKp\_Pokalde\_002) revealed a noticeable interstitial infiltration by neutrophils and macrophages with severe thickening, congestion, and destruction of alveolar wall in the lungs of untreated group. Meanwhile, orally treated group showed relatively increased neutrophil infiltration in the alveoli (lung tissues) compared to the IP-treated group.

The expression level of two pro-inflammatory cytokine (TNF- $\alpha$  and IL-6) in blood was analyzed to evaluate the tissue inflammation either by øKp\_Pokalde\_002 or by Kp56. Cytokine expression levels in the control group (SM buffer only), phage administered group (øKp\_Pokalde\_002 only), Kp56 infected group (Kp56 + SM buffer), and phage-treated groups (Kp56 + øKp\_Pokalde\_002) were compared. A significant upregulation of both pro-inflammatory cytokines' TNF- $\alpha$  and IL-6 ( $p < 0.0001$ , Tukey's multiple comparisons test) was observed in the Kp56 infected (Kp56 + SM buffer) group compared to the control (SM buffer only) group, and at 24 h post infection, the increment in the TNF- $\alpha$  and IL-6 was 21.0-fold and 17.1-fold, respectively. Changes in TNF- $\alpha$  and IL-6 in phage-only administered group were 1.1-fold and 0.9-fold, respectively, compared to vehicle control (SM buffer only) arm. Interestingly, the levels of cytokine expressions in the phage-treated groups *via* both IP and oral route were significantly lower compared to Kp56 infected (Kp56 + SM buffer, untreated) arm ( $p < 0.05$ , Tukey's multiple comparisons test). The fold changes in cytokine TNF- $\alpha$  and IL-6 expression levels in phage-treated (Kp56 + øKp\_Pokalde\_002) groups compared to the uninfected control (phage only) arm are depicted in Figure 5.

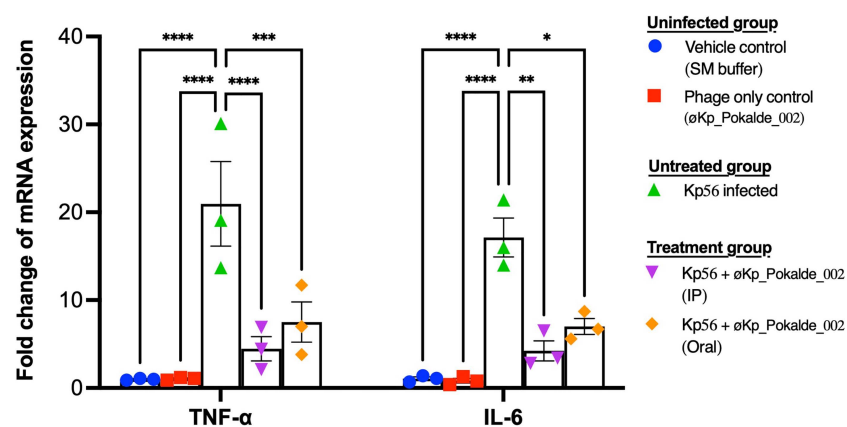
## DISCUSSION

Phage therapy is considered one of the promising alternatives to treat infections caused by MDR bacteria (Romero-Calle et al., 2019). PK/PD are fundamental parameters for better understanding the success of phage therapy and obtaining regulatory approval (Dąbrowska and Abedon, 2019). In this study, we focused on PK/PD of a novel øKp\_Pokalde\_002 that infects carbapenem-resistant *K. pneumoniae* using oral and IP routes of administration in a mouse model. Our results showed that øKp\_Pokalde\_002 rapidly distributed into the systemic circulation within an hour of administration *via* both oral and IP route. A relatively higher concentration of øKp\_Pokalde\_002 was recovered from plasma while injecting the phage through IP route compared to oral administration. When phage was administered in mice through the IP route, highest phage titer in the blood reached after 4 h post administration, significantly decreased after 8 h, and negligible count was observed after 24 h. The result suggests that the phage net phage elimination is observed after 4 h if injected intraperitoneally in the absence of host bacteria. The result is consistent with other studies where it is reported that the phages take 2–4 h to reach its maximum count in blood and is subsequently decreased after 12 h (Bogovazova et al., 1992; Capparelli et al., 2006; Kumari et al., 2010; Tiwari et al., 2011). Further, recovery of phages from blood and other tissue after oral administration shows that øKp\_Pokalde\_002 survived the gut environment and crossed the gut barrier to reach systemic circulation in mice subsequently reaching to different organs which is consistent with reports from other researchers (Cervený et al., 2002; Gorski and Weber-Dąbrowska, 2005). Several mechanisms have been proposed for phage absorption in the gastrointestinal tracts such as intestinal





**FIGURE 4** | Histology of mouse lung tissue sections after Hematoxylin and Eosin (H&E) staining at 200x magnification. **(A)** Lungs' tissue of a normal mouse. **(B)** Lungs' tissue of bacteria *K pneumoniae* (Kp56) infected mouse showing interstitial infiltration by neutrophils and macrophages with rupture of alveoli. **(C)** Lungs' tissue of mouse treated with øKp\_Pokalde\_002 via IP route. **(D)** Lungs' tissue of mouse treated with øKp\_Pokalde\_002 via oral route.



**FIGURE 5** | Pro-inflammatory cytokine TNF- $\alpha$  and IL-6 levels in the plasma of mice (24 h post infection). Both TNF- $\alpha$  and IL-6 mRNA levels were significantly higher in Kp56 infected mice compared to uninfected and treated mice ( $p < 0.05$ ) via both IP and oral routes. There was negligible fold increment of TNF- $\alpha$  and IL-6 mRNA level in vehicle control (SM buffer) and phage only control (øKp\_Pokalde\_002). Levels of TNF- $\alpha$  and IL-6 mRNA were normalized to  $\beta$ -actin mRNA levels and were expressed as n-fold ( $2^{-\Delta\Delta C_t}$ ) increase with reference to the control groups. Results are shown as means  $\pm$  SEM from triplicate experiments. The y-axis values represent the fold changes of mRNA relative to the  $\beta$ -actin mRNA in the same sample. The statistical comparison was done by two-way ANOVA. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ .

permeability and intestinal transport. Although the mechanism of controlling viral translocation remains unknown, researchers suggested that the phage passage is determined by various factors, including stomach acidity, phage concentration, and interactions with gut immune cells. Micropinocytosis may be a major endocytic pathway to translocate the phage from the intestinal wall into systemic circulation (Dąbrowska, 2019).

In our experiment, phages were recovered from blood, lungs, liver, and kidneys for up to 24 h and for up to 48 h in the spleen in the absence of host bacteria *via* both IP and oral route. However, there was significant difference in phage distribution, bioavailability, and elimination between IP and oral routes of administration. øKp\_Pokalde\_002 reached its maximum titer in blood at 4 h ( $2.3 \times 10^5$  PFU/ml) when administered through IP route which was relatively higher compared to administration *via* oral route ( $4.04 \times 10^3$  PFU/ml). Similar findings have been reported previously (Keller and Engley, 1958; Cervený et al., 2002; Oliveira et al., 2009; Jun et al., 2014). Additionally, overall relative bioavailability of øKp\_Pokalde\_002 when administered *via* oral route (at 8 h) was lower compared to IP route (at 4 h) in both the absence and/or presence of host bacteria. The reason for reduced bioavailability *via* oral route compared to IP might be due to slow absorption of the phage in the gastrointestinal tract to reach into the systemic circulation. However, it must be noted that because of the low sampling resolution, the  $T_{\max}$  could be higher than 4 h and 8 h in IP and oral administration respectively. As øKp\_Pokalde\_002 was stable within wide pH range (3–11) with minimal decrease in phage titer and did not show significant inactivation at 25°C and 37°C (Dhungana et al., 2021), the phage was well tolerated in mice gut with low acidity, making it a good candidate for oral phage therapy. It therefore appears that the øKp\_Pokalde\_002 is relatively stable in the mouse body when administered *via* the oral route but their availability is comparatively lower and slower. Similar findings have also been reported by Otero et al. (2019) and were able to recover orally administered encapsulated as well as non-encapsulated phages from various organs. Further, the inter mice PD variability [coefficient of variation (%CV)] was more pronounced in oral (7–78%) compared to IP (5–56%) route (Supplementary Table S3). The inter mice variability was profound in groups of Kp56 infection model. In addition to differential absorption of øKp\_Pokalde\_002 between animals and innate immunity, the higher variability between mice in the oral group may be because of the inconsistent neutralization of phages in the gut environment caused by gut acidity (feeding habit of mice). The phage absorption in the gastrointestinal tract is affected by various factors like gut acidity and gut permeability and is thus relatively slow. As such, lower phage particles reach into the blood stream through oral route compared to the IP route, which makes clinical application of phage *via* oral route for systemic infection unfavorable (Wolochow et al., 1966).

Further, the results suggest that liver and spleen are the most common organs of phage accumulation, suggesting phages are cleared by organs of the reticuloendothelial system such as the spleen, liver, and other filtering organs (Merril et al., 1996; Dąbrowska and Abedon, 2019). Similar results of non-homogenous biodistribution and preferential accumulation of

phages in organs like spleen and liver has also been observed in anti-pseudomonal phage in mice (Lin et al., 2020) and rabbit *in vivo* models (Uhr and Weissman, 1965). Further, phages are also reported in urine of human (Hildebrand and Wolochow, 1962) and animal models like rats (Wolochow et al., 1966) and rabbits (Schultz and Neva, 1965) after systemic injection which supports our finding that phage can pass through the renal filter. The role of the kidneys in the clearance of phages has also been observed in fish, where phages were detected in fish kidney a month after phage administration (Russell et al., 1976).

The PK of phages are fundamentally different from those of chemical drugs due to the self-replicative nature of phages in the presence of susceptible bacteria, its absorption rate, and clearance by host's immunity (Dąbrowska, 2019); thus, phage half-life cannot be estimated by conventional approach. Although researchers have demonstrated prolonged phage half-life *in vivo* with encapsulation of phage (Colom et al., 2015; Singla et al., 2016), the half-life of phage in the presence of a host is scarce. Using one phase decay model, our study showed that there was no significant difference in elimination half-life of øKp\_Pokalde\_002 when administered *via* IP and oral routes suggesting phage half-life to be route independent. However, the phage had a shorter elimination half-life in the blood and other organs when Kp56 was present, although phage titer was relatively higher in treatment groups compared to phage only control groups. This clearly suggests that phages can exponentially increase their number *in vivo* infecting and lysing the susceptible host bacteria and is cleared more rapidly by strong immune response developed against host bacteria (nonspecific) and phage itself (anti-phage). This may explain why multiple injections of phage is required for phage therapy, although theoretically phages are self-multiplying. However, a study on Klebsiella phage by Soleimani Sasani and Eftekhari (2020) found half-life in blood (4 h) when phages were administered intraperitoneally [ $100 \mu\text{l}$  of  $10^{10}$  PFU/ml (*Myoviridae*)] and 8 h in lungs, whereas Kumari et al. (2010) reported maximum recovery from blood, peritoneal fluid, lungs, and skin at 6 h post IP injection [ $250 \mu\text{l}$  of  $10^{10}$  PFU/ml (*Podoviridae*)]. Moreover, the half-life of phage seems to be comparable to that of antibiotics in animal models (Chang et al., 1991; Griffith et al., 2003) which ranges from 0.5 h to more than 7 h which makes it a good drug candidate against bacterial infections. However, more research is required in *in vivo* models to understand the half-life of different phages in the presence of susceptible host as this is important in designing the therapeutic dose of phage.

