



ADVANCES IN ASPERGILLUS FUMIGATUS PATHOBIOLOGY

EDITED BY: Frederic Lamothe, Praveen R. Juvvadi and
William J. Steinbach

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ADVANCES IN ASPERGILLUS FUMIGATUS PATHOBIOLOGY

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Aspergillus fumigatus is a human fungal pathogen that causes invasive aspergillosis (IA), a major infectious cause of death in the expanding population of immunocompromised individuals such as cancer patients and transplant recipients. The mortality of IA remains high (30-70%) and emerging resistance to triazoles, the first-line antifungal drug class, is of particular concern. Second-line therapies for IA are limited by their toxicity (polyenes) or their lack of fungicidal activity (echinocandins). Identification of novel antifungal targets is an urgent need for improving the outcome of IA.

A. fumigatus is a filamentous fungus exhibiting a complex developmental cycle and elaborated mechanisms of adaptation to allow the initiation and progression of infection in the human host. The fungal cell wall, with its unique and dynamic structure, is crucial for protecting cell integrity and evading the host immune system, also contributing to biofilm formation and virulence, and thus representing an ideal antifungal target. The emergence of azole resistance implies various and complex mechanisms that need to be further elucidated. Other important processes, such as biosynthetic pathways and toxin/metabolite production are important for fungal survival and propagation in the host environment, ultimately leading to disease. Moreover, the host immune response is a determinant factor in influencing the course of infection.

The objective of this topic issue is to provide an overview of the recent advances in our understanding of *A. fumigatus* pathobiology and of IA pathogenesis to outline future research.

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Editorial: Advances in *Aspergillus fumigatus* Pathobiology

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The Editorial on the Research Topic

Advances in *Aspergillus fumigatus* Pathobiology

Aspergillus fumigatus and other *Aspergillus* spp. are ubiquitous in our environment. However, their potential to cause severe disease in humans was ignored for many centuries. The first reported case of presumed human aspergillosis is from 1791 during the French revolution. A 22-year old soldier sought medical attention for painful cheek swelling because of a fungus ball of the maxillary sinus invading the mouth and orbit (Plaignaud, 1791). The patient ultimately recovered after surgery. A few decades earlier, in 1729, the Italian priest and botanist Pier Antonio Micheli provided the first description of the fungal genus that he named “*Aspergillus*” because of the similarity of the conidial head and spores to the aspergillum, the liturgical implement used to sprinkle holy water in the Catholic church (Micheli, 1729). During the first half of the twentieth century, *Aspergillus* spp. were considered common laboratory contaminants and only an occasional cause of human diseases with some case reports of chronic bronchopulmonary aspergillosis among farmers, cerebral abscesses, meningitis, and bone infections (Cawley, 1947). The introduction of steroid therapy in the 1950s, the later development of anti-neoplastic chemotherapy, and the first hematopoietic stem cell transplantations during the following decades revealed the devastating potential of these fungi in patients with severely depressed immune systems.

Invasive aspergillosis (IA) has now emerged as a major infectious threat and the prevalence and spectrum of the disease has progressed in parallel with advances in medicine and the advent of new therapies with potent immunosuppressive effects. The cumulative 12-month incidence of IA is estimated at 1.6% in hematopoietic stem cell transplant recipients and 0.7% in solid organ transplant recipients, with an overall 1-year mortality of 40–75% (Kontoyannis et al., 2010; Pappas et al., 2010). Moreover, IA is increasingly reported in populations with other underlying conditions, such as intensive-care unit patients, or patients with autoimmune or chronic bronchopulmonary diseases (Meersseman et al., 2004; Garbino et al., 2011).

Despite a slight improvement in survival rates (Steinbach et al., 2012), the mortality of IA remains high, and little significant progress has been made in the management of the disease over the last several decades. Amphotericin B was historically the pillar of antifungal therapy, but included an unacceptably high rate of failure due to toxicity. At the beginning of this century, voriconazole demonstrated a better efficacy and safety profile and became the preferred first-line therapy of IA (Herbrecht et al., 2002). However, emergence of resistance to triazoles as a probable consequence of the widespread use of fungicides in the agriculture and industry (Vermeulen et al., 2013) has led to the need for second-line antifungal agents. Echinocandins, such as caspofungin or micafungin, are now considered as salvage therapy of IA (Maertens et al., 2004), but their lack of fungicidal activity limits their efficacy. Posaconazole is active against most *Aspergillus* spp. However,

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the most frequent mechanism of voriconazole resistance (i.e., mutations of the *Cyp51A* gene) often confers pan-azole resistance and the increasing use of posaconazole prophylaxis in patients with hematologic malignancies raises concern about breakthrough infections due to resistant *Aspergillus* spp. or other fungi (Auberger et al., 2012). Combination antifungal therapy, such as the association of triazoles and echinocandins, has led to inconclusive results (Marr et al., 2015).

Considerable effort from the research community is dedicated to the discovery of new antifungal targets. An effective antifungal agent must be fungal-specific to avoid unacceptable human toxicity, but this is difficult to achieve as both fungi and humans are eukaryotes. Indeed, all currently approved antifungal drugs target the specific components of the fungal cell membrane (ergosterol) or cell wall (β -1,3-D-glucan). A better understanding of the molecular pathways involved in fungal metabolism, virulence, stress response, and resistance are therefore important steps toward the discovery of novel therapeutic approaches.

The purpose of this research topic is to provide an overview on the current state of research and to strengthen the links within the *Aspergillus* community. Scientists purposefully chosen from various countries and continents have contributed to this special issue dealing with all the medical aspects of IA, including taxonomy, genetics, epidemiology, pathogenesis, antifungal resistance, and novel therapeutic perspectives. Multiple species have now been identified within the *Aspergillus* section *Fumigati* and the possible relationship between their metabolite profiles and pathogenicity are discussed (Frisvad and Larsen). The worldwide problem of emerging azole resistance among *Aspergillus* spp. is illustrated by an interesting epidemiological study and update of the situation in Asia (Chowdhary et al.). In addition, the existence of mechanisms of azole resistance other than mutations of the *Cyp51A* gene, such as increased expression of ATP-binding cassette (ABC) transporters, is

highlighted (Moye-Rowley). To counteract emerging resistance, novel potential antifungal targets are being investigated and several research groups present their latest updates on the cell wall integrity signaling pathway (Valiante et al.), the Ras pathway (Al Abdallah and Fortwendel), the Hsp90-calcineurin network (Juvvadi et al.; Lamoth et al.), and the regulation of zinc and iron homeostasis (Schaffner et al.; Vicentefranqueira et al.). Finally, because innate and adaptive immunity are key determinants in the development of IA, the analysis of host-pathogen interactions represents a promising research area. The current knowledge about the immune responses mediated via T-helper cells is presented in two reviews (Amarsaikhan and Templeton; Thakur et al.). The recent identification of host genetic determinants of IA, such as TLRs polymorphisms, also opens perspectives for preventive strategies (Oliveira-Coelho et al.).

This overview on the recent advances in *A. fumigatus* pathobiology suggests that we are entering a new era in the approach and management of IA. The epidemiology and pathophysiology of the disease has become more complex, with emerging resistance to triazoles and the increased diversity of immunosuppression types and host susceptibilities. Combination therapies of existing compounds or novel molecules that may enhance their activity or modulate the pattern of host immune recognition, as well as personalized diagnostic and therapeutic strategies based on individual susceptibility profiles of high risk patients, may change our conventional approach of IA and hopefully result in better outcomes.

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FL: Design and writing; PJ: Design and writing; WS: Design and writing.

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Extrolites of *Aspergillus fumigatus* and Other Pathogenic Species in *Aspergillus* Section *Fumigati*

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Aspergillus fumigatus is an important opportunistic human pathogen known for its production of a large array of extrolites. Up to 63 species have been described in *Aspergillus* section *Fumigati*, some of which have also been reliably reported to be pathogenic, including *A. felis*, *A. fischeri*, *A. fumigatiaffinis*, *A. fumisynnematus*, *A. hiratsukae*, *A. lacinosus*, *A. lentulus*, *A. novofumigatus*, *A. parafelis*, *A. pseudofelis*, *A. pseudoviridinutans*, *A. spinosus*, *A. thermomutatus*, and *A. udagawae*. These species share the production of hydrophobins, melanins, and siderophores and ability to grow well at 37°C, but they only share some small molecule extrolites, that could be important factors in pathogenicity. According to the literature gliotoxin and other exometabolites can be contributing factors to pathogenicity, but these exometabolites are apparently not produced by all pathogenic species. It is our hypothesis that species unable to produce some of these metabolites can produce proxy-exometabolites that may serve the same function. We tabulate all exometabolites reported from species in *Aspergillus* section *Fumigati* and by comparing the profile of those extrolites, suggest that those producing many different kinds of exometabolites are potential opportunistic pathogens. The exometabolite data also suggest that the profile of exometabolites are highly specific and can be used for identification of these closely related species.

Keywords: *Aspergillus*, gliotoxin, fumagillin, extrolites, proxy-exometabolites

INTRODUCTION

The genus *Aspergillus* comprises 344 species (Samson et al., 2014), and some of these can cause human diseases. *A. fumigatus* is the most important species (Latgé, 1999), but several other species in *Aspergillus* section *Fumigati* have been shown to be pathogenic in humans and animals with an inefficient immune system, including *A. lentulus* (Balajee et al., 2005a; Alhambra et al., 2008; Alcazar-Fuoli et al., 2014; Howard, 2014), *A. fumisynnematus* (Alcazar-Fuoli et al., 2014), *A. fumigatiaffinis* (Alcazar-Fuoli et al., 2014), *A. novofumigatus* (Peláez et al., 2013), *A. felis* (Barrs et al., 2013), *A. fischeri* (Kano et al., 2015), *A. viridinutans* (Vinh et al., 2009a; Coelho et al., 2011; Alcazar-Fuoli et al., 2014), *A. pseudofelis*, *A. pseudoviridinutans*, and *A. parafelis* (Sugui et al., 2014), *A. thermomutatus* (Toskova et al., 2013; Alcazar-Fuoli et al., 2014; Howard, 2014; Khare et al., 2014), *A. lacinosus* (Malejczyk et al., 2013), *A. hiratsukae* (Guarro et al., 2002; Alcazar-Fuoli et al., 2014), *A. spinosus* (Sutton et al., 2002); and *A. udagawae* (Kano et al., 2008; Vinh et al., 2009b; Sugui et al., 2010; Posteraro et al., 2011; Gyotoku et al., 2012; Kano et al., 2013). The taxonomy and identification of the causing Aspergilli is not always clear-cut and some isolates have been

misidentified (Balajee et al., 2005a,b, 2006; Álvarez-Pérez et al., 2014; Howard, 2014). For example pathogenic isolates identified as *A. viridinutans* (Varga et al., 2000; Vinh et al., 2009a; Kano et al., 2013) proved to be *A. felis*, *A. pseudoviridinutans*, *A. parafelis*, or *A. pseudofelis* (Barrs et al., 2013; Novaková et al., 2014; Sugui et al., 2014). *Aspergillus* species in subgenus *Circumdati* have also been reported as pathogenic including *Aspergillus terreus* in section *Terrei*, *A. flavus* in section *Flavi* and *A. tubingensis* in section *Nigri*, *A. persii*, and *A. tanneri* in section *Circumdati*, *A. nidulans* in section *Nidulantes*, (Sugui et al., 2012, 2015; Howard, 2014; Visagie et al., 2014) and *Aspergillus* section *Phialosimplex* [*Ph. caninus* = *Aspergillus caninus* and *Ph. salinarum* = *Aspergillus salinarum* (Sigler et al., 2010; Greiner et al., 2014)]. Small molecule extrolites (secondary metabolites) have been shown to be involved in the infection process (Kamei and Watanabe, 2005; Abad et al., 2010), so it might be expected that the pathogenic *Aspergilli* produce the same extrolites. In this review we examine whether the closely related pathogenic species in *Aspergillus* section *Fumigati* produce the same extrolites.

ASPERGILLUS TAXONOMY

Since 2011, all ascomycetous species can only have one name (Hawksworth et al., 2011; Hawksworth, 2012; McNeill et al., 2012). All species formerly included in *Dichotomomyces*, *Cristaspora*, *Phialosimplex*, *Polypaecilum*, in addition to *Penicillium inflatum*, have been formally combined into *Aspergillus* (Houbraken et al., 2014; Samson et al., 2014). Furthermore, all species of *Eurotium*, *Emericella*, *Chaetosartorya*, *Fennellia*, *Neocarpenteles*, *Neopetromyces*, *Neosartorya*, *Petromyces*, *Saitoa*, and *Stilbothamnium* have also been transferred to *Aspergillus* (Samson et al., 2014). Ascoma producing species in section *Fumigati* were originally described under the name *Neosartorya* (Samson et al., 2006, 2007), but have now all been transferred to *Aspergillus* (Samson et al., 2014). Several of the species originally thought to produce only the asexual state have later been shown to be able to produce mature ascomata when crossed with the opposite mating type, for example *A. fumigatus* (O'Gorman et al., 2009) and *A. lentulus* (Swilaiman et al., 2013). Other opportunistically pathogenic species such as *A. flavus* (Horn et al., 2009), *A. tubingensis* (Horn et al., 2013), and *A. terreus* (Samson et al., 2011; Arabatzis and Velegraki, 2013) can also produce mature ascomata when crossed with the opposite mating type. All species in *Aspergillus* and *Penicillium* have now been placed in the family *Aspergillaceae* (Houbraken and Samson, 2011). Species in *Aspergillus* section *Fumigati* are both phenotypically and genotypically distinct (Raper and Fennell, 1965; Geiser et al., 1998; Hong et al., 2005, 2006, 2008; Katz et al., 2005; Geiser et al., 2007; Samson et al., 2007; Yaguchi et al., 2007). *Aspergillus lentulus* was originally claimed to be a sibling species of *A. fumigatus*, but was later shown to be phenotypically very different from *A. fumigatus*, especially concerning extrolite profiles (Larsen et al., 2007; Tamiya et al., 2015). The species *A. pseudofelis*, *A. parafelis*, and *A. pseudoviridinutans* have not been examined chemically, but they are very close phylogenetically and morphologically to *A. felis* and may be real sibling species with no phenotypic

differences (Sugui et al., 2014). The 63 species listed in **Table 1** are all those that have been described in *Aspergillus* section *Fumigati* and *Neosartorya*, but some of them are not yet available for the scientific community, so their identity and probably synonymy with other species is unknown. Samson et al. (2007) indicated that several species were synonyms of already known species in *Aspergillus* section *Fumigati* and *Neosartorya*. Thus the total number of species in *Fumigati* may be less than 63.

CHEMOTAXONOMY OF ASPERGILLUS SUBGENUS FUMIGATI

Species in subgenus *Fumigati* can produce many different extrolites (Frisvad and Samson, 1990; Samson et al., 2007; Stack et al., 2007; Varga et al., 2007; Frisvad et al., 2009; Sanchez et al., 2012; Kang et al., 2013; Frisvad and Larsen, 2015) of which some are specific to section *Fumigati*, while others are shared with the closely related section *Clavati* and the *Dichotomomyces* clade. *Aspergillus cepii* in the *Dichotomomyces* clade produces gliotoxin, acetylglutathione, acetylglutathione G, bis(dethio)bis(methylthio)gliotoxin, fiscalin B, xanthocillin X monomethylether, tryptoquivalones, emindole SB, emindole SB β -mannoside, and 27-O-methylasporizyn (Varga et al., 2007; Harms et al., 2014; Rodrigues et al., 2015) possibly in addition to asporizyn A-C, emeniveol, JBIR-03, and asporergosterol and other sterols (Qiao et al., 2010a,b). The producing strain of the latter exometabolites was probably misidentified as *A. oryzae*, since none of these exometabolites have ever been found in *A. oryzae* (Rank et al., 2012). Apart from some few other shared extrolites with *Aspergillus* species in other sections, most extrolites are unique to section *Fumigati*.

Aspergillus section *Clavati* contains species mostly associated to dung, and have not been reported to cause infections of vertebrate lungs (Varga et al., 2007). Species in *Aspergillus* section *Clavati* produce several bioactive extrolites, but few of these are found in *Aspergillus* section *Fumigati*. Examples of such *Aspergillus* section *Clavati* specific extrolites include patulin, cytochalasin E and K, antafumicins, expansolides, and clavotols, and these extrolites may be important for competition in a dung habitat, rather than in the compost habitats in which species of *Aspergillus* section *Fumigati* thrives. Some similar extrolites are in common between species in *Aspergillus* sections *Fumigati* and *Clavati*, however. Ribotoxins like the sarcins in *Aspergillus* section *Clavati* (Varga and Samson, 2008) are closely related to mitogillin and restrictocin in *Aspergillus* section *Fumigati* (Kao et al., 2001; Schwienbacher et al., 2005; Virágh et al., 2014). Furthermore, some tryptoquivalins are produced by species in both *Aspergillus* sections.

Like other filamentous fungi, *A. fumigatus* isolates produce extrolites in a species specific manner (Larsen et al., 2005; Frisvad et al., 2008), but some strains do not produce all the extrolites expected. This weaker exometabolic vigor is most pronounced in isolates directly isolated from patients (Frisvad and Samson, 1990; Tamiya et al., 2015). These isolates are often floccose and less strongly sporulating. However, isolates from natural habitats, such as compost, always sporulate heavily and

TABLE 1 | Species in *Aspergillus* section *Fumigati* and their extrrolite production (species written in bold are known to be pathogenic to humans and/or other mammals).

<i>Aspergillus arcovendensis</i> : N.E. (Matsusawa et al., 2015)
<i>Aspergillus assulatus</i> : aszonapyrone A, indole alkaloids and apolar metabolites (Samson et al., 2007)
<i>Aspergillus auratus</i> : helvolic acid (Samson et al., 2007)
<i>Aspergillus aureolus</i> : fiscalins, fumagillin, fumiquinazolines, helvolic acid, pseurotin A, tryptovalines, tryptovalones, viriditoxin (Samson et al., 2007; Kaur et al., 2013)
<i>Aspergillus australensis</i> : aszonalenins, wortmannins (Samson et al., 2007)
<i>Aspergillus beijingensis</i> : N.E. (Li et al., 1998)
<i>Aspergillus botucatensis</i> (= <i>A. spinosus</i>) (Horie et al., 1995; Samson et al., 2007)
<i>Aspergillus brevipes</i> : roquefortine C, cf. meleagrins, viriditoxin (trace) (Lillehoj and Milburn, 1973; Samson et al., 2007)
<i>Aspergillus brevistipitatus</i> : N.E. (Novaková et al., 2014)
<i>Aspergillus caatingensis</i> : N.E. (Matsusawa et al., 2014b)
<i>Aspergillus conversis</i> : N.E. (Novaková et al., 2014)
<i>Aspergillus "coreanus" (Neosartorya coreana)</i> : aszonalenins (Samson et al., 2007)
<i>Aspergillus delicatus</i> (= <i>A. tatenoi</i>) (Samson et al., 2007)
<i>Aspergillus denticulatus</i> : gliotoxin, viriditoxin (Samson et al., 2007)
<i>Aspergillus duricaulis</i> : asperdurin, asperpentyn, cyclopaldic acid, duricaulic acid, fumagillin, 3-O-methylcyclopolic acid, furochromanols and phthalides, pseurotin A (Brillinger et al., 1978; Achenbach et al., 1982a,b, 1985a,b; Mühlenfeld and Achenbach, 1988a,b; Samson et al., 2007)
<i>Aspergillus felis</i> : fumagillin, fumigaclavine C, fumitremorgin A and C, helvolic acid, monomethylsulochrin, pyripropene A, E, O, S, trypacidin (reported as " <i>A. viridinutans</i> ", Tamiya et al., 2015, but <i>A. viridinutans</i> has a very different profile of extrrolites, and many isolates reported as <i>A. viridinutans</i> have been shown to be <i>A. felis</i> ; Barrs et al., 2013)
<i>Aspergillus fennelliae</i> : asperfuran, aszonalenins, fumigaclavines, viridicatumtoxin (Samson et al., 2007)
<i>Aspergillus ferenczii</i> : asperfuran, aszonalenins, fumigaclavine, fumigatins, cf. gliotoxin, viridicatumtoxin (Samson et al., 2007)
<i>Aspergillus fischeri</i> : 5-N-acetylardeemin, 5-N-acetyl-15b-didehydroardeemin, 5-N-acetyl-16-hydroxyardeemin, acetylazonalenin, ardeemin, aszonalenin, aszonapyrone A, B, cottoquinazolin E & F, cyclotryprostatin B, 12 α ,13 α -dihydroxyfumitremorgin C, <i>rel</i> -(8S)-19,20-dihydroxy-8-methoxy-9,18- <i>epi</i> fumitremorgin C, fiscalin A, B, C, fischerin, 1-formyl-5-hydroxyaszonalenin, fumitremorgin A, B, C, helvolic acid, 6-hydroxyaszonalenin, 15b- β -hydroxy-5-N-ardeemin, isoterrein, neofipiperazine A, B, C, neosartorin, nortryptovalone, 13-oxofumitremorgin B, pyripropene A, pyripropene S, sarcins, sartorypyrone B & D, sesterfischeric acid, sesterfischerol, terrein, TR-2, trypacidin, tryptovalines, verruculogen (Samson et al., 1990; Wong et al., 1993; Wakana et al., 2006; Samson et al., 2007; Yin et al., 2009; Eamvijarn et al., 2013a; Lee et al., 2013; Gomes et al., 2014; Shan et al., 2014; Sodngam et al., 2014) (as " <i>Xylaria humosa</i> ") (Zhang et al., 2014; Zheng et al., 2014; Kaifuchi et al., 2015; Shan et al., 2015; Ye et al., 2015). There are indications that <i>A. fischeri</i> can also produce fumagillin (Lin et al., 2013; Wiemann et al., 2013)
[fiscalin B, helvolic acid, helvolic acid, 27- <i>epi</i> -nortryptovaline, setosusin, 2-(1-oxo-2-hydroxyethyl)furan, 27- <i>epi</i> -tryptovaline, was found in " <i>Corynascus setosus</i> ," which is probably an <i>Aspergillus fischeri</i> or alternatively the <i>Corynascus</i> culture was overgrown by <i>A. fischeri</i> ; Fujimoto et al., 1996]
[cladoquinazoline, <i>epi</i> -cladoquinazoline, CS-C, deoxynortryptovaline, deoxytryptovaline, glyantrypine, 3-hydroxyglyantrypine, norquinadoline A, oxoglyantrypine, prelapatin B, quinadoline A, B, tryptovaline was reported from a <i>Cladosporium</i> sp., but the culture may have been overgrown with an <i>Aspergillus fischeri</i> ; Peng et al., 2013]
<i>Aspergillus fumigatiifinis</i> : auranthine, cycloechinuline, fumigaclavines, helvolic acid, neosartorin, palitantin, pyripropene A, E, O, S, tryptovalins (Samson et al., 2007; Ola et al., 2014)
<i>Aspergillus fumigatus</i> : Wang compound 1,2,3, Zhao compound 1, 2, 3, Zuck compound 1,2,3, N-acetyltyramine, asperfumigatin, asperfumin, asperfumoid, azaspiroene, bisdechlorogedonin, chaetominine, bisdethio(bismethylthio)gliotoxin, brevianamide F, 4-carboxy-5,5'-dihydroxy-3,3'-dimethyldiphenylether, cephalimycin A, B, C, D, 2-chloro-1,3,8-trihydroxy-6-methyl-9-anthrone, cyclo-(Ala-Val), cyclotryprostatin A, B, C, D, cyclo(L-4-hydroxyproline-L-leucine), cyclo(L-4-hydroxyproline-L-phenylalanine), cyclo(L-Pro-L-Pro), cyclo(L-Pro-L-Gly), cyclo(L-Pro-L-Leu), cyclo(L-Pro-L-Pro), cyclo(L-Pro-L-Val), cyclo(L-Val-L-Leu), cyclotryprostatin C, 9-deacetoxyfumigaclavine C, 9-deacetylumigaclavine C, 13-dehydroxycyclotryprostatin C, demethoxyfumitremorgin C, (4S,5S,6S,8S,9S,10R,13R,14S,16S,17Z)-6,16-diacetoxy-25-hydroxy-3,7-dioxy-29-nordammara-1,17(20)-dien-21-oic acid, didehydrobisdethiobis(methylthio)gliotoxin, difructosedianhydride, 1,2-dihydrohelvolic acid, 12,13-dihydroxyfumitremorgin C = TR-3, 2,3-dihydroxy-5-methyl-1,4-benzoquinone, 5,8-dihydroxy-9,12-octadecadienoic acid, 2,6-dihydroxyphenylacetic acid, dimethoxyfumitremorgin C, emodin, emodin 1,6-dimethylether, epoxysuccinic acid, ferrichrome C, festuclavine, FD-889, FK-463, fumagillin, fumagiringillin, fumifungin, fumigaclavine A, B, C,D, E, F, G, H (fumigaclavine A reported also from <i>A. tamarii</i> , but this was an <i>A. fumigatus</i> , Janardhanan et al., 1984), fumigatin, fumigatin chlorohydrin, fumigatin oxide, fumigatin quinol, fumigatin (identity of producer not verified), fumigatoside B, C, and D, fumipyrrole, fumiquinazolin A, B, C, D, E, F, G, J, and K, fumiquinone A and B, fumitremorgin A, B, C, and D, (GERI-BP002-A), fusarinine C, glionitrin A and B, gliotoxin, gliotoxin E and G, helvolic acid, helvolic acid, hexahydroxyprenol-18, 19, 20, 21, 22, 23, 24, 3- β -hydroxy-cyclo-L-tryptophyl-L-proline, 2-hydroxy-3-methoxy-5-methyl-1,4-benzoquinone, N-(2-(4-hydroxyphenyl)ethenyl)formamide, 14-hydroxyterezine D, 3-hydroxytoluquinone, 20-hydroxytryprostatin B, isochaetominin, isorhodoptilometrin, LL-S490 β , 6-methoxyspirotryprostatin B, 8'-O-methylasteric acid, 11-O-methylpseurotin, monomethylsulochrin, orsellinic acid, 13-oxofumitremorgin B, 18-oxotryprostatin A, 13-oxo-verruculogen, 14-norpseurotin A, N-prenyl-cyclo-L-tryptophyl-L-proline, pseurotin A, A1, A2, D, F1, F2, pyripropene A, B, C, D, E, F, G, H, I, J, K, L, M, N, O, P, Q, R, and S, questin, RK-95113, <i>cis</i> and <i>trans</i> -ruakuric acid (Cutler et al., 1996, identity of fungus could not be checked), Sch-528647, sphingofungin A, B, C, D, spinulosin, spinulosin hydrate, spinulosin quinol hydrate, spirotryprostatin A, B, C, D, and E, synerazol, terezine D, TR-2, 1,2,3,4-tetrahydroxy-5-methylbenzene, 4,8,10,14-tetramethyl-6-acetoxy-14-[16-acetoxy-19-(20,21-dimethyl)-18-ene]phenanthrene-1-ene-3,7-dione, 3-thiomethyl-cyclo(Ser, Phe), triacetylfulvarine, tryptostatin A, B, trypacidin, 1,2-seco-tryprostatin, tryptovaline F, G, H, I, J, L, M, and N, tryptovalin R?, S?, verruculogen (Samson et al., 1990; Land et al., 1993; Cui et al., 1996; Tepsic et al., 1997; Furtado et al., 2005; Han et al., 2007a,b; Samson et al., 2007; Wang et al., 2008; Zhang et al., 2008 (as " <i>A. sydowii</i> "); Frisvad et al., 2009; Zhao et al., 2010; Afyatullov et al., 2012; Zhang et al., 2012; Cano et al., 2013; Ding et al., 2013; Zhou et al., 2013; Alcazar-Fuoli et al., 2014; Haas, 2014; Kim et al., 2014; Owens et al., 2014; Wiekmann et al., 2014; Xu et al., 2014; Liang et al., 2015; Liu et al., 2015; MacHeleidt et al., 2015; Tamiya et al., 2015; Xie et al., 2015)

(Continued)

TABLE 1 | Continued

[*Aspergillus fumigatus* (fungus misidentified): antafumicin A and B, cytochalasin E, expansolide A and B Macías et al., 2003, the strain used was misidentified and was an *Aspergillus clavatus*; isosclerone Li et al., 2014]

***Aspergillus fumisynnematus*:** cyclopiazonic acid, fumimycin, neosartorin, pyripyropens (Kwon et al., 2007; Samson et al., 2007)

Aspergillus galapagensis: gregatins (Samson et al., 2007)

***Aspergillus hirsutukae*:** avenaciolide (Samson et al., 2007)

Aspergillus huiyanae: N.E. (Matsusawa et al., 2014a)

Aspergillus indohii: N.E. (Horie et al., 2003)

***Aspergillus laciniosus*:** aszonalenins, aszonapyrone A and B, 3'-(4-oxoquinazolin-3-yl)spiro[1H-indol-3,5'-oxolane]2,2'-dione, 4(3H)-quinazoline, tryptoquivaline L & T (Samson et al., 2007; Eamvijarn et al., 2013a; Gomes et al., 2014)

***Aspergillus lentulus*:** auranthine, cyclopiazonic acid, fumifungin, fumigaclavine A, B, C, fumiquinazoline F or G, monomethylsulochrin, neosartorin, pyripyropen A, E, O, S, sphingofungin A, B, C, D, terrein, trypacidin (Larsen et al., 2007; Samson et al., 2007; Frisvad et al., 2009; Tamiya et al., 2015)

Aspergillus marvanovae: apolar indoloterpenes (Hubka et al., 2013)

Aspergillus multiplicatus: aszonapyrone A, helvolic acid (Samson et al., 2007)

Aspergillus neoglaber: asperpentyn, avenaciolide, glabramycin A, B, C, Mer-NF8054A, Mer-NF8054X, NK-372135A, B, C, sartoryglabrin A, B, C, wortmannins (Ellis et al., 1964; Morino et al., 1994; Samson et al., 2007; Jayasuriya et al., 2009; Kijjoa et al., 2011)

Aspergillus nishimurae: Anishidiol, 4-hydroxybenzaldehyde, 4-methylbenzylalcohol, monochaetin (Hosoe et al., 2011)

***Aspergillus novofumigatus*:** Dihydroterrein, epi-aszonalenin A, B, C, ent-cycloechinulin, dihydroterrein, fiscalins, helvolic acid, neosartorin, novoamauromin, novobenzomalvin A, B, C, novofumigatamide, novofumigatonin, palitantin, terrein, territrem B (Rank et al., 2006; Samson et al., 2007; Rank et al., 2008; Hosoe et al., 2009; Ishikawa et al., 2010a,b, 2011)