The histology results also revealed that the lung tissue of the øKp\_Pokalde\_002 administrated mice had a similar histological picture with reference to the wild-type and SM buffer only administrated mice group. Similar results of no detrimental histological effects were also observed by Gangwar et al. (2021) in various organs of Charles Foster rats when challenged by high ( $10^{15}$  and  $10^{20}$  PFU/ml) of Klebsiella phage orally. Pro-inflammatory cytokines, TNF- $\alpha$  and IL-6, are useful markers of infection severity (Bozza et al., 2007). Present study revealed that there was negligible upregulation of pro-inflammatory cytokines (TNF- $\alpha$ , and IL-6) with the øKp\_Pokalde\_002 administrated *via* both IP and oral routes. In contrast, there was significant upregulation of the cytokines in the mice infected with the Kp56. Upon infection, pro-

inflammatory cytokines are released by the macrophages to adhere the other inflammatory cells at the infection site (Liu et al., 2016). The expression of the cytokines was dropped after 24 h of the  $\phi$ Kp\_Pokalde\_002 administration in both IP and oral routes signifying removal of Kp56. The result supports the findings of other researchers who have reported significant reduction in cytokines levels in phage-treated mice (Watanabe et al., 2007; Wang et al., 2016). Phage lysates that are prepared from the gram-negative bacteria may contain bacterial endotoxins. Endotoxins are highly immunogenic, which could trigger the inflammatory response. An overexpression of cytokines leads to a septic shock and consecutive death (Cavaillon, 2018). Phage preparation should be necessarily purified to ensure the low level of the endotoxin and other bacterial contamination. However, in our study, we did not measure the level of endotoxin in the phage lysate. Although researchers have highlighted that phage therapy causes lysis of the host bacteria within the body, thus releasing endotoxins/enterotoxins, which may induces higher levels of TNF- $\alpha$  and IL-6 causing septic shock (Hagens et al., 2004), the  $\phi$ Kp\_Pokalde\_002 did not induce a significant inflammatory response in mice indicating a good PD efficiency. However, Chow et al. (2020) also reported that such upregulation of pro-inflammatory cytokines was transient and was diminished over time. Our results suggested that systemic inflammation of the tissues is lower in phage-treated mice as compared to the untreated. The histological findings of the lung tissue also support these findings.

In conclusion, PK/PD of  $\phi$ Kp\_Pokalde\_002 *in vivo* were assessed. Inflammatory response, half-life, and biodistribution of the phage in blood, lungs, liver, kidneys, and spleen of mouse model were determined at different time interval *via* IP and oral routes of phage administration. The  $\phi$ Kp\_Pokalde\_002 distributed more rapidly into the systemic circulation *via* the IP route compared to oral route. Importantly, the  $\phi$ Kp\_Pokalde\_002 did not elicit any notable inflammation in lung tissues. Further, treatment by  $\phi$ Kp\_Pokalde\_002 significantly reduced the inflammations caused by bacterial infection and downregulated the levels of the pro-inflammatory cytokine (TNF- $\alpha$  and IL-6) expression.

To the best of our knowledge, this is the first study that evaluates the PK/PD of a virulent Klebsiella phage that infects carbapenem-resistant clinical isolate of *K. pneumoniae* *via* IP and oral routes of administration. However, more work is necessary to better understand the PK/PD of the phage using different dose regimes and time of the phage exposure in *in vivo* model.

## DATA AVAILABILITY STATEMENT

The original results of the study are included in the article. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

Ethical approval was obtained for the use of animal prior to the study from Nepal Health Research Council (NHRC), Nepal (Ethical approval No.161/2018). The protocol was also approved by the Ethical Review Board, NHRC.

## AUTHOR CONTRIBUTIONS

GD and RM conceived the idea and designed the study. GD and MR performed the experiments. GD, MR, and RN analyzed the data. GD and RN drafted the manuscript. RM supervised the project. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.684704/full#supplementary-material>

**Supplementary Figure 1** | Analysis of  $\phi$ Kp\_Pokalde\_002 genome for prediction of its lifestyle and host. The lytic-lifestyle and Gram-negative host of the phage was confirmed based on its physiochemical characters (Dhungana et al., 2021) and analysis of its amino acid sequences through PHACTS (<https://edwards.sdsu.edu/PHACTS/index.php>).

**Supplementary Figure 2** | Area under the curve (AUC) from all groups of mice. **(A)** Phage pharmacokinetics and AUC after administration of phage *via* IP route in the absence of host Kp56. **(B)** Phage pharmacokinetics and AUC after administration of phage *via* oral route in the absence of host Kp56. **(C)** Phage pharmacokinetics and AUC after administration of phage *via* IP route in the presence of host Kp56. **(D)** Phage pharmacokinetics and AUC after administration of phage *via* oral route in the presence of host Kp56.

**Supplementary Figure 3** | Quantification of bacterial burden in lungs and blood of mice from different group. Bacterial load was significantly decreased in phage treated group compared to group that only received SM buffer as treatment control. The bacterial burden in lungs **(A)** was similar to the bacterial burden in blood of phage treated group. Although the burden of bacterial significantly decreased, it appears that oral treatment is relatively less effective compared to IP and IP-one hour delay. The color-dotted line indicates the non-linear exponential growth fit (log population).

**Supplementary Table 1** | Primers used in the study.

**Supplementary Table 2** | P-values at 4 h (IP administration) and 8 h (oral administration) of  $\phi$ Kp\_Pokalde\_002 in the absence of host bacteria Kp56.



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# Prospects of Inhaled Phage Therapy for Combatting Pulmonary Infections

Xiang Wang, Zuozhou Xie, Jinhong Zhao, Zhenghua Zhu, Chen Yang and Yi Liu\*

Department of Pulmonary and Critical Care Medicine, The Second People's Hospital of Kunming, Kunming, China

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### \*Correspondence:

Yi Liu  
icu\_huxike@163.com

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With respiratory infections accounting for significant morbidity and mortality, the issue of antibiotic resistance has added to the gravity of the situation. Treatment of pulmonary infections (bacterial pneumonia, cystic fibrosis-associated bacterial infections, tuberculosis) is more challenging with the involvement of multi-drug resistant bacterial strains, which act as etiological agents. Furthermore, with the dearth of new antibiotics available and old antibiotics losing efficacy, it is prudent to switch to non-antibiotic approaches to fight this battle. Phage therapy represents one such approach that has proven effective against a range of bacterial pathogens including drug resistant strains. Inhaled phage therapy encompasses the use of stable phage preparations given *via* aerosol delivery. This therapy can be used as an adjunct treatment option in both prophylactic and therapeutic modes. In the present review, we first highlight the role and action of phages against pulmonary pathogens, followed by delineating the different methods of delivery of inhaled phage therapy with evidence of success. The review aims to focus on recent advances and developments in improving the final success and outcome of pulmonary phage therapy. It details the use of electrospray for targeted delivery, advances in nebulization techniques, individualized controlled inhalation with software control, and liposome-encapsulated nebulized phages to take pulmonary phage delivery to the next level. The review expands knowledge on the pulmonary delivery of phages and the advances that have been made for improved outcomes in the treatment of respiratory infections.

**Keywords:** inhaled phage therapy, nebulizer, pulmonary infection, antimicrobial resistance, multi-drug resistance

## 1 INTRODUCTION

Respiratory tract infections (RTIs) represent a leading cause of suffering and death worldwide. Respiratory diseases are the third major cause of mortality and sickness globally and account for more than 10% of all disability-adjusted life-years (DALYs) (GBD, 2016; Bodier-Montagutelli et al., 2017; WHO-Global Respiratory Burden, 2017). According to the World Lung Foundation's Acute Respiratory Infections Atlas, acute RTIs cause more than four million deaths each year. A range of pulmonary infections develop into life-threatening and difficult to treat conditions with many leading to chronic conditions. Pneumonia is one such complication, which accounts for the highest number of juvenile deaths. As per data, a total of 9 million children under 5 years die annually, with pneumonia the leading killer among patients (WHO Pneumonia factsheet, 2019). Moreover,

nosocomial cases of ventilator-associated pneumonia (VAP) refractory to traditional antibiotics are on the rise. This intensive care unit (ICU) acquired infection has a high incidence rate, ranging from 5%-40% in patients with mortality as high as 50% (Forel et al., 2012; Papazian et al., 2020).

Deaths due to tuberculosis (TB) are another similar eye-opener. Annually, a total of 1.4 million people die from TB in 2019, making it the leading cause of death from a single infectious agent, ranking above HIV/AIDS as declared by WHO (Global tuberculosis report WHO, 2018). Although TB is fully curable, the treatment is complicated due to the development of multi-drug resistance TB (MDR-TB) (Seung et al., 2015). The condition is still worse in the developing and third world nations and more than two-thirds of the active cases of TB reported globally come from these countries (WHO, Tuberculosis Factsheet, 2021).

Many other pulmonary conditions such as chronic obstructive pulmonary disease (COPD) and cystic fibrosis (CF) are easily associated and complicated with opportunistic bacterial pathogens (e.g. *Pseudomonas aeruginosa* and *Burkholderia cepacia* complex-BCC) making the treatment and management a challenge adding significant financial burden, with poor clinical success achieved. It has been reported that the total healthcare cost for treating CF patients ranges to as high as 50,000 USD per patient per year and this is largely due to repeated hospital stays and treatment costs to manage the bacterial infections associated with such conditions (Sansgiry et al., 2012; Angelis et al., 2015; Trend et al., 2017).

This scenario is made worse by the decline in the effectiveness of current antibiotic therapies due to the rapid spread of resistant bacterial infections, which do not respond to traditional treatment protocols. The spread of antimicrobial resistance (AMR) is a global emergency and is very much prevalent and emerging in the pulmonary setting. Nosocomial outbreaks caused by resistant bacterial strains have been increasingly reported worldwide, creating significant therapeutic challenges for the treatment of lung infections (Rice, 2010; Santajit and Indrawattana, 2016). Moreover, there exists a dearth of new alternatives and with the present attrition rate in new antibiotic molecule developments by pharma companies, the situation is not encouraging, posing a serious threat and indicating that we are entering a post-antibiotic era (Nelson, 2003; Gupta and Nayak, 2014). This emphasizes the urgent necessity to promote and explore alternative approaches other than antibiotics that can be used either singly or better as an adjunct therapy for improved clinical outcomes and reduced mortality rates.

Among the approaches that are worth exploring is 'Phage Therapy'. Phage therapy or the use of phages against bacterial pathogens is not a new concept. There has been a renewed interest in this field in recent years due to the rising resistance menace. Phage therapy represents a safe yet potent antibacterial strategy, with numerous reviews and data supporting and strongly advocating its further use (Carlton, 1999; Weber-Dabrowska et al., 2000; Sulakvelidze et al., 2001; Capparelli et al., 2007; Chanishvili, 2012). However, major roadblocks and challenges in this field need to be investigated.

The present review discusses the prospects of pulmonary phage therapy and its efficacy in treating various RTIs considering antimicrobial resistance. It later details the major challenges and new advances made in delivering phages to the infection site for improved outcome and availability, with major recent studies supporting these developments. This review aims to give a complete insight into the latest developments in inhaled phage therapy and how we can address the gaps and further improve it, which is essential for the clinical success of this new era of treatment.