Aspergillus otanii = *A. fennelliae* (Takeda et al., 2001; Samson et al., 2007)

Aspergillus papuensis: wortmannins (Samson et al., 2007)

***Aspergillus parafelis*:** N.E. (Sugui et al., 2014)

Aspergillus paulistensis [= *A. spinosus* according to Samson et al. (2007)]: 3'-(4-oxoquinazolin-3-yl)spiro[1H-indol-3,5'-oxolane]2,2'-dione, 4(3H)-quinazoline, sartorypyrone C, tryptoquivaline L (Horie et al., 1995; Gomes et al., 2014)

Aspergillus pernambucoensis: N.E. (Matsusawa et al., 2014b)

Aspergillus primulinus = *A. quadricinctus* (Samson et al., 2007)

***Aspergillus pseudofelis*:** N.E. (Sugui et al., 2014)

Aspergillus pseudoviridinutans: N.E. (Sugui et al., 2014)

Aspergillus quadricinctus: aszonalenins, PF1223, quinolactacin (Ozoe et al., 2004; Samson et al., 2007)

***Aspergillus qizutongii*:** N.E. (Li et al., 1998)

Aspergillus shendawei: N.E. (Yaguchi et al., 2010)

Aspergillus siamensis: chevalone B, C, 4-dihydroxy-3-methylacetophenone, fiscalin A, C, epi-fiscalin A, C, neofiscalin A, epi-neofiscalin A, 3'-(4-oxoquinazolin-3-yl)spiro[1H-indole-3,5'-oxolane]-2,2'-dione, sartorymensen, tryptoquivaline, tryptoquivaline F, H, L, O (Buttachon et al., 2012; Eamvijarn et al., 2013b)

Aspergillus similanensis: chevalone, B, C, E, 6,8-dihydroxy-3,7-dimethylisocoumarin, 6,8-dihydroxy-3-methylisocoumarin, p-hydroxybenzaldehyde, 5-hydroxy-8-methyl-2H,6H-pyrano[3,4-g]chromene-2,6-dione, pyripyropen E, S, and T, reticulol, S14-95, similanamide, similanpyrone C (Prompanya et al., 2014, 2015)

Aspergillus solicola: aszonalenins, chromanols, tryptoquivalines, tryptoquivalones, wortmannins (Samson et al., 2007, 2014)

Aspergillus spathulatus: aszonalenins, xanthocillins (Samson et al., 2007)

***Aspergillus spinosus*:** aszonalenins, pseurotins, 2-pyrovoylaminobenzamide, fumigachlorin (Atsumi et al., 1970; Samson et al., 2007)

Aspergillus stramenius: avenaciolide, quinolactacin (Samson et al., 2007)

Aspergillus sublevisporus: N.E. (Someya et al., 1999)

Aspergillus takakii = *A. spinosus* (?) (Samson et al., 2007): acetylaszonalenin, aszonalenin, aszonapyrone A, chevalone B, 6-hydroxymellein, 3'-(4-oxoquinazolin-3-yl)spiro[1H-indole-3,5'-oxolane]-2,2'-dione, tryptoquivaline F, H, L, U (Zin et al., 2015)

Aspergillus tatenoi: aszonalenin, aszonapyrone A, B, tatenic acid (Samson et al., 2007; Yim et al., 2014)

***Aspergillus thermomutatus*:** 6-acetyl(bis(methylthio)gliotoxin, acetylgliotoxin, asperfuran, bisdethiobis(methylthio)gliotoxin, bis-N-norgliovictin, brasilianamide B, cadinene, CJ-12662?, 3,8-diacetyl-4-(3-methoxy-4,5-methylenedioxy)benzyl-7-phenyl-6-oxa-3,8-diazabicyclo[3.2.1]octane, didehydrobisdethiobis(methylthio)gliotoxin, euchevalerine, fiscalins (?), fischerindoline, gliotoxin, helvolic acid, 3-hydroxy-5-methylphenyl-2,4-dihydroxy-6-methylbenzoate, N-methyl-1H-indole-2-carboxamide, neosartorin A, B, C, pseudofischerine, pyripyropen A, E, O, S, 1,2,3,4-tetrahydro-2,3-dimethyl-1,4-dioxopyrazino[1,2-a]indole, 1,2,3,4-tetrahydro-2-methyl-3-methylene-1,4-dioxopyrazino[1,2-a]indole, 1,2,3,4-tetrahydro-2-methyl-1,3,4-trioxopyrazino[1,2-a]indole, (tryptoquivalin R, S ?) [maybe: misidentified as "*Eurotium chevalieri*": cadinene, chevalone A, B, C, D, aszonapyrone A, B, euchevalerine, CJ-12662 Kanokmedhakul et al., 2011] (Samson et al., 2007; Eamvijarn et al., 2012; Masi et al., 2013; Xu et al., 2013; Liang et al., 2014)

Aspergillus tsunodae: helvolic acid, sartorypyrone A and B (Yaguchi et al., 2010; Eamvijarn et al., 2013a; Gomes et al., 2014)

Aspergillus tsurutae: N.E. (Horie et al., 2003)

Aspergillus turcosus: aszonalenins, gliotoxin, kotanins (Samson et al., 2007; Hubka et al., 2013)

(Continued)

TABLE 1 | Continued

<i>Aspergillus udagawae</i>: fumagillin, fumigaclavine A and C, fumigatins, fumiquinazolin F or G, helvolic acid, monomethylsulochrin, pyripyropene A, E, trypacidin, tryptoquivalines, tryptoquivalones (Samson et al., 2007; Tamiya et al., 2015)
<i>Aspergillus unilateralis</i> : aszonapyrones, mycophenolic acid (Samson et al., 2007; Hubka et al., 2013)
<i>Aspergillus viridinutans</i> : 4-acetyl-6,8-dihydroxy-5-methyl-2-benzopyran-1-1 A, 13-O-methylviriditin, phomaligin A, SC-28763, SC-30532, semiviriditoxin, viriditoxin, viritin, viriditin, wasabidienone B0 and B1 (Omolo et al., 2000; Samson et al., 2007)
<i>Aspergillus waksmanii</i> : apolar indoloterpenes (Hubka et al., 2013)
<i>Aspergillus wangduanglii</i>: N.E. (Li et al., 1998)
<i>Aspergillus wyomingensis</i> : N.E. (Novaková et al., 2014)

N.E: Not Examined.

produce most of the expected species specific extrolites (Frisvad and Samson, 1990; Tepsic et al., 1997; Hong et al., 2010a,b). Production of small molecule extrolites is depending on the growth conditions and the growth media (Nielsen et al., 2011; Frisvad, 2012; Brakhage, 2013), and some of these extrolites may need biological / chemical stimulants of the producing fungus to be expressed (Brakhage and Schroeckh, 2011; Zuck et al., 2011; Netzker et al., 2015).

Being species specific, the difference between the extrolites profiles of different species of *Aspergillus* section *Fumigati* can be used in identification of the species in *Aspergillus* section *Fumigati* as an alternative to sequence-based or MALDI-TOF based identification (Panda et al., 2015), or used together with morphology and physiology in a polyphasic identification approach (Samson et al., 2007). For example *A. fumigatus* can be distinguished from *A. lentulus* by exometabolite profiling (Larsen et al., 2007), MALDI-TOF (Verwer et al., 2014), and sequencing (Balajee et al., 2005a; Samson et al., 2007), but only partially by morphology and Raman spectroscopy (Verwer et al., 2014).

EXTROLITES PRODUCED BY ASPERGILLUS FUMIGATUS AND OTHER PATHOGENIC SPECIES IN FUMIGATI

A. fumigatus has been reported to produce many different extrolites that are bioactive and may contribute to infection in humans and other animals (Amitani et al., 1995; Tomee and Kauffman, 2000; Reeves et al., 2006; Cramer et al., 2009; Abad et al., 2010; Coleman et al., 2011). Melanins are polyketide derived conidium pigments that may have an influence on the infection process (Tsai et al., 1998; Jahn et al., 2000; Tsai et al., 2001; Langfelder et al., 2003). Since all species in *Aspergillus* section *Fumigati* produce green conidia, it is expected that they all contain melanin (Perrin et al., 2007). Another more general small molecule pathogenicity factor is siderophores, of which *A. fumigatus* produces fusarinine C and triacetyl-fusarinine C extracellularly (Haas, 2014; Petrik et al., 2014). Furthermore hydrophobins are also present in all species of *Aspergillus* section *Fumigati* (Geiser et al., 1998; Pedersen et al., 2011). These proteins will protect conidia from being recognized by the immune system in mammals (Aimanianda et al., 2009). Other proteins, especially proteases also play a role in the infection process and may be expected to be produced by many pathogenic

species (Tomee and Kauffman, 2000; Abad et al., 2010; Dhingra et al., 2012). Small molecule siderophores are also considered to be important pathogenicity factors, and given the general importance for fungi they can be expected to be produced by all pathogenic species of *Aspergillus* (Fedorova et al., 2008; Abad et al., 2010; Haas, 2014), but probably also by non-pathogenic species.

However, other extrolites are not produced by all species in *Aspergillus* section *Fumigati*. Gliotoxin has long been known to be important for the infection process by inhibiting the immune response, phagocytosis and angiogenesis (Watanabe et al., 2003, 2004; Tsunawaki et al., 2004; Bok et al., 2005; Lewis et al., 2005; Stanzani et al., 2005; Coméra et al., 2007; Sugui et al., 2007; Ben-Ami et al., 2009; Abad et al., 2010). Gliotoxin has been reported from the pathogenic species *A. fumigatus* and *A. thermomutatus*, but also from *A. denticulatus*, *A. fereczi* and *A. turcosus* (Table 1) the latter three not yet known to be pathogenic. Annotation of the genomes of *A. fumigatus* and *A. fischeri* indicates that the latter species can also produce gliotoxin given the right conditions (Inglis et al., 2013). However, many other *Aspergillus* section *Fumigati* extrolites appear to be involved in pathogenesis. Verruculogen, produced by *A. fumigatus* and *A. fischeri*, modifies electrophysical properties of the human nasal epithelial cells (Khoulache et al., 2007) but is also a potent tremorgen (Land et al., 1993; Kelman et al., 2004). Verruculogen and fumitremorgin C (Rabindran et al., 2000) are produced by *A. fumigatus* and *A. fischeri* (Table 1) in section *Fumigati*. Fumagillin suppresses the immune response, neutrophil function and angiogenesis (Fallon et al., 2010, 2011) and is produced by the pathogenic species *A. felis*, *A. fumigatus*, and *A. udagawae*, but also by species in *Aspergillus* section *Fumigati*, such as *A. aureolus* and *A. viridinutans* that have not been reported as yet to be pathogenic (Table 1). Pseurotin A is an inhibitor of immunoglobulin E and is responding to hypoxia (Schmeda-Hirschmann et al., 2008; Ishikawa et al., 2009; Vödisch et al., 2011). Pseurotins are produced by the pathogenic *A. fumigatus* and *A. spinosus*, but are also produced by *A. duricalis* and *A. aureolus* (Table 1). Sulochrin inhibits eosinophil activation (Ohashi et al., 1997, 1998) and is produced by four pathogenic species in section *Fumigati*: *A. felis*, *A. fumigatus*, *A. lentulus*, and *A. udagawae* (Table 1). The related asteric acid is produced by the same species and this extrolite inhibits vascular endothelial growth factor induced tube formation (Lee et al., 2013). Another related extrolite is trypacidin, which is cytotoxic (Gauthier

et al., 2012), but was originally isolated as an antiprotozoan metabolite (Balan et al., 1963). The fumiquinazolins are also cytotoxic (Lim et al., 2014), and are produced consistently by *A. fumigatus* (Frisvad et al., 2009). The fumiquinazolines (Takahashi et al., 1995) are produced by the pathogenic *A. fumigatus* and *A. lentulus*, but are also produced by *A. aureolus* (Table 1). The chemically similar fiscalins and cottoquinazolins (norfumiquinazolins; Ames and Walsh, 2010; Shan et al., 2015) are produced by *A. fischeri*, indicating that these metabolites are of importance for the competitiveness of these fungi. The pyripyropenes have antiangiogenic activity (Hayashi et al., 2009) and are produced by nearly all the known pathogenic species in section *Fumigati*: *A. fumigatus*, *A. fumigatiaffinis*, *A. fumisynnematus*, *A. lentulus*, *A. thermomutatus*, and *A. udagawae* (Table 1). In addition pyripyropenes are produced by *A. similanensis*, a species that has not yet been tested for pathogenicity or isolated from any animal tissues.

Helvolic acid has been reported as an antibiotic and antifungal extrolite (Rementeria et al., 2005), but it also has been reported to affect human respiratory epithelium (Amitani et al., 1995) and the metabolism of macrophages (Shinohara et al., 1992). Helvolic acid has been reported from *Aspergillus auratus*, *A. aureolus*, *A. felis*, *A. fischeri*, *A. fumigatiaffinis*, *A. fumigatus*, *A. multiplicatus*, *A. novofumigatus*, *A. thermomutatus*, *A. tsunodae*, and *A. udagawae*. It is upregulated with gliotoxin in *A. fumigatus* (O'Keeffe et al., 2014). Thus helvolic acid may also be a pathogenicity factor, but of the species listed above *A. auratus*, *A. aureolus*, *A. multiplicatus*, and *A. tsunodae* have not been reported as pathogenic. Among bioactive proteins it seems that mitogillin is playing a role in the infection process (Schwienbacher et al., 2005; Abad et al., 2010), but these ribotoxins have not been screened in the other 62 species in *Aspergillus* section *Fumigati*.

Several small molecule extrolites have not yet been claimed to be involved in pathogenesis. The fumigaclavines are produced by the pathogenic species *A. felis*, *A. fumigatus*, *A. fumigatiaffinis*, and *A. lentulus*, but are also produced by *A. fennelliae* and *A. ferenczii* (Table 1). Even though these ergot alkaloids are associated with conidiation in *A. fumigatus* (Coyle et al., 1981), their role in animal pathogenesis is unknown. The fumigatins have mostly been found in soil-borne strains of *A. fumigatus* (Frisvad and Samson, 1990), and may rather have a role in competitiveness in compost and soil, than in animal pathogenesis.

PREDICTION OF OTHER POTENTIAL OPPORTUNISTIC PATHOGENIC SPECIES IN ASPERGILLUS SECTION FUMIGATI BASED ON EXTROLITES

Among the 63 species described in *Aspergillus* section *Fumigati*, 17 have until now been reported to be opportunistic pathogens of vertebrate animals (in bold, Table 1). Several extrolites have been shown to have a certain role in the infection process, but these extrolites may have a different role in the natural habitats of these fungi, of which plant compost may be the

primary habitat (Latgé, 1999; Abad et al., 2010). It appears that when growing on plant compost these fungi need a certain profile of extrolites (small molecule extrolites and exoproteins), while as vertebrate opportunistic pathogens they may need quite a different profile of extrolites (Abad et al., 2010). For example cellulases would be important in the compost situation (Srivastava et al., 2014; Miao et al., 2015), while hemolysins are probably only important for the vertebrate infection process (Abad et al., 2010). The same would be the case for antifungals and antibiotics, especially anti-streptomycete metabolites, as *A. fumigatus* and other members of *Aspergillus* section *Fumigati* are thermotolerant / thermophilic species competing with other thermotolerant and thermophilic species of fungi and bacteria (Langarica-Fuentes et al., 2014). Several species, such as *A. assulatus*, *A. australensis*, *A. brevipes*, *A. "coreanus"*, *A. duricaulis*, *A. fennelliae*, *A. galapagensis*, *A. neoglaber*, *A. marvanovae*, *A. nishimurae*, *A. papuensis*, *A. quadricinctus*, *A. solicola*, *A. spathulatus*, *A. tatenoi*, *A. unilateralis*, *A. viridinutans*, and *A. waksmanii* produce few if any of the extrolites suspected to play a role in the infection process, and so would not be predicted to be potential opportunistic pathogens of vertebrates. Some species, such as *A. auratus*, *A. denticulatus*, *A. similanensis*, *A. tsunodae*, and *A. turcosus* only produce one of the extrolites believed to play a role in pathogenesis, and may or may not be prospective vertebrate pathogens. Finally *A. aureolus*, *A. ferenczii*, and *A. siamensis* produce several of the extrolites potentially involved in pathogenesis, and thus may be predicted to be potential opportunistic vertebrate pathogens.

The many extrolites that have been suspected to be pathogenicity factors and are produced by species in *Aspergillus* section *Fumigati* are biosynthetically derived from polyketides, amino acids, terpenes, shikimic acid or are of mixed biosynthetic origin. The formula of some of the most important extrolites common in *Aspergillus* section *Fumigati* are shown in Figure 1. Some of the extrolites are not produced in the same patterns in different species in *Aspergillus* section *Fumigati*. While *A. fumigatus* produces fumiquinazolins A–G, J, and K, *A. fischeri* produces the related norfumiquinazolins (Shan et al., 2015). These extrolites may have the same function, even though they are chemically somewhat different. Whether such proxy-extrolites have the same function for pathogenicity in vertebrates is unknown. It is known, however, that other opportunistic pathogenic aspergilli in other sections of *Aspergillus* produce secondary metabolites that are biosynthetically and functionally closely related. While *A. fumigatus*, *A. thermomutatus*, and other species in section *Fumigati* produce gliotoxin, *A. flavus* in *Aspergillus* section *Flavi* can produce aspirochlorine and *A. terreus* in *Aspergillus* section *Terrei* can produce acetylaranotin (Frisvad and Larsen, 2015). While not identical to gliotoxin, these epidithiodioxopiperazines could be predicted to play a role in pathogenicity of *A. flavus* and *A. terreus*. The reports that *A. niger*, *A. flavus*, and *A. terreus* could produce gliotoxin (Lewis et al., 2005; Kupfahl et al., 2008) have not been confirmed (Samson et al., 2011; Varga et al., 2011a,b).

Close phylogenetic relationships seem to be less suited pathogenicity predictors. For example *A. viridinutans* seems to be non-pathogenic, while the closely related *A. felis* is pathogenic

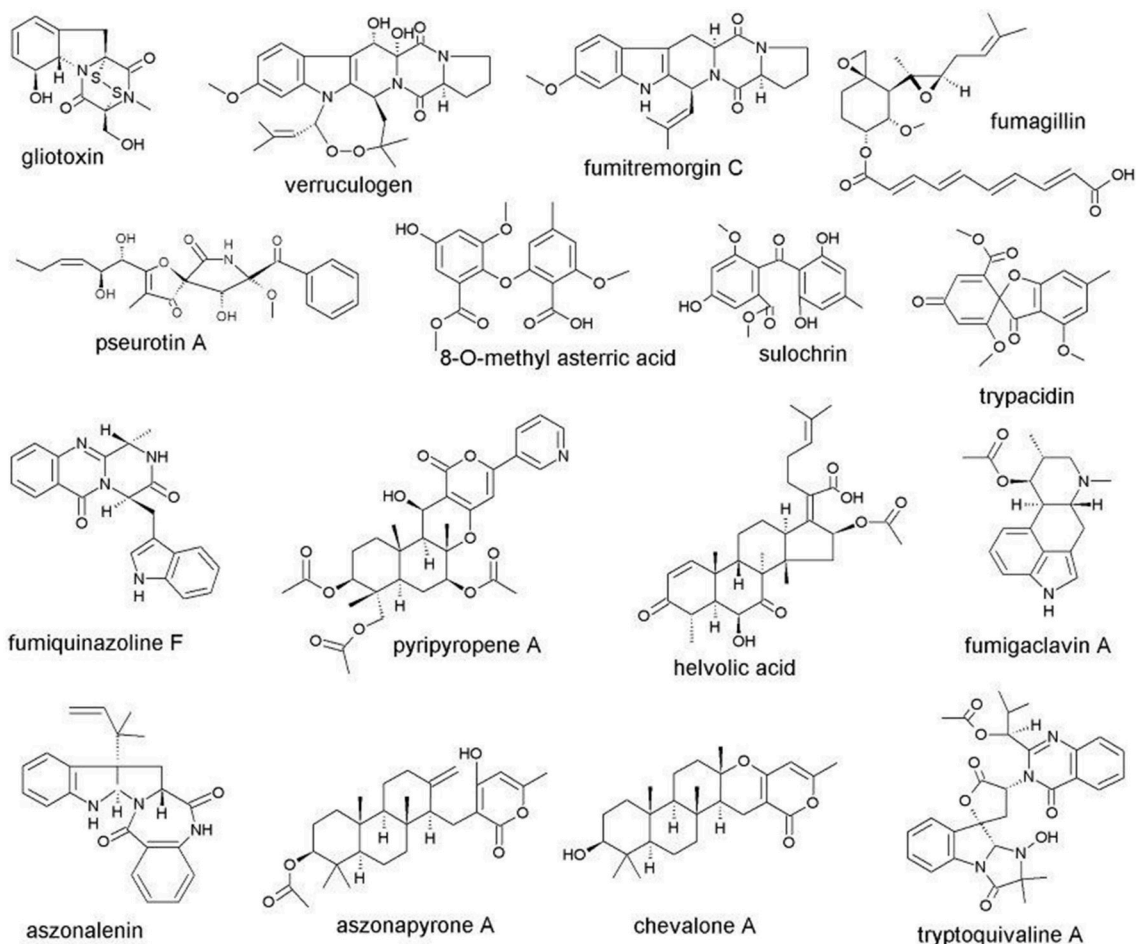


FIGURE 1 | Structures of the most important extrolites from *Aspergillus* section *Fumigati* potentially involved in pathogenesis.

(Barrs et al., 2013; Novaková et al., 2014; Sugui et al., 2014). Good growth at 37°C also seems to be a contributing factor to pathogenicity, and for example *A. brevipes*, *A. duricaulis* and *A. viridinutans* grow relatively poorly at 37°C, and in addition are not considered potentially pathogenic *Aspergillus* species in section *Fumigati*, based on extrolite evidence and absence of reports of pathogenicity. However, while there are many data on the involvement of exometabolites for *A. fumigatus* (Abad et al., 2010), there are few data on production of exoproteins for other opportunistic pathogenic species such as *A. thermomutatus*.

Genome sequencing and systematic comparison of the genomes and transcriptomes of other members of *Aspergillus* section *Fumigati* may help in predicting which pathogenicity factors are especially important (Galaghan et al., 2005; Nierman et al., 2005; Wortman et al., 2006; Fedorova et al., 2008; McDonagh et al., 2008; Chooi et al., 2013; Inglis et al., 2013; Cerqueira et al., 2014; Kusuya et al., 2015; Lind et al., 2015). These data should be compared to phenotypic data such as profiles of

large and small molecule extrolites, growth temperatures, carbon dioxide tolerance etc.

Altogether, approximately one third of the species in *Aspergillus* section *Fumigati* are common pathogenic species, one third are rare species of unknown pathogenicity and one third are predicted to be non-pathogenic, based on their production of relatively few exometabolites. Exometabolite pathogenicity factors found in the successful opportunistic pathogenic fungus *A. fumigatus* may have proxy-exometabolites with the same function in other species in that section, but also in less closely related pathogenic *Aspergilli*, especially species from sections *Nigri*, *Terrei*, *Circumdati*, and *Flavi*.

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Prevalence and mechanism of triazole resistance in *Aspergillus fumigatus* in a referral chest hospital in Delhi, India and an update of the situation in Asia

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Aspergillus fumigatus causes varied clinical syndromes ranging from colonization to deep infections. The mainstay of therapy of *Aspergillus* diseases is triazoles but several studies globally highlighted variable prevalence of triazole resistance, which hampers the management of aspergillosis. We studied the prevalence of resistance in clinical *A. fumigatus* isolates during 4 years in a referral Chest Hospital in Delhi, India and reviewed the scenario in Asia and the Middle East. *Aspergillus* species ($n = 2117$) were screened with selective plates for azole resistance. The isolates included 45.4% *A. flavus*, followed by 32.4% *A. fumigatus*, 15.6% *Aspergillus* species and 6.6% *A. terreus*. Azole resistance was found in only 12 (1.7%) *A. fumigatus* isolates. These triazole resistant *A. fumigatus* (TRAF) isolates were subjected to (a) *calmodulin* and β *tubulin* gene sequencing (b) *in vitro* antifungal susceptibility testing against triazoles using CLSI M38-A2 (c) sequencing of *cyp51A* gene and real-time PCR assay for detection of mutations and (d) microsatellite typing of the resistant isolates. TRAF harbored TR₃₄/L98H mutation in 10 (83.3%) isolates with a pan-azole resistant phenotype. Among the remaining two TRAF isolates, one had G54E and the other had three non-synonymous point mutations. The majority of patients were diagnosed as invasive aspergillosis followed by allergic bronchopulmonary aspergillosis and chronic pulmonary aspergillosis. The Indian TR₃₄/L98H isolates had a unique genotype and were distinct from the Chinese, Middle East, and European TR₃₄/L98H strains. This resistance mechanism has been linked to the use of fungicide azoles in agricultural practices in Europe as it has been mainly reported from azole naïve patients. Reports published from Asia demonstrate the same environmental resistance mechanism in *A. fumigatus* isolates from two highly populated countries in Asia, i.e., China and India and also from the neighboring Middle East.

Keywords: triazole resistant *A. fumigatus*, TR₃₄/L98H, G54E, microsatellite typing, India, Asia

Introduction

Among the *Aspergillus* species, *Aspergillus fumigatus* is the leading etiologic agent of all forms of aspergillosis, which could be attributed to the ubiquitous presence of its thermo-tolerant spores that are refractory to adverse environmental conditions (Kwon-Chung and Sugui, 2013). *A. fumigatus*, in contrast to *Candida albicans*, has no reservoir in the immunocompetent population; thus, infections with *A. fumigatus* are generally environmentally acquired (Verweij et al., 2009; Chowdhary et al., 2013b). Furthermore, aspergillosis is associated with high morbidity and mortality in both immunocompetent and immunosuppressed populations primarily due to difficulties in early diagnosis or delay in recovery of the immune system (Kosmidis and Denning, 2015). Triazole antifungals, the competitive inhibitors of *cyp51A*, are preferred for prophylaxis and treatment of aspergillosis. However, failure of treatment with azoles and a steady increase in the occurrence of triazole resistant *A. fumigatus* (TRAF) isolates from environment as well as clinical settings has been reported (Denning et al., 1997; Mellado et al., 2007; Verweij et al., 2007; Rodriguez-Tudela et al., 2008; Snelders et al., 2008, 2009; Baddley et al., 2009; Howard et al., 2009; Arendrup et al., 2010; Mortensen et al., 2010, 2011; van der Linden et al., 2011, 2013; Burgel et al., 2012; Chowdhary et al., 2012a,b, 2013b, 2014a,b; Alastruey-Izquierdo et al., 2013; Badali et al., 2013; Bader et al., 2013; Escribano et al., 2013; Seyedmousavi et al., 2013; Prigitano et al., 2014; Spiess et al., 2014; Lavergne et al., 2015; Steinmann et al., 2015). The most common mechanism of triazole resistance has been linked to the TR₃₄/L98H mutation with tandem repeat in the *cyp51A* promoter region combined with a single amino acid exchange of leucine 98 to histidine (Chowdhary et al., 2014c). Apparently, this mutated allele has spread throughout the *A. fumigatus* population and thus has been reported worldwide from patients as well as the environment (Mellado et al., 2007; Verweij et al., 2007; Rodriguez-Tudela et al., 2008; Snelders et al., 2008, 2009; Baddley et al., 2009; Howard et al., 2009; Mortensen et al., 2010, 2011; Lockhart et al., 2011; van der Linden et al., 2011; Burgel et al., 2012; Chowdhary et al., 2012a,b; Hamprecht et al., 2012; Jeurissen et al., 2012; Morio et al., 2012; Rath et al., 2012; Alastruey-Izquierdo et al., 2013; Bader et al., 2013; Escribano et al., 2013; Rocchi et al., 2014; Kidd et al., 2015; Steinmann et al., 2015). In addition several point mutations such as G54, G138, or M220 lead to disturbances in the docking of azole drugs to *cyp51A* protein rendering azole resistant *A. fumigatus* phenotype (Diaz-Guerra et al., 2003; Mann et al., 2003; Mellado et al., 2004; Chen et al., 2005; Howard et al., 2006, 2009, 2013; Rodriguez-Tudela et al., 2008; Snelders et al., 2008; Albarrag et al., 2011; van der Linden et al., 2011). These mutations have been previously reported to occur *de novo* due to prolonged exposure of *A. fumigatus* isolates to azole antifungal drugs in clinical settings (Chen et al., 2005; Howard et al., 2009; Escribano et al., 2012). However, a recent study reports presence of *A. fumigatus* carrying G54 point mutation in the environment of Tanzania, Romania, and India suggesting that environment may predominately be the cause in acquisition of azole resistant isolates (Sharma et al.,

2015). Also, non-*cyp51A* mediated mutations have been increasingly recognized in the development of azole resistance and are mainly reported from Manchester, UK (Bueid et al., 2010). We conducted a prospective study for the assessment of prevalence of TRAF and the underlying *cyp51A* mutations in clinical isolates of *Aspergillus* species collected during a 4-year (2011–2014) period in a referral Chest Hospital in Delhi, India and reviewed the reports on TRAF isolates from environmental and clinical sources from Asia and the neighboring Middle East.

Materials and Methods

Fungal Isolates and Their Phenotypic Characterization

During 2011–2014 a total of 8222 clinical samples were processed for fungal culture and microscopy collected from patients of our hospital and three neighboring referral hospitals included in **Table 1**. The clinical specimens included sputum, endotracheal aspirates, bronchial aspirates, bronchoalveolar lavages (BAL), bronchial tissues, fine needle aspiration biopsies, lung biopsies, nasal polyps, bone marrow aspirations, pleural fluid, bronchial plugs, and cerebrospinal fluid. The study was approved by the Institute's Ethics Committee. All *Aspergillus* species cultured from the specimens were preliminarily identified based on colony color and morphology of the isolates on Czapek dox agar plates incubated at 28°C for 7 days. In order to investigate azole resistance in all of the *Aspergillus* species, they were screened on itraconazole (ITC, 4 µg/ml) and voriconazole (VRC, 1 µg/ml) supplemented Sabouraud dextrose agar (SDA) plates. *Aspergillus* isolates that exhibited growth on either of the antifungal plate were confirmed as *Aspergillus* species by amplification and sequencing of β -tubulin and calmodulin genes.

Antifungal Susceptibility Testing (AFST)

All resistant *A. fumigatus* were subjected to AFST against four standard medical triazoles, amphotericin B (AMB), echinocandins, and 10 commonly used azole fungicides using CLSI M38-A2 broth microdilution Clinical and Laboratory Standards Institute [CLSI] (2008). The drugs tested included ITC (Lee Pharma, Hyderabad, India, and Janssen Research Foundation, Beerse, Belgium), VRC (Pfizer Central Research, Sandwich, Kent, UK), isavuconazole (ISA, Basilea Pharmaceutica International AG, Basel, Switzerland), posaconazole (POS, Merck, Whitehouse Station, NJ, USA), AMB (Sigma-Aldrich, Germany), caspofungin (CAS, Merck), micafungin (MFG, Astellas Toyama Co. Ltd., Japan), and anidulafungin (AFG, Pfizer). The tested azole fungicides were bromiconazole, cyproconazole, difenconazole, epoxiconazole, penconazole, tebuconazole, triadimefon, metconazole, hexaconazole (Rallis India, Mumbai, India), and tricyclazole (Cheminova India, Mumbai, India). The AFST results were analyzed by using epidemiological cutoff values (ECVs) proposed by Espinel-Ingroff et al. (2011a,b, 2013) ITC, 1 µg/ml; VRC, 1 µg/ml; POS, 0.5 µg/ml; ISA,

TABLE 1 | Clinical characteristics of 12 patients with triazole resistant *Aspergillus fumigatus* (TRAF) isolates.

Patient no.	Age/sex/year of isolation	Specimen	Institution	MICs ($\mu\text{g/ml}$) ^{a,b}				Mutation	Aspergillus disease	Underlying condition	Treatment	Outcome
				ITC	VRC	ISA	POS					
1	60/M/2011	FNAB ^c /sputum	VPCI ^d	>16	≥8	≥8	≥8	TR ₃₄ /L98H	IPA ^e	COPD ^f , Diabetes mellitus	VRC	Alive
2	65/M/2012	Lung biopsy	VPCI	>16	≥8	2	≥8	TR ₃₄ /L98H	IPA	Pulmonary adenocarcinoma	VRC	Died
3	38/F/2012	FNAB	Hospital 1 ^g	>16	≥8	2	≥8	TR ₃₄ /L98H	IPA	Myelodysplastic syndrome	VRC	Died
4	19/F/2012	Endotracheal aspirate	VPCI	>16	8	8	1	TR ₃₄ /L98H	IPA	COPD, Tuberculosis	AMB	Died
5	26/F/2012	Resected sinus tissue	Hospital 2 ^h	≥16	>16	8	2	TR ₃₄ /L98H	IA rhino-cerebral sinusitis	COPD	AMB	Died
6	52/M/2013	BAL ⁱ	Hospital 3 ^j	>16	0.25	0.25	1	G54E	CPA ^k	Preexisting tubercular cavities	VRC	Died
7	76/M/2014	Sputum	VPCI	16	16	>8	4	TR ₃₄ /L98H	ABPA ^l		Systemic steroids	Died
8	55/M/2014	Bronchial aspirate	VPCI	16	≥8	≥8	1	TR ₃₄ /L98H	ABPA	–	Systemic steroids	No follow up
9	50/M/2014	BAL	VPCI	16	≥8	≥8	1	TR ₃₄ /L98H	CPA	Tuberculosis	VRC	Died
10	50/M/2014	BAL	VPCI	16	2	4	0.06	F46Y, D255E, M172V	CPA	–	AMB for 1 month, discharged on VRC	No follow up
11	57/M/2014	Sputum	VPCI	≥16	>16	>8	2	TR ₃₄ /L98H	ABPA	–	Systemic steroids	No follow up
12	60/M/2014	Sputum	VPCI	>16	>16	>8	>8	TR ₃₄ /L98H	ABPA	–	Systemic steroids	No follow up

^aMIC, minimum inhibitory concentration; ITC, itraconazole; VRC, voriconazole; ISA, isavuconazole; POS, posaconazole; AMB, amphotericin B; ^bGeometric mean MICs of all isolates against azole fungicides was 32 $\mu\text{g/ml}$ except metconazole (3.8 $\mu\text{g/ml}$); ^cFNAB, fine needle aspiration biopsy; ^dVPCI, Vallabhkhair Patel Chest Hospital, Delhi; ^eIPA, invasive pulmonary aspergillosis; ^fCOPD, chronic obstructive pulmonary disease; ^gHospital 1, Cancer Referral Hospital, Delhi; ^hHospital 2, General Medical Referral Hospital, Delhi; ⁱBAL, bronchoalveolar lavage; ^jHospital 3, Central Tuberculosis Hospital, Delhi; ^kCPA, chronic pulmonary aspergillosis; ^lABPA, allergic bronchopulmonary aspergillosis.

1 µg/ml, AMB, 4 µg/ml, and CAS, 0.25 µg/ml (Pfaller et al., 2011).

Mutation Analysis

To gain insight into the mechanisms responsible for azole resistance in *A. fumigatus*, isolates were subjected to amplification and sequencing of *cyp51A* gene along with the promoter region (Sharma et al., 2015). The amplified product was purified followed by sequencing on an ABI 3130XL genetic analyzer (Applied Biosystems, Foster City, CA, USA) using the BigDye terminator kit (v3.1, RR-100; Applied Biosystems; Chowdhary et al., 2013a). DNA sequences were analyzed with Sequencing Analysis software version 5.3.1 (Applied Biosystems). Consensus sequences were made using BioEdit software (version 7.0.5.3; Hall, 1999). The sequences of the resistant *A. fumigatus* strains were compared with the wild type susceptible reference *A. fumigatus* strain (Af293). The mutations in the resistant strains were further confirmed by mixed format real time PCR analysis as described previously (Klaassen et al., 2010).

Microsatellite Typing

The genotypic relationship of the Indian resistant *A. fumigatus* isolates with the isolates from Asia, Middle East, and Europe harboring various mutations in *cyp51A* gene was determined by microsatellite typing using a panel of nine short tandem repeats (STR) as described previously (de Valk et al., 2005). The amplification of three di-, tri-, or tetranucleotide repeat markers was carried out using three multiplex PCRs, namely, M2, M3, and M4, respectively, (de Valk et al., 2005). Repeat numbers in each marker was assigned using *A. fumigatus* Af293 as reference. The STR data was imported to BioNumerics v5.1 software (Applied Maths, Sint-Martens-Latem, Belgium) for phylogenetic analysis. The dendrogram based on unweighted pair-group method with arithmetic mean (UPGMA) clustering using the Pearson correlation coefficient was generated. A total of 49 *A. fumigatus* isolates from Asia, Middle East, Europe, and Australia were included as controls to evaluate the genetic differences with the *A. fumigatus* isolates from India. The isolates from outside India were from following countries: China (clinical resistant, $n = 8$), Iran (environmental resistant, $n = 5$; environmental susceptible, $n = 4$), Kuwait (clinical resistant, $n = 2$; clinical susceptible, $n = 2$; environmental resistant, $n = 8$; environmental susceptible, $n = 2$), France (clinical resistant, $n = 1$), Germany (clinical resistant, $n = 7$; clinical susceptible, $n = 1$), the Netherlands (clinical resistant, $n = 2$; environmental resistant, $n = 3$), and Australia (clinical resistant, $n = 2$; clinical susceptible, $n = 2$). Also, Indian isolates (environmental resistant $n = 3$; environmental susceptible $n = 6$ and clinical susceptible $n = 3$) collected during the previous study on resistant *A. fumigatus* were included for comparison with the present clinical TRAF isolates from India (Chowdhary et al., 2012b).

Results

Overall, during a 4-year survey period, 25.7% of clinical samples harbored *Aspergillus* species ($n = 2117$). Out of these 2117

isolates, 45.4% ($n = 963$) were *A. flavus*, followed by 32.4% ($n = 685$) *A. fumigatus*, 15.6% ($n = 329$) *Aspergillus* species and 6.6% ($n = 140$) *A. terreus* (Kathuria et al., 2015). Barring 12 isolates of *A. fumigatus* none of the other *Aspergillus* species grew on SDA plates supplemented with ITC and/or VRC. Of these, 11 *A. fumigatus* grew on both ITC and VRC supplemented SDA plates while a solitary isolate grew only on ITC plate. All the 12 resistant isolates were identified as *A. fumigatus sensu stricto* by β -tubulin and calmodulin genes sequencing.

Of the 12 resistant *A. fumigatus*, 11 showed a pan-azole resistant phenotype exhibiting high MIC of all the triazoles, namely, ITC [geometric mean (GM) MIC, 16 µg/ml], VRC (GM MIC, 8 µg/ml), ISA (GM MIC, 6.34 µg/ml), and POS (GM MIC, 2.82 µg/ml). In contrast, a solitary *A. fumigatus* isolate exhibited high MIC of only ITC (>16 µg/ml) and POS (1 µg/ml; **Table 1**). However, AMB (GM MIC, 0.4 µg/ml) and three echinocandins, namely, CAS, MFG, and AFG were active against all the resistant *A. fumigatus* isolates with GM MICs of 0.13 µg/ml, 0.017 µg/ml, and 0.02 µg/ml, respectively. Further all TRAF uniformly revealed cross-resistance to all the azole fungicides (MICs, >32 µg/ml) tested excepting metconazole (MICs, 3.80 µg/ml).

Overall, 1.75% (12/685) of the clinical *A. fumigatus* isolates were resistant. The major resistance mechanism observed among the eleven pan-azole resistant phenotype was TR₃₄/L98H mutation ($n = 10$) and a solitary isolate exhibited three non-synonymous point mutations, namely, F46Y, D255E, and M172V. Another single point mutation, G54E, was observed in a solitary isolate that had high MICs to both ITC and POS.

The STR typing data revealed a single microsatellite complex (MC) among all the TR₃₄/L98H genotypes whereas solitary isolate each of G54E and non-synonymous mutant of *A. fumigatus* represented two distinct genotypes (**Figure 1**). The TR₃₄/L98H MC was homogenous and shared all the nine loci except one isolate that differed at two loci (4A and 4C). Further to determine the genetic relatedness among the present Indian TR₃₄/L98H clinical isolates ($n = 10$) comparison with Indian TR₃₄/L98H environmental isolates ($n = 3$) collected from the previous study was done (Chowdhary et al., 2012b). Both the environmental and clinical *A. fumigatus* isolates had an identical STR pattern. The genotypes of all Indian TR₃₄/L98H isolates were distinct from the TR₃₄/L98H strains of Chinese, Kuwait, Iran, and European isolates (Lockhart et al., 2011; Badali et al., 2013; Ahmad et al., 2014, 2015; Steinmann et al., 2015). However, environmental *A. fumigatus* isolates from Kuwait ($n = 4$) and Iran ($n = 5$) were more closely related with Indian TRAF isolates with similarity observed at 5–6 of the nine loci studied. Although the Chinese clinical TRAF isolates ($n = 8$) also formed a separate cluster but unlike Indian isolates they were markedly heterogeneous exhibiting variable STR patterns. The STR typing data of the clinical Kuwait TRAF isolates ($n = 6$) revealed homogeneity among them, however, when compared to Indian TRAF clinical isolates they showed differences at 6–7 loci (Ahmad et al., 2015).

The detailed clinical record of all patients whose clinical samples yielded TRAF was retrieved from the database. The majority of patients were diagnosed as invasive aspergillosis (IA, $n = 5$)

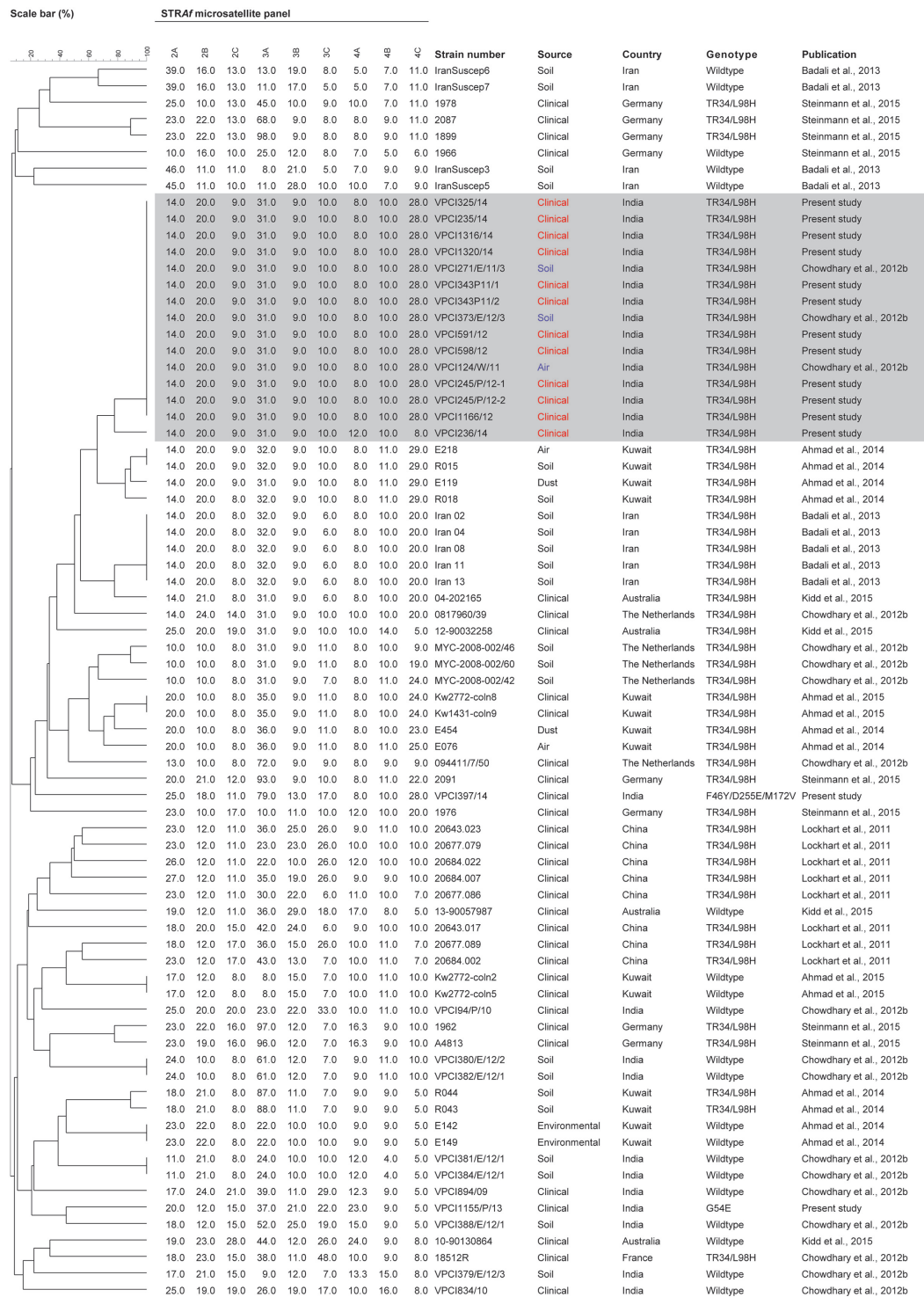


FIGURE 1 | Genotypic relationship of Indian *Aspergillus fumigatus* isolates (clinical resistant, $n = 14$; clinical susceptible, $n = 3$; environmental resistant, $n = 3$; environmental susceptible, $n = 6$) with isolates from Asia including China (clinical resistant, $n = 8$), Middle East including Iran (environmental resistant, $n = 5$; environmental susceptible, $n = 4$), and Kuwait (clinical resistant, $n = 2$; clinical susceptible, $n = 2$; environmental resistant, $n = 8$; environmental susceptible, $n = 2$), and Europe including France (clinical resistant,

$n = 1$), Germany (clinical resistant, $n = 7$; clinical susceptible, $n = 1$), the Netherlands (clinical resistant, $n = 2$; environmental susceptible, $n = 3$), and Australia (clinical resistant, $n = 2$; clinical susceptible, $n = 2$). The dendrogram is based on a categorical analysis of nine microsatellite markers in combination with Unweighted Pair Group Method with arithmetic mean clustering. The scale bar indicates the percentage identity. The isolates VPC1 343/P/11/1, VPC1 343/P/11/2, and VPC1 245/P/12-1, VPC1 245/P/12-2 were serial isolates from two individual patients, respectively.

followed by allergic bronchopulmonary aspergillosis (ABPA, $n = 4$) and chronic pulmonary aspergillosis (CPA, $n = 3$). The most common underlying condition among the IA patients was chronic obstructive pulmonary disease (COPD) in three patients and myelodysplastic syndrome and pulmonary adenocarcinoma in the remaining two patients, respectively. IA was defined as probable or proven according to the criteria of the European Organization for Research and Treatment of Cancer/Mycoses Study Group (Ascioglu et al., 2002; De Pauw et al., 2008). All cases of IA in the present study were proven cases and FNAB/lung biopsy or resected sinus tissue was positive for fungus and yielded *A. fumigatus* in culture. IPA ($n = 4$) was the most common manifestation and a solitary case had invasive rhino-cerebral sinusitis. All COPD patients who finally developed IA during the course of 5–10 years of illness had been admitted to the medical units or ICU repeatedly (4–9 episodes per year) for respiratory symptoms with severe airflow obstruction. All these patients were on systemic and inhaled steroids for a long period ranging from 10 to 12 years. Associated co-morbidities like diabetes mellitus and tuberculosis were observed in two of the three COPD patients. Allergic aspergillosis manifesting as ABPA was diagnosed in four patients by a combination of clinical, mycoserologic, and radiological features (Agarwal et al., 2013). None of the ABPA patients diagnosed in the present study were on azoles when their culture grew TRAF. The third clinical entity was CPA, which was diagnosed by chronic duration of clinical symptoms (>3 months), progressive pulmonary lesions with or without cavitation, precipitating antibodies to *A. fumigatus* in serum, and mycological evidence of fungal presence. Further, none of the patients harboring identical TR₃₄/L98H genotype ($n = 10$) admitted to the Chest hospital ($n = 8$) in the present study had an overlapping time frame during their admission. Also, two patients harboring the same genotype were from two different hospitals in Delhi (Table 1).

Overall, the mortality was highest in IA (four of five patients) and CPA (two of three patients) and a solitary case of ABPA also had a fatal outcome. Among IA patients, VRC (6 mg/kg intravenous 12 hourly followed by 4 mg/kg and switched to oral 200 mg twice a day when clinically stable) was administered in three patients and two were started on AmB deoxycholate (1 mg/kg/day). All CPA patients were given VRC for 7–10 days before the antifungal susceptibility results were conveyed. The two CPA patients had severe lung involvement and the disease was fatal in both of them within 1 week. However, in one case therapy was switched to amphotericin B for one month followed by repeat BAL culture that yielded negative results for *Aspergillus* and the patient was discharged on oral VRC on follow up. However, patient failed to report for the follow up visits. The patients with ABPA in the present study were managed symptomatically and systemic steroids were the mainstay of therapy.

Discussion

In the present study, we examined azole susceptibility and resistant mechanisms among *A. fumigatus* isolates from patients

with bronchopulmonary aspergillosis in a referral Chest Institute, which caters to a vast population of Delhi and adjoining states of Uttar Pradesh, Haryana and also to far remote regions of India. The rate of azole resistance in *A. fumigatus* isolates in our study was 1.75% (12/685) during a 4-year period, which is remarkably low compared to the high prevalence in Europe including UK (6.6–27.8%), the Netherlands (3.1–4.6%), and Germany (3.2%; Snelders et al., 2008; Howard et al., 2009; Bueid et al., 2010; van der Linden et al., 2011; Bader et al., 2013). In contrast in Spain, a lower prevalence rate (2.5%) of azole resistance in *A. fumigatus* complex has been reported suggesting that azole resistance has not yet uniformly spread in Europe (Escribano et al., 2013). TR₃₄/L98H was the predominant resistance mechanism in 83.3% of the Indian TRAF isolates followed by G54E ($n = 1$) and a non-synonymous mutation ($n = 1$).

Short tandem repeats typing of the TRAF isolates demonstrated a single cluster, with a homogenous population. It is also worth mentioning here that the patients' positive for TRAF isolates in the present study were both from Delhi, and other states namely West Bengal, Haryana, and Uttar Pradesh. These states previously have been found to harbor *A. fumigatus* isolates carrying TR₃₄/L98H in soil samples (Chowdhary et al., 2012b). Notably the genotype detected in clinical isolates in this study was identical to the TR₃₄/L98H genotype reported earlier from environmental samples from India and shared the identical nine loci, suggesting environmental origin of this major resistance mechanism (Chowdhary et al., 2012b). This is the first study outside the Netherlands revealing the possibility of acquisition of clinical isolates linked with the environment. However, in contrast to the heterogeneity observed in environmental and clinical isolates in the Netherlands (Klaassen et al., 2012), the Indian TRAF in the present study had a homogenous population which was recently also confirmed with whole genome sequencing (Abdolrasoulia et al., 2015).

Table 2 summarizes the reports of TRAF isolation harboring mutations in the *cyp51A* gene from clinical and environmental sources in Asia and the neighboring Middle East. The initial reports describing azole resistance in clinical *A. fumigatus* isolates originated from Europe in the late 1990s followed by systematical investigations in several European countries which, reported prevalence, mechanism, and genomic aspects of TRAF (Mellado et al., 2007; Verweij et al., 2007; Rodriguez-Tudela et al., 2008; Howard et al., 2009; Mortensen et al., 2010; Burgel et al., 2012; Morio et al., 2012; Bader et al., 2013; Astvad et al., 2014; Fischer et al., 2014; Spiess et al., 2014; van der Linden et al., 2015). However, the first comprehensive report on the occurrence of TRAF isolates in Asia originated from China during 2008–2009 from the ARTEMIS global sentinel surveillance program demonstrating TR₃₄/L98H resistance mechanism in 27.5% (8/29) *A. fumigatus* isolates (Lockhart et al., 2011; Table 2). In contrast, more recently few studies from Japan, described TRAF in clinical isolates but interestingly none of them exhibited TR₃₄/L98H resistance mechanism, instead several SNPs and novel mutations, F332K and P216L were reported (Asano et al., 2011; Hagiwara et al., 2014). The single center study from Japan reported 5.2% (1/19) TRAF isolates harboring only G54E/R/W and I266N mutation (Tashiro et al., 2012a,b). Notably, a recent

TABLE 2 | Distribution of TRAF in clinical and environmental samples in Asia and Middle East harboring mutations in the *Cyp51A* gene.

Country	Resistance mechanism	Source	Resistance rate (No. of resistant <i>A. fumigatus</i> / No. of <i>A. fumigatus</i> tested)	Reference
China	M220I, G54R	Clinical	4/6 (66.6%) ^a	Chen et al. (2005); Xu et al. (2010)
	TR34/L98H	Clinical	8/29 (27.5%)	Lockhart et al. (2011)
	SNP ^b	Environmental, Poultry	11 ^c /175	Wang et al. (2014)
India	TR34/L98H	Clinical	2/103 (1.9%)	Chowdhary et al. (2012a)
	TR34/L98H	Environmental	44/630 (7%)	Chowdhary et al. (2012b)
	TR34/L98H & TR46/Y121F/T289A	Environmental	8/126 (6.3%)	Chowdhary et al. (2014a)
			6/126 (4.8%)	
	TR34/L98H & G54E	Environmental	4/5 (80%) 1/5 (20%)	Sharma et al. (2015)
Iran	TR34/L98H	Clinical	4/124 (3.2%)	Seyedmousavi et al. (2013)
	TR34/L98H	Environmental	5/41 (12.1%)	Badali et al. (2013)
Japan	F332K	Clinical	1/19 (5.2%)	Asano et al. (2011)
	G54E/R/W and I266N	Clinical	12/196 (6.1%)	Tashiro et al. (2012a; 2012b)
Kuwait	P216L	Clinical	1/8 (12.5%)	Hagiwara et al. (2014)
	TR34/L98H	Environmental	8/115 (7%)	Ahmad et al. (2014)
	TR34/L98H	Environmental	1/50 (2%)	Ahmad et al. (2015)
	TR34/L98H	Clinical	2/16 (12.5%)	Ahmad et al. (2015)
Taiwan	Not mentioned ^d	Clinical	2/40 (5%)	Hsueh et al. (2005)

^aserial isolates from a patient suffering from lung aspergilloma; ^bSNP, single nucleotide polymorphisms such as F46Y, M172V, N248T, N248K, D255E, and E427K also present in susceptible *A. fumigatus* strains were detected; ^ctotal no. of *A. fumigatus* obtained from French and Chinese avian farms; ^dThe mutation in *cyp51A* gene was not investigated.

study on environmental sampling of air from a pumpkin farm sprayed with azole fungicides, at Nihon University, Japan was carried out by using an outdated and less efficacious settle plate method and reported no azole resistance in 50 *A. fumigatus* isolates (Kano et al., 2015). The lack of isolation of TRAF could be attributed to not adopting a highly efficacious sampling method such as using an air sampler and to the low number of isolates tested for resistance resulting in false rates of TRAF prevalence in the environment. The possibility of missing resistance was recently also highlighted in the SCARE study (van der Linden et al., 2015). Further, planned studies with wider coverage areas and different sources such as soil samples, air, wooden debris etc., should be undertaken for finding out the true prevalence of TRAF isolates. In India, a comprehensive wider environmental survey covering north, south, and eastern India analyzed 486 environmental samples comprising soil from flowerbeds of nurseries, surrounding parks of hospitals, cotton trees, tea gardens, paddy fields, soil containing bird excreta, and decayed wood of tree trunks revealed 7% TRAF isolates in the environment carrying TR₃₄/L98H mutation (Chowdhary et al., 2012b). Also, a recently described VRC resistant TR₄₆/Y121F/T289A mechanism was observed in environmental *A. fumigatus* isolates from agricultural fields in India (Chowdhary et al., 2014a). Barring the solitary environmental report on TR₄₆/Y121F/T289A mechanism from India none of the clinical or environmental samples from Asia has yet documented the occurrence of *A. fumigatus* isolates carrying this mechanism so far. However, considering the presence of this new resistant mechanism in the environment of India it may be anticipated that in future it may spread to the neighboring Asian countries. In addition to the above-discussed reports on triazole resistance in Asia, the neighboring Middle

East countries, Iran, and Kuwait had reported 12.2 and 7% TRAF carrying TR₃₄/L98H resistance in the environment, respectively, (Badali et al., 2013; Ahmad et al., 2014). A similar range of resistance prevalence of 3.2 and 12.5% TR₃₄/L98H *A. fumigatus* isolates was observed in clinical samples from CPA and ABPA patients from Iran and Kuwait, respectively, (Seyedmousavi et al., 2013; Ahmad et al., 2015). Based on the fact that the Indian TRAF isolates exhibited a distinct cluster away from the Chinese TR₃₄/L98H isolates, it may be suggested that resistance among the *A. fumigatus* strains across Asia has evolved from separate strains and not from a common resistant ancestor, which may have spread worldwide.

It is also pertinent to mention that the high rates of triazole resistance in Europe as compared to Asia could be due to environmental factors or more so by frequent use of azoles in clinical settings and in the environment. Azole fungicides are extensively used in agriculture for crop protection to control mildews and rusts of grains, fruits, vegetables, and also for preservation of materials like wood etc. Geographically, Europe is the dominant market where fungicide usage is significantly high in production of fruits and vegetables along with wheat and vineyard (Stensvold et al., 2012). Thus, the reports of high resistance rates in environmental *A. fumigatus* isolates from the Netherlands, Belgium, UK, and Germany can be attributed to higher fungicide usage. Similarly, the absence or very low prevalence of triazole resistance in *A. fumigatus* in the USA could possibly be due to the low usage of fungicides in the USA as compared to Europe¹. Notably, triazole resistance was screened among 1026 *A. fumigatus* isolates from

¹<http://ec.europa.eu/food/fs/sc/ssc/out278en.pdf>

22 states of USA and none had TR₃₄/L98H mutation (Pham et al., 2014). In India, the crop protection chemicals account for ~2% of the total chemicals market and currently India is the second largest manufacturer of pesticides in Asia, second only to Japan². Among different classes of pesticides used in India the percent share of insecticides (60%) is highest followed by fungicides (19%), herbicides (16%), biopesticides (3%), and others (3%). Per capita consumption of crop protection products in India is 0.6 kg/ha compared to 16 kg/ha in Taiwan, 13 kg/ha in China, and 12 kg/ha in Japan². Finally, the environmental resistance mechanism in *A. fumigatus* isolates have been reported from two highly populated countries in Asia, i.e., China and India. Further, the environment of agricultural fields in India has been harboring variable *cyp51A* mediated resistant patterns in *A. fumigatus* isolates, which are continuously exposed to agricultural azole fungicides. It is emphasized that in depth analysis

of azole resistance in *A. fumigatus* isolates in both clinical laboratories and environmental settings is required to prevent its spread and emergence in neighboring Asian countries.

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Multiple mechanisms contribute to the development of clinically significant azole resistance in *Aspergillus fumigatus*

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Infections caused by the filamentous fungus *Aspergillus fumigatus* are a significant clinical issue and represent the second most-common form of fungal infection. Azole drugs are effective against this pathogen but resistant isolates are being found more frequently. Infections associated with azole resistant *A. fumigatus* have a significantly increased mortality making understanding drug resistance in this organism a priority. The target of azole drugs is the lanosterol α -14 demethylase enzyme encoded by the *cyp51A* gene in *A. fumigatus*. Mutations in *cyp51A* have been described that give rise to azole resistance and been argued to be the primary, if not sole, contributor to azole resistance. Here, I discuss recent developments that indicate multiple mechanisms, including increased expression of ATP-binding cassette (ABC) transporter proteins, contribute to azole resistance. ABC transporters are well-established determinants of drug resistance in other fungal pathogens and seem likely to play a similar role in *A. fumigatus*.

Keywords: *Aspergillus fumigatus*, ABC transporter, azole resistance, *cyp51A*, *abcG1*

INTRODUCTION

Antibiotics have been one of medical science's greatest advances but their continued efficacy is at risk. Over prescription and improper use has led to dramatic increases in resistant microorganisms with accompanying increased mortality. The problem of increased resistance is especially acute for fungal pathogens as the basic eukaryotic cell biology of these organisms limits the classes of antibiotics that avoid effects on the host.

Aspergillus fumigatus is the primary filamentous fungal pathogen of humans and an especially serious issue in any immunocompromised situation (Ruping et al., 2008). Azole drugs are most commonly deployed against *A. fumigatus* (and most fungi) as these drugs are well-tolerated and can be orally delivered. The success of azole antifungal therapy has naturally led to their extensive use both in the clinical setting and agriculturally, especially in Europe (discussed in Verweij et al., 2009). Strikingly, azole antifungals have been much more limited in their application in the United States (Pham et al., 2014) and this seems likely to help explain the differences between resistance mechanisms seen in these two regions. Increased azole resistance is a serious issue in treatment of aspergillosis. Mortality of azole-resistant aspergillosis can approach 90% (van der Linden et al., 2011).