## 2 INHALED PHAGE THERAPY: A NEW ERA OF THERAPEUTICS

Phage therapy represents the use of obligatory lytic phages to kill specific host bacteria. The issue of growing multidrug resistance represents a potent and safe alternative. Phage therapy has shown both preclinical and clinical efficacy against a range of bacterial pathogens and data suggests that it also works well against pulmonary pathogens (Soothill, 1992; Biswas et al., 2002; Mathur et al., 2003; Wang et al., 2006; Watanabe et al., 2007; Chang et al., 2018; Chhibber et al., 2008). Inhaled phage therapy has long been used and is still in use in Eastern European countries i.e. Georgia, Russia, and Poland. Studies focused on inhaled phage therapy in humans executed in these countries have been reviewed and compiled by Abedon (2015). There have been studies dating as early as 1936 wherein phages have been mostly delivered through inhalation route against a range of pulmonary pathogens such as *E. coli*, *Klebsiella*, Streptococci, Staphylococci, *Pseudomonas*, and the results of many studies have shown efficacy as high as 80-100% although some studies resulted in treatment failure owing to a lack of a better understanding of phage specificity, quality control, and stability issues (Hoe et al., 2013; Abedon, 2015; Chang et al., 2018). Modern phage therapy has come a long way and improved inhalation and aerosolization techniques have also helped to fill these gaps in knowledge about pulmonary phage therapy.

### 2.1 Major Pulmonary Pathogens: An Overview

VAP is a major nosocomial infection in which the causative agents can form biofilms on the surface of endotracheal tubes. These biofilms are mostly polymicrobial, with multiple organisms isolated (Hall-Stoodley and Stoodley, 2009; Rodrigues et al., 2017). This may not just include members of the oral flora (e.g., *Streptococcus* and *Prevotella* species), but also ESKAPE organisms (*Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *P. aeruginosa*, *Enterobacter* spp) (Penes et al., 2017; Vadivoo and Usha, 2018). A hallmark of biofilms is the inherent resistance to killing and recalcitrance to antimicrobials and immune attack, outer environmental stress, enabling them to survive well within the body, leading to difficulty to treat chronic and relapsing infections (Romling and Balsalobre, 2012; Sharma et al., 2019). Biofilm cells

can survive 100 to 1,000 higher concentrations of antimicrobials and biocides than planktonic cells (Gilbert et al., 2002; Høiby et al., 2010). Methicillin-resistant *S. aureus* (MRSA) is the second most frequently isolated pathogen from patients who die from HAP and is commonly associated with many cases of ICU acquired VAP (Rubinstein et al., 2008; Jean et al., 2020). It secretes a range of virulence factors, toxins, and biofilm-promoting adhesins that favor its colonization on medical devices and catheter tubings. Similarly, a major chronic lung disease i.e Cystic Fibrosis (CF) has gained much attention as its management and outcome are always complicated by persistent bacterial infections of the airways and destructive lung inflammation (Gibson et al., 2003; Pragman et al., 2016). With *P. aeruginosa* as the major pathogen isolated in most CF patients, this bacterium poses the greatest challenge, with treatment failures owing to its potent biofilm-forming ability and recalcitrant nature (Burns et al., 2001; Folkesson et al., 2012; Malhotra et al., 2019). Biofilm-like aggregates are commonly seen within the sputum in CF airways and such biofilms do not respond to courses of conventional antibiotics. Moreover, *P. aeruginosa* exhibits significant changes in gene expression, with up-regulation of exopolysaccharide production, excessive alginate production, and activated secretion of various quorum sensing molecules, multiple mechanisms of antibiotic resistance e.g overexpression of efflux pumps and beta-lactamases which further aid in the formation and survival of bacteria within the biofilm shelters (Lister et al., 2009; Harmsen et al., 2010; Poole, 2011). Another major pulmonary pathogen is the *B. cepacia* complex (Bcc) which consists of 18 closely related species that have been known to persist in the airways of people with CF although they are less common colonizers than *P. aeruginosa* (Lipuma, 2005; Drevinek and Mahenthiralingam, 2010; Kenna et al., 2017). These Bcc bacteria are associated with worst prognosis, high rates of morbidity and mortality amongst sufferers (Jones et al., 2004; Sousa et al., 2011; Hassan et al., 2019), owing to bacteria-induced acute-onset lung deterioration with associated septic bacteremia, termed ‘cepacia syndrome’. Apart from this, most members of this complex exhibit multidrug resistance and can form biofilms while evading immune attack (Tomlin et al., 2001; Mahenthiralingam et al., 2005; Van Acker et al., 2013).

Besides bacteria, one of the major pulmonary pathogens accounting for a large number of deaths annually showing a high degree of multidrug resistance is *Mycobacterium tuberculosis* (Mtb). The success of this intracellular pathogen is due to the ability of Mtb to remain hidden from the immune system, associated with relapse or frequent recurrence of active TB, which is often seen in patients despite anti-TB treatment (McCune et al., 1966; Jasmer et al., 2004; Ackart et al., 2014). Whether Mtb forms biofilms during infection remains unknown but notably, Mtb has a natural tendency to adhere to surfaces and forms cords in the culture medium and this cording behavior is associated with virulence and pathogenicity of Mtb (Esteban and García-Coca, 2018; Chakraborty et al., 2021). There have been recent studies reporting the formation of biofilm-like aggregates by this bacteria and the role of glycolipids, shorter-chain free mycolic acids, GroE-1 chaperone in the formation of these

biofilms that play a detrimental role in causing caseous necrosis and cavity formation in lung tissue and treatment failures (Ojha et al., 2008; Sambhadan et al., 2013; Trivedi et al., 2016; Esteban and Garcia-Coca, 2018).

## 2.2 Phage Against Pulmonary Pathogens: Attack at Multiple Fronts

With this scenario, an ideal agent attacking the respiratory pathogens needs to exhibit remarkable anti-biofilm ability and phages completely fit into this class. Phage therapy works on multiple fronts to combat the course of pulmonary bacterial infections. Lytic phages work as killing machines. Lytic phages first bind to their target bacterium through specific receptors, injecting the genetic material and later taking over the host machinery for progeny phage production. The phage progeny are released from the host *via* cell lysis and the cycle restarts for many such rounds, leading to secondary infection. This property of self-replication or auto-doing enables phage titer build-up, which is essential for containment of the bacterial population.

Besides this conventional mode of killing, phages also exhibit anti-biofilm activity (Azeredo and Sutherland, 2008; Sillankorva and Azeredo, 2014; Morris et al., 2019). This is particularly important as biofilms play an important role in many pulmonary infections (Pintucci et al., 2010; Boisvert et al., 2016). They work on two fronts i.e they are capable of both preventing the onset and initiation of biofilm formation as well as disruption of fully formed biofilms, which they do in multiple manners. The successful eradication of an established biofilm requires the chemical or drug to have the ability to penetrate the EPS matrix and then kill the biofilm-embedded cells. Phages possess both these properties (Fu et al., 2010; Harper et al., 2014). Phages are naturally equipped with virion-associated depolymerases and endolysins, phage-borne enzymes released at the later stages of the phage replication cycle, which degrade bacterial peptidoglycan and help in bacterial cell lysis and phage progeny release. These phage enzymes play an important role in dissolving the tough outer biofilm matrix (Roach and Donovan, 2015; Maciejewska et al., 2018). This allows the phages and progeny population to penetrate the deeper areas within the biofilm and kill the host bacterium through their classical killing mechanism. Although phage diffusion may be slow in such layers, phages still retain their ability to bind and lysis the metabolically dormant or the slow-growing persister cells (unlike antibiotics) through receptor-mediated binding and killing. Apart from this, the phage-encoded de-polymerase enzyme degrades the EPS matrix, which not only facilitates the entry of phages but also makes way for the antibiotic molecule to gain entry into the biofilm structure and reach bacterial cells, thus leading to augmentation through the clearance and treatment outcome in a combination or co-therapy approach (Bedi et al., 2009; Ryan et al., 2012; Akturk et al., 2019). This phage-antibiotic synergy has been reported in past studies wherein phage enables the augmentation of antibiotics, making them ideal for use in combination mode with different antibiotics. This approach also decreases the development of resistant mutants (Bedi et al., 2009; Ryan et al., 2012; Tagliaferri et al., 2019).



Another major mechanism through which phages help to ameliorate the course of pulmonary infection is their ability to modulate the immune system towards a more subtle state, discouraging tissue damage. Studies report that phages administered for therapeutic purposes are able to regulate and reduce the heightened levels of inflammation. Phages have been shown to down-regulate the TLR expression which is the key molecule that leads to activation of NF- $\kappa$ B, leading to cytokine production, cell infiltration, phagocytosis. Many studies indicate a decrease in pro-inflammatory cytokine levels post-treatment (TNF- $\alpha$ , IL-1, IL-8, MIP-1) (Górski et al., 2012; Pabary et al., 2015; Kaur et al., 2016; Zhang L. et al., 2018; Cafora et al., 2020). Phages lead to inhibition of excessive reactive oxygen free radical production and also induce the production of anti-inflammatory cytokines, maintaining homeostasis while limiting cell and tissue injury (Przerwa et al., 2006; Borysowski et al., 2010; Van Belleghem et al., 2017). Phage ISP specific for *S. aureus* phage showed induction of anti-inflammatory IL-1 receptor antagonist (IL-1RA) synthesis by human monocytes, thus leading to the repression of pro-inflammatory cytokines (Van Belleghem et al., 2017). Two-way cooperation exists between phages and the immune system called “Immunophage Synergy”, whereby both complement each other towards faster resolution of infections and minimal tissue damage, as seen in neutrophil-phage cooperation, reported by Roach et al. (2017) against *P. aeruginosa*. In a similar study, Cafora et al. (2020) showed the anti-inflammatory role of the phage cocktail in terms of reduction of pro-inflammatory markers in *P. aeruginosa* infection using the zebrafish model. Phage cocktail injections significantly reduced neutrophil migration and heightened pro-inflammatory cytokine levels highlighting the molecular interaction between phages and the cells of the vertebrate immune system in CF disease and the anti-inflammatory role of phages. Similar animal studies have shown the anti-inflammatory ability of phages in downregulating the exaggerated immune response by decreasing the levels of pro-inflammatory cytokines during the infection process against a range of pulmonary pathogens (Chhibber et al., 2008; Kumari et al., 2019). The immune-modulating ability of phages is a unique field and requires further investigation. Another important point worth mentioning is the fact that phages may themselves also evoke an immune response and thus phage preparation needs to ensure high purity (Boratyński et al., 2004; Liu et al., 2021) as it becomes an extremely important parameter in determining whether phage therapy will reduce or promote inflammation and antibody response.