Although the first azole resistant isolates of *A. fumigatus* were reported in the United States (Denning et al., 1997), understanding of the molecular basis of resistance was led by experiments from Europe. Early work on azole resistant isolates of *A. fumigatus* determined that these organisms contained mutations in one of the two genes (*cyp51A/B*) encoding the azole target enzyme: lanosterol 14 α demethylase (Mellado et al., 2001). Focus on this gene (*cyp51A*) led to the surprising finding that the overwhelming

majority of azole resistant strains contained two mutations and that both alterations were required for azole resistance (Verweij et al., 2007). This allele is referred to as TR34/L98H and consists of a 34 bp duplication in the promoter region linked to a replacement of leucine 98 with a histidine residue in the sequence of the enzyme (Mellado et al., 2007). This *cyp51A* allele confers multiazole resistance and has been found in resistant organisms ranging from Europe to India (Snelders et al., 2008; Chowdhary et al., 2012).

The origin of the TR34/L98H resistance allele as an environmentally selected variant is supported by several circumstantial lines of evidence. First, isolates from the soil led to the alarming discovery that 5–7% of these *A. fumigatus* strains contain this multiazole resistance lesion (reviewed in Chowdhary et al., 2013). Second, patients not previously exposed to azole drugs also exhibit aspergillosis with the TR34/L98H-containing fungus (Mellado et al., 2007). Third, genomic analyses of multiazole resistant *A. fumigatus* determined that strains containing the TR34/L98H allele were the most closely related compared to other azole-susceptible organisms, consistent with these drug resistant strains emerging from a single and recent alteration (Camps et al., 2012b). Finally, study of azole resistant organisms in the United States, where agricultural use of azoles is dramatically lower, has not uncovered the prevalence of the TR34/L98H *cyp51A* variant in drug resistant isolates (Pham et al., 2014).

While there is no question *cyp51A* mutations are important contributors to azole resistance, more recent studies have provided evidence that other resistance mechanisms are also at work in *A. fumigatus* (reviewed in Vermeulen et al., 2013). These other mechanisms have been observed in isolates derived from patients chronically exposed to azole drugs. This long term challenge with

azole drugs drives generation of mutant spores that are now drug resistant. Since *A. fumigatus* exists in a multicellular state, acquisition of a mutant allele in one nucleus is very unlikely to produce a resistant organism. However, if a mutant spore is generated, then a resistant isolate will be produced. Analyses of these mutant strains of *A. fumigatus* that have been recovered after azole exposure have uncovered multiple new mechanisms of resistance to these antifungal drugs. Here I will review recent experiments that implicate the participation of ATP-binding cassette (ABC) transporter proteins and other mechanisms in azole resistance of clinical isolates.

ABC TRANSPORTERS AND DRUG RESISTANCE IN PATHOGENIC FUNGI

The predominance of the TR34 L98H *cyp51A* allele in *A. fumigatus* represents an uncommon genetic distribution of azole resistance in other, better understood fungi. The pathogenic fungus for which we have the most detailed understanding of azole resistance mechanisms is the major human pathogen *Candida albicans*. Extensive analyses of the molecular basis for azole resistance in *C. albicans* led to the discovery of at least two different routes. The *C. albicans* *ERG11* gene encodes the lanosterol 14 α demethylase in this fungus. Changes both in the sequence of the protein as well as alterations that increase *ERG11* transcription are associated with azole resistance (Perea et al., 2001; Morio et al., 2010). Increased expression of membrane transporter proteins is a second mechanism that synergizes with *ERG11* changes (reviewed in Morschhauser, 2010). Experiments in different clinical isolates (Selmecki et al., 2008; MacCallum et al., 2010) led to the finding that high level azole resistance required the cooperation of both the *ERG11* gene and a transcription factor encoded by the *TAC1* gene. The key role of Tac1 is to induce expression of ABC transporter proteins encoded by the *CDR1* gene along with other targets (Coste et al., 2004). These transporter proteins are of two different functional classes: the ABC transporters and major facilitator superfamily (MFS) transporters (reviewed in Prasad and Goffeau, 2012; Costa et al., 2014). Typically, these membrane proteins are found in the plasma membrane (PM) where they are thought to act as energy-dependent drug efflux pumps (Marger and Saier, 1993). Overproduction of the membrane transporters is usually due to amino acid substitutions in transcription factors that ultimately drive elevated mRNA level corresponding to these drug pumps.

This theme of elevated ABC transporter expression cooperating with Erg11 to confer azole resistance is seen in other pathogens including *Candida krusei* (Lamping et al., 2009) and *Candida glabrata* (Samaranayake et al., 2013). As is usual in instances of drug resistance, these multiple different mechanisms are engaged that work together to produce the full *in vivo* response to drug challenge. While the synergy of Erg11 and ABC transporters is well-described in *Candida* species, evidence implicating ABC transporters and other proteins in *A. fumigatus* has been less well-appreciated, likely owing in part to the elegant demonstrations tying *cyp51A* mutations to clinical azole resistance (Snelders et al., 2008). Recent experiments provide a rationale for reconsidering the importance of mechanisms beyond *cyp51A* in azole resistance in *A. fumigatus*.

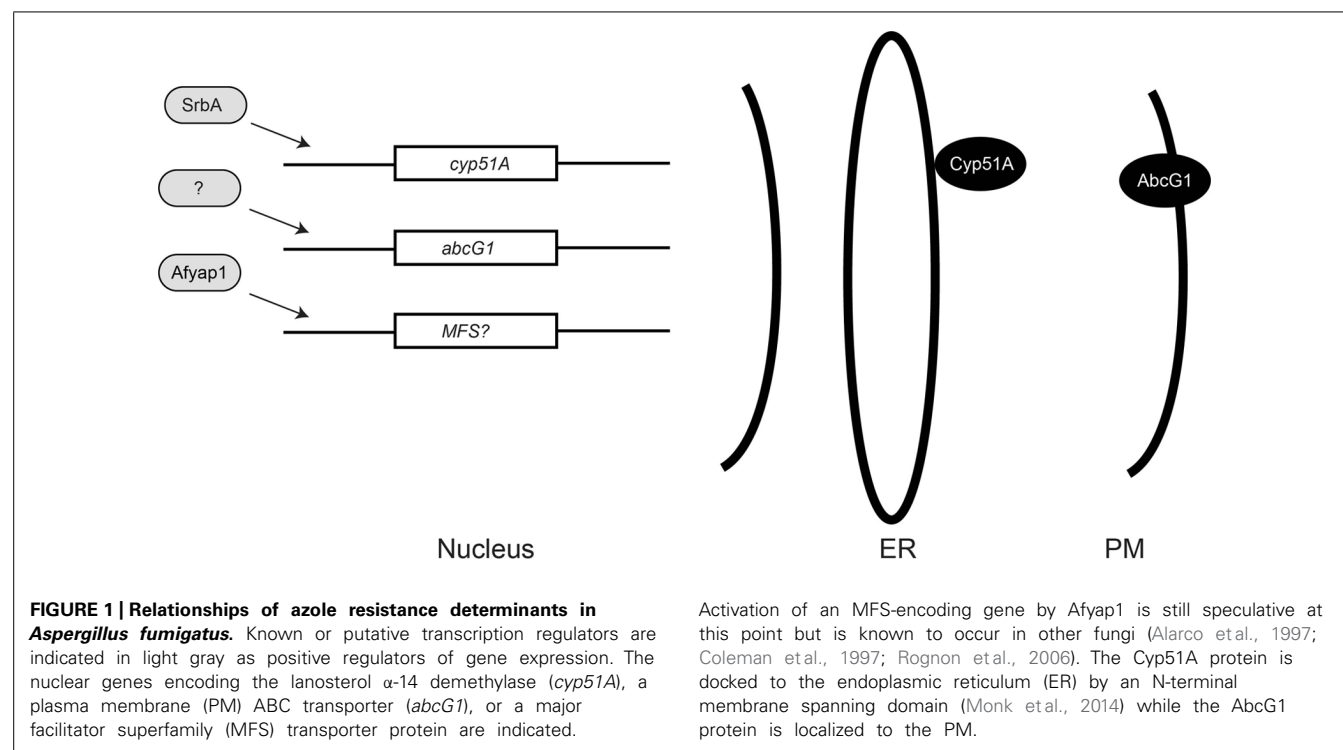
AZOLE RESISTANCE IN *A. fumigatus* MAY UTILIZE NON-*cyp51A*-DEPENDENT MECHANISMS

As discussed above, the large environmental reservoir of *cyp51A* azole resistant organisms has focused much attention on changes in this key target gene giving rise to drug resistant isolates (Verweij et al., 2009). Analyses of *A. fumigatus* azole resistant isolates from patient populations undergoing chronic azole exposure have provided important new information implicating other resistance pathways in antifungal resistance (Figure 1).

The first detailed study of azole resistant *A. fumigatus* strains that also contained wild-type *cyp51A* genes came from analyses of fungal isolates submitted to a regional mycology center at Manchester Hospital in the UK (Howard et al., 2009; Bueid et al., 2010). These investigators discovered that, between the years of 2004–2009, azole resistant isolates increased from 5 to 20%. While another center reported a similar increase in azole resistant *A. fumigatus* isolates (Mortensen et al., 2011), the unique feature of the Manchester data was the presence of a wild-type *cyp51A* gene in 43% of these resistant strains. These findings are consistent with more recent data from the United States in which a broad survey of *A. fumigatus* isolates found an overall lower frequency of azole resistance (5%) but 98% of these resistant isolates had no *cyp51A* lesion known to be associated with azole resistance (Pham et al., 2014). The origin of the *A. fumigatus* isolates in the UK and US studies were very different with the Manchester patients corresponding to patients with chronic aspergillosis and the US isolates coming from a wide range of sources (Pham et al., 2014). The primary commonality for the resistant isolates from these two different studies was the lack of *cyp51A*-dependent changes, strongly indicating the existence of other avenues of azole resistance.

The first data implicating ABC transporters in clinically relevant drug resistance has emerged quite recently. Before discussing these data, I would like to mention the confusing state of current nomenclature for ABC transporters in *A. fumigatus*. There is no consensus for gene names in this (or really any) family of protein in *Aspergillus* and I propose to adopt a system suggested earlier by Kovalchuk and Driessen (2010). This system employs a Human Genome Organization-approved scheme for naming ABC transporters based on their structural organization. I will use this nomenclature to refer to ABC transporters from *A. fumigatus* discussed here and encourage others to do the same.

A number of laboratories have demonstrated correlation between increased ABC transporter expression with associated azole resistance (Tobin et al., 1997; Slaven et al., 2002; Nascimento et al., 2003; da Silva Ferreira et al., 2006) but a functional link between the mRNA levels of a given ABC transporter and azole resistance remained elusive. Follow-up work on *A. fumigatus* isolates with a wild-type *cyp51A* gene led to the finding that mRNA encoding a particular ABC transporter was elevated in 8/11 azole resistant strains (Fraczek et al., 2013). This gene, referred to here as *abcG1* (aka *cdr1B* aka *abcB* aka AFUA_1G14330) encodes a homolog of the well-studied ABC transporters *Saccharomyces cerevisiae* Pdr5 and the *Candida* species Cdr1. Importantly, disruption of *abcG1* from one of the clinical isolates that overproduced this transcript led to a reduction in itraconazole MIC from >8



to 2 mg/L, demonstrating the functional requirement for this in itraconazole resistance (Fraczek et al., 2013).

Along with this work in clinical isolates, loss of *abcG1* was demonstrated to cause profound azole hypersensitivity in four different laboratory strains (Fraczek et al., 2013; Paul et al., 2013). Overproduction of the *abcG4* (aka *cdr1A* aka *abcA* aka AFUA_2G15130) gene product, that shares the highest degree of sequence conservation to *abcG1*, also elevated azole resistance. Fusions of green fluorescent protein to the C-terminus of either *abcG1* or *abcG4* indicated that the resulting fusion proteins were localized to the PM, consistent with their activity as ATP-dependent drug efflux pumps (Paul et al., 2013). While functional data implicating both of these ABC transporter proteins has been provided, these types of demonstrations remained to be accomplished for the large number (15) of *A. fumigatus* ABC transporters in the ABCG class of transporter proteins (Kovalchuk and Driessen, 2010).

While data are accumulating for the role of ABC transporters in azole resistance in *A. fumigatus*, other organisms also exhibit drug resistance that is triggered by changes in transcriptional regulators (recently reviewed in Paul and Moye-Rowley, 2014). As mentioned above, *C. albicans* Tac1 can acquire gain-of-function mutations that lead to enhanced activation of genes under its control (Coste et al., 2004). These target genes include the important azole resistance determinant *CDR1*. The work of Fraczek et al. (2013) suggests that similar mutations may be present in *A. fumigatus* azole resistant isolates overproducing *abcG1* but these remain to be found.

However, at least three examples of transcription factors that are important in azole resistance have been described. The first is the sterol response element binding protein (SREBP) from *A.*

fumigatus referred to as SrbA (Willger et al., 2008). This regulator was discovered via its sequence conservation with SREBP from *Schizosaccharomyces pombe* and mammals (reviewed in Bien and Espenshade, 2010). Disruption of the *srbA* gene led to pronounced azole hypersensitivity, and perhaps most interesting, increased fluconazole susceptibility. This seems likely to be due to the decreased expression of *cyp51A* in the absence of SrbA (Willger et al., 2008) as *cyp51A*Δ strains exhibit a similar increased fluconazole susceptibility (Mellado et al., 2005). The importance of the trans-acting factor SrbA in expression of *cyp51A* suggests the possibility that mutants that increase SrbA activity might elevate azole resistance via their effect on a wild-type *cyp51A* locus. These type of mutant alleles of *srbA* have not been described at present.

A recently discovered azole resistance mechanism involving a transcription factor was described in an isolate from an immunodeficient patient undergoing chronic pulmonary aspergillosis (Camps et al., 2012a). Isolates recovered from early in the infection exhibited an azole susceptible phenotype that ultimately transitioned to azole resistant in time. Clever genetic analyses coupled with whole genome sequencing approaches detected a substitution mutation in the *hapE* gene as being responsible for the increased azole resistance. *A. fumigatus* *hapE* encodes a DNA-binding subunit of the Hap-complex that is a relative of the mammalian CCAAT-binding protein (see Dolfini et al., 2012 for a review). While a mechanistic explanation of the increased azole resistance driven by the mutant HapE-containing complex is still unknown, a potential contributor to this effect is provided by the finding that *cyp51A* expression is elevated in isolates bearing this lesion (Camps et al., 2012a).

A common link between resistance supported by changes in SrbA or HapE is the potential involvement of *cyp51A*. Azole

resistant mutants with changes in these transcription factors could still possess a wild-type *cyp51A* allele yet exhibit elevated drug resistance. A final example that seems likely to be independent of *cyp51A* function is provided by the *A. fumigatus* homolog of *S. cerevisiae* Yap1. This protein is referred to as Afyap1 and is a basic region-leucine zipper transcription factor. ScYap1 is regulated by oxidative stress and normally resides in the cytoplasm due to rapid nuclear export (Kuge et al., 1997; Yan et al., 1998). Upon oxidative stress, nuclear export of ScYap1 is inhibited and the factor accumulates on target promoters, resulting in transcriptional induction of genes involved in redox regulation (reviewed in Morano et al., 2012). Afyap1 has been demonstrated to be regulated by oxidative stress at the level of nuclear localization and seems likely to be controlled by oxidants in a manner similar to that seen for ScYap1 (Lessing et al., 2007).

The relationship of Afyap1 to azole resistance was demonstrated by work using a truncation form of this protein (Qiao et al., 2010). The carboxy-terminal cysteine-rich domain (c-CRD) was deleted from Afyap1 and this mutant protein expressed from a multicopy plasmid in *A. fumigatus*. The c-CRD has been extensively studied in ScYap1 and serves as a negative regulatory site acting to exclude ScYap1 from the nucleus via interaction with the exportin protein Crm1 (reviewed in Guttler and Gorlich, 2011). The Afyap1 mutant lacking its c-CRD (referred to as TR Afyap1) was found to strongly elevate resistance to voriconazole but not influence itraconazole resistance (Qiao et al., 2010). A strain lacking Afyap1 was unaffected in terms of azole resistance but was highly sensitive to oxidants.

This behavior of Afyap1 is quite similar to that previously seen for both the *C. albicans* Yap1 homolog (Cap1) and ScYap1 (Alarco and Raymond, 1999). Loss of the Yap1-encoding genes from any of these organisms causes oxidative stress hypersensitivity but has no marked influence on azole sensitivity (Alarco et al., 1997; Alarco and Raymond, 1999). However, production of hypermorphic forms of Yap1 homologs does enhance azole resistance, typically through induction of expression of MFS-encoding gene expression (Alarco et al., 1997). Strikingly, in *S. cerevisiae*, while deletion of the gene encoding the major ABC transporter involved in azole resistance (Sc *PDR5*) led to a profound sensitivity to this drug (Sanglard et al., 1995), overproduction of ScYap1 fully suppressed this drug sensitivity (Alarco et al., 1997). This also seems likely to occur in *A. fumigatus* although further work will be required to confirm this possibility.

SUMMARY

While early analyses of azole resistance in *A. fumigatus* were consistent with changes in the *cyp51A* gene being the primary if not sole driver of drug resistance, recent findings and a more nuanced view of resistance mechanisms do not support this simple picture. The key differentiator between the nearly exclusive involvement of *cyp51A* mutants in azole resistance and contribution of other pathways comes from consideration of the origin of resistant *A. fumigatus* isolates. An environmental reservoir of resistant organisms, certainly impacted if not completely driven by extensive use of azole-based agricultural fungicides, produced a large number of highly azole resistant isolates that were routinely discovered in

patient populations (Verweij et al., 2009). During screening for azole resistant *A. fumigatus* appearing during chronic drug exposure, frequent isolates were found that contained wild-type version of *cyp51A* (Bueid et al., 2010). These resistant isolates indicate the presence of other resistance pathways in this filamentous fungus as seen in more extensively studied pathogens like the *Candida* species (Morschhauser, 2002).

The involvement of ABC transporters in *A. fumigatus* is most clearly indicated by the studies of Fraczek et al. (2013). Overproduction of *abcG1* was linked to multiple clinical isolates and shown to be required for azole resistance in one. The increasing number of azole tolerant isolates recovered from patients that exhibit no changes in the *cyp51A* locus supports the view that other mechanisms, such as ABC transporter overproduction, will be found to play important roles in azole resistance in *A. fumigatus*.

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The *Aspergillus fumigatus* cell wall integrity signaling pathway: drug target, compensatory pathways, and virulence

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Aspergillus fumigatus is the most important airborne fungal pathogen, causing severe infections with invasive growth in immunocompromised patients. The fungal cell wall (CW) prevents the cell from lysing and protects the fungus against environmental stress conditions. Because it is absent in humans and because of its essentiality, the fungal CW is a promising target for antifungal drugs. Nowadays, compounds acting on the CW, i.e., echinocandin derivatives, are used to treat *A. fumigatus* infections. However, studies demonstrating the clinical effectiveness of echinocandins in comparison with antifungals currently recommended for first-line treatment of invasive aspergillosis are still lacking. Therefore, it is important to elucidate CW biosynthesis pathways and their signal transduction cascades, which potentially compensate the inhibition caused by CW- perturbing compounds. Like in other fungi, the central core of the cell wall integrity (CWI) signaling pathway in *A. fumigatus* is composed of three mitogen activated protein kinases. Deletion of these genes resulted in severely enhanced sensitivity of the mutants against CW-disturbing compounds and in drastic alterations of the fungal morphology. Additionally, several cross-talk interactions between the CWI pathways and other signaling pathways are emerging, raising the question about their role in the CW compensatory mechanisms. In this review we focused on recent advances in understanding the CWI signaling pathway in *A. fumigatus* and its role during drug stress response and virulence.

Keywords: *Aspergillus fumigatus*, cell wall integrity, signaling pathways, virulence, mitogen activated protein kinases (MAPKs)

Overview About Cell Wall Organization in *Aspergillus fumigatus*

The fungal cell wall (CW) is the exoskeleton of fungal cells. Besides its starkness and firmness, it has a very flexible structure, which, therefore, can alter rapidly and efficiently in response to external and internal stimuli. In particular, filamentous fungi adapt their internal pressure and turgor in concert with CW-biosynthesis enzymes, in order to direct hyphal growth, following gradients of nutrients, and chemo attractants (e.g., hormones), or to avoid adverse habitats (Brand and Gow, 2009; Lew, 2011).

In recent years, the composition of the fungal CW was extensively studied. It varies among different species but is mostly composed of polymers of sugars, which show a high degree of branching. Although the CW composition varies among different fungal species, there are conserved parts such as a common core composed of branched β -1,3-glucan-chitin. An exception was found in zygomycetes, which contain chitosan instead of chitin (Singh et al., 2011). The polysaccharide-based three-dimensional network in *Aspergillus fumigatus* is completed by the addition of sugar-chains composed of α -1,3-glucan, galactofuran, and mannan, which make the structure rather variable compared to other fungi (Latge and Beauvais, 2014). Besides the polysaccharide structure, the CW is adorned with a variety of proteins. In *A. fumigatus* dormant conidia, the cell surface is covered by a rodlet layer, which is composed of regularly arranged RodA hydrophobin proteins. This hydrophobin envelope was found to be essential to immunologically mask the conidia, which are continuously inhaled by humans (Aimanianda et al., 2009). Other hydrophobins, which are produced at different developmental stages in *A. fumigatus*, were associated with drug response (Gautam et al., 2008) and biofilm-growth conditions (Bruns et al., 2010).

In the CW biosynthesis, the β -1,3-glucan synthase (Fks1) plays the major role (Beauvais et al., 2001). Fks1 is an integral plasma membrane protein having 16 putative *trans*-membrane helices. The genome of the majority of fungi normally contains only a single β -1,3-glucan synthase gene. Consequently, the deletion of this gene was supposed to be lethal (Firon et al., 2002; Henry et al., 2012). However, a recent publication reported the characterisation of an *A. fumigatus* $\Delta fks1$ mutant, which appeared to be viable besides showing a severe growth phenotype (Dichtl et al., 2015).

The *A. fumigatus* genome harbors many putative genes responsible for CW modifications. Different β -glucanases and branching enzymes were identified (Mouyna et al., 2013). These genes are more difficult to analyze by classical reverse genetics, because some of them are apparently functionally redundant. An example is given by the β -1,3-glucanosyltransferase (Gel) family, which, in *A. fumigatus*, is composed of seven different members. Among them, only the deletion of *gel4* was reported to be lethal (Gastebois et al., 2010), whereas the $\Delta gel2$, $\Delta gel1$, and $\Delta gel7$ deletion mutant strains were viable (Mouyna et al., 2005; Zhao et al., 2014).

For chitin, which is the second most abundant polysaccharide of the CW, a very similar situation was found. Among the eight putative chitin synthase genes identified in *A. fumigatus*, only the deletion of *csmA* and *csmB* led to a significantly altered phenotype, i.e., reduction of the colony radial growth rate and decrease in chitin content (Aufauvre-Brown et al., 1997; Jimenez-Ortigosa et al., 2012), while the mutation of the remaining genes did not result in a significant phenotype, and obvious phenotypic changes of mutants were only reported upon multiple simultaneous gene disruptions (Rogg et al., 2011; Muszkieta et al., 2014).

The knowledge about genes involved in the biosynthesis of the CW is steadily increasing. In total, more than 30% of the *A. fumigatus* genome still encodes genes with unknown function (Cerqueira et al., 2014). Thus, it is reasonable to assume that in

the future further enzymes involved in CW biosynthesis will be discovered, likely having novel enzymatic functions. As an example, it was predicted that *A. fumigatus* potentially expresses more than 100 different glycosylphosphatidylinositol (GPI) anchored proteins (Cao et al., 2009), which are likely to form a bridge between the membrane lipid bilayer and the CW. The majority of these proteins are supposed to play a role in the CW formation, but only very few have been characterized so far (Li et al., 2007).

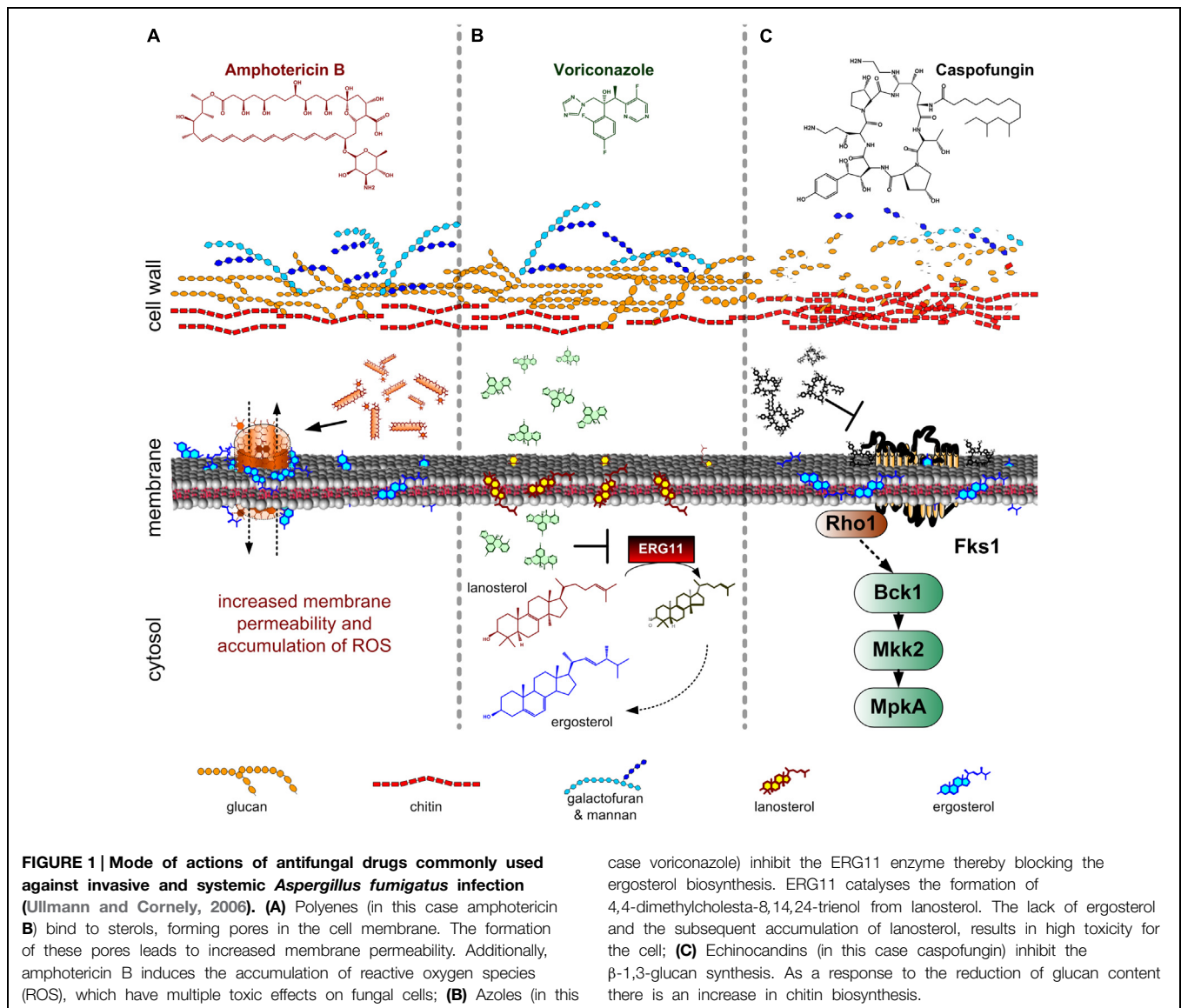
Activity of Antifungal Drugs Targeting the Fungal Cell Wall and Resistance Mechanisms

From all the different classes of potential antifungal drugs, only three of them have a wide clinical use against invasive and systemic infections caused by *A. fumigatus*. These compounds belong to the polyenes, azoles, and echinocandins (Ullmann and Cornely, 2006).

Polyenes and azoles are mainly targeting ergosterol and its biosynthesis, respectively, while echinocandins specifically inhibit β -1,3-glucan formation (Figure 1). However, it is increasingly acknowledged that defense mechanisms against these drugs have common elements. Consistently, a genome-wide screen conducted in *Schizosaccharomyces pombe* indicated that different ergosterol biosynthesis deficient mutants were also sensitive to β -1,3-glucan synthase inhibitor echinocandins and β -glucanase (Fang et al., 2012). A similar observation was made for *Candida albicans* biofilms, which normally exhibit higher resistance to polyenes depending on ergosterol and β -1,6-glucan synthesis (Khot et al., 2006).

Polyenes belong to the oldest known antifungal compounds. Amphothericin B (AmB), first isolated from *Streptomyces nodosus*, is the most commonly used polyene against systemic infections (Blum et al., 2013). AmB possesses a name-giving amphoteric character, which allows the binding of the lipophilic compound to the cytoplasmic membrane. The mode of action of polyenes is not fully understood. They theoretically bind to all kinds of sterols, with a significant higher affinity to ergosterol (Bolard, 1986; Figure 1A). The fungicidal activity of AmB is potentially based on the formation of channels in the cell membrane, which lead to increased membrane permeability and leakage of small molecules, inhibition of aerobic and anaerobic respiration, and accumulation of reactive oxygen species (ROS) (Mesa-Arango et al., 2014; Figure 1A). It was suggested that eight AmB molecules are assembled to a ring-like structure, showing both a hydrophobic interaction to ergosterol with its conjugated double-bond system and forming a hydrophilic pore with its inward directed hydroxyl groups (Baginski et al., 1997). Thus, the sensitivity toward polyenes is dependent on the composition of membranes, with regard to sterol content, and chemical structure of phospholipid fatty acyl chains.

Surprisingly, despite the long-term use of polyenes, resistance to AmB and others polyene drugs remains rare. Nevertheless, there are resistant species of *Candida* (*C. glabrata*, *C. tropicalis*, *C. lusitaniae*), which are characterized by an intrinsically low



ergosterol content (Young et al., 2003; Vandeputte et al., 2008; Gray et al., 2012; Eddouzi et al., 2013). The resistance to AmB in *Aspergillus terreus* was attributed to a higher level of catalase expression that counteracts the AmB-induced formation of ROS (Blum et al., 2013). Additionally, resistant environmental *Aspergillus flavus* strains were isolated, which were characterized by an alteration of CW composition and higher levels of α -1,3-glucan (Seo et al., 1999).

The group of azoles comprise a plethora of synthetic compounds, characterized by the presence of either a 2-N-containing imidazole ring or a 3-N-containing triazole ring with complex side-chains of at least one halogenated phenyl group (Bruggemann et al., 2009). In general, azoles show a broad spectrum of antifungal activity, a good toleration by humans and a relative low cytotoxicity, making them the major agents for treatment, and prevention of *Aspergillus* infections. There are many licensed azole antifungal drugs, but only four, namely

fluconazole, itraconazole, posaconazole, and voriconazole, are mainly used for treatment of fungal infections (Bruggemann et al., 2009). They all act by inhibiting the fungal cytochrome P450 14 α -sterol demethylase, which leads to a decrease in ergosterol in the fungal cytoplasmic membrane and thus to inhibition of growth (Figure 1B). In *A. fumigatus*, two genes have been assigned to this enzymatic function: *cyp51A* and *cyp51B* (*erg11*) (Mellado et al., 2001). The deduced proteins catalyze the oxidative removal of the 14 α -methyl group of lanosterol or eburicol, respectively, (Figure 1B). However, single deletions of genes involved in either demethylation or desaturation of ergosterol intermediates such as *cyp51A*, *cyp51B*, and *erg3A*, *erg3B* and *erg3C*, respectively, showed differences in relative sterol composition, but further tests indicated that neither of these genes is individually essential for *A. fumigatus* survival and virulence (Mellado et al., 2005; Hu et al., 2007; Alcazar-Fuoli and Mellado, 2012).

The main mechanism of resistance of *A. fumigatus* against azole antifungal drugs is based on a mutation of the *cyp51A* gene locus with a conversion of leucine at position 98 into histidine (L98H) in combination with the appearance of a 34-bp tandem repeat in *cyp51A* promoter region (TR₃₄). In addition to TR₃₄/L98H, also other hot spots for point mutations in the *cyp51A* gene have been reported, e.g., the exchange of glycine at pos. 54, with different impact on azole resistance in clinical isolates (Lelievre et al., 2013). Recently, a novel azole resistance mechanism was reported, which was caused by a mutation in the CCAAT-binding transcription factor (TF) complex subunit HapE (Camps et al., 2012). HapE interacts with the Hap-complex, which is, besides other functions, also important for adaptation to iron starvation and iron excess stress (Hortschansky et al., 2007).

The third and most recent class of clinically used antimycotic drugs are echinocandins. These molecules are composed of a cyclic hexapeptide core linked to a lipid side chain. Echinocandins act as non-competitive inhibitors of the β -1,3-glucan synthase (Figure 1C; Perez et al., 1983; Sawistowska-Schroder et al., 1984). Caspofungin was the first clinically applied echinocandin (CANCIDAS®, caspofungin acetate), which specifically targets the fungal CW assembly (Bowman et al., 2002). Inhibition of β -1,3-glucan synthesis results in inhibition of growth, increased osmotic sensitivity, and can even lead to lysis of cells. Besides their specificity, the use of echinocandins in clinical therapy is influenced by two drawbacks: the emergence of resistant strains, and the occurrence of the so-called paradoxical effect, which makes the drug less effective when used at high concentrations (Rocha et al., 2007; Wiederhold, 2009).

Until today, only little is known about naturally occurring resistance mechanisms against echinocandins. The most common mechanism is over-expression of the *fkp1* gene in clinical isolates of *A. fumigatus* (Arendrup et al., 2009). Additionally, mutation of the Fkp1 protein by substitution of a serine in position 678 by a proline (S678P) makes *A. fumigatus* resistant to caspofungin (Rocha et al., 2007). Apart from these changes, the adaptation of *A. fumigatus* to caspofungin is accompanied by a change in the CW sugar composition. As reported for other fungi, the exposition of *A. fumigatus* to caspofungin led as well to a decrease in β -glucan content and to an increase of chitin (Cowen and Steinbach, 2008; Verwer et al., 2012). In particular, these mechanisms seem to be related to the paradoxical effect exerted by this drug (Fortwendel et al., 2010). Potential mechanisms that have been suggested to induce chitin synthesis include manipulation of signaling pathways, such as the up-regulation of protein kinase C-encoding gene *pkcA*, a key component of the cell wall integrity (CWI) pathway, and elements acting in the calcineurin pathway (Wiederhold, 2007; Fortwendel et al., 2010).

The MAPK Cell Wall Integrity Signaling Pathway in *A. fumigatus*

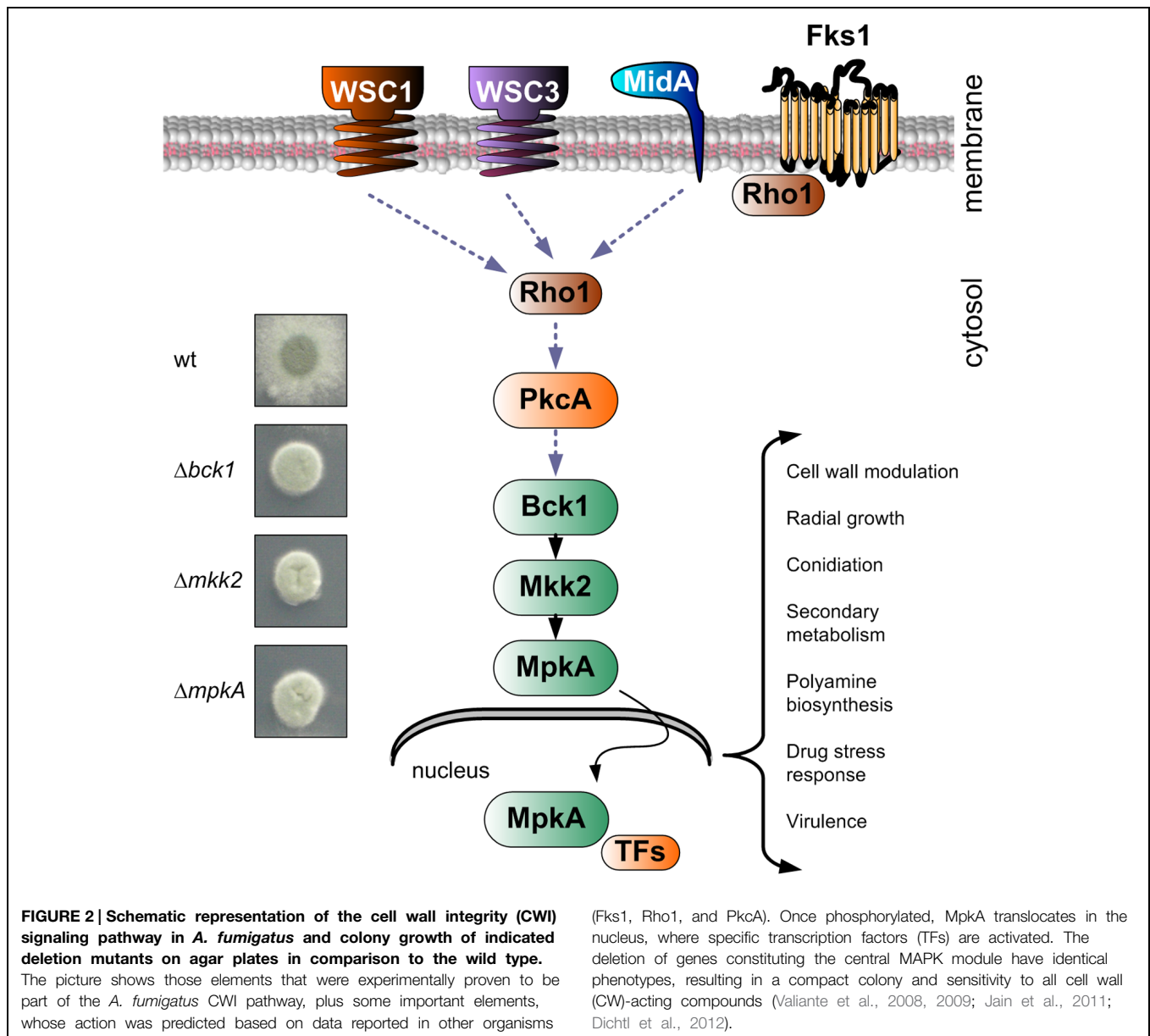
Several of the major findings about signaling pathways were firstly reported in the model fungus *Saccharomyces cerevisiae*

(Levin, 2011). The advances in genome sequencing allowed the identification of similar or identical signaling pathways in different fungal species, revealing the presence of highly conserved proteins that can be potentially used as antifungal drug targets (Horn et al., 2012). In particular, general signaling cascades such as the mitogen activated protein kinases (MAPKs), calcineurin, cAMP, and target of rapamycin (Tor) pathways are highly conserved in the fungal kingdom (Grosse et al., 2008; Rispail et al., 2009; Baldin et al., 2015).

Among the different signaling pathways, the MAPK CWI signaling plays a major role in CW maintenance. The CWI signal pathway in *A. fumigatus* is composed of a highly conserved module formed by three MAPKs, namely Bck1 (MAPK kinase kinase), Mkk2 (MAPK kinase), and MpkA (MAPK), which sequentially phosphorylate each other (Figure 2; Valiante et al., 2009; Dirr et al., 2010). Upon phosphorylation, MpkA moves into the nucleus, where it likely activates transcriptional regulators (Jain et al., 2011). The deletion of one of the three kinases of the MpkA module led to the lack of MpkA phosphorylation, which is the bottleneck in the activation of the entire pathway (Valiante et al., 2008). The three mutants appear phenotypically identical (Figure 2).

The lack of phosphorylation of MpkA yields mutants with a typically impaired CW, showing compact colonies and reduced filamentation (Valiante et al., 2009). Additionally, mutants of all three MAPKs are equally sensitive to all known CW-disturbing compounds, which highlight their incompetence to recover from CW stress (Valiante et al., 2008, 2009).

RNA-seq analysis performed on the $\Delta mpkA$ mutant of *A. fumigatus* showed that the deletion of this important kinase globally changed the expression of genes putatively involved in primary metabolism. Many genes involved in sugar and amino acid metabolism were differentially regulated, whereas many well-known CW biosynthesis genes were not affected (Müller et al., 2012). This unexpected finding suggested that the CWI pathway regulates CW biosynthesis by directly affecting the synthesis of sugars, which are the essential bricks for the CW formation. As a consequence, the role of the CWI pathway seems to be not only the mere structuring of the CW, but it is likely involved in fine-tuning the balance between stress responses and energy consumption in cellular processes required for growth and development. The latter statement is strengthened by the involvement of the CWI pathway in stress responses that are not directly connected to the CW biosynthesis. As an example, MpkA was activated during iron depletion (Jain et al., 2011). When *A. fumigatus* grows under iron starvation conditions, the fungus produces secondary metabolites named siderophores, which are derived from ornithine metabolism. Siderophores are secreted to bind external iron from the environment. The production of such metabolites is rather energy-consuming (Haas, 2014). The lack of *mpkA* in *A. fumigatus* increased the global siderophore production during iron depletion by positively regulating the metabolic flux toward the ornithine metabolic pathway. Living cells use ornithine and its precursor arginine for polyamine production. The functions of these small aliphatic molecules like putrescine and spermidine are still a matter of debate, but it was shown that their concentration



accompanies certain developmental transitions or exposure to stress conditions (Minguet et al., 2008). In fact, the synthesis of putrescine and spermidine has been proven to be essential for life (Chattopadhyay et al., 2002). As a result, the increased production of siderophores occurring during iron starvation conditions negatively influenced the global polyamine production and storage (Jain et al., 2011). These results suggested that during iron starvation, a functional MpkA is required to act as a central regulator to balance essential polyamine formation and *de novo* siderophore production. Additionally, the latter mechanism seems to be independent from the well-known HapX-SreA iron regulation system in *A. fumigatus* (Hortschansky et al., 2007; Gsaller et al., 2014), suggesting that the role of this kinase is epistatic to HapX-SreA in the stress response process.

Besides siderophore production, the CWI pathway seems to be an important regulator of secondary metabolism in *A. fumigatus*. Transcriptome data revealed that more than 50% of the identified secondary metabolite gene clusters were affected by the lack of *mpkA* (Müller et al., 2012). This also included the production of important virulence determinants such as gliotoxin and melanins (Jain et al., 2011). Melanins are a class of brown-pigmented molecules, which are often associated with the CW. Their main role is to protect the organisms from exogenous stresses, contributing to the first line of defense against external hazards (Heinekamp et al., 2012). *A. fumigatus* produces two kinds of melanins: the dihydroxynaphthalene (DHN) melanin, which is responsible for the characteristic gray-greenish color of the conidia (Langfelder et al., 2003), and pyomelanin, which is produced during tyrosine catabolism (Schmaler-Ripcke et al.,

2009). The expression of the DHN-melanin biosynthesis gene cluster was found to be decreased in the $\Delta mpkA$ mutant strain. However, these mutants were still able to produce DHN-melanin, suggesting that the role of the CWI pathway in the regulation of the cluster is significant but not decisive. Concerning pyomelanin, a higher production of this compound was found in the $\Delta mpkA$ mutant strain (Valiante et al., 2009). These data confirmed that pyomelanin exerts a protective role, potentially compensating CW stress effects in a dysfunctional CWI background.

As summarized here, the *A. fumigatus* CWI pathway is required for many physiological states, and the CW maintenance is not its only function. It is still a matter of debate whether the CWI signaling is actively involved in the regulation of such a variety of processes or whether the observed phenotypes of corresponding deletion mutant strains are a consequence of the strong physiological impairment derived by the lack of a functional phosphorylation cascade. The meaning of the CWI signaling in *A. fumigatus* for virulence is unclear. The lack of *mpkA* did not affect virulence in a cyclophosphamide-cortisone-acetate murine infection model (Valiante et al., 2008). By contrast, the $\Delta mkk2$ mutant strain showing the same phenotypes as the $\Delta mpkA$ mutant *in vitro*, displayed reduced virulence in a murine systemic infection model (Dirr et al., 2010). These results suggest that in spite of the strongly reduced growth, the presence of neutrophil granulocytes is needed to clear the infection caused by these mutants.

Comparative analysis of signaling cascades between different fungi highlighted that the central core of signaling, i.e., kinases, are conserved, while upstream (receptors), and downstream (TFs) elements are more diverse (Rispaill et al., 2009). In particular, receptor families are conserved, but vary in number. This suggests that activation of signaling is species-specific.

One of the most studied class of receptors acting upstream to the CWI signaling are the so-called WSC receptors (CWI and stress response components) (Nanduri and Tartakoff, 2001), which are characterized by the presence of highly repetitive domains containing serine and threonine residues. The WSC receptors also contain a putative carbohydrate-binding domain, which suggests that they could function as a bridge between the CW itself and the cytoplasmic membrane. Studies on the *S. cerevisiae* Wsc1 receptor suggested that these receptors work as a nanospring, able to detect/sense pulses derived from mechanical stress (Dupres et al., 2009). BLAST analysis revealed that the genome of *A. fumigatus* contains four putative genes coding for WSC receptors (*wsc1*, *wsc2*, *wsc3*, and *mid2*; Dichtl et al., 2012). All these proteins were found in the cytoplasmic membrane. However, their activity in *A. fumigatus* appears to be partially redundant, and a clearer CW phenotype was observed when multiple genes were simultaneously deleted. Additionally, the function of *wsc2* could not be related to the CWI signaling.

Other receptors putatively acting upstream of MAPK signaling pathways are the G protein coupled receptors (GPCRs). The number of these receptors also varies between different species (Grice et al., 2013). Deletion of the *gprC* and *gprD* genes in

A. fumigatus produced mutant strains impaired in CW-related stress response (Gehrke et al., 2010).

In *S. cerevisiae*, receptors activating the MAPK signaling cascade are connected to the MAPK module *via* different signaling elements. BLAST analysis revealed that those elements are also conserved in the *A. fumigatus* genome (Rispaill et al., 2009). Two of them, Rho1 and PkcA, are supposed to be essentials (Dichtl et al., 2012; De Souza et al., 2013); thus, their action should not only be related to the CWI signaling (Figure 2; Dichtl et al., 2010). Rho1 belongs to the Ras homolog family, which is a group of important signaling proteins (Levin, 2011). In *A. fumigatus*, Rho1 is localized in the hyphal tip, and it is misplaced by addition of farnesol (Dichtl et al., 2010), which suggests that this Ras protein interacts with putative membrane receptors to transduce external stimuli and to activate signaling cascades. A more evident phenotype was reported for the Rho guanyl-nucleotide exchange factor Rom2, which clearly localizes to the hyphal tips and septa, and its reduced expression strongly affects CW shape and stability (Samantaray et al., 2013).

Concerning PkcA (protein kinase C), there are no studies describing its function in *A. fumigatus*, but the repression of this gene in the closely related fungus *Aspergillus nidulans* affected the production of penicillin, which supports the involvement of the CWI pathway in the regulation of secondary metabolism (Herrmann et al., 2006). However, although these results suggested that the role of Rho1 and PkcA is conserved in fungi, the link between membrane receptors and the activation of the CWI pathway was shown in model yeasts, but not experimentally confirmed in *Aspergillus* species until now (Levin, 2011).

As mentioned before, the MAPK module is supposed to modulate the activity of transcriptional factors, associated with CWI signaling. Transcriptional regulators that act downstream of the CWI signaling pathway in *S. cerevisiae* were identified by BLAST analysis also in the *A. fumigatus* genome (Rispaill et al., 2009; Levin, 2011), but were mostly not analyzed in detail yet. Deletion of two genes coding for putative zinc-finger TFs, named *dvrA* and *ace2*, resulted in phenotypes affecting the CW. In particular, mutants lacking *dvrA* were more resistant to nikkomycin Z, a well-known chitinase inhibitor, and more virulent compared to the wild-type strain (Ejzykowicz et al., 2010; Verwer et al., 2012). A similar phenotype was observed for the $\Delta ace2$ strain, which displayed abnormal pigmentation, as well as increased virulence (Ejzykowicz et al., 2009). Nonetheless, besides these phenotypes, it remains to be shown whether these TFs act downstream of the CWI signaling pathway in a MAPK-dependent manner.

Cross Talk Between Different Signaling Pathways

Aspergillus fumigatus is challenged by a multitude of external stimuli, each of them needing an appropriate response. Although several different signal transduction pathways exist to initiate the required transcriptional changes, they would not

be able to respond to environmental signals in a balanced way if they only acted separately in a linear manner. Therefore, an interaction between the pathways is likely. For *S. cerevisiae*, cross talk between the CWI pathway and other signal transduction pathways under different conditions have been described (Fuchs and Mylonakis, 2009), but in *A. fumigatus* this interesting and complex field of research is just emerging.

In *S. cerevisiae*, the connection between the high osmolarity glycerol (HOG) and the CWI signaling pathway has been elucidated in detail. Under CW stress, the pathways can display co-regulatory roles depending on the stress-inducing agent. It was shown that Hog1 (the yeast SakA ortholog) was activated in the absence of Slt2 (the yeast MpkA ortholog) under conditions causing CW stress (Bermejo et al., 2008; Garcia et al., 2009), indicating an inhibitory effect of the CWI signaling on the HOG pathway.

Candida albicans also shows the previously mentioned paradoxical effect in response to high dose exposure to caspofungin. When analyzing the response to this antifungal drug, the involvement of several signal transduction cascades was discovered, including CWI, HOG, and calcium/calmodulin-dependent calcineurin signaling, suggesting an interaction of these three pathways (Wiederhold et al., 2005; Munro et al., 2007; Walker et al., 2008). For *A. fumigatus* the participation of calcium-mediated signaling in the paradoxical growth in response to caspofungin has been described as well (Fortwendel et al., 2010). Calcium signaling involves the Ca^{2+} -binding protein calmodulin and the serine/threonine protein phosphatase calcineurin (Carafoli, 2005). Deletion of the calcineurin subunit A-encoding gene *cnaA* (also named *calA*) in *A. fumigatus* resulted in the loss of the paradoxical growth phenotype under caspofungin stress, which was attributed to the transcriptional regulation of chitin synthase-encoding genes by this signal transduction pathway (Fortwendel et al., 2010). This finding shows that the caspofungin response in *A. fumigatus*, apart from CWI and HOG signaling, also involves the calcium signal transduction cascade suggesting an interaction between all three pathways in this filamentous fungus, as it was described for *C. albicans*. This conclusion was supported by the finding that in *A. nidulans* the constitutive over-expression of protein kinase C (PkcA) can in part restore the wild-type phenotype of a ΔcnaA deletion mutant (Colabardini et al., 2014). In addition, CnaA affects CWI signaling by regulating MpkA phosphorylation. Moreover, PkcA has an influence on the transcription of calcium-related processes as well as on the maintenance of normal intracellular calcium levels (Colabardini et al., 2014). For the basidiomycete *Cryptococcus neoformans*, an interaction of the CWI pathway and calcium signaling has also been described (Kraus et al., 2003). This suggests that the cross talk between both pathways could be common to different fungal species.

Another survey shows that not only inhibitors of the calcineurin signal transduction cascade but also rapamycin, the inhibitor of the Tor signal transduction pathway, dramatically increased the effect of caspofungin on *A. fumigatus*

(Kontoyiannis et al., 2003). As another component involved in the response to caspofungin, TOR might as well interact with CWI, HOG, or calcium signaling to coordinate the response to this drug. Altogether, this model raises the question whether in *A. fumigatus* the cross talk between signaling pathways is more pronounced than it is thought today.

In the last years, several transcriptomics studies of *A. fumigatus* signal transduction mutants were published (Malavazi et al., 2009; Jain et al., 2011; Müller et al., 2012; Macheleidt et al., 2015). The generated data suggest potential interactions of the CWI signaling cascade with other signal transduction pathways. For example, in a microarray analysis of the ΔmpkA strain, two calcium/calmodulin dependent kinases were found to be differentially regulated in the mutant compared to the wild type under stress conditions induced by glucanex, which lyses the CW (Jain et al., 2011). Furthermore, a microarray hybridisation approach comparing the transcriptional profile of the calcineurin mutant ΔcalA with the wild type, found the two MAP kinase kinase-encoding genes *mkk2*, involved in CWI signaling, and *pbs2*, involved in the HOG pathway, to be significantly down-regulated in the mutant strain (Malavazi et al., 2009). Data indicate once more a potential co-regulation of central signaling pathways in *A. fumigatus*. The increasing number of transcriptome analyses will lead to the identification of further interactions between signaling cascades, and most likely reveal the complexity of signal transduction in *A. fumigatus*.

Cell Wall Impaired Mutants and Virulence

It is common praxis in infection biology to test the virulence of mutant strains in mouse infection models, in order to define whether genes have a potential function as virulence determinant. Among the different *A. fumigatus* mutant strains having a defect in the CW, more than 20 were affected in virulence (Table 1). Surprisingly, many mutant strains with defects in CW biosynthesis enzymes were still virulent in the applied infection models. As an example, both the α -1,3-glucan synthase mutant strains, Δags1 , and Δags2 , were still pathogenic, although their α -1,3-glucan content was reduced by 50% compared to the wild-type strain (Beauvais et al., 2005). Moreover, the Δags3 mutant was reported to be even hyper-virulent (Maubon et al., 2006). To our knowledge, only the deletion of the genes encoding for a β -1,3-glucanosyltransferase (*gel2*) and a α -1,2-mannosyltransferase (*mnt1*) resulted in a decrease of virulence (Mouyna et al., 2005; Wagener et al., 2008).

As reported above, structuring of the CW depends on different signaling pathways. The observation that these pathways are connected to each other is increasingly acknowledged. Deletion of a single gene often affected more than one specific signaling pathway. In fact, many of the reported mutants with impaired CW, which displayed decreased virulence, were

TABLE 1 | The table lists all *A. fumigatus* mutant strains that show an altered CW structure and were reported to be involved in virulence.

Accession numbers	Associate function	Name	Function related to the cell wall (CW)	Virulence of loss-of-function mutant	Reference
AFUA_1G05800	MAP kinase kinase	<i>mkk2</i>	Essential for cell CWI signaling	Decreased	Dirr et al. (2010)
AFUA_1G09280	Protein phosphatase 2C	<i>ptcB</i>	Deletion strain is more sensitive to CW-acting compounds	Decreased	Winkelstroter et al. (2015)
AFUA_1G10880	P-type calcium ATPase	<i>pmcA</i>	Gene deletion affects normal growth, CW shape conidiation, and virulence	Decreased	Dinamarco et al. (2012)
AFUA_1G14660	Methyltransferase	<i>laeA</i>	Gene deletion affects external hydrophobin layer	Decreased	Bok et al. (2005); Dagenais et al. (2010)
AFUA_1G15440	α -1,3-glucan synthase	<i>ags3</i>	Mutants showed an increased rate of germination and melanin production	Increased	Maubon et al. (2006)
AFUA_1G16950	Protein required for glycosylphosphatidylinositol (GPI)-anchor biosynthesis	<i>pig-a</i>	Required for the CWI	Decreased	Li et al. (2007)
AFUA_2G07770	Small monomeric GTPase Ras	<i>rasB</i>	Gene deletion led to irregular hyphal morphology	Decreased	Fortwendel et al. (2005)
AFUA_2G12200	cAMP-dependent protein kinase catalytic subunit 1	<i>pkaC1</i>	Important for regulation of germination, CW homeostasis, and growth	Decreased	Fuller et al. (2011)
AFUA_2G12640	G-protein coupled receptor	<i>gprD</i>	Lack of function produces CW impaired mutants	Decreased	Gehrke et al. (2010)
AFUA_2G17600	Polyketide synthase	<i>pksP</i>	Deletion blocks DHN-melanin production, production of white conidia	Decreased	Jahn et al. (1997)
AFUA_3G05650	$\alpha\alpha$ -trehalose-phosphate synthase subunit TPS2	<i>ortA</i>	Gene deletion affects CWI and tolerance to high temperature	Decreased	Puttikamonkul et al. (2010)
AFUA_3G09820	C2H2 zinc finger domain protein	<i>dvrA</i>	Deletion strain is more resistant to nikkomycin Z	Increased	Ejzykowicz et al. (2010)
AFUA_3G11250	C2H2 transcription factor (TF)	<i>ace2</i>	Deletion strain displayed an abnormal conidial CW architecture	Increased	Ejzykowicz et al. (2009)
AFUA_3G12690	Putative UDP-galactopyranose mutase	<i>glfA</i>	Mutant results in a thinner CW	Decreased	Schmalhorst et al. (2008)
AFUA_4G06820	Related to sporulation-specific gene SPS2	<i>ecm33</i>	Putative glycosylphosphatidylinositol (GPI)-anchored CW protein	Increased	Romano et al. (2006)
AFUA_5G04170	Heat shock protein	<i>hsp90</i>	Over-expression leads to hypersensitivity to caspofungin	Decreased	Lamoth et al. (2012)
AFUA_5G08570	protein kinase A catalytic subunit 2	<i>pkaC2</i>	Important for regulation of germination, CW homeostasis, and growth	Decreased	Fuller et al. (2011)
AFUA_5G09360	Calcineurin A	<i>calA</i>	Gene deletion affects normal growth, CWI, conidiation, and virulence	Decreased	Steinbach et al. (2006)
AFUA_5G09580	Conidial hydrophobin	<i>rodA</i>	Deletion increases surface exposure of β 1,3-glucan and α -mannose	Decreased	Carrion Sde et al. (2013)
AFUA_5G10760	α -1,2-mannosyltransferase	<i>mnt1</i>	Deletion leads to a higher sensitivity to calcofluor white and congo red	Decreased	Wagener et al. (2008)
AFUA_5G11230	Small monomeric GTPase Ras	<i>rasA</i>	Lack of function produces CW impaired mutants	Decreased	Fortwendel et al. (2012)
AFUA_6G10240	Sensor histidine kinase/response regulator	<i>fos-1 (tcsA)</i>	Putative histidine kinase, two-component signal transduction protein	Decreased	Clemons et al. (2002)
AFUA_6G11390	β -1,3-glucanosyltransferase	<i>gel2</i>	This gene exerts a role in conidiogenesis and CW composition	Decreased	Mouyna et al. (2005)
AFUA_7G04800	G-protein coupled receptor	<i>gprC</i>	Lack of function results in CW impaired mutants	Decreased	Gehrke et al. (2010)

obtained by deleting genes putatively involved in signaling, such as $\Delta calA$, $\Delta rasA$, and B , and $\Delta pkaC$ mutants (Fortwendel et al., 2005, 2012; Steinbach et al., 2006; Fuller et al., 2011). Recently, it was reported that the deletion of *ptcB*, a putative protein phosphatase 2C, positively affected the phosphorylation status of both MpkA and SakA, resulting in decreased

virulence (Winkelstroter et al., 2015). Similarly, blocking of CWI signaling led to reduced virulence (Dirr et al., 2010). However, blocking of CWI signaling also resulted in various physiological alterations, which are apparently not directly connected to the CW (e.g., alteration of secondary metabolism; Jain et al., 2011).

Perspective

A major question concerns the selection of suitable and effective targets for future antifungal drugs. The three clinically important classes of antifungal drugs used against *A. fumigatus* target either enzymatic steps involved in cell membrane/CW biosynthesis or ergosterol as part of the cytoplasmic membrane. These drugs show some limitations either because they cannot really clear *A. fumigatus* infection, or because resistant strains were isolated and are therefore of major clinical concern. The increase of life-threatening mycoses accompanied with the lack of effective drugs, has fostered the search for new, broad-spectrum fungicidal agents. Drug efficacy could also be increased by the reformulation of existing antifungals as well as the search for synergistically acting compounds that can be used for treatment and prophylaxis. As an example, the effectiveness of caspofungin in prophylaxis is still a matter of debate, and there are studies indicating that caspofungin did not decrease the mortality rate of patients with diagnosed invasive aspergillosis (Karthaus, 2011). In future, because of their low toxicity, echinocandins might well be used in a combinatorial antifungal therapy with other synergistically acting drugs (Deresinski and Stevens, 2003). In line, it was already reported that the combination of caspofungin with azoles or AmB

increased caspofungin activity *in vitro* (Dannaoui et al., 2004; Liu et al., 2012). Additionally, the combination of these drugs improved the efficacy of treatments of patients with severe fungal infections, in which the first line therapy failed (Nivoix et al., 2006).

The fungal CW still remains a very powerful target for antifungal drugs. However, recent studies suggest that the signaling pathways responsible for CW formation have not been completely elucidated. It remains to be shown which signaling pathways act as compensatory pathways that decrease the effectiveness of drug treatments. The identification of such pathways could lead to the discovery of new targets and new modes of action that can be exploited to potentiate the efficiency of known drugs, and to improve prophylaxis against invasive mycoses.