Free phages have limited ability to enter the human eukaryotic cells and reach intracellular pathogens (Sulakvelidze et al., 2001; Drulis-Kawa et al., 2012; Doss et al., 2017). Despite this, a few studies indicate that phages can penetrate eukaryotic cells and attack the intracellular populations of pathogens by adopting a different strategy to invade the eukaryotic cells. Kaur et al. (2014) evaluated the intracellular killing potential of macrophages in the presence of free phage MR-5 as well as phage adsorbed onto host MRSA strain. Results depicted that free phage did not influence intracellular killing of engulfed

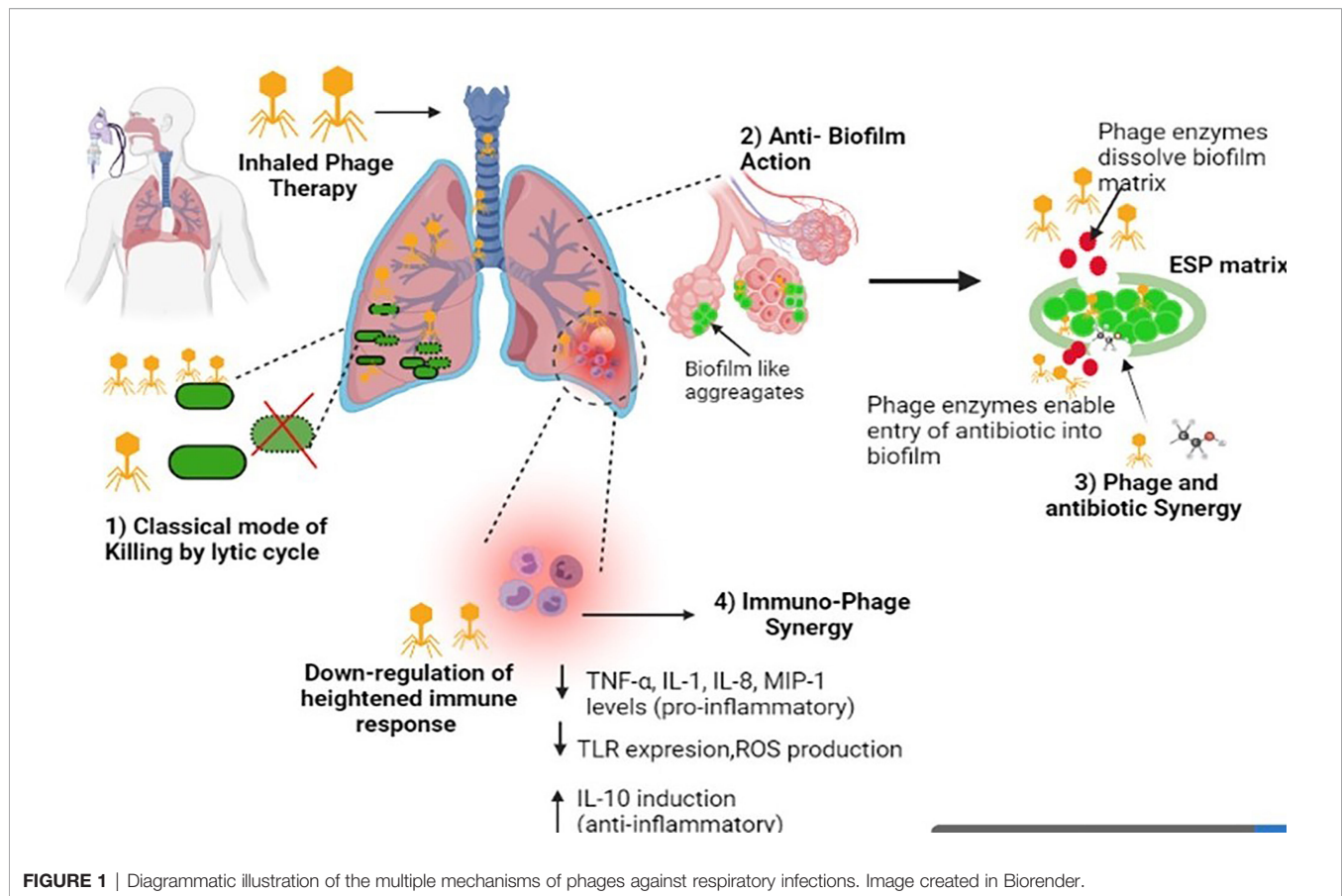
*S. aureus* by macrophages while phage adsorbed onto its host bacterial cells showed a time-dependent and titer-dependent significant reduction in the number of viable intracellular cocci. This means that phages utilized host bacteria as a vehicle to shuttle inside the macrophage and kill the intracellular cocci. In another study, the use of a novel delivery Trojan Horse approach i.e. *Mycobacterium smegmatis* as a carrier for delivering phages to the intracellular bacteria (Broxmeyer et al., 2002) was reported. Another strategy to deliver phages intracellularly is *via* the use of liposomes, which is detailed in later sections of this review. The multiple fronts at which phages attack respiratory bacterial pathogens and thus ameliorating the disease outcome are compiled in **Figure 1**.

## 2.3 Pulmonary Phage Delivery

Having studied the multiple mechanisms of phage therapy, we now focus on the different methods that have been adopted in the delivery of phages to pulmonary sites along with proof of data against the clinically relevant pathogens causing RTIs. In later sections, we focus on the challenges and advances made in this direction.

### 2.3.1 Nebulizer Based Inhalation

Nebulization is the process of creating or converting liquid into a fine mist of active ingredient solution through the special nozzle. It is the first choice for delivering drugs through the pulmonary route, owing to its high efficiency and ability to deliver high volumes of the agent due to liquid-based preparations (Ali, 2010; Haddrell et al., 2014; Martin and Finlay, 2015). To deliver a drug by nebulization, the drug must first be dispersed in a liquid (usually aqueous) medium. After the application of a dispersing force (either a jet of gas or ultrasonic waves), the drug particles are contained within the aerosol droplets, which are then inhaled (Javadzadeh and Yaqoubi, 2017). There are many types of nebulization-based methods that use different mechanisms to produce aerosols. In jet nebulization, which worked on Venturi Principle, compressed air is passed through a narrow orifice with a force that leads to a pressure gradient, and this enables to draw/suck the drug suspension up into the feed tube. The liquid gets atomized into micron size droplets *via* viscosity-induced instability whereas the larger droplets are trapped and filtered by the baffle (Finlay, 2001; Hickey, 2004). The smaller droplets leave the nebulizer to reach the target sites, but the bigger droplets are returned to the reservoir for re-nebulization. In vibrating mesh nebulization, the generation of droplets occurs either by a piezoelectric crystal that vibrates at high frequency and then these vibrations are transferred to a transducer that pushes the liquid suspension upward and downward through a mesh plate to extrude the liquid and generate aerosol droplets or in active arrangement, the crystal directly vibrates the mesh plate (Vecellio, 2006; Ali, 2010). Ultrasonic nebulizers also work on the principle of converse piezoelectric effect, whereby the piezoelectric crystal vibrates high frequency acoustic energy waves (no mesh plate is required here), which leads to aerosol creation (Flament et al., 2001; Rau, 2002). The vibrations are transmitted to the drug solution *via* a buffer medium. Droplets



are formed either by the breakup of surface capillaries or by the collapse of cavitation bubbles within the liquid. Ultrasonic nebulizers produce a more uniform particle size than jet nebulizers but are less widely used due to high pricing (Flament et al., 2001; Rau, 2002; Javadzadeh and Yaquobi, 2017). To date, many drugs have been nebulized and given through this technique to reach the lungs and show their desired action. Phage-based nebulization has been reported by past studies using different types of nebulizers. However, one major disadvantage is that each of the nebulization processes is associated with the mechanical stress produced during the formation of high-frequency vibrations, passing of compressed air, heat stress during the generation of high-frequency acoustic energy, re-nebulization process, shear stress during impaction. These physical stresses can have potentially damaging effects on the phage structure leading to a reduction in phage viability and infectivity. A study by Turgeon et al. (2014) reported that aerosolization produced by nebulizers led to reduced infectivity of five tail-less phages while 1000-fold more genome copies were found in the nebulized product as compared with low PFU values suggesting that nebulizers damaged the structural integrity of phages required for infection. Air jet nebulizers and, to a lesser extent, vibrating mesh nebulizers, cause tail detachment leading to broken phages that are incapable of initiating the phage infection process. Another change in phage morphology is the production of phages with empty capsids and

mesh-type nebulizers, which produce a higher fraction of such phages (Astudillo et al., 2018). The stress of liquid breakup, large hydrodynamic stress with high-speed nozzle, and impaction on primary and secondary baffles contribute to the damaging effect on phage structure leading to loss of tails and nucleic acid ejection (Carrigy et al., 2017; Leung et al., 2019). Hence, the selection of the nebulization mechanism that best suits a particular phage may vary, and thus detailed post-nebulization studies on phage titer and viability need to be carried out for each nebulization technique.

In a study, the effect of three types of nebulizers i.e air-jet, vibrating-mesh, and static-mesh nebulizers, on the structural stability of a *Myoviridae* phage, PEV44, active against *P. aeruginosa* was visualized by TEM. Results indicated that the fraction of “broken” phages (capsid separated from the tail) was significantly increased after the process of nebulization and maximum was obtained with the air-jet nebulizer (83%) while with mesh- nebulizers it was 50 - 60% (Astudillo et al., 2018). In a study by Leung et al. (2019), the team examined the susceptibility of phage from different morphological classes against the same type of jet-nebulizer with transmission electron microscopy (TEM). Results showed that phage degradation was closely associated with phage tail length. Phage PEV2, a podovirus characterized by a short, stubby, non-contractile tail showed negligible effect with a similar fraction of intact and viable phages (with filled capsids) post-nebulization in parent stock solution.

However, in the case of PEV40 (a myovirus characterized by a long, straight, contractile tail) and D29 (a siphovirus characterized by a long, flexible, non-contractile tail), the fraction of intact structures seen after nebulization were considerably reduced from 50% to ~27% for PEV40 and from 15% to ~2% for D29 respectively. This suggested that the long-tailed phages were highly susceptible to the stress of the jet nebulization process compared to phages with stubby short tails and for these long tail phages, the tail detachment was the most common type of damage. This damaging effect can be minimized by the presence of organic fluid in the nebulization buffer, which exhibits a protecting effect on phage morphology in the case of some phages (e.g. phages PR772 and  $\Phi 6$ ) throughout the aerosolization, as observed by Turgeon et al. (2014).

In another *in vitro* study, Golshahi et al. (2008) investigated the suitability of respiratory phage administration by nebulization method against *B. cepacia* complex. Phage KS4-M at titers with mean value (standard deviation) of  $2.15 \times 10^8$  ( $1.63 \times 10^8$ ) PFU/ml was aerosolized with Pari LC star and eFlow nebulizers and the breathing pattern of an adult was simulated using a pulmonary waveform generator. The size distributions of the nebulized aerosol and the overall efficiency of nebulizer delivery were measured. The aerosol was collected on low resistance filters (at the exit of the nebulizer mouthpiece) and the phage counts were determined termed as the “phage inhaled count.” The data was then used in a mathematical lung deposition model to predict the regional deposition of phages in the lung. Results based on a mathematical model suggested that LCstar and eFlow both appear suitable for BCC phage therapy with good inhaled and deposition titers. Phages (post nebulization) showed high phage inhaled counts i.e.  $1.06 \times 10^8$  and  $1.15 \times 10^8$  PFU for the LC star and eFlow, respectively, more than that effective dose required in mice ( $10^7$  PFU). The alveolar deposition predicted was also high i.e.  $3.02 \times 10^7$  PFU (LCstar) and  $2.96 \times 10^7$  PFU (eFlow). Thus, respiratory phage delivery *via* nebulization has potential in resolving BCC infection in cystic fibrosis patients, and further *in vivo* work in this direction is warranted.

Other factors such as relative humidity and temperature may also effect the final selection of the most suitable method of nebulization. Liu et al. (2012) showed that relative humidity has a strong effect on the cultivability of the mycobacteriophage D29 and it was best seen in a low-humidity condition (25%) than medium to high (55–85%). They also assessed the aerosolization (by collision jet nebulizer) process in the presence of three different spray liquids—deionized water, phosphate buffered saline (PBS), and normal saline. The results indicated that significantly more D29 aerosol particles were generated when the spray fluid was deionized water than PBS or normal saline. Phage particles generated by deionized water retained better viability (30–300 fold more) than those prepared in PBS and normal saline, hinting toward the fact that both high salt concentration and high ionic strength have negative effects on the bioaerosol generation process. The aerosols generated with deionized water had a median mass aerodynamic diameter (MMAD) of  $2.4 \mu\text{m}$ , which is well within the range ( $1\text{--}3 \mu\text{m}$ ) required for reaching and depositing within deeper lung pockets (Thomas, 2013).