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Exploration of *Aspergillus fumigatus* Ras pathways for novel antifungal drug targets

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Ras pathway signaling is a critical virulence determinant for pathogenic fungi. Localization of Ras to the plasma membrane (PM) is required for Ras network interactions supporting fungal growth and virulence. For example, loss of *Aspergillus fumigatus* RasA signaling at the PM via inhibition of palmitoylation leads to decreased growth, altered hyphal morphogenesis, decreased cell wall integrity and loss of virulence. In order to be properly localized and activated, Ras proteins must transit a series of post-translational modification (PTM) steps. These steps include farnesylation, proteolytic cleavage of terminal amino acids, carboxymethylation, and palmitoylation. Because Ras activation drives tumor development, Ras pathways have been extensively studied in mammalian cells as a potential target for anti-cancer therapy. Inhibitors of mammalian Ras interactions and PTM components have been, or are actively being, developed. This review will focus on the potential for building upon existing scaffolds to exploit fungal Ras proteins for therapy, synthesizing data from studies employing both mammalian and fungal systems.

Keywords: Ras protein, G domain, hypervariable region, post-translational modifications, spatio-temporal regulation, fungal pathogenesis, antifungal therapeutics

INTRODUCTION

Aspergillus fumigatus is the most common fungal pathogen of invasive aspergillosis in immunocompromised patients. Despite the introduction of several antifungal drugs, infections related to invasive aspergillosis are usually severe and fatal (Latge, 1999). Therefore, there is an increasing demand for new drugs against *A. fumigatus* infections, and thereby identification of target proteins for therapeutic drug design.

Ras-mediated signaling pathways play key roles in regulating cell responses to different stresses via a wide range of effector proteins (for more information, refer to Rajalingam et al., 2007). In pathogenic fungi, Ras signaling pathways control virulence in host cells (reviewed in detail in Fortwendel, 2012). Therefore Ras proteins, and their effectors, represent potential targets of intervention for novel antifungal therapies. Due to their role in tumor formation, mammalian Ras post-translational modification (PTM) pathway proteins have been studied in detail for their potential as targets for anticancer therapeutics (refer to Downward, 2003; Adjei and Hidalgo, 2005; Spiegel et al., 2014). In this minireview, we discuss these studies in the context of development of antifungal therapy. Since RasA is the major Ras protein in *A. fumigatus*, this brief review focuses on the RasA signaling pathway.

A. *Fumigatus* Ras PROTEINS

Ras proteins are low molecular weight monomeric G-proteins, which localize to the plasma membrane (PM) and exhibit GTPase activities (Wennerberg et al., 2005). They are induced by extracellular stimuli and function primarily as signal mediators for several downstream cascades. Such cascades activate transcription factors, which control a wide range of cellular processes such

as cell growth, division, differentiation and survival (Weeks and Spiegelman, 2003). In contrast to human cells, which typically express three Ras isoforms (HRas, KRas, and NRas), only two Ras homologs (RasA and RasB) are produced in *A. fumigatus* (Fortwendel et al., 2004). Based on sequence similarity, RasA is more closely related to the human H-Ras with homologs found in most eukaryotes. In contrast, RasB is only produced by filamentous fungi (Fortwendel et al., 2004). Both, RasA, and RasB, exhibit distinct but overlapping roles in conidial germination, mycelial growth, conidiogenesis, and cell mitosis (Fortwendel et al., 2004, 2005, 2008, 2012). Additionally, both proteins modulate virulence in *A. fumigatus* and other pathogenic fungi (Fortwendel et al., 2005, 2012; Fortwendel, 2012).

DOMAIN STRUCTURE OF Ras PROTEINS

The domain structure of human Ras proteins has been reviewed in detail previously (Sprang, 1997; Vetter and Wittinghofer, 2001; Wittinghofer and Vetter, 2011). Briefly, the approximately 190-amino acid protein is divided into 165 highly conserved amino acids (90–100% identical) at the N-terminus (known as the G domain or GTPase domain) and a C-terminal hypervariable region (HVR) that encompasses the remaining amino acids (Hancock, 2003). In the next sections, we will discuss the domain structure of both regions and their role in mediating Ras activation, transmembrane localization and cell signaling.

THE G DOMAIN FACILITATES PROTEIN CONFIRMATION AND DOWNSTREAM SIGNALING

Numerous biochemical, molecular and structural studies, involving both yeast and mammalian cells, have shown that the

G domain of Ras-like proteins houses the amino acid sequences required for binding guanine nucleotides [i.e., guanosine diphosphate (GDP) and guanosine triphosphate (GTP)], GTPase-activating protein (GAP), guanine nucleotide exchange factor (GEF), and downstream effectors (Ahearn et al., 2012). The G domain is organized into six β sheets and five α helices. Additionally, two loop regions, designated switch I and switch II, mediate Ras transformation between its two interchangeable activity states via conformational change during binding of guanine nucleotides (Vetter and Wittinghofer, 2001).

The Ras activation mechanism involves GEF proteins, which promote the release of GDP. GTP, which exists in the cytoplasm at concentrations 10 times higher than that of GDP, binds to the GDP-free form of Ras. GTP association with Ras releases energy, which changes protein conformation at the switch I and II regions. This transforms Ras to the active state and allows binding of the effector proteins to its G domain. Active Ras proteins are negatively regulated by GAP proteins. Binding of GAP to Ras protein increases its intrinsic GTPase activity 10^5 fold and hydrolyzes GTP to GDP. The hydrolysis of GTP depletes the released energy, causing conformational changes at the switch domains, and subsequently releasing the effector (Vetter and Wittinghofer, 2001; Wennerberg et al., 2005; Kyriakis, 2009; Ahearn et al., 2012; Prior and Hancock, 2012).

THE HVR GOVERNS Ras MEMBRANE LOCALIZATION AND ANCHORING

The HVR of RasA homologs is divided into two regions: an anchor and a linker. The anchor region is highly conserved among Ras isoforms and is composed of a CAAX box—where C is cysteine, AA are two aliphatic amino acids, and X is any amino acid—and a palmitoylation motif (Gao et al., 2009).

The anchor plays an essential role in Ras subcellular trafficking and membrane localization. Protein trafficking and subsequent membrane association of many proteins is typically mediated by hydrophobic transmembrane domains. However, Ras proteins lack such domains, and therefore the protein undergoes several PTMs at the CAAX box and the palmitoylated cysteine motif which convert the HVR to a hydrophobic, membrane-associated domain (Figure 1A) (Takai et al., 2001; Hancock, 2003; Larsen et al., 2006; Iwasaki and Ōmura, 2007).

Ras PTM mechanisms have been studied in detail in human and yeast cells. In spite of lack of similar studies in *A. fumigatus*, homologous proteins have been identified in the *A. fumigatus* genome (Figure 1B), implying conservation of RasA PTM processes in *Aspergillus* species. The first step of the Ras PTM series is prenylation, which is the process of covalent addition of a farnesyl (farnesylation) or geranylgeranyl group (geranylgeranylation) at the cysteine residue of the CAAX box via farnesyl transferase (FT) or geranylgeranyl transferases (GGT I and II), respectively (Berndt and Sebt, 2011). Prenylation of the CAAX box facilitates the association of Ras protein to the endoplasmic reticulum (ER) membrane (Omerovic et al., 2007). At the ER membrane, the farnesylated (or geranylgeranylated) Ras protein is further processed by AAX cleavage via type I (Ste24) and type II CAAX prenyl endopeptidase (Rce1) (Manolaridis et al., 2013).

The remaining prenylated cysteine residue of the CAAX box is then methylated by isoprenylcysteine carboxyl methyltransferase

(ICMT) in the ER (Chiu et al., 2004). Prenylation, proteolysis, and methylation suffice weak binding of the CAAX motif cysteine residue to the ER membrane. Such unstable association causes Ras to encounter a constant exchange between the ER membrane and the cytoplasm (Greaves and Chamberlain, 2007). Therefore, a second moiety is required to stabilize Ras association to the ER membrane. Such a signal varies and can be a lysine polybasic domain in K-Ras(B), a single palmitoylation site in N-Ras and K-Ras(A), or a double palmitoylation site in H-Ras (Hancock et al., 1989, 1990). Palmitoylation, specifically S-palmitoylation, is the addition of palmitate to the cysteine residue(s) via thioester bond (Smotrys and Linder, 2004; Wan et al., 2007). In Ras proteins, palmitoylation cysteines are located in the anchor region and are adjacent to the CAAX box cysteine residue (Linder and Deschenes, 2007). Palmitoylated Ras is transported from the Golgi to the PM via the exocytic vesicular pathway (Goodwin et al., 2005). Unlike prenylation, palmitoylation is a reversible step, as Ras can be depalmitoylated via thioesterase on the PM. Depalmitoylated Ras recycles back to the Golgi via a non-vesicular pathway. This cycle of palmitoylation and depalmitoylation is used by the cell to avoid unnecessary accumulation of Ras proteins on the PM (Goodwin et al., 2005; Salaun et al., 2010).

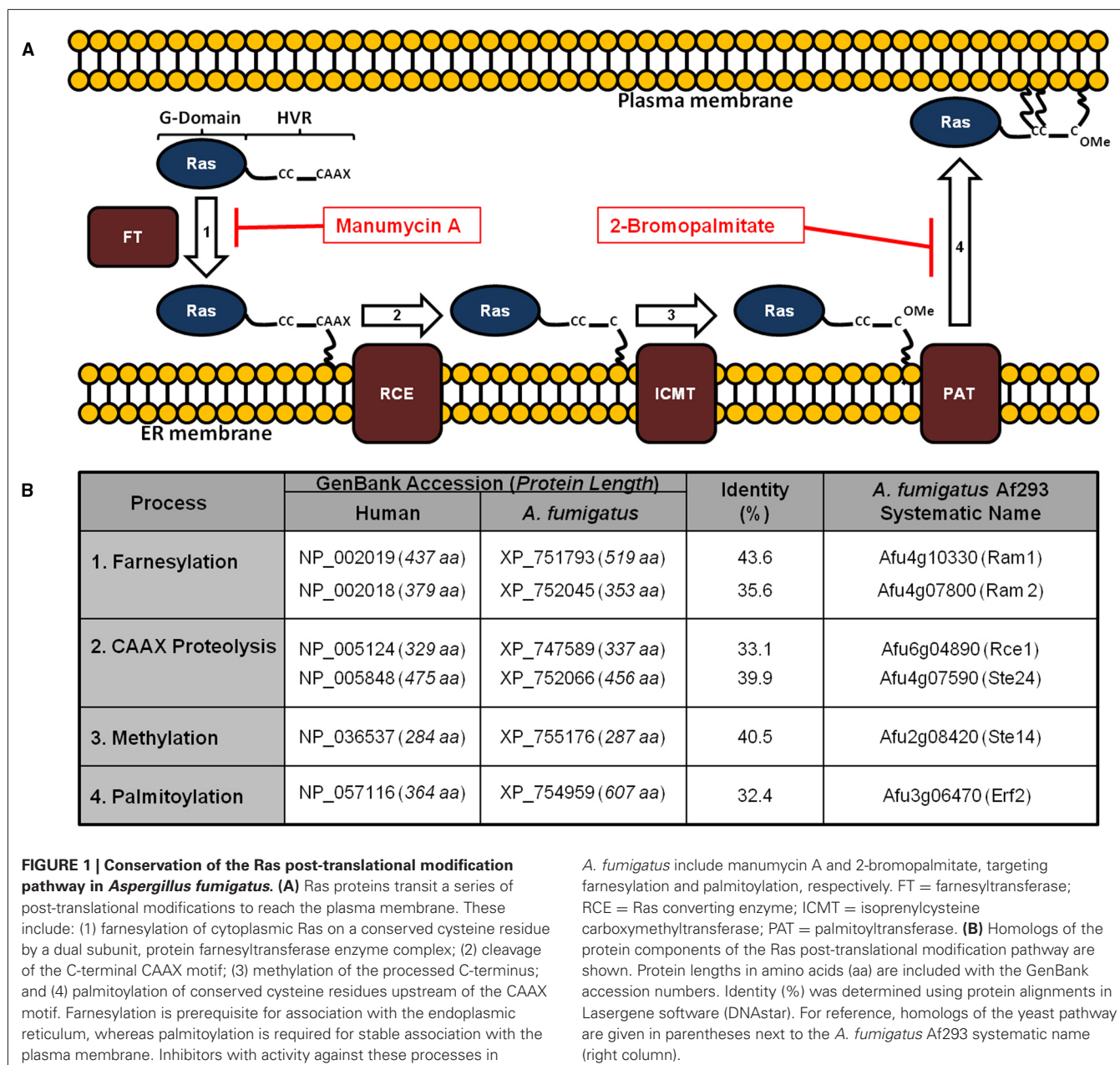
In fungi, the amino acid composition of the anchor region varies among species in different ways. First, the number of cysteine residues in the palmitoylation region varies from one cysteine in *S. cerevisiae*, *S. pombe*, and *Candida albicans*; to two cysteine residues in *Cryptococcus neoformans* and other filamentous fungi such as *A. fumigatus*. Second, variations in the anchor region might exist between different Ras homologs within one fungal species. For example, unlike RasA, *A. fumigatus* RasB does not contain the palmitoylation cysteine residues. Although no experimental evidence for RasB localization exists, the differences in anchor region amino acid sequence might indicate different subcellular localizations of RasA and RasB (Fortwendel, 2012).

Ras-MEDIATED FUNGAL MORPHOGENESIS AND VIRULENCE DEPEND ON THE SPATIO-TEMPORAL REGULATION OF Ras ACTIVITY

Like their human counterparts, the cellular functions of fungal Ras GTPases depend on the spatio-temporal regulation of the protein on the PM. Understanding the spatio-temporal organization of RasA has been a necessary step in selecting potential targets that are predicted to interfere with its ability to properly transmit signals for growth, stress response and virulence. The following sections will briefly summarize our current knowledge of RasA spatial and temporal regulation mechanisms.

THE TEMPORAL REGULATION OF RasA ACTIVITY

The essential role of temporal activation of RasA has been investigated by comparing the phenotype of *A. fumigatus* mutants that express dominant negative RasA (DNrasA) or dominant activate RasA (DAsrasA) to that of a *rasA* ($\Delta rasA$) deletion mutant. The DNrasA and DAsrasA mutants provide the ability to study the phenotypic effects of improper temporal inactivation or activation of RasA, respectively, during development. DNrasA, and $\Delta rasA$ strains show similar phenotypes (i.e., delayed in germination), whereas the DAsrasA mutant initiates germination in the absence



of a germinant. Additionally, mycelia of all three strains grow slower than wild type and exhibit defects in polarity maintenance. Although constitutive Ras activation delays germ tube formation and reduces colony outgrowth, the DArasA mutant also displays hyphal swelling and spontaneous lysis during fully polarized growth (Fortwendel, 2012). These data show the importance of temporal regulation of RasA activity for proper hyphal morphogenesis, since the inability to modulate RasA activity during developmental progression causes abnormalities in fungal growth.

THE SPATIAL REGULATION OF RasA SIGNALING

In addition to the previously described temporal regulation, spatial regulation of Ras signaling plays an essential role in RasA function. Evidence for the cellular mechanisms that control

A. fumigatus RasA PTM and localization was obtained from subcellular localization analyses of RasA using GFP tagging. Similar to human Ras, RasA localizes to the PM of *A. fumigatus*. However, when RasA farnesylation is blocked by exchange mutagenesis of the CAAX box cysteine residue (C210) to serine, RasA aborts the PM localization and accumulates in the cytoplasm. Additionally, expression of RasA in an *A. fumigatus* deletion strain of the putative palmitoyltransferase subunit gene ($\Delta erfD$) shows a punctate localization of RasA, implying palmitoylation of RasA is required for PM localization. Furthermore, mutation of the palmitoylation double cysteine motif (C206 and C207) to serine mislocalizes RasA to endomembranes. Phenotype analysis of these mutants showed that *A. fumigatus* strains expressing either a non-farnesylated or non-palmitoylated

RasA exhibit a full or partial $\Delta rasA$ phenotype, respectively (Fortwendel et al., 2012; Norton and Fortwendel, 2014). Consistent with these data, similar results have been obtained in other pathogenic fungi. For example, in *C. neoformans*, farnesylation and palmitoylation are both required for normal Ras1 localization and morphogenesis (Nichols et al., 2009). In *C. albicans*, both PTMs are required for localization, but farnesylation plays the greater role in Ras-mediated growth and morphogenesis (Piispanen et al., 2011). Taken together, these data support the importance of RasA PTM processes for fungal growth and virulence.

APPROACHES FOR DEVELOPING Ras-TARGETED ANTIFUNGAL THERAPEUTICS

Mammalian Ras signaling pathways have been the target of extensive research for developing anticancer therapeutics. This accumulated knowledge could be translated into novel strategies to treat *Aspergillus* infections since the mechanisms of Ras activation and PTMs are shared by both human and fungi. The development of Ras-targeted anticancer therapy has focused on: (1) targeting Ras proteins directly, (2) blockade of Ras upstream and downstream signaling pathways, and (3) inhibition of Ras PTMs. There are many Ras inhibitors that have tested in mammalian cell as anticancer therapeutics. Details on specific successes and failures have been reviewed extensively (Adjei and Hidalgo, 2005; Berndt et al., 2011; Spiegel et al., 2014). Although all three of these have been pursued as potentially viable channels for inhibition of Ras function, most progress has been achieved in the development of anti-Ras compounds targeting the PTM pathway. In the following section, we will briefly describe these approaches, focusing mainly on inhibition of Ras PTMs and the published data that support this pathway as a potential anti-*Aspergillus* therapeutic target.

TARGETING Ras PROTEINS AND Ras PROTEIN INTERACTIONS

In *A. fumigatus*, RasA modulates fungal pathogenesis and has been considered an attractive target for antifungal agents. Directly targeting Ras proteins for anticancer therapies has proven a difficult task. For example, inhibition of Ras-GTP binding is difficult to achieve, since the Ras-GTP interaction is very high affinity, occurring in the picomolar range (Gysin et al., 2011). However, recent approaches have generated small molecules that inhibit K-Ras activation (Maurer et al., 2012). This method relied on in-depth structural analyses of the K-Ras protein, the level of which have not been accomplished for any fungal Ras protein. As such, the applicability of such inhibitors remains unknown for fungal Ras proteins.

In general, fungal Ras proteins appear to share similar signaling mechanisms with their human homologs, and therefore inhibitors of these signaling events might also have great potential for thwarting invasive fungal infections. Examples of these are protein kinases that modulate downstream Ras signaling pathways. Several kinase inhibitors have been applied successfully to treat cancer (reviewed in detail by Downward, 2003), however, these molecules have not been tested in models of fungal infection. Although the signaling mechanisms are similar between humans and fungi, a deeper understanding of the biochemistry underpinning Ras-mediated signaling in pathogenic fungi is

required for the identification of fungal-specific, selective targets in this area.

INHIBITION OF Ras PTM

Inhibition of farnesylation

In contrast to the limited number of therapeutics directly targeting Ras proteins, multiple compounds are being developed and employed to inhibit steps in the PTM pathway of human Ras proteins. Importantly, the individual elements of the PTM pathway are conserved between humans and fungal pathogens, the first step of which is the lipidation of Ras with a farnesyl moiety (Figure 1B). There are several farnesyl transferase inhibitors (FTIs) that are used or being clinically tested for use as anticancer agents (described in detail by Appels et al., 2005). The antifungal activities of some of these FTIs have been evaluated against several pathogenic fungi. For example, when the wild type strain of *C. neoformans* was treated with six different FTIs, one inhibitor, i.e., Manumycin A, showed inhibitory activities comparable to Amphotericin B—an antifungal agent. Additionally, when a *C. neoformans* mutant that lacks the cell wall capsule, i.e., cap59 mutant, was used, two additional FTIs, namely ethylenediamine inhibitor #2 and tipifarnib, showed elevated inhibitory activities. Interestingly, treatment of *C. neoformans* with high concentrations of Manumycin A caused a shift in Ras1 localization from the PM to the cytosol (Hast et al., 2011). Similar experiments have been carried out to study antifungal activities of Manumycin A against several species from *Aspergillus* and *Candida*. Compared to *C. neoformans*, the FTI minimal inhibitory concentrations (MIC) were 80–160-fold and 5–10-fold higher for *Aspergillus* and for *Candida*, respectively. (Hast et al., 2011; Qiao et al., 2013). However, it is unclear whether such differences in MIC are caused by fungal resistance to Manumycin A; or variations in experimental procedure, media composition, or pH of the media. In a similar experiment, the inhibition of protein farnesylation in *C. albicans* by farnesyl transferase inhibitor III (FPT inhibitor III) blocked the development of yeast to hyphae (McGeady et al., 2002), which is a Ras-mediated virulence step (Cutler, 1991; Feng et al., 1999). Additionally, FPT Inhibitor III blocks hyphal differentiation in a dose-dependent manner in *C. neoformans* (Vallim et al., 2004).

To better understand the protein–protein interactions between FT and their inhibitors (FTIs), structural studies of inhibitor-bound *A. fumigatus* and *C. neoformans* FT were compared to their human homolog. These studies revealed that the substrate-binding groove residues are highly conserved between human and fungal FT, while the product exit groove displays a high sequence divergence. Importantly, these studies reveal fungal-specific attributes of the highly conserved Ras PTM pathway. For example, both grooves are wider in fungal FT in comparison to their human homolog, causing weaker binding activities of inhibitors toward *Aspergillus* FT in comparison to human FT (Hast et al., 2011; Mabanglo et al., 2014). Therefore, modification of anticancer FTIs is required for optimal antifungal efficiency. Re-purposing FTIs developed for anticancer treatments may represent a novel area for antifungal drug development.

In addition to targeting Ras farnesylation, mapping the fungal farnesylome, i.e., proteins that are farnesylated by

farnesyltransferase, will open the door for numerous potential drug targets. One example is the Ras-related protein, Rheb, which is, like Ras proteins, farnesylated before transmembrane localization (Clark et al., 1997). The cellular functions of mammalian Rheb are inhibited by cell treatment with FTI (Castro et al., 2003). In *A. fumigatus*, the Rheb homolog, i.e., RhbA, plays an important role in fungal pathogenesis and vegetative growth. An *A. fumigatus* *rhbA* deletion mutant, $\Delta rhbA$, exhibits impaired virulence in mouse model and reduced growth on minimal media supplemented with poor nitrogen sources. Additionally, this strain displays higher sensitivity to the rapamycin antibiotic, which inhibits TOR kinases (Panepinto et al., 2003). Taken together, this suggests that fungal Rheb, i.e., Rhb, could serve as potential target for antifungal therapy.

Inhibition of palmitoylation

Palmitoylation is another putative target for antifungal therapeutics since RasA palmitoylation is important for mycelial polarized growth and virulence. Targeting Ras palmitoylation is still at the beginning stages as an anticancer therapeutic. Palmitoylation inhibitors have only recently been developed and have not yet been employed in disease models (reviewed in Chavda et al., 2014). To our knowledge, only one study assessed the potential of inhibition of RasA palmitoylation as antifungal target. In this study, blocking RasA palmitoylation in *A. fumigatus* by 2-bromopalmitate disrupts RasA transmembrane localization and reduces fungal growth in liquid culture (Fortwendel et al., 2012). Therefore, additional studies that aim at designing, developing and assessing novel compounds that target fungal RasA palmitoylation are warranted.

CONCLUSION

The potential for developing antifungal therapeutics by targeting the Ras signaling pathway is a promising avenue of research. Ras signaling has been studied intensively in humans, and the accumulated knowledge can be utilized as a scaffold for the development of antifungal agents with selective toxicity. In support of this, the individual components of the Ras PTM pathway share only partial sequence similarity with their human homologs (Figure 1B). Additionally, further characterization of Ras regulatory pathways in pathogenic fungi is necessary to deepen our understanding of fungal growth and virulence.

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Identification and mutational analyses of phosphorylation sites of the calcineurin-binding protein CbpA and the identification of domains required for calcineurin binding in *Aspergillus fumigatus*

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Calcineurin is a key protein phosphatase required for hyphal growth and virulence in *Aspergillus fumigatus*, making it an attractive antifungal target. However, currently available calcineurin inhibitors, FK506 and cyclosporine A, are immunosuppressive, limiting usage in the treatment of patients with invasive aspergillosis. Therefore, the identification of endogenous inhibitors of calcineurin belonging to the calcipressin family is an important parallel strategy. We previously identified the gene *cbpA* as the *A. fumigatus* calcipressin member and showed its involvement in hyphal growth and calcium homeostasis. However, the mechanism of its activation/inhibition through phosphorylation and its interaction with calcineurin remains unknown. Here we show that *A. fumigatus* CbpA is phosphorylated at three distinct domains, including the conserved SP repeat motif (phosphorylated domain-I; PD-I), a filamentous fungal-specific domain (PD-II), and the C-terminal CIC motif (Calcipressin Inhibitor of Calcineurin; PD-III). While mutation of three phosphorylated residues (Ser208, Ser217, Ser223) in the PD-II did not affect CbpA function *in vivo*, mutation of the two phosphorylated serines (Ser156, Ser160) in the SP repeat motif caused reduced hyphal growth and sensitivity to oxidative stress. Mutational analysis in the key domains in calcineurin A (CnaA) and proteomic interaction studies confirmed the requirement of PxIxIT motif-binding residues (352-NIR-354) and the calcineurin B (CnaB)-binding helix residue (V371) for the binding of CbpA to CnaA. Additionally, while the calmodulin-binding residues (442-RVF-444) did not affect CbpA binding to CnaA, three mutations (T359P, H361L, and L365S) clustered between the CnaA catalytic and the CnaB-binding helix were also required for CbpA binding. This is the first study to analyze the phosphorylation status of calcipressin in filamentous fungi and identify the domains required for binding to calcineurin.

Keywords: *Aspergillus fumigatus*, calcineurin, calcineurin-binding protein (CbpA), phosphorylation, mutation

Introduction

Calcineurin (also known as protein phosphatase 2B) is an important protein phosphatase essential for hyphal growth, development, and virulence in *Aspergillus fumigatus*, making it an attractive antifungal target (Steinbach et al., 2007). However, due to direct immunosuppressive effects, administration of currently available calcineurin inhibitors, FK506 and cyclosporine A, for the treatment of patients with invasive aspergillosis is not practical (Ho et al., 1996). Therefore, identification of endogenous inhibitors of calcineurin is important to more effectively inhibit calcineurin as part of future drug targeting strategies. One such group of endogenous inhibitors are the regulators of calcineurin (RCANs; RCAN1-3) or modulatory calcineurin-interacting proteins (MCIPs; MCIP1-3) belonging to the calcipressin family of proteins (Rothermel et al., 2003). This family is composed of key regulators of calcineurin-NFAT signaling in diverse organisms, ranging from the yeasts to humans (Kingsbury and Cunningham, 2000; Rothermel et al., 2003). Another group of scaffolding proteins, Cain/Cabin-1 and A-kinase anchoring protein 79 (AKAP79), have also been identified to interact and inhibit calcineurin function in a phosphorylation-dependent manner in mammalian cells (Coghlan et al., 1995; Kashishian et al., 1998; Lai et al., 1998). Despite the conservation of calcineurin from the yeasts to human, the fungal homologs of the endogenous mammalian calcineurin inhibitor Cabin 1/Cain have not yet been identified.

How RCAN proteins precisely modulate calcineurin function is debatable. Earlier reports in mammalian cells revealed that RCAN1, one of the target genes of NFAT, binds to calcineurin and inhibits its activity (Fuentes et al., 2000; Rothermel et al., 2000), but more recent reports suggest that RCAN1 actually facilitates the activation of the calcineurin-NFAT signaling pathway (Sanna et al., 2006). A study using a combination of *in silico* stimulations and single cell experiments indicated that RCAN1 inhibits calcineurin at lower concentrations, but functions as a facilitator when its levels increase within the cell (Shin et al., 2011).

Attempts to understand the molecular mechanism responsible for facilitation of calcineurin-NFAT signaling revealed that phosphorylation of RCAN1 switches it from an inhibitor to a facilitator (Shin et al., 2011). Earlier structural analysis of domains in RCAN1 revealed the requirement of PxIxIT and LxVP motifs in order to cause an inhibitory effect, and TxxP and ExxP motifs and a GSK3 β phosphorylation site to act as a facilitator (Mehta et al., 2009). The hallmark of the members of the calcipressin family is the presence of a conserved serine-proline repeat (SP repeat; FxISPPxSPP motif) which is phosphorylated at the two serine residues by mitogen-activated kinase (MAPK), followed by glycogen synthase kinase 3 (GSK3 β ; Vega et al., 2002). Studies in *Saccharomyces cerevisiae* have shown that phosphorylation by GSK3 β within the SP repeat is required for calcineurin activity (Hilioti et al., 2004). Previously, this GSK3 β phosphorylation was shown to be reversed by the phosphatase action of calcineurin (Vega et al., 2002).

In the yeast *S. cerevisiae*, deletion of RCAN1 caused sensitivity to cation stress, as opposed to no cation sensitivity with

deletion of *CBP1* (the RCAN1 ortholog) in *Cryptococcus neoformans* (Görlach et al., 2000). In the presence of the FK506-FKBP12 complex, binding of CBP1 to calcineurin was also inhibited in *C. neoformans* (Görlach et al., 2000). In addition to the coordinating role of Cbp1 with calcineurin in filamentation of *C. neoformans* during mating, the importance of phosphorylation and dephosphorylation of two serine residues in the SP repeat motif for directing activity of calcineurin during hyphal elongation was demonstrated through site-directed mutagenesis (Fox and Heitman, 2005). In *S. cerevisiae*, phosphorylation of RCAN1 promoted its degradation and decreased its inhibitory effect on calcineurin (Kishi et al., 2007).

We previously demonstrated the importance of the RCAN1 ortholog, CbpA, for hyphal growth and calcium homeostasis in *A. fumigatus* (Pinchai et al., 2009). While deletion of *cbpA* resulted in increased expression of the *vcxA* (vacuolar Ca²⁺/H⁺ exchanger), *chsA* (chitin synthase A), and *cnaA* genes, it only slightly attenuated virulence. Although we showed that *cbpA* deletion or overexpression altered *cnaA* transcriptional response, the biochemical mechanisms underlying *A. fumigatus* CbpA phosphorylation and the mechanism of how it binds to calcineurin remain unknown. In this study, using phosphopeptide enrichment and tandem mass spectrometry we identified that CbpA is phosphorylated at three distinct domains, including a conserved SP repeat motif, a non-conserved filamentous fungal-specific domain, and a C-terminal region with low homology to yeast and human orthologs. Assessment of CbpA phosphorylation status in the absence of CnaA *in vivo* revealed the continual phosphorylation of the two serine residues (Ser156, Ser160) in the SP repeat motif and the two serine residues (Ser217, Ser223) in the filamentous fungal-specific domain, indicating the probable role for calcineurin in the dephosphorylation of these residues. While mutation of the phosphorylated residues in the non-conserved filamentous fungal-specific domain did not result in any growth defects, mutations in the SP repeat motif caused decreased hyphal growth and caused sensitivity to oxidative stress. Furthermore, although we could not identify a consensus PxIxIT motif in *A. fumigatus* CbpA, we found the absolute requirement of PxIxIT motif-binding residues (352-NIR-354) and the calcineurin B (CnaB)-binding helix residue (V371) for the binding of CbpA to CnaA. The binding of CbpA to CnaA was not inhibited by mutation of the calmodulin-binding residues (442-RVF-444) in CnaA, indicating that CbpA binds to calcineurin independent of calmodulin. We also identified three residues (T359, H361, and L365) that are clustered between the catalytic and the CnaB-binding helix that are required for CbpA binding.

Materials and Methods

Strains and Culture Conditions

Aspergillus fumigatus strain *akuB*^{KU80} and the isogenic *pyrG* auxotrophic strain *akuB*^{KU80} *pyrG*[−] were used in all experiments. *A. fumigatus* cultures were grown on glucose minimal medium (GMM) at 37°C. *Escherichia coli* DH5 α competent cells were used

for subcloning. *A. fumigatus* was transformed as described earlier (Steinbach et al., 2006). For radial growth analyses, 10^4 conidia of the *akuB*^{KU80} and the other strains were cultured on GMM agar at 37°C, with colony diameters determined every 24 h over a period of 5 days. All growth experiments were performed in triplicate.

Generation of *cbpA* Deletion and CbpA-EGFP Expression Strains

While a $\Delta cbpA$ strain was previously reported by our group (Pinchai et al., 2009), we generated a second deletion strain in the *akuB*^{KU80} background for this study in order to maintain the same genetic background for all mutated strains. Deletion of *cbpA* was performed using a previously designed construct in the plasmid pJW24 with the *Aspergillus parasiticus* *pyrG* gene as the auxotrophic marker (Steinbach et al., 2006). The *cbpA* deletion construct contained 1 kb promoter and 780 bp terminator flanking regions to facilitate homologous recombination. The ~5 kb *cbpA* deletion construct was PCR-amplified using the primers *cbpA*-promo-KpnI-F and *cbpA*-term-HindIII-R (Supplementary Table S1) and transformed into the *A. fumigatus* *akuB*^{KU80} *pyrG*⁻ strain. Transformants selected in the absence of uracil/uridine (Steinbach et al., 2006) were screened by PCR (Supplementary Table S1) and Southern analyses to confirm deletion of the *cbpA* gene (Supplementary Figure S1).

In order to purify the CbpA protein for phosphorylation analyses, the *cbpA*-tagged *egfp* expression construct at the *cbpA* native locus was generated by a fusion PCR strategy. First, the 864 bp *cbpA* genomic DNA without the stop-codon and the 722 bp *egfp* gene were PCR-amplified separately. Next, the two PCR fragments were combined and used as templates to amplify the final fusion PCR product containing the *cbpA*-*egfp* fusion product, which was then digested with KpnI and NotI to clone at the KpnI-NotI sites in pUCGH (gift from Axel Brakhage) with the hygromycin B resistant gene (*hph*) as the selection marker. The cloned *cbpA* genomic DNA was sequenced to ensure accuracy (Primers listed in Supplementary Table S1). To facilitate homologous recombination, the 780 bp *cbpA* terminator region was cloned into the SbfI-HindIII sites in pUCGH (Langfelder et al., 2001). The final plasmid obtained was designated as pUCGH-CbpA, and the entire construct was linearized by KpnI-HindIII digestion to obtain a fragment of ~6.7 kb. The linearized construct was then transformed into the *A. fumigatus* *akuB*^{KU80} strain as previously described and transformants selected by resistance to hygromycin B. The transformants obtained were verified for homologous integration by PCR and also by fluorescent microscopy to visualize GFP fluorescence.

Construction of *cbpA* Mutations

Site-directed mutation of phosphorylated residues in CbpA was performed using primers listed in Supplementary Table S1 and pUCGH-*cbpA* as a template. Briefly, the first PCR used complementary primers overlapping the regions to be mutated and the respective primers at the opposite ends to amplify two PCR fragments. Next, a fusion PCR was performed by using an equiproportional mixture of the two PCR fragments as a template and

amplifying the mutated PCR fragment using primers at the opposite ends (Supplementary Table S1). Each fragment cloned into pUCGH was sequenced to confirm the respective mutation and then linearized for homologous integration. The linearized constructs were transformed into the *A. fumigatus* *akuB*^{KU80} strain as previously described and transformants selected by resistance to hygromycin B. The transformants obtained were verified for homologous integration by PCR and also by fluorescent microscopy to visualize GFP fluorescence.

Protein Extraction and GFP-Trap® Affinity Purification

The *A. fumigatus* strain expressing the *cbpA*-*egfp* fusion construct was grown in GMM liquid medium as shaking cultures for 24 h at 37°C. Cell extracts were prepared by homogenizing the mycelia using liquid nitrogen in buffer A (50 mM Tris/HCl, pH 7.5, 150 mM NaCl, 50 mM KCl, 0.01% Triton X-100, 1 mM PMSE, and 1:100 protease inhibitor cocktail). Total cell lysate was initially centrifuged at 5000 rpm to eliminate cell debris, and clarified by further centrifugation at 7000 rpm for 10 min at 4°C. The final supernatant fraction was collected and protein content determined by Bradford assay. Total protein was normalized to contain ~10 mg protein in the sample before GFP-Trap affinity purification (Chromotek). GFP-Trap® resin (35 μ l) was equilibrated by washing three times in 500 μ l ice-cold dilution buffer (10 mM Tris-HCl pH 7.5, 150 mM NaCl, 0.5 mM EDTA, 1 mM PMSE, 1:100 Protease Inhibitory Cocktail) according to the manufacturer instructions and resuspended in 100 μ l ice cold dilution buffer. The GFP-Trap® resin suspension was then mixed with total crude cell lysate containing ~10 mg total protein and incubated at 4°C by gentle agitation for 2 h. The suspension was centrifuged at 2000 rpm for 10 min at 4°C and the pelleted GFP-Trap® resin was washed once in 500 μ l of ice-cold dilution buffer and then twice with 500 μ l of wash buffer (10 mM Tris-HCl pH 7.5, 350 mM NaCl, 0.5 mM EDTA, 1 mM PMSE, 1:100 Protease Inhibitory Cocktail).

Sample Preparation for Mass Spectrometry

Protein-bound GFP-Trap® resins were washed three times with 200 μ l of 50 mM ammonium bicarbonate, pH 8.0, and suspended in 30 μ l 50 mM ammonium bicarbonate, pH 8.0, supplemented with 0.1% Rapigest SF surfactant (Waters Corp). Samples were reduced with 5 mM dithiothreitol for 30 min at 70°C and free sulfhydryls were alkylated with 10 mM iodoacetamide for 45 min at room temperature. Proteolytic digestion was accomplished by the addition of 500 ng sequencing grade trypsin (Promega) directly to the resin, with incubation at 37°C for 18 h. Supernatants were collected following a 2 min centrifugation at 1000 rpm, acidified to pH 2.5 with TFA, and incubated at 60°C for 1 h to hydrolyze the remaining Rapigest surfactant. Insoluble hydrolyzed surfactant was cleared by centrifugation at 15,000 rpm for 5 min. Ninety percent (by volume) of the sample was then removed for subsequent phosphopeptide analysis, and the remaining 10% (by volume) was subjected to an unbiased protein interaction analysis.

Phosphopeptide Enrichment and LC-MS/MS Analysis

For the phosphopeptide analysis, samples were lyophilized to dryness using vacuum centrifugation and resuspended in 65 μ l 80% acetonitrile, 1% TFA. Peptides were subjected to phosphopeptide enrichment using a 10 μ l GL Sciences TiO₂ Spin Tip and subsequently washed with 80% acetonitrile, 1% TFA. Peptides were eluted in 50 μ l 20% acetonitrile, 5% aqueous ammonia, pH 10.5, and then acidified to pH 2.5 with formic acid prior to lyophilization to dryness.

Samples were resuspended in 10 μ l 2% acetonitrile, 10 mM citric acid 0.1% formic acid and subjected to chromatographic separation on a Waters NanoAquity UPLC equipped with a 1.7 μ m HSS T3 C18 75 μ m I.D. x250 mm reversed-phase column. Phosphopeptide enriched samples were additionally supplemented with 10 mM citric acid. The mobile phase consisted of (A) 0.1% formic acid in water and (B) 0.1% formic acid in acetonitrile. Following a 5 μ l injection, peptides were trapped for 5 min on a 5 μ m Symmetry C18 180 μ m I.D. x20 mm column at 20 μ l/min in 99.9% A.

The analytical column was connected to a fused silica PicoTip emitter (New Objective, Cambridge, MA, USA) with a 10 μ m tip orifice. Non-phosphopeptide enriched samples were analyzed on a Synapt G2 QToF mass spectrometer operating in a data-dependent mode of acquisition with a precursor MS scan from m/z 400–2000 followed by three MS/MS scans at a CID energy of 30% and a dynamic exclusion of 30 s. Phosphopeptide enriched samples were analyzed on a QExactive Plus mass spectrometer with a precursor MS scan from m/z 300–1600 with $r = 70,000$ at m/z 200 and a target AGC setting of 1e6 ions. In a data-dependent mode of acquisition, MS/MS spectra of the 10 most abundant precursor ions were with a CID energy setting of 27 and a dynamic exclusion of 20 s was employed for previously fragmented precursor ions.

Raw LC-MS/MS data files were processed in Mascot distiller (Matrix Science) and then submitted to independent Mascot searches (Matrix Science) against a custom NCBI *Aspergillus* database containing both forward and reverse entries of each protein. Search tolerances were 5 or 10 ppm for precursor ions and 0.02 or 0.04 Da for product ions using trypsin specificity with up to two missed cleavages for phosphopeptide enriched or non-phosphopeptide enriched data, respectively. Carbamidomethylation (+57.0214 Da on C) was set as a fixed modification, whereas oxidation (+15.9949 Da on M), deamidation (+0.98 Da on NQ), and phosphorylation (+79.98 Da on STY) were allowed. All searched spectra were imported into Scaffold (v4.0, Proteome Software) and scoring thresholds were set to achieve a protein false discovery rate of 0% using the PeptideProphet algorithm. Normalized spectral counts were used to estimate relative protein abundances for interaction studies. This was accomplished by adjusting the sum of the selected quantitative value for all proteins in the list within each MS sample to the average of the sums of all MS samples present in the experiment.

Results and Discussion

Aspergillus fumigatus CbpA is phosphorylated at Three Domains, Including the FxSPPxSPP Motif

As a first step toward identifying the phosphorylation status of CbpA *in vivo* we initially utilized the *A. fumigatus* strain expressing *cnaA-egfp* fusion construct considering the fact that CbpA would co-purify with CnaA. As shown in Supplementary Table S2, purification of CnaA by GFP-Trap[®] affinity purification and phosphopeptide-enrichment (see Supplemental Materials and Methods) led to the identification of six phosphorylated residues in CbpA (Ser156, Ser160, Thr205, Ser208, Ser217, Ser223). Next, in order to validate this finding and more clearly verify the phosphorylation status of *A. fumigatus* CbpA *in vivo*, a strain expressing the *cbpA-egfp* fusion construct under the control of its native promoter was generated in the *akuB*^{KU80} strain. The CbpA-EGFP fusion protein was purified by GFP-Trap[®] affinity purification and subjected to protease digestion, followed by phosphopeptide-enrichment and tandem mass spectrometry. As shown in **Table 1**, a total of nine residues (Ser8, Thr133, Ser156, Ser160, Ser208, Ser217, Ser223, Ser257, and Ser266) were identified to be phosphorylated in *A. fumigatus* CbpA.

Based on the location of the majority of the phosphorylated residues, they were classified into three different domains: phosphorylated domain I (PD-I), phosphorylated domain II (PD-II), and phosphorylated domain III (PD-III; **Figure 1**). While the phosphorylation of the FxISPPxSPP motif in the PD-I (Ser156, Ser160; Supplementary Figure S2) is well-known from mammalian and the yeast RCANs (Vega et al., 2002; Hilioti et al., 2004; Abbasi et al., 2006), the identification of three residues phosphorylated in PD-II (Ser208, Ser217, Ser223) seems to be specific to *A. fumigatus* as this region was not conserved in the *C. neoformans* Cbp1 or in the Human MCIP1 (**Figure 1**). In addition, phosphorylation of two serine residues (Ser257 and Ser266) in PD-III, previously designated as the CIC motif (Calcipressin Inhibitor of Calcineurin), was also noted. The calcineurin-binding PxIxIT sequence (PSVVVH) together with the ELHA sequence comprises the CIC motif and is conserved in all vertebrate RCANs/MCIPs (underlined in **Figure 1**; Aubareda et al., 2006; Mulero et al., 2007). Both the ELHA and PxIxIT sequences are required for binding to calcineurin with high affinity (Martínez-Martínez et al., 2009; Mulero et al., 2009). As shown in Supplementary Figure S3, the two serine residues (Ser156 and Ser160) in the FxISPPxSPP motif (PD-I) are conserved in all the filamentous fungi. The fungal orthologs, however, show less conservation to mammalian RCAN in the ELHA and PxIxIT domains (PD-III; Supplementary Figure S3). However, it is interesting to note that phosphorylation of a conserved serine residue was observed within the CIC (PD-III) motif of MCIP1 (**Figure 1**; serine residue shown in white color) and the protein kinase CK2 was identified as the enzyme responsible for the phosphorylation to potentiate inhibition of NFATc signaling by disrupting the calcineurin-NFATc interaction (Martínez-Høyer et al., 2013). Although we do not have conservation of the ELHA or the PSVVVH residues in the *A. fumigatus* CbpA CIC motif (PD-III),

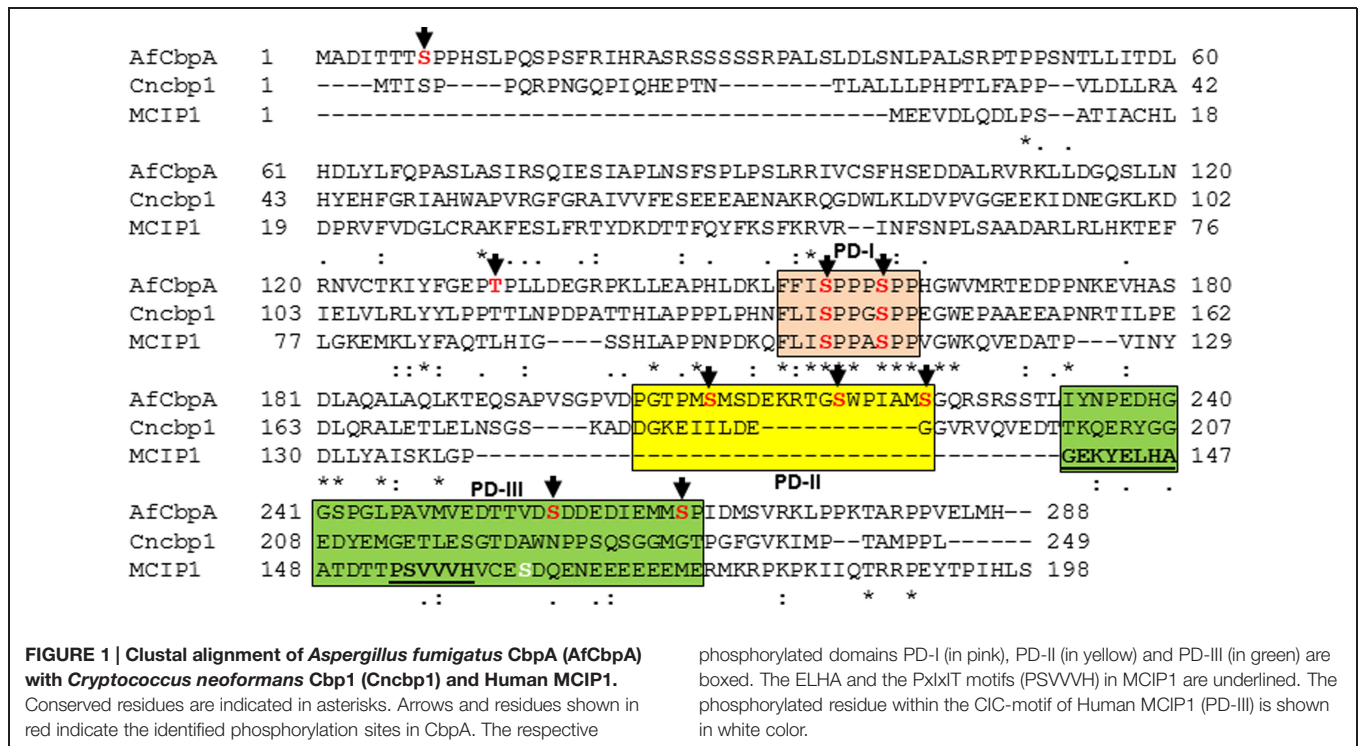
TABLE 1 | List of phosphorylated peptides identified in CbpA by LC-MS/MS analysis.

Phosphorylated peptides identified in CbpA (1)	Position	Ascore probability (2) %	Max mascot ion score in wild-type (spectral counts; 3)	Max mascot ion score in $\Delta cnaA$ strain (spectral counts; 3)
ADITTS*PPHSLPQSPSFR	8	<50	51.1 (1)	
IYFGEPT*PLLDEGRPK	133	>99	49.1 (2)	60.7 (2)
LFFIS*PPPS*PPHGWVMR	156, 160	100	79.0 (5)	90.5 (4)
TEQSAPVSGPDPGTPM*MSDEKR	208	>99	72.3 (3)	
TGS*WPIAMS*GQR	217, 223	<50, >99	46.5 (3)	43.4 (3)
SSTLIYNPEDHGGSPGLPAVMVEDTTVDS*DDEDIEMMS*PIDMSVR	257, 266	<50, >99	44.7 (3)	

(1) Phosphorylated residues in the respective peptides are indicated by an asterisk. Phosphorylated residues in the phosphorylated domain I (PD-I) and phosphorylated domain II (PD-II) are colored red and green, respectively.

(2) Probability of residue specific phosphorylation localization determined from <http://ascore.med.harvard.edu/>

(3) Maximum ion scores were determined for each phosphorylated peptide within an individual sample. Spectral counts indicate total number of matching spectral assignments to that particular phosphorylated species within the individual LC-MS/MS analysis.



we do have the predicted CK2 target sequence (S/TxxE/D) within the PD-III.

While phosphorylation at the CIC motif (PD-III) was also suggested to be independent of the phosphorylation occurring at the FxISPPxSPP motif (PD-I) of the RCANs, the non-phosphorylated CIC (PD-III) caused increased NFATc (Martínez-Høyer et al., 2013). The absence of PD-II domain in the mammalian MCIP1, the non-conservation of the PD-III domain in the fungal orthologs (Supplementary Figure S3), and our recovery of PD-III phosphorylated CbpA from the wild-type strain all suggest possible differential regulation of the fungal Cbps through phosphorylation-dephosphorylation. In the future, it will be interesting to examine the effect of mutation of these residues on CbpA toward the CnaA–CrzA (ortholog of NFAT) interaction and activation.

Deletion of CnaA Caused Reduction in the Phosphorylated Residues of CbpA

Previous studies have suggested the dephosphorylation of RCANs by calcineurin (Fox and Heitman, 2005). In the mammalian RCANs, phosphorylation of the two serine residues within the FxISPPxSPP motif (PD-I; Ser108 and Ser112) occurs first at Ser112 through MAPK, which then triggers subsequent phosphorylation at Ser108 by GSK3 β (Vega et al., 2002). The phosphorylated Ser108 can then be dephosphorylated by calcineurin. It was also previously shown that alterations in the FxISPPxSPP motif (PD-I) phosphorylation influence protein stability, with mutation of the FxISPPxSPP leading to a more stable protein (Genesca et al., 2003). Genetic evidence from *S. cerevisiae* suggests that phosphorylation of Ser108 by GSK3 can release the inhibitory activity of RCAN and convert the protein to

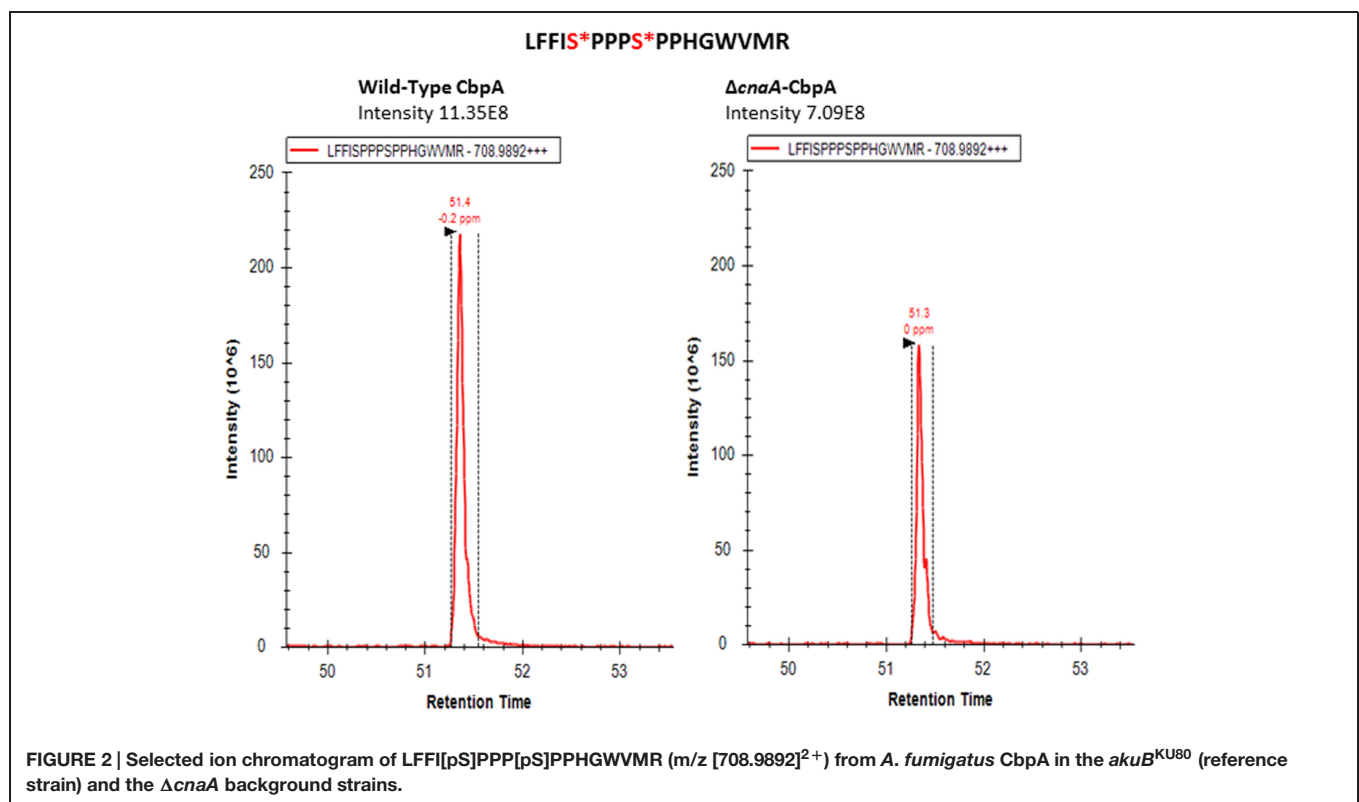
an activator of calcineurin, although the exact mechanism is unknown (Hilioti et al., 2004). Also it is unknown whether the phosphorylation at the CIC motif (PD-III) is subject to regulation by calcineurin protein phosphatase activity.

Considering these data, and the rationale that absence of calcineurin may inhibit dephosphorylation of the residues that undergo calcineurin-mediated dephosphorylation, we next determined if any of the phosphorylated residues are targets for calcineurin by deleting *cnaA* and monitoring the phosphorylation status of CbpA. The FxISPPxSPP residues (PD-I; Ser156, Ser160; **Figure 2**) and Ser217 and Ser223 in the PD-II domain remained phosphorylated, in addition to the single Thr133 residue (**Table 1**). In addition to the N-terminal Ser8 residue, the Ser208 in the PD-II domain, and the residues in the CIC motif (PD-III; Ser257, Ser266) were not phosphorylated in the *cnaA* deletion background, indicating the possibility of calcineurin involvement in the phosphorylation-dephosphorylation mechanism of CbpA. Specifically, the residues in the FxISPPxSPP motif (PD-I) and the PD-II domain may be amenable for dephosphorylation by calcineurin.

Phosphorylation and Dephosphorylation of CbpA at the FxSPPxSPP Motif (PD-I) is Important for Proper Hyphal Growth and Tolerance to Oxidative Stress

Phosphorylation of the FxISPPxSPP motif (PD-I) at both serine residues in *C. neoformans* Cbp1 was shown to be necessary for its stability, activity, and regulation of hyphal elongation during mating (Fox and Heitman, 2005). Interestingly, the

phosphorylation of the FxISPPxSPP motif (PD-I) did not mediate the binding of calcineurin to Cbp1 (Fox and Heitman, 2005). In order to investigate the importance of phosphorylation of *A. fumigatus* CbpA at the FxISPPxSPP motif (PD-I), we next mutated the two phosphorylated residues within the FxISPPxSPP motif to alanine and aspartic acid residues, respectively, to mimic a non-phosphorylated state (*cbpA^{mt}*-PD-I-2SA) and a constitutively phosphorylated state (*cbpA^{mt}*-PD-I-2SD). Radial growth analyses of the strains under normal growth conditions over a period of 5 days indicated a slower growth rate in the *cbpA^{mt}*-PD-I-2SA strain compared to the *cbpA^{mt}*-PD-I-2SD strain (**Figure 3**). Unexpectedly, the Δ *cbpA* strain displayed a slightly better growth rate than the *cbpA^{mt}*-PD-I-2SA strain. Based on our previous report (Pinchai et al., 2009), it is possible that the complete deletion of *cbpA* induces higher expression of calcineurin or inappropriate activation of calcineurin that can negatively affect growth. Although the *cbpA^{mt}*-PD-I-2SD strain grew better than the *cbpA^{mt}*-PD-I-2SA strain, its growth was comparable to the Δ *cbpA* strain, indicating that both dephosphorylation and continued phosphorylation of CbpA at the two serine residues in the FxISPPxSPP motif (PD-I) affected its activity. A similar observation was made with *C. neoformans* Cbp1-phospho mimetic expression, wherein mutation of both the serines to glutamic acid abolished filamentation during mating, a phenotype also observed with complete deletion of Cbp1 (Görlach et al., 2000). Importantly, in contrast to the FxISPPxSPP motif mutations (PD-I), the mutation of the three phosphorylated residues in the PD-II domain did not have any effect on the growth under normal conditions (**Figure 3**).



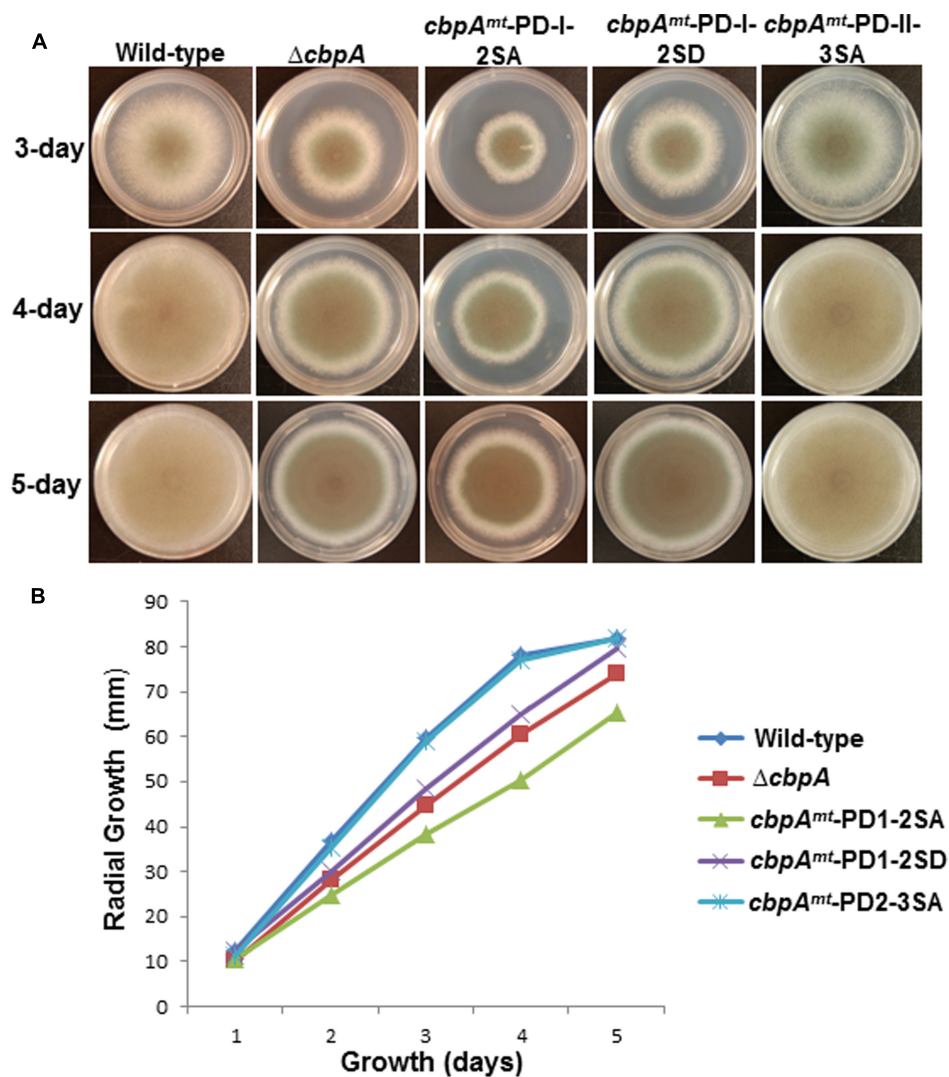


FIGURE 3 | (A) Radial growth of the reference strain (wild-type; *akuB^{KU80}*), the $\Delta cbpA$ strain, and the respective CbpA phosphorylation mutation strains (*cbpA^{mt}*-PD-I-2SA; *cbpA^{mt}*-PD-I-2SD and *cbpA^{mt}*-PD-II-3SA) was assessed over a period of 5-days at 37°C on GMM agar medium. Growth of the strains at

3, 4, and 5 days are shown. A total of 1×10^4 conidia were spotted for each strain. **(B)** Measurement of colony diameter of the strains over a period of 5 days. For radial growth quantification the strains were grown on GMM agar medium in triplicate and values are depicted as average colony diameter.