The above studies indicate that for morphologically stable phages (that can tolerate the shear stress produced), both jet and vibrating mesh nebulization represent ideal choices for aerosolized delivery, achieving high rates of deep lung deposition that are essential for the effective resolution of respiratory infection. However, this may not be the case for all phages, and multiple factors such as phage-type, its sensitivity, temperature and humidity conditions, method of nebulization all impact the outcome. These need to be optimized for the best phage-inhalation technique combination and to get the least phage titer loss and highest lung delivery. Some major efficacy studies using different nebulization methods are outlined in **Table 1**.

### 2.3.2 Dry Powder Inhalation

Although nebulization is the preferred method for phage delivery, other areas of delivery *via* inhalation include solid phage formulations or dry powder inhalation-based methods. Since phage essentially consists of coat proteins, the protein-based formulations are better suited, as proteins tend to show higher stability in a dry state than in solution form (Cicerone et al., 2015) and hence dry powder formulation show enhanced shelf-life. This accounts for the main advantage favoring this method, which stems from the high degree of phage stability seen during the transport and storage period of such formulations, which is always preferred and required (Chang et al., 2018). Unlike the mechanical stresses associated with nebulizers (ultrasonic, vibrating mesh, or jet type), which may have a detrimental effect on phage morphology, physical stresses are not encountered during the preparation of dry powder aerosols. DPIs are breath-actuated, and the patient's inhalation helps to disaggregate the powder into smaller particles (Geller, 2005). Apart from this, the ease of handling, fast delivery time, no need for electricity for operation, and no regular disinfection make it worth exploring as an ideal delivery platform (Zhou et al., 2014; Respaud et al., 2015).

There are primarily three main ways of producing phage-based dry powders for use which include a) spray drying (SD) b) freeze-drying (FD) and c) spray freeze drying (SFD). Briefly, SD is a single-step method for producing dry powders from liquid suspensions using a gaseous hot drying medium. This occurs in a phased manner whereby the liquid solution upon entering the atomizer gets broken into a spray of fine droplets followed by the droplets being ejected into the drying gas medium, allowing moisture vaporization to form dry particles and final particle collections (Mujumdar, 2007; Vehring, 2008). However, in the SD method, drying occurs when a continuous liquid film is converted into droplets followed by exposure to a hot, dry airflow. The increase in heat exchange area with a high-temperature difference enables to speed up the drying process (Moreira et al., 2021). However, the heat exchange process can have a direct damaging effect on thermosensitive phages affecting their viability. FD addresses this issue of preserving heat-labile components, as it involves a low-temperature dehydration method whereby the solvent (mostly water) is first frozen into ice and later removed by sublimation (direct transition from solid to vapor state) obtained under low pressures in a vacuum chamber (Schwegman et al., 2005; Liu, 2006). The long drying times, drying cycles, and the high vacuum used

**TABLE 1 |** Treatment outcomes of recent *in vivo* efficacy studies and clinical case studies in which different inhalation delivery methods were used in animal models.

Delivered as:	Bacteria	Phage involved	Study highlights	Main Findings	Reference
Liquid Aerosol (LC-star jet nebulizer)	<i>B. cenocepacia</i> K56-2 and C6433	<ul style="list-style-type: none"> <li>Phages KS4-M, KS5, and KS12 against <i>B. cenocepacia</i> K56-2</li> <li>Phages DC1 and KS14 against <i>B. cenocepacia</i> C6433</li> </ul>	<ul style="list-style-type: none"> <li>Experimental <i>B. cenocepacia</i> (BCC) respiratory infections were established in mice and post-infection, animals received treatment with one of five bacteriophages specific to this bacterial species, administered as an aerosol or intraperitoneal injection.</li> <li>Bacterial and bacteriophage titers were determined in the animals' lungs after 2 days</li> </ul>	<ul style="list-style-type: none"> <li>BCC-infected mice treated with aerosolized phage treatments showed a significant decline in bacterial load in affected lung tissue</li> <li>Phage KS12 given at an MOI of 131 produced a 2.5-log mean reduction in <i>B. cenocepacia</i> K56-2 counts two days post-infection.</li> <li>Phage KS5 given at MOI of 32 produced a 3-log mean reduction in <i>B. cenocepacia</i> K56-2 in the lungs one day post-treatment and further high reduction of 4-log were observed 3 days post-treatment.</li> <li>Nebulization is a more effective way in delivering phage particles to the lung than other methods.</li> </ul>	Semler et al., 2014
Liquid Aerosol (Penn-century aerosolizer, Collison 6-jet, and Spinning top aerosol nebulizers)	<i>M. tuberculosis</i>	D29 mycobacteriophage	<ul style="list-style-type: none"> <li>Deposition and distribution of aerosolized phage D29 particles in naive BALB/C mice were studied.</li> <li>Phage D29 aerosols were given to animals by endotracheal route using Penn-century aerosolizer; Collison 6-jet and spinning top aerosol nebulizers (STAG) and also compared with nose only route.</li> <li>Post-exposure, the deposited amounts of phage D29 particles in respiratory tracts and deposition efficiencies were calculated.</li> </ul>	<ul style="list-style-type: none"> <li>10% of D29 phage could reach the lung of mice after nebulization and complete phage elimination was noted in 72 h, whereas only 0.1% of the phage could reach the lung by IP injection and no phage was detected after 12 h. Also, no inflammation was observed in the lungs of mice receiving phage aerosols as per the BALF analysis</li> <li>Aerosol delivery of phage D29 is an effective way of treating pulmonary infections caused by <i>M. tuberculosis</i>.</li> </ul>	Liu et al., 2016
Powder Aerosol (DPI)	<i>P. aeruginosa</i>	Phage PEV20	<ul style="list-style-type: none"> <li>Phage PEV20 spray dried inhalable powder with lactose and leucine produced.</li> <li>Multidrug-resistant (MDR) strain <i>P. aeruginosa</i> FADDI-PA001 was established in a mouse lung infection model.</li> <li>At 2 h after the bacterial challenge, mice were treated with 2 mg of phage dry powder using a dry-powder insufflator.</li> </ul>	<ul style="list-style-type: none"> <li>Bacterial load got reduced by ~0.5 log in mice received phage <i>via</i> ip route while 2-log bacterial reduction was observed in the group treated with inhaled phage.</li> <li>Nebulization is a more effective way in delivering phage particles to the lung than intranasal instillation</li> </ul>	Chang et al., 2018
Liquid Aerosol (Vibrating mesh nebulizer)	<i>M. tuberculosis</i>	D29 phage	<ul style="list-style-type: none"> <li>Prophylactic pulmonary delivery of active aerosolized phage D29 was studied in female C57BL/6 mice.</li> <li>An average phage conc. of 1 PFU/alveolus was delivered <i>via</i> nose-only inhalation device using a dose simulation technique and then adapted for use with vibrating mesh nebulizer.</li> <li>Post 30 min, mice were given either a low dose (~50-100 CFU) or an ultra-low dose (~5-10 CFU), of bacteria aerosols.</li> <li>Bacterial burden of <i>Mtb</i> was evaluated 24 hours and 21 days post-challenge for the low dose model and at 24 hours for the ultra-low dose model.</li> </ul>	<ul style="list-style-type: none"> <li>A prophylactic effect was observed with phage aerosol pre-treatment significantly decreasing <i>M. tuberculosis</i> burden in mouse lungs 24 hours and 3 weeks post-challenge.</li> <li>This represents a valuable prophylactic approach for the healthcare professional and staff that are at high risk of exposure to <i>M. tuberculosis</i>.</li> </ul>	Carrigy et al., 2019a; Carrigy et al., 2019b
Spray dried Powder Aerosol (DPI)	<i>P. aeruginosa</i>	Phage PEV20 and ciprofloxacin	<ul style="list-style-type: none"> <li>Inhalable powder of <i>Pseudomonas</i> phage PEV20 with ciprofloxacin by co-spray drying was developed.</li> <li>Mouse model of neutropenic mouse model of acute lung infection was established.</li> <li>Post-infection, different mice groups were given spray-dried single PEV20</li> </ul>	<ul style="list-style-type: none"> <li>Significant reduction in lung bacterial load (as high as by 5.9 log<sub>10</sub>) was obtained with PEV20 and ciprofloxacin combination powder along with reduced inflammation in the lung unlike when either phage or ciprofloxacin were given singly.</li> </ul>	Lin et al., 2021

(Continued)



TABLE 1 | Continued

Delivered as:	Bacteria	Phage involved	Study highlights	Main Findings	Reference
pMDI	<i>P. aeruginosa</i>	FKZ/D3 and KS4-M phages	<p>(10<sup>6</sup> PFU/mg), single ciprofloxacin (0.33 mg/mg), or combined PEV20-ciprofloxacin treatment using a dry powder insufflator.</p> <ul style="list-style-type: none"> <li>Aqueous FKZ/D3 and KS4-M phage solutions were formulated in a reverse emulsion with Tyloxapol surfactant and filled into hydrofluoroalkane 134a pMDI canisters (50-<math>\mu</math>l metering valve). The canisters were shaken well, and five actuations were collected.</li> <li>The phage titer loss post-actuation was measured.</li> <li>Storage stability was not tested.</li> </ul>	<ul style="list-style-type: none"> <li>Phage titer loss was less than one log PFU thus maintaining good viability of both the phages.</li> <li>Phage delivery from a pMDI showed an acceptable titer loss for the two myoviridae phages post actuation.</li> </ul>	Hoe et al., 2014
Liquid Aerosol (Modified Vibrating mesh nebulizer)	Methicillin-resistant <i>S. aureus</i> (MRSA) clinical isolate AW7	Phage cocktail of four phages (2003, 2002, 3A, and phage K)	<ul style="list-style-type: none"> <li>Male Wistar rats were divided into different groups and ventilated for four hours and after ventilation, rats were inoculated via the endotracheal tube with MRSA then extubated.</li> <li>Different animal groups received: aerophages; intravenous (IV) phages; a combination of IV and aerophages; a combination of IV linezolid and aerophages.</li> <li>Aerophages were delivered using a modified vibrating mesh aerosol drug delivery system (1.5 <math>\times</math> 10<sup>10</sup> PFU)</li> <li>The primary outcome was survival at 96 hours.</li> </ul>	<ul style="list-style-type: none"> <li>The inhaled phage cocktails given with IV, and delivered phages given alone could each rescue 50% of test animals from death due to MRSA pneumonia.</li> <li>In combination mode of aerophages and IV phages, 91% of animals were saved from death.</li> <li>But when aerophages were given along with linezolid no synergistic effect was seen and there was a 55% survival.</li> <li>Aerosolized phage therapy showed potential for the treatment of MRSA pneumonia.</li> </ul>	Prazak et al., 2020
<b>Case studies of Pulmonary Phage therapy in humans</b>					
Liquid Aerosol (Collision-jet nebulizer)	MDR- <i>Achromobacter xylosoxidans</i>	Cocktail of two <i>Achromobacter</i> phages (Siphoviridae) prepared at Eliava Institute, Tbilisi)	<ul style="list-style-type: none"> <li>A case of 17 year old female with cystic fibrosis and chronic infection with <i>A. xylosoxidans</i> (starting at age of 12) not responding to many rounds of antibiotics.</li> <li>Phage was administered via inhalation using a compression nebulizer once daily (3<math>\times</math>10<sup>8</sup> PFU/ml) and phages were also given orally twice daily for 20 days.</li> <li>The same treatment course (inhaled plus oral) was repeated four times (at 1 month, 3 months, 6 months, and 12 months).</li> </ul>	<ul style="list-style-type: none"> <li>After the initial round of phage treatment, the patient's conditions significantly improved, dyspnea resolved, and cough reduced.</li> <li>Her lung function measured as Forced expiratory volume (FEV1) increased from an initial 1.83 L (54%) to 1.88 L (62%) in 3 months post treatment.</li> <li>After the final treatment round of <i>Achromobacter</i> phages, there was a significant improvement in lung function reaching to a final FEV1 value of 3.33 L (84%).</li> </ul>	Hoyle et al., 2018
Liquid Aerosol (Vibrating mesh nebulizer)	Carbapenem-resistant <i>A. baumannii</i> (CRAB)	Personalized lytic pathogen-specific single-phage (Unnamed)	<ul style="list-style-type: none"> <li>A case of an 88-year-old man already suffering from chronic obstructive pulmonary disease developed hospital acquired pneumonia (HAP) with carbapenem-resistant <i>A. baumannii</i> as the etiological agent.</li> <li>A personalized single-phage preparation was nebulized to the patient continuously for 16 days in combination with tigecycline and polymyxin E.</li> </ul>	<ul style="list-style-type: none"> <li>The treatment was well tolerated and resulted in clearance of the infection from patient's lung with clinical improvement in lung function.</li> </ul>	Tan et al., 2021
Liquid Aerosol (Vibrating mesh nebulizer)	<i>Achromobacter xylosoxidans</i>	Cocktail of three lytic phages ((JW Delta, JWT, and 2-1)- APC 1.1 And another cocktail mix (APC	<ul style="list-style-type: none"> <li>A 12-year-old lung-transplanted cystic fibrosis patient with persistent lung infection with pandrug-resistant <i>A. xylosoxidans</i></li> <li>Patient received two rounds of phage therapy. In first round 3</li> </ul>	<ul style="list-style-type: none"> <li>Clinical tolerance was perfect after each round of therapy with no observed side effects.</li> <li>However, the culture was positive with bronchoalveolar lavage (BAL) showing low densities of <i>A. xylosoxidans</i>.</li> </ul>	Lebeaux et al., 2021

(Continued)

TABLE 1 | Continued

Delivered as:	Bacteria	Phage involved	Study highlights	Main Findings	Reference
		2.1) with phage JWalphi was added to the above three phage cocktail.	nebulizations/day of 5 mL ( $10^{10}$ PFU/ml) of APC 1.1 phage cocktail. In the second round, APC 2.1 was given (phage JWalphi added to the previous cocktail mix) and given. <ul style="list-style-type: none"> <li>Initially, 30 mL of APC 2.1, tenfold diluted was instilled in each pulmonary lobe, and later on, discharge, continued phage nebulization at home: three times a day 5 mL of preparation for 14 days.</li> </ul>	<ul style="list-style-type: none"> <li>But, overall there was a constant improvement in the respiratory condition, and oxygen therapy was stopped.</li> <li>Low-grade counts of <i>A. xylosoxidans</i> (<math>10^3</math> CFU/ml) persisted for months and finally turned negative although it took almost 10–12 months.</li> <li>No re-colonization occurred more than two years after phage therapy was stopped.</li> </ul>	

may cause additional damage, leading to a loss in phage titers during the lyophilization process itself (Lopez-Quiroga et al., 2012; Ishwarya et al., 2014). The more recent non-conventional SFD method uses a combination of a series of steps i.e droplet formation, freezing, and sublimation, producing uniquely powdered products. SFD is a unique drying technique, as it is a combination of both spray drying and freeze-drying. Furthermore, the unique aerodynamic qualities of the porous particles produced during SFD make it attractive for use in pulmonary delivery (Wang et al., 2006; Filkova et al., 2007). SFD has proven benefits with improved structural integrity, superior quality, and better shelf stability than existing drying techniques (Ishwarya et al., 2014; Fukushima et al., 2020).

Having been used for a long time, FD is a common method for reducing the dry powders of different drugs with high storage stability. One necessary parameter is the use of excipients for effective phage lyophilization and also for protecting their viability (Malenovská, 2014; Manohar and Ramesh, 2019). The type and concentration of excipients and stabilizing agents used need to be optimized. Using the traditional FD method, Puapermpoonsiri et al. (2009) developed inhalable dry powders of *S. aureus* and *P. aeruginosa* phages. The phage-loaded poly (lactic-co-glycolic acid) (PLGA) microspheres were first optimized and later lyophilized to form powders. This system although showed a desirable release profile i.e a burst release phase followed by a sustained release till 6h, but encapsulated phage got deactivated within 7 days either stored at 4°C or 22°C. Similarly, a study by Merabishvili et al. (2013) focused on evaluating the choice of different stabilizers on *S. aureus* phage ISP free dried preparation over 37 months at 4°C. This study showed that sucrose and trehalose were the best-stabilizing additives, causing a decrease of only 1 log immediately after the lyophilization procedure with high stability over the test period. These sugars act as water substitutes and have a stabilizing effect on phage titers over the storage period.

As freeze-dried powders are not respirable, after their production an extra milling step is required to reduce the particle size to  $<5\ \mu\text{m}$ , which is ideal for pulmonary delivery. However, this milling process may cause loss of phage due to the mechanical stress produced (Yan et al., 2021). Golshahi et al. (2011) prepared endotoxin-free lyophilized formulations of KS4-M and  $\Phi$ KZ phages with 60% lactose and 40% lactoferrin as the selected cryo-protectant and stabilizers and then de-agglomerated in a mixer mill (without beads)

to formulate respirable powders and aerosolized using an Aerolizer® capsule inhaler. Post-lyophilization, there was a titer loss in the range of 1–2  $\log_{10}$  for both phages and the size of the phage powder was within the inhalable range ( $<5\ \mu\text{m}$ ). The freeze-dried phage powders showed good stability with negligible titer reduction within 3 months when stored either at 4°C or 22°C in controlled relative humidity (RH of  $21 \pm 2\%$ ). *In vitro* aerosol testing showed that the phage titers collected downstream of the mouth throat were within the range of  $10^6$ – $10^7$  PFU with a slight titer drop from capsule dose to respirable dose (titer loss of 1.2  $\log_{10}$  for KS4-M, and 0.84  $\log_{10}$  for  $\Phi$ KZ phages), which was acceptable.

The SD method produces fine drug particles for pulmonary delivery as a single-step method and is less expensive than FD. SD method works well to maintain the stability and activity of phages and this work was initiated by Matinkhoo and co-workers (2011) produced dry powder inhalable formulation of bacteriophages KS4-M, KS14, and cocktails of phages  $\Phi$ KZ/D3 and  $\Phi$ KZ/D3/KS4-M using a low-temperature spray-drying process due to thermal sensitivity of phages. In the formulation, trehalose was used to protect phage against dehydration, while leucine added helped to enhance the dispersibility of powders. The aerosol performance of the resulting dry powders was measured by determining their median mass aerodynamic diameter (MMAD). MMAD represents the aerodynamic diameter at which half of the aerosolized drug mass lies below the stated diameter. It is the average size of particles constituting the dose that reaches the impactor. Particles with an aerodynamic diameter of between 0.5 to  $5\ \mu\text{m}$  show a high probability of reaching and depositing in the lung and small ones can penetrate deeper lung tissues (Sheth et al., 2015). However, aerosol particles with a diameter larger than  $5\ \mu\text{m}$  tend to remain deposited in the throat or oropharyngeal cavity and fail to reach the lungs. In this study, the SD phage powders had an MMAD diameter of 2.5–2.8  $\mu\text{m}$  suitable for pulmonary delivery of phages to reach the lungs. The actual phage dose reaching lungs released from a single actuation of the inhaler ranged from  $10^7$  to  $10^8$  PFU. According to past studies, this phage dose is likely to be effective at containing infection, with phage being effective at these PFU values (Wright et al., 2009; Morello et al., 2011).

Another important parameter that is crucial for determining drug efficacy in the case of pulmonary delivery is pulmonary deposition (highest dose fraction deposition in the lower airways i.e deep lung areas rather than lost in the oropharyngeal sphere), which not only depends on the inhalation device used but also on

the ability of the dry powder to be dispersed in the air i.e powder dispersibility (Labiris and Dolovich, 2003; Newman, 2017). The fine particle fraction (FPF) represents the proportion of emitted particles that have a lower particle size than the diameter of the upper airway, which is fixed at 5  $\mu\text{m}$  (Guo et al., 2013; Sibum et al., 2018). To enhance the FPF value, higher dispersibility is essential. This is a delicate process, as micron-sized particles are generally very cohesive and adhesive. The use of excipients needs to be optimized for each formulation. Amino acid, i.e leucine and trileucine, are often used as excipients to SD powders as enhancers of dispersibility and to provide moisture protection (Lechanteur and Evrard, 2020; Zhang Y. et al., 2018). SD powder is mostly amorphous and tends to gain moisture leading to agglomeration. These amino acids exhibit surface-active properties and form a hydrophobic shell that protects spray-dried particles from moisture (Matinkhoo et al., 2011; Mah et al., 2019). For example, with the addition of 20% (w/w) l-leucine to a range of formulations, there was a significant increase i.e 17.3–41.5% for FPFs (Momin et al., 2019; Stewart et al., 2019; Lu et al., 2020). Similarly, when 37.5% (w/w) leucine was added to a spray-dried formulation of budesonide, FPF values increased by 28% (Simková et al., 2020). Next, we have different sugars (lactose, mannitol, trehalose, sucrose), which act as a diluent and flow enhancer, improving aerosolization properties. Further sugars act as stabilizers and protect the active drug during drying and subsequent storage (Zhang Y. et al., 2018; Zillen et al., 2021). However, in the case of sugars, another important parameter to be investigated is the value of glass transition temperature ( $T_g$ ) of the chosen sugars, which is the temperature at which an amorphous system changes from the brittle glassy state to a viscous rubbery state. Sugars with low  $T_g$  tend to crystallize easily, such as mannitol, which has a very low  $T_g$  value (Pyne et al., 2002), while Trehalose has a relatively high  $T_g$  i.e 106°C and hence is a suitable stabilizer, as it forms a glassy sugar matrix (Buitink et al., 2000).

In addition to these factors, the choice of excipients has a significant impact on maintaining phage stability and phage titers in the final formulation. Chang R. Y. K. et al. (2017) focused their study on evaluating the effect of excipients on the stabilization of spray-dried powders against anti-pseudomonal phages of different morphologies. Both podovirus and myovirus phages showed high stability with trehalose or lactose and leucine as excipients with a negligible loss of less than one log titer. Still, lactose showed superior phage protection over trehalose. Lactose has also been only approved by the FDA as a stabilizing excipient for use, while others may need more safety and regulatory approvals. On similar grounds, the same team then evaluated the storage stability of inhalable phage powders with lactose and leucine as excipients at 20°C/60% RH for 12 months. Results indicated that 90% lactose was able to maintain the viability of phage over the 12 months storage period while  $\sim 1.2 \log_{10}$  titer reduction was observed in formulations with less lactose. The spray-dried anti-pseudomonal phage powders were also shown to be non-toxic to lung alveolar macrophage and epithelial cells *in vitro* (Chang et al., 2019). Thus, leucine not only helps to minimize recrystallization of trehalose/lactose during the powder production process, preventing particle merging and enhancing powder flow but also showing a stabilizing

effect on maintaining phage titers. Similarly, trileucine has also been shown to maintain high phage stability when used as excipients in phage-based formulations. In one such study, Carrigy and the team (Carrigy et al., 2019a, 2019b, 2020) evaluated the stability of engineered spray-dried microparticle based phage formulations of anti-Campylobacter bacteriophage CP30A. They produced amorphous spray-dried powder with excipient formulations containing trehalose and a high glass transition temperature amorphous shell former, either trileucine or pullulan. Results showed the high stability of phage titers with a combination of trileucine and trehalose, with titer reduction of only  $0.6 \pm 0.1 \log_{10}$  (PFU/ml) over a 30 day period of storage. Such SD formulations can thus be safely transported a long-distance without the need for maintaining a cold chain system, thus cutting the cost by significant margins. Besides the choice of excipients, the temperature and relative humidity during the storage of SD preparations are equally crucial. Studies on RH show that formulations stored at high humidity conditions (RH > 50%) showed recrystallization of the amorphous content and hence SD powders need to be stored at low humidity conditions (RH  $\leq$  20%) (Vandenheuvel et al., 2014; Leung et al., 2016). It is also generally recommended to store phage drug powder at a temperature at least 50°C below the glass transition temperature ( $T_g$ ) of the powders (Chang et al., 2020).

More recently, an SFD method of producing dry phage powders has been developed. This method shows enhanced structural integrity and stability over other drying methods. SFD yields particles of sizes and densities that show higher stability in the lungs and nasal mucosa (Vishali et al., 2019). SFD has also been shown to produce powders with particles larger and more porous than spray drying (Maa et al., 1999). Leung et al. (2016) compared both SD and SFD methods of procuring inhalable phage powders of *Pseudomonas* podoviridae phage, PEV2. Their results showed a loss of 2 log titers in the SFD method owing to the use of ultrasonic nozzle but the *in vitro* aerosol performance showed that the SFD powders showed significantly higher phage recovery ( $\sim 80\%$  phage recovery) compared with the SD counterparts ( $\sim 20\%$  phage recovery). This needs to be taken into consideration while using the SFD based method due to phage sensitivity to the mechanical stress. The frozen powders in SFD are also dried under vacuum pressure, adding to the long drying times (Shoyele and Cawthorne, 2006) that may cause more titer loss. While addressing this issue, Ly and their team (2019) studied a new technique of atmospheric spray freeze drying (ASFD) in developing a solid dry formulation of mycobacterium phage D29. In this process, phage D29 (in presence of varying concentrations of trehalose and mannitol) was sprayed and then frozen in a cold chamber followed by the passing of cold drying gas through the chamber resulting in the sublimation of ice forming a free-flowing powder. The result showed that this technique of ASFD showed a minimal titer reduction of  $\sim 0.6 \log$  in presence of trehalose-mannitol at a mass ratio of 7:3 thus advocating the further exploration of ASFD as an attractive alternative method over conventional freeze-drying processes providing similar biological preservative in a shorter time. **Table 1** provides a useful insight into recent *in vitro* and *in vivo* studies (2014 onwards), wherein different inhalation delivery methods (nebulizers, DPI, pMDI) have been used.

The major conclusions summarized from the studies of **Table 1** include that pulmonary delivery *via* nebulization and dry powder inhalation both represent a favorable and safe route (effective than other methods) for phage administration, enabling phage to reach the affected lung tissue and target respiratory pathogens. This is indicated by the significant reductions in lung bacterial counts as well as low inflammation seen in various animal studies. Secondly, although human studies using aerosolized, phage preparations are limited, results indicate good clinical tolerance with no side effects and complete resolution of infection over time. Pulmonary delivery may be used in combination with *i.v* administered phages or antibiotics. Combined administration of phage and antibiotics also showed higher reductions in bacterial burden and needs to be advocated further. The co-therapy mode (Phage and antibiotic) is an attractive approach over the traditional treatment protocols due to the proven synergistic antimicrobial effect (Torres-Barcelo et al., 2014; Kamal and Dennis, 2015; Oechslein et al., 2017]. The synergistic effect of phage PEV20 along with ciprofloxacin against the drug-resistant strain of *P. aeruginosa* administered *via* both air-jet and vibrating mesh nebulizers has been reported and studied in detail by Lin et al. (2018). Apart from the use of the nebulization method, Lin et al. (2019) also tested the SD-based inhalable powders of PEV20 and ciprofloxacin as dry powders for inhalation, which tend to show better patient compliance. Results showed that inhalable combination powder formulations of phage PEV20 and ciprofloxacin were stable and exhibited a strong synergistic antimicrobial killing effect against *P. aeruginosa* strains isolated from CF patients. Such findings advocate further research into the development of phage-antibiotic inhalable formulations for pulmonary delivery with improved and faster containment of infection. However, given the limited studies conducted to date on humans, more clinical research with a high sample size is required to understand the efficacy and safety of this approach. Finally, complete optimization studies need to be done for each phage (i.e. which type of nebulization as well as the dry powder inhalation technique to be used, the choice of stabilizers, effect on phage morphology, stability and viability, lung deposition percentage, testing phage-antibiotic synergism, etc.) to ensure the greatest clinical benefits.

### 2.3.3 Metered-Dose or Propellant Based Inhalation

Pressurized Metered-dose inhalation (pMDI) is based on a specifically designed device that delivers a minute and fixed amount of medication as a short burst of aerosolized form taken by the patient through their mouth (Ibrahim et al., 2015; Martin and Finlay, 2015). It contains three major parts, which include a) canister which holds the formulation b) metering valve, that allows a metered quantity of the formulation to be dispensed, and c) an actuator (or mouthpiece) allowing the patient to operate the device and it is attached to a nozzle which enables to spread the component in the mouth of the person using it. Metered-dose inhalers are mostly and more commonly used by asthmatics or people with COPD (Boyd, 1995; Brand et al., 2008). The drug formulation present in the canister is mixed with liquefied gas propellant and stabilizing chemicals. Such a metered-dose inhalation method offers the advantages of allowing the delivery of metered and specific amounts of

medication, with no pre-drug preparation required and multi-dose capability available, while also being portable and comparatively inexpensive (Carrie, 2009; Javadzadeh and Yaqoubi, 2017). However, very little work has been reported on the use of this type of inhaler to deliver phage against RTIs. In a study by Hoe et al. (2014), phage suspension of two *myoviridae* phage (FKZ/D3 and KS4-M) was prepared using a reverse emulsion process with Tyloxapol as surfactant and filled into hydrofluoroalkane 134a pMDI canisters. The phages were actuated from the device and there was a negligible loss in titers, showing successful delivery to the lungs. Despite this, more dedicated studies on the different aspects of this inhaler for phage delivery are required. Moreover, one drawback is that just 10%–20% of the expelled dose reaches the lung (Liu et al., 2012; Chaturvedi and Solanki, 2013; Liang et al., 2020), which needs to be developed and improved.

### 2.3.4 Soft-Mist Inhalation

A new class of propellant-free inhalers known as Soft Mist Inhalers (SMIs) have also been developed in recent years, also known as respimat inhalers. These inhalers release medication in a fine mist that comes out slowly. Hochrainer et al. (2005) showed that the velocity and spray duration of aerosols clouds released from SMI inhaler moved much slower and has a prolonged spray duration as well as compared to pMDIs and this will account for improved lung and reduced oropharyngeal deposition essential for moving outcome. SMIs come with a dose counter built-in, which enables us to see how many doses of medication are remaining and a lock itself system after the medication is all used up, but to date, there is limited data regarding this method. One study by Carrigy et al. (2017) compared the efficiency of phage delivery using vibrating mesh nebulizer, jet nebulizer, and soft mist inhalation (SMI) methods. The results showed that the SMI was able to deliver the mycophage D29 more quickly with high titers ( $\sim 5 \times 10^8$  PFU/actuation). There was a minimal titer reduction (0.6 log<sub>10</sub> PFU/ml) and a higher lung delivery was achieved ( $3.2 \times 10^6$  PFU/actuation of inhalable active phage). Similar to MDIs, this device again needs more exploration in phage delivery.

## 3 ADVANCES IN DELIVERY AND FORMULATIONS-INHALED PHAGE THERAPY

### 3.1 Surface Acoustic Waves Nebulization and High-Frequency Acoustic Nebulization For Improved Pulmonary Delivery

The nebulization process and the hydrodynamic stress it generates (as in the case of ultrasonic nebulizer and cavitation process for aerosol formation) have been shown to have a detrimental effect on phage morphology and overall viability in past studies (Astudillo et al., 2018; Leung et al., 2018). One approach is the use of surface acoustic wave (SAW) nebulizers. SAWs operate at considerably higher (>10 MHz) frequencies than the ultrasonic nebulizers and essentially comprise surface waves and do not drive cavitation. In the absence of large cavitation pressures, high



surface vibrational acceleration is produced and the acoustic energy produced causes the drop interface to rapidly destabilize and break up to form aerosol droplets containing the therapeutic molecule (Rajapaksa et al., 2014). The entire process occurs within such a short period that it is not sufficient to degrade biomolecules and thus represents a much gentler way of procuring aerosol particles (Qi et al., 2008; Collins et al., 2012). With its ability to generate aerosols within the 1–5  $\mu\text{m}$  aerodynamic diameter range required for maximizing deep lung deposition (Qi et al., 2009), particularly in the smaller bronchioles that are common sites of pulmonary infection, SAW nebulization is an ideal and efficient platform for pulmonary administration of various biomolecules (Rajapaksa et al., 2014; Alhasan et al., 2016; Wang et al., 2016). However, one drawback of most nebulizers including SAW nebulizers is the long administration time taken for adequate dosing to reach deeper areas.

One approach of potential interest is the novel acoustic wave platform (HYDRA) for advanced levels for nebulization. HYDRA nebulizers exploit the combined effects of both bulk wave nebulization and surface waves i.e SAW nebulization enjoying an advantage for higher output and improved efficiency and efficacy with better preservation of molecular structure and function (Cortez-Jugo et al., 2015; Kwok et al., 2020). In a recent study by Marqus et al. (2020), the authors assessed the capability of this low-cost and portable hybrid surface and bulk acoustic wave platform (HYDRA) to nebulize a phage K and lytic enzyme (lysostaphin). Results showed that the HYDRA platform was able to produce monodispersed phage aerosol particles within a defined size range (1–5  $\mu\text{m}$ ) ideal to be delivered to the lower respiratory airways and deep pockets. There was a minimal loss in the phage viability (negligible titer loss of 0.1  $\log_{10}$  (PFU/ml) with a high viable respirable fraction (90%) reaching the active site. This indicates that the HYDRA nebulization process does not result in appreciable denaturation of phages or even proteins (as seen with lysostaphin results) preserving function and structure. This calls for further exploration of this novel HYDRA nebulization platform for improved delivery of mono-disperse aerosol down to the lower airways, especially targeting chronic deep-seated infections.

### 3.2 Electrospray for Controlled and Targeted Drug Delivery *via* Inhalation

Although nebulization remains the preferred method of drug delivery *via* the inhalation route, it suffers from common pitfalls. Nebulization typically generates contaminant particles in the ultrafine size range from dried solutes and biological fragments in the nebulizer suspension. These contaminants can mask the size distribution of virus particles that are of comparable size (Hogan et al., 2004; Hogan et al., 2005), reducing the overall efficacy of the process. Another drawback observed is that some portion of the nebulized solution may flow back to the nebulizer reservoir, and fraction will evaporate over time causing the solution to become more concentrated (Chen and John, 2001) and again changing the aerosolized particle size distribution function which is not desirable (Eninger et al., 2009). In addition, with these traditional inhalation techniques including

nebulizers, DPIs, and pMDIs, high deposition efficiency is often a problem with less than 20% of the spray reaching the target area of the lungs as most of the drug particles get deposited in the upper airway. Moreover, they tend to produce more of a polydisperse type of particle with varying diameters. The bigger diameter particle tends to deposit in upper airways rather than reaching lungs with less than the actual administered dose (or phage titers) reaching the actual site for action thus decreasing the desired outcome (Tena and Clarà, 2012; Cheng, 2014). Electrospray (ES) or electrohydrodynamic atomization (EHDA) is a promising atomization process due to its ability to produce a spray with monodisperse droplet size. It is an atomization technique that uses electro-hydrodynamic forces to disperse a liquid into fine droplets thus forming micro and nano-sized mono-dispersed droplets of the same and uniform size (Jaworek, 2007; Ryan et al., 2012). With the use of the electrospray process, the production of a relatively uniform narrow aerosol size distribution is achievable. The aerosolized formulation produced is comparatively without aggregates and free of generated contaminants from dried solutes i.e a cleaner and stable preparation (Thomas et al., 2004). There have been few dedicated studies focusing on this aspect.

Jung et al. (2009) investigated the characteristics of airborne MS2 bacteriophage particles <30 nm in size, using a charge-reduced electrospray technique. For this, the suspension of phage was sprayed cone-jet mode using a specially designed electrospray system in a cone-jet mode. Results indicated that the electro-sprayed MS2 particles so formed showed excellent monodisperse size distribution, high stability, and uniformity which was not seen with nebulized particles. Thus, the authors reported the electrospray method being able to produce non-agglomerated particles, resulting in a narrow size range of uniform size. In another study reported by Eninger et al. (2009), the aerosolization of bacteriophage MS2 virions by nebulization and charge-reduced electrospray were compared during testing of three filter media. Results depicted that although both aerosolization methods generated culturable MS2 virions electrospray method produced an airborne concentration of phages that was 20-fold higher than the nebulizer. The electrospray produced cleaner, more stable, and higher viable phages in the aerosolized particles as compared to the classical nebulization process. The nebulized aerosol particle count was 2.8 times more variable than the electro-sprayed aerosol particle count. This indicates that the nebulizer produced a poly-disperse aerosol, unlike the electrospray protocol, which also produced a more desirable and relatively mono-disperse aerosol and a better way of filter testing the delivery method. These findings encourage exploration of this mode of generating aerosolized phages and the possible effect of the electrospray technique on the viability of phage titers.

### 3.3 Liposome Encapsulated Phage Preparation for Improved Pulmonary Delivery

Liposomes are one of the lipid-based nano-vesicles that self-assemble, forming lipid nano-spheres that act as an ideal drug

delivery approach for encapsulating and protecting phages, showing bio-compatibility with various phage preparations (Singla et al., 2015; Chadha et al., 2017; Chhibber et al., 2018; Otero et al., 2019). Liposome-loaded phages are protected from outer stress such as the action of body fluids, enzymes, clearance from the reticuloendothelial system (RES), the action of neutralizing antibodies (Colom et al., 2015; Singla et al., 2016; Chhibber et al., 2018; Leung et al., 2018). They are also capable of undergoing conformational transitions as they mimic biological membranes and this allows them to reach and penetrate the deeper areas crossing the host tissue barriers. This is especially important in the case of penetrating the biofilm-affected areas. Liposome encapsulation may enable phages to gain access into the eukaryotic cell to target intracellular pathogens, as free phages have limited ability to penetrate eukaryotic cells (Nieth et al., 2015). The use of liposome encapsulation technology in the delivery of phages and various antibiotics has been successfully reported by recent studies against a range of pulmonary pathogens. Singla et al. (2015) reported the successful encapsulation of phage KPO1K2, specific for *K. pneumoniae* in cationic liposomes with high efficiency of 92% and significant structural and biological stability for nine weeks at 4°C and room temperature. The liposomal preparation was able to protect all tested mice from pneumonia-induced death even when the therapy was delayed by 3 days after induction of infection by *K. pneumoniae* with complete clearance of organisms from the lungs within 72 hours after treatment. Liposomal encapsulated phage treatment also led to a higher reduction in inflammatory cytokines levels. Although the result shows the enhanced persistence of encapsulated phages in lung tissue and higher therapeutic effect against pneumonia, the liposomal phage preparation was here given intra-peritoneal and not tested *via* the inhalation route.

The biggest advantage of inhaled antibacterial therapy would be its ability to target intracellular respiratory pathogens such as *M. tuberculosis*. While studying liposome-mediated intracellular delivery, Nieth et al. (2015) reported the successful encapsulation of mycobacteriophages in giant unilamellar liposomes ( $\geq 5 \mu\text{m}$ ) by two different techniques i.e gel assisted GUV formation and inversion emulsion technique. These liposome-associated bacteriophages were able to enter THP-1 cultured eukaryotic cells significantly more efficiently than free bacteriophages and co-localize with early- and recycling endosomes. Similarly, in a recent study by Vladimirovsky et al. (2019), macrophage cell culture (RAW 264-7-ATCC) was first infected for 24 hours with *M. tuberculosis* strain i.e H37RV MTB at a concentration of  $10^7$  CFU/ml and then incubated with free phage and liposome-encapsulated phage D29 to study the decline in bacterial counts post 24 h of co-incubation. The results of counting of MTB colonies showed 62 colonies in control (no treatment),  $17 \pm 1$  in free mycobacteriophage treated and only 7 MTB colonies in the liposomal mycobacteriophage treated, showing significantly high bactericidal effect with liposomal phage preparation. These results indicate new opportunities for treating mycobacterial infections.

Besides the above studies, no major studies have directly focused on the preparation of inhalable liposome-encapsulated phage

formulations and their delivery through liquid or dry powder aerosolization and their efficacy testing, although inhalable liposome loaded antibiotics against respiratory pathogens have been accessed in many studies (Waters and Ratjen, 2014; Griffith et al., 2018; Bassetti et al., 2020). Liposome encapsulated phage delivery *via* aerosolization may be associated with its own challenges. Firstly, during liposome formation following the conventional thin-film hydration and extrusion method, phages are exposed to the heat used during hydration and high mechanical stress generated upon extrusion, which may account for significant losses and low encapsulation efficiency (Colom et al., 2015). Even with an improved method such as gel assisted formation followed by extrusion and inversed emulsion, the liposome that are formed are large in size  $\geq 5$  (Nieth et al., 2015), which is not ideal for pulmonary delivery, as most of them may fail to reach deeper lung areas. Secondly, the major challenge is the stability of liposome vesicles during the nebulization process. The shearing stress of the nebulization process to convert liposome dispersions into fine aerosol droplets may result in vesicle fragmentation and loss of the encapsulated phage. Vesicles may also undergo marked size reduction during jet nebulization, as reported by Saari et al. (1999). These physical changes highlight that applying a mild nebulization technology to minimize the process of fragmentation and shear degradation of lipid nanovesicles. The inclusion of stabilizers such as cholesterol or high-phase transition phospholipids in the liposome formulations has been shown to exhibit a protective effect (Elhissi et al., 2007; Clancy, 2013).

Keeping the challenges in mind, these lipid-based nanocarriers represent an ideal platform for successful encapsulation and pulmonary delivery of the sensitive phages, phage cocktails, and even phage endolysins, while maintaining their viability and infectivity intact and thus, more research and future studies are required to explore this direction.

### 3.4 Individualized Controlled Inhalation Technology: Integrated Software Control

ICI technology is one of the most promising novel approaches for the improvement of pulmonary aerosol deposition, offering higher drug targeting, reduced lung dose variability with unique integrated software control (Chandel et al., 2019; Longest et al., 2019). The AKITA<sup>®</sup> technology is the most advanced ICI technology-based aerosol delivery technology as it controls the entire inhalation maneuver of the patient resulting in more precise drug targeting. This is accomplished by positive air pressure delivered by a computer-controlled processor, which is made to program as per the patient's individual lung function data, which is tested prior to use (Fischer et al., 2009; Kesser and Geller, 2009; Tashkin, 2016).

AKITA<sup>®</sup> works well with ultrasonic mesh nebulizers and the latest versions are fully compatible with vibrating mesh nebulizers, delivering as high as 99% of the filled dose nebulized into aerosol particles with Median mass aerodynamic diameter (MMAD) of  $< 4 \mu\text{m}$  (Kesser and Geller, 2009; Fischer et al., 2009). Such ICI-based technology is associated with clear advantages of minimal dose variability and maximum efficiency as this technology enables better control over aerosol flow rates, delivery volumes, dosing

timings giving higher compliance to the treatment protocol. In a cross-over study on inhaled tobramycin done in healthy individuals (Brand et al., 2005), it was observed that individuals using conventional jet nebulizer system achieved lung deposition of a total of 40.78 mg with as high as 30% variation in total lung dose while AKITA<sup>®</sup> system showed deposition of 42.81 mg with less than 11% as the dose variation seen.

The integrated software controls provided with these technologies further allow the physician to have more control over the therapy and when to change the controls as per the constant monitoring of parameters and observed adverse effects which are possible with such latest systems (Bennett, 2005; Ibrahim et al., 2015). For example, past studies have shown that lung deposition of lipopolysaccharide, an endotoxin if present in drug formulations leads to triggering of airway inflammation and adverse effects in patients with COPD, CF (Thorn and Rylander, 1998; Muhlebach and Noah, 2002). However, this can be well controlled and managed by the use of such ICT systems with advanced features such as constant scanning of information about nebulized drug dose, treatment time, adverse effects if any. This feature is particularly useful in the case of phage preparations wherein endotoxin may contaminate the formulation owing to gaps during high titer phage production and purification if any. On similar grounds, there is another technology i.e the I-Neb<sup>®</sup>, which consists of high-level software control integrated with mesh nebulizer as a single device (Geller and Kesser, 2010; Tashkin, 2016). This system works on either of the two modes i.e a tidal breathing mode and a targeted-inhalation mode. In tidal breathing mode, the device aerosolization process is adapted as per the patient's tidal breathing pattern. However, in the targeted inhalation mode, a vibrating feedback system guides the patient towards an optimal breathing pattern to enhance and further improve the aerosol deposition and final efficacy (Zhou et al., 2014).

Many studies on these ICT-based nebulizers and phage therapy have not seen the light yet. However, in the case of personalized pulmonary phage therapy, such software integrated

systems (with better control over dosing volumes, dosing times, aerosolization rates, higher physician monitoring) that are optimized as per individual patients' needs and lung function will help to further enhance the success and outcome of phage treatment (with more phages dose reaching the lower and deeper lung pockets). This is especially important while treating patients with recurrent chronic bacterial infections.

## 4 CONCLUSION

Inhaled phage therapy has the potential to transform the prevention and treatment of bacterial respiratory infections, including those caused by antibiotic-resistant bacteria. The results of various studies advocate that inhaled phage therapy is a safe and potent antibacterial option with no reported adverse events. There is a long way to go before clinical approval of inhaled phage therapy. Robust randomized clinical trials, a deeper understanding of the pharmacological studies of the inhalable formulations, and further research on the stability of phage in various formulations need attention for moving this therapy closer to final approval and use. However, the use of inhaled phage therapy on compassionate grounds needs to be looked at as a priority. Despite the concerns outlined here, inhaled phage therapy holds strong potential and represents a new era of inhalable phages that act on multiple fronts to resolve respiratory infection working well even against drug-resistant strains.

## AUTHOR CONTRIBUTIONS

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