In mammalian cells, increased levels of RCAN1 were found to be protective against oxidative stress and suppress cell growth. It is not known whether these features of RCANs are a direct consequence of calcineurin inhibition or result from a yet unidentified action of RCAN (Leahy and Crawford, 2000). Furthermore, phosphorylation of the mammalian RCANs at the FxISPPxSPP motif (PD-I) was correlated with an increase in calcineurin-mediated transcriptional inhibition (Genesca et al., 2003). Hence, in order to determine the role of phosphorylated CbpA in counteracting oxidative stress, the respective *cbpA* mutant strains were assayed for growth in the presence of the oxidative stress inducer paraquat (Figure 4). Based on phenotypes observed under normal growth conditions, phosphorylation of CbpA at the FxISPPxSPP motif (PD-I) is important for proper hyphal growth, but the phosphorylation at the PD-II

domain seemed unimportant for growth. Furthermore, both the *cbpA^{mt}*-PD-I-2SA and the *cbpA^{mt}*-PD-I-2SD strains were sensitive to oxidative stress induced by paraquat (Figure 4) and H_2O_2 (data not shown), indicating the importance of phosphorylation-dephosphorylation of CbpA for counteracting oxidative stress conditions. The possibility of other phosphatases regulating the dephosphorylation of CbpA cannot be precluded.

CnaA Requires the PxlIT-Binding Motif and CnaB-Binding Helix But not the CaM-Binding Helix for Binding to CbpA

It was previously demonstrated that binding of mammalian RCANs to the calcineurin catalytic subunit (CnA) does not interfere with binding of either calmodulin (CaM) or the calcineurin regulatory subunit (CnB) to CnA (Vega et al., 2002).

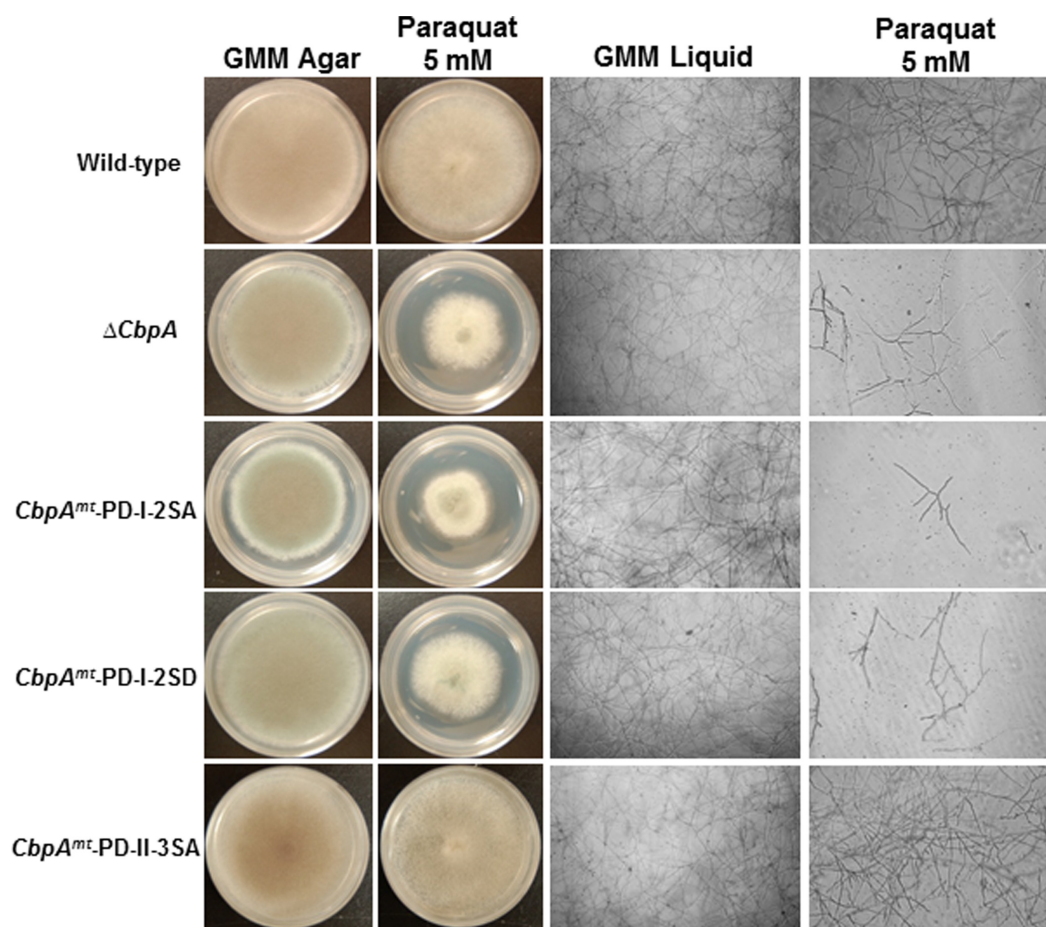


FIGURE 4 | The reference strain (wild-type; *akuB*^{KU80}), the Δ *cbpA* strain, and the respective CbpA phosphorylation mutation strains (*cbpA*^{mt}-PD-I-2SA; *cbpA*^{mt}-PD-I-2SD and *cbpA*^{mt}-PD-II-3SA) were cultured in the absence or presence of the oxidative stress inducer paraquat (5 mM). Strains were grown for a period of 5-days on GMM agar supplemented with the respective oxidative stress inducers at 37°C. To

more clearly distinguish the susceptibility of the strains to oxidative stress agents the respective strains were cultured in GMM liquid medium supplemented with paraquat (5 mM) and light-microscopy photographs ($\times 10$ magnification) were taken after 48 h of growth at 37°C. For liquid growth assays 1×10^4 conidia of each strain were inoculated into 200 μ l of GMM in multi-well plate.

In fact, most data indicate that RCANs bind preferentially to active calcineurin, suggesting that CaM binding facilitates RCAN binding (Martínez-Martínez et al., 2009). However, in *C. neoformans* it was shown that the regulatory subunit of calcineurin is required for the binding of CBP1 to drugs that inhibit calcineurin activity (cyclosporin A or FK506) and also disrupt the CBP1/CNA interaction (Görlach et al., 2000), suggesting that the site of CBP1 interaction with CNA overlaps with that of immunophilins.

We previously generated *A. fumigatus* strains harboring mutations in key domains of CnaA (the PxIxIT-binding motif; CnaB binding helix; CaM-binding domain) to understand their significance for calcineurin localization and function (Juvvadi et al., 2013). All the respective *cnaA* mutated constructs were tagged to *egfp* and verified for stable expression (Juvvadi et al., 2013). Because there is no predictable PxIxIT motif in the *A. fumigatus* CbpA, we utilized the *A. fumigatus* strain expressing CnaA-NIR^{mt}-AAA in which the PxIxIT motif-binding residues

(352-NIR-354; Asn352 Ile353 Arg354) are mutated to alanines in CnaA to determine if the binding of CbpA to CnaA requires the PxIxIT motif. As shown in **Table 2**, we found that mutation of the PxIxIT motif-binding NIR residues in CnaA completely abolished the binding of CbpA to CnaA. The binding of CbpA to CnaA was also found to be dependent on CnaB binding to CnaA. This was demonstrated by utilizing the *A. fumigatus* mutant strains expressing CnaA^{mt}-V371D and CnaA-THL^{mt}-PLS constructs wherein the key CnaB-binding residue Val371 on CnaA is mutated to aspartic acid (V371D) and three other residues (THL^{mt}-PLS; Thr359 His361 Leu365 mutated to Pro359 Leu361 Ser361, respectively) located close to the CnaB binding helix completely inhibited the binding of CbpA to CnaA. Moreover, treatment with FK506 also inhibited the binding of CnaA to CbpA. Contrary to these findings, mutation of the CaM binding domain residues RVF (442-RVF-444; Arg442 Val443 Phe444 to alanines) on CnaA did not affect the binding of CbpA to CnaA. The strain expressing wild-type CnaA-EGFP was used as a

TABLE 2 | Binding analysis of CbpA to various mutated calcineurin A (CnaAs) *in vivo*.

Strains/treatment	Interacted with CnaA	Uniquely identified peptides (1)	Normalized total spectral counts (2)
Wild type	+	SQIESIAPLNSFSPLPSLR LLDGQSLNLR IYFGEPTLLDEGRPK EVHASDLAQALAQL TGSWPIAMSGQR	7
Wild type + FK506	—	—	—
CnaA-NIR ^{mt} -AAA	—	—	—
CnaA-RVFM ^{mt} -AAA	+	SQIESIAPLNSFSPLPSLR LLDGQSLNLR IYFGEPTLLDEGRPK EVHASDLAQALAQL TGSWPIAMSGQR LLEAPHLDK	6
CnaA-V371D	—	—	—
CnaA-THL ^{mt} -PLS	—	—	—

(1) Total number of unique peptide sequences identified to CbpA within the corresponding LC-MS/MS analysis.

(2) Normalized spectral counts reported from Scaffold following adjusting the sum of the selected quantitative value for all proteins in the list within each MS sample to a common value: the average of the sums of all MS samples present in the experiment.

comparative control to determine the CbpA peptides bound to CnaA. The binding of CbpA peptides to CnaA were validated in three independent experiments by mass spectrometry (Table 2 and Supplementary Table S3). Taken together, these results indicated that CbpA binds to CnaA through a PxIxIT motif and requires the binding of CnaB to CnaA. Although we could not identify the PxIxIT motif in CbpA, it is possible that a PxIxIT-like sequence (PVDPGT) close to the newly identified phosphorylated domain II (PD II) is responsible for binding to CnaA. Future crystallization studies on the calcineurin-CbpA complex would shed light on the role of each of these domains and the functional motifs in the binding of CbpA to calcineurin. Furthermore, detailed knowledge of the molecular mechanisms governing the CbpA-calcineurin interaction may also prove useful in the rational design of future immunosuppressant drugs.

A recent study using human cell lines identified the phosphorylation of a serine residue at the CIC motif in all the RCANs (RCAN1, RCAN2, and RCAN3; Martínez-Høyer et al., 2013). Through *in vitro* and *in vivo* phosphorylation assays, CK2 was identified as the kinase phosphorylating this serine residue and this phosphorylation enhanced the disruptive potential toward the calcineurin-NFATc interaction. Interestingly, in the present study, the serine (Ser257) along with another serine residue at position 266 was identified to be phosphorylated the region spanning the CIC motif (PD-III) in *A. fumigatus* CbpA. In the future, it will be interesting to examine the effect of mutation of these residues on CbpA toward the CnaA-CrzA interaction and activation. Taken together, our results indicate that phosphorylation of CbpA at the FxISPPxSPP motif (SP repeat motif) is conserved

in filamentous fungi and this phosphorylation is important for CbpA function *in vivo*. In addition, the binding of CbpA to CnaA occurs via a PxIxIT motif and the CnaB binding helix is essential for the interaction.

Author Contributions

PJ and WS conceived and designed research; PJ, YM, AR, and FL performed research; PJ, ES, and MM acquired and analyzed the proteomics data; PJ and WS wrote the paper; PJ, YM, AR, ES, MM, FL, and WS approved the final submission.

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Supplementary Material

The Supplementary Material for this article can be found online at: <http://www.frontiersin.org/journal/10.3389/fmicb.2015.00175/abstract>

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Histone deacetylase inhibition as an alternative strategy against invasive aspergillosis

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Invasive aspergillosis (IA) is a life-threatening infection due to *Aspergillus fumigatus* and other *Aspergillus* spp. Drugs targeting the fungal cell membrane (triazoles, amphotericin B) or cell wall (echinocandins) are currently the sole therapeutic options against IA. Their limited efficacy and the emergence of resistance warrant the identification of new antifungal targets. Histone deacetylases (HDACs) are enzymes responsible of the deacetylation of lysine residues of core histones, thus controlling chromatin remodeling and transcriptional activation. HDACs also control the acetylation and activation status of multiple non-histone proteins, including the heat shock protein 90 (Hsp90), an essential molecular chaperone for fungal virulence and antifungal resistance. This review provides an overview of the different HDACs in *Aspergillus* spp. as well as their respective contribution to total HDAC activity, fungal growth, stress responses, and virulence. The potential of HDAC inhibitors, currently under development for cancer therapy, as novel alternative antifungal agents against IA is discussed.

Keywords: lysine deacetylases, *Aspergillus fumigatus*, trichostatin A, heat shock protein 90, antifungal therapy, antifungal resistance

INTRODUCTION

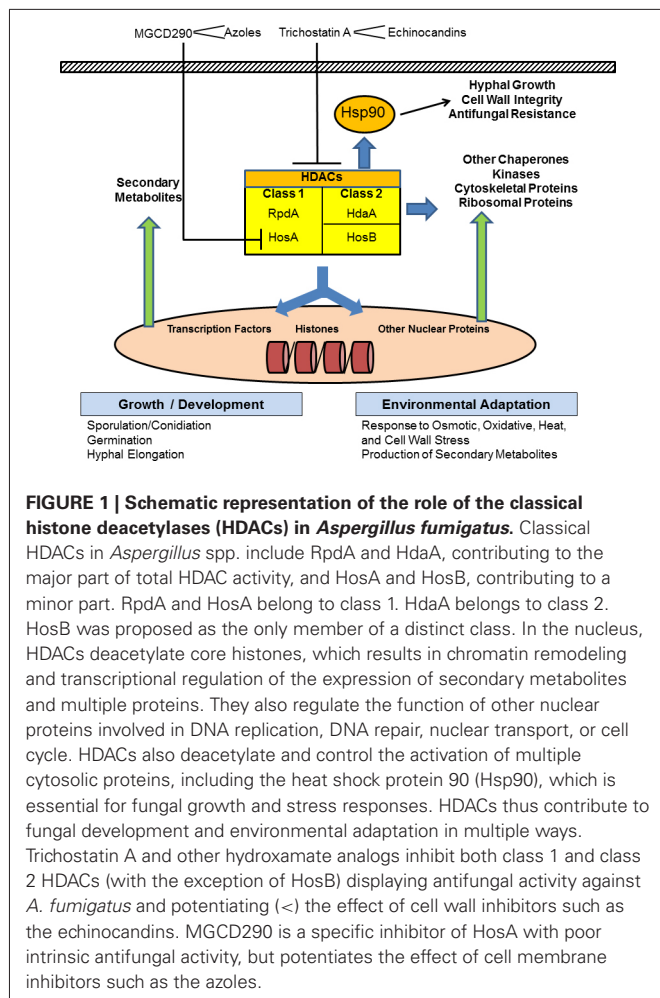
The filamentous fungus *Aspergillus fumigatus* is the primary cause of invasive aspergillosis (IA), a frequent and life-threatening infection in immunosuppressed patients. Novel therapeutic approaches of IA are needed to overcome emerging resistance to azoles, used as first-line therapy, and the toxicity or limited efficacy of second-line treatments such as amphotericin B and echinocandins. Moreover, other *Aspergillus* spp. with less susceptibility to current antifungal drugs (*A. flavus*, *A. terreus*, *A. ustus*) account for a substantial proportion of IA.

The pathogenesis of IA relies on multiple microbial and host factors. At the pathogen level, morphogenetic changes (germination, hyphal growth), thermal and metabolic adaptation to the human body, metabolite production and resistance to antifungal drugs are all determinants contributing to the virulence of *A. fumigatus* (Tekaia and Latge, 2005; Kwon-Chung and Sugui, 2013). Adaptation to environmental conditions involves processes of chromatin remodeling and transcriptional regulation. The modulation of gene expression depends on the packaging of DNA by core histones constituting the dynamic structure of chromatin. Direct DNA methylation and post-translational modifications of histones (such as acetylation and methylation) are important for conformational changes and transcriptional regulation (Brosch et al., 2008). Histone acetyltransferases (HATs) and histone deacetylases (HDACs, also referred to as lysine deacetylases, KDACs) are the enzymes responsible for the reversible process of acetylation (i.e., addition of an acetyl group to the

ϵ -amino group of a lysine residue) and deacetylation of core histones, respectively. Moreover, these enzymes are also involved in the functional regulation of proteins other than core histones, including the heat shock protein 90 (Hsp90), an essential molecular chaperone for proper protein folding and maturation (Yu et al., 2002). In fungi, Hsp90 was shown to play a crucial role in morphogenetic changes, stress adaptation, virulence, and antifungal resistance, and thus represents an attractive antifungal target (Cowen, 2013; Lamothe et al., 2014a). Acetylation of Hsp90 results in impaired Hsp90 function, while HDACs reverse this process and activate the chaperone (Robbins et al., 2012; Lamothe et al., 2014c). Thus, HDACs play a role in fungal virulence by controlling the expression and function of multiple proteins, including chaperones and secondary metabolites that are important for basal growth or stress adaptation, either at the transcriptional level (by deacetylation of core histones and regulation of their expression) or at the post-translational level (by deacetylation and activation of the protein; **Figure 1**). This review will focus on HDACs and their link with Hsp90. The potential of HDAC inhibitors as novel antifungal therapies of IA will be discussed.

HDACs IN *Aspergillus*

Histone deacetylases are categorized in three families: (1) the zinc-dependent or “classical” HDACs (including classes 1 and 2, as well as HOS3-like HDACs in fungi and class 4 in other eukaryotes), (2) the NAD⁺-dependent SIR2-like HDACs or sirtuins



(also referred as class 3), and (3) the HD2-like enzymes (found exclusively in plants; Trojer et al., 2003; Brosch et al., 2008).

CLASSICAL HDACs

Five classical fungal HDACs have been characterized in the model yeast *Saccharomyces cerevisiae* and divided into two classes, the class 1 or RPD3-type HDACs (including RPD3, HOS1, and HOS2) and the class 2 or HDA1-type HDACs (HDA1; Rundlett et al., 1996; Kurdistani and Grunstein, 2003). HOS3 is a fungal-specific HDAC, representing a third group distinct from the other ones, albeit initially classified within class 2 (Carmen et al., 1999). Our knowledge of classical HDACs in filamentous fungi is essentially derived from studies in *A. nidulans*, *A. oryzae*, and in plant pathogenic fungi (Brosch et al., 2008). In *A. nidulans*, two class 1 enzymes have been identified, RpdA and HosA, with high sequence similarity to yeast RPD3 and HOS2, respectively, except for a 200-amino acid C-terminal extension specific to *A. nidulans* RpdA (Graessle et al., 2000). There is no HOS1 *Aspergillus* ortholog. HdaA was characterized as the yeast HDA1 (class 2) ortholog (Trojer et al., 2003). A HOS3 ortholog, HosB, was also identified (Trojer et al., 2003). Putative orthologs for all these enzymes were identified in *A. oryzae* and *A. fumigatus* (Trojer et al., 2003; Kawauchi et al., 2013).

Both RpdA (class 1) and HdaA (class 2), acting in multiprotein complexes, were shown to contribute to the majority of total HDAC activity in *A. nidulans*, with HdaA being the predominant one (Trojer et al., 2003; Tribus et al., 2005). In contrast, HosA and HosB contribute to a negligible portion of total HDAC activity.

SIRTUINS

The sirtuins are conserved eukaryotic enzymes requiring nicotinamide adenine dinucleotide (NAD^+) for their activity. Their role in promoting longevity has generated great interest. In *S. cerevisiae*, SIR2 silences transcription at the silent mating type loci and reduces ribosomal DNA recombination and rDNA extrachromosomal circles production, resulting in an extended life span (Wierman and Smith, 2014). *S. cerevisiae* has four additional SIR2-like sirtuins, HST1-4 (Wierman and Smith, 2014). Little is known about sirtuins in filamentous fungi. Putative SIR2-type and HST-type orthologs were identified by sequence alignment (Brosch et al., 2008). In *A. nidulans*, it is evident that a proportion of the total HDAC activity, albeit less important than that attributed to classical HDACs, is NAD^+ -dependent and thus attributable to sirtuins (Trojer et al., 2003).

ROLE OF HDACs IN *Aspergillus* GROWTH AND ENVIRONMENTAL ADAPTATION

HdaA

Genetic deletion of *hdaA*, the major contributor to total HDAC activity, was performed in *A. nidulans*, *A. oryzae* and *A. fumigatus* (Tribus et al., 2005; Lee et al., 2009; Kawauchi et al., 2013). A growth defect was only observed in the *A. fumigatus* $\Delta hdaA$ strain, but did not result in decreased virulence in a murine model of IA (Lee et al., 2009). Altered responses to osmotic or oxidative stress were observed in *A. nidulans* and *A. oryzae* (Tribus et al., 2005; Kawauchi and Iwashita, 2014). HdaA was also found to have a role in the production of secondary metabolites, which was associated with the transcriptional regulation of two telomere-proximal secondary metabolic gene clusters (the sterigmatocystin and penicillin clusters; Shwab et al., 2007; Lee et al., 2009).

RpdA

While RpdA was found to be less important than HdaA for total HDAC activity (Trojer et al., 2003) and could be deleted in yeasts (Robbins et al., 2012), it was found to be essential in *A. nidulans* and *A. oryzae*, as well as in the plant pathogenic filamentous fungus *Magnaporthe oryzae* (Izawa et al., 2009; Tribus et al., 2010; Kawauchi et al., 2013). Genetic repression was achieved in *A. nidulans* by substitution of the native *rpda* promoter by the alcohol dehydrogenase or xylanase inducible promoters and resulted in an important growth and sporulation defect (Tribus et al., 2010). Truncations in the C-terminal portion of *A. nidulans* RpdA showed that a fungal-specific conserved motif was essential for the catalytic activity of the enzyme and for fungal viability (Tribus et al., 2010). Heterologous expression of the C-terminal motif of *A. fumigatus* RpdA was able to restore RpdA functionality in *A. nidulans*, suggesting a common crucial role of this conserved region among *Aspergillus* spp and possibly other filamentous fungi.

HosA AND HosB

Despite their minor contribution to total HDAC activity in *Aspergillus* spp, functional analyses suggest that HosA (yeast HOS2) is important for growth and virulence in filamentous fungi. Its deletion in *A. oryzae* had more impact on radial growth and sporulation than the *hdaA* deletion and also resulted in altered stress responses (Kawauchi et al., 2013; Kawauchi and Iwashita, 2014). In plant pathogenic fungi (*Fusarium graminearum*, *M. oryzae*, *Cochliobolus carbonum*), HOS2-related enzymes appear as the most important class 2 HDAC for vegetative growth, sporulation and virulence (Baidyaroy et al., 2001; Izawa et al., 2009; Ding et al., 2010; Li et al., 2011). Indeed, Hos2 (HosA ortholog) was found to contribute to an important part of total HDAC activity in *M. oryzae* (Ding et al., 2010), which was not the case for *A. nidulans* (Trojer et al., 2003).

Genetic deletion of *hosB* (the HOS3 type HDAC) did not result in significant phenotypic consequences in *A. nidulans* and *A. oryzae*, confirming its minor contribution to total HDAC activity although this HDAC seems to have some additive role in metabolite production (Tribus et al., 2005; Shwab et al., 2007; Kawauchi et al., 2013; Kawauchi and Iwashita, 2014).

OTHER HDACs

Genetic deletion of 6 sirtuins of *A. oryzae* was performed and only HstD/AoHst4 was associated with a significant role in fungal growth, sporulation, stress responses and production of secondary metabolites via the regulation of LaeA (Kawauchi et al., 2013; Kawauchi and Iwashita, 2014).

THE KEY ROLE OF HDACs IN REGULATING HEAT SHOCK PROTEIN 90

In addition to histones, an increasing number of proteins have been identified as substrates of HDACs, leading to the use of the more general terminology of lysine deacetylases (KDAC; Glozak et al., 2005; Choudhary et al., 2009). Many of these proteins belong to categories that are important for fungal growth and virulence, such as transcription factors, cytoskeletal proteins, and molecular chaperones (Choudhary et al., 2009). Among them, Hsp90 emerges as a key player for which the relationship to HDACs has been recently described. Hsp90 was shown to be essential for fungal survival and to be an important trigger of antifungal resistance to both azole and echinocandin classes and possibly to amphotericin B (Cowen and Lindquist, 2005; Cowen et al., 2009; Singh et al., 2009; Lamoth et al., 2012, 2014b; Blum et al., 2013). In *A. fumigatus*, Hsp90 governs the basal resistance to echinocandins including the paradoxical effect of caspofungin, a compensatory mechanism of the cell wall resulting in decreased caspofungin antifungal activity at increased concentrations (Wiederhold, 2007; Lamoth et al., 2014b). Pan-HDAC inhibitors (depsipeptide, hydroxamic acid analogs) induced acetylation of Hsp90 in human cancer cells, which prevented the binding of Hsp90 to client proteins (Yu et al., 2002; Nimmanapalli et al., 2003). Human HDAC6, a class 2b HDAC related to fungal HDA1, deacetylates Hsp90 and modulates its activity (Bali et al., 2005; Kovacs et al., 2005). Other HDACs of class 2, such as HDAC1 and HDAC10, are also involved in the acetylation of Hsp90 in human (Park et al., 2008; Zhou

et al., 2008). Lysine 294 (K294) of human Hsp90 was identified as a key acetylation site for Hsp90 function (Scroggins et al., 2007). Several additional acetylation sites have been recovered in human Hsp90 (Yang et al., 2008; Mollapour and Neckers, 2012).

The role of HDACs in the control of fungal Hsp90 was first demonstrated in *S. cerevisiae* by genetic or pharmacologic inhibition of RPD3 and HDA1, which resulted in the abrogation of Hsp90-dependent azole resistance and impaired interaction of Hsp90 with multiple client proteins (Robbins et al., 2012). Lysine 27 (K27) was found to be acetylated in yeast Hsp90 after deletion of both HDA1 and RPD3 (Robbins et al., 2012). We detected acetylation at K271 (K294 in human) in *A. fumigatus* Hsp90 after treatment with the HDAC inhibitor trichostatin A (TSA; Lamoth et al., 2014c). Mutational analyses actually suggest that both K27 and K271 (K270 in yeast) are important for Hsp90 function. Mutations of both sites resulted in impaired Hsp90 function in *S. cerevisiae* and *A. fumigatus* and were associated with decreased virulence in a murine model of IA (Robbins et al., 2012; Lamoth et al., 2014c). However, while K270 appears to be the predominant site in yeast, K27 seems to be more important in *A. fumigatus*. An acetylation-mimetic mutation (lysine to alanine) of this residue was sufficient to affect Hsp90 function. This effect could be reversed by a deacetylation-mimetic mutation (lysine to arginine) of K27, suggesting that this site must be deacetylated for proper Hsp90 function (Lamoth et al., 2014c). Mutation of K27 alone also resulted in increased susceptibility to both caspofungin and voriconazole in *A. fumigatus* (Lamoth et al., 2014c). However, neither K27 nor K270 mutations were able to decrease azole resistance of an *erg3* mutant of *S. cerevisiae*, while this effect could be achieved by treatment with TSA and by genetic deletion of both RPD3 and HDA1 (Robbins et al., 2012). Thus, the role of HDACs in governing Hsp90 function in antifungal resistance may differ among fungi.

THE ANTIFUNGAL ACTIVITY OF HDAC INHIBITORS

The fact that TSA, an organic antibiotic produced by *Streptomyces hygroscopicus*, and its hydroxamate analogs display some antifungal activity is well-known (Tsuji et al., 1976). Although these compounds are known to be broad-spectrum inhibitors of both class 1 and 2 HDACs (with the exception of HOS3-like HDAC), their precise mode of action against fungi remains poorly elucidated. HDAC inhibitors were shown to considerably modify the profile of secondary metabolites produced by *Aspergillus* spp., although the impact of this effect on virulence is unclear (Henrikson et al., 2009; König et al., 2013). Recent studies suggest that their antifungal effect mainly results from the acetylation and inhibition of Hsp90 (Robbins et al., 2012; Lamoth et al., 2014c). HDACs inhibitors have raised considerable interest because of their potential as anticancer therapy and are undergoing rapid development in the pharmaceutical industry (West and Johnstone, 2014). Some of them also display anti-parasitic activity against *Plasmodium falciparum* and *Toxoplasma gondii* (Strobl et al., 2007; Trenholme et al., 2014).

Trichostatin A has minimal antifungal activity against *C. albicans*, although it potentiates the activity of azoles by a mechanism which is supposed to be essentially mediated via Hsp90 inhibition and associated with loss in the upregulation of *ERG* and *CDR*

genes in response to azoles (Smith and Edlind, 2002; Robbins et al., 2012). TSA alone appears to be more active against molds. We have observed a 50 and >90% growth inhibition of the wild-type *A. fumigatus* strain AF293 at TSA concentrations of 1 and 4 µg/ml, respectively (Lamoth et al., 2014c). TSA also had variable antifungal activity against clinical isolates of *Aspergillus* spp. and other pathogenic non-*Aspergillus* molds, and was particularly active against the azole-resistant *A. ustus* and the multi-resistant *Scedosporium prolificans* isolates (Lamoth et al., 2015). TSA also exhibited synergistic activity with caspofungin against some *Aspergillus* spp. and with the Hsp90 inhibitor geldanamycin against *Rhizopus* spp. (Lamoth et al., 2014c, 2015). The differential effect of TSA against *C. albicans* and *A. fumigatus* may be related to different patterns of HDAC activity in these fungi. The better antifungal activity of TSA against *A. fumigatus* is consistent with the finding that genetic compromise of *hdaA* and *rpda* had a much greater impact on fungal growth and survival in *Aspergillus* spp. compared to deletion of their orthologs in yeast (Lee et al., 2009; Tribus et al., 2010; Robbins et al., 2012).

The effect of TSA against *A. fumigatus* had some similitude with that observed after acetylation-mimetic mutations or genetic repression of *hsp90*, including a growth and conidiation defect and hypersensitivity to geldanamycin (Lamoth et al., 2014c). Moreover, TSA enhanced the antifungal activity of caspofungin and abolished the paradoxical effect, which is a hallmark of Hsp90 inhibition. However, TSA did not potentiate the effect of voriconazole against *A. fumigatus*, which contrasts with previous reports in yeasts showing a positive interaction of TSA with azoles but not with echinocandins (Smith and Edlind, 2002; Robbins et al., 2012). Indeed, differences in Hsp90-dependent pathways of azole and echinocandin resistance between *C. albicans* and *A. fumigatus* have been previously outlined (Lamoth et al., 2014a). Overall, these data support that the antifungal effect of TSA is largely mediated via indirect inhibition of Hsp90. However, considering the role of HDACs in transcriptional regulation, metabolite production, and activation of multiple non-histone proteins, it is probable that other mechanisms are involved.

Trichostatin A was well tolerated in pharmacokinetic murine models, but is rapidly metabolized (half-life of 5–10 min; Sander-son et al., 2004). Numerous novel HDAC inhibitors are currently under investigation for the treatment of cancer in clinical and preclinical trials (West and Johnstone, 2014). Vorinostat (suberoylanilide hydroxamic acid, SAHA) is a TSA analog which has an extended half-life and has been approved by the food and drug administration (FDA) for the treatment of cutaneous T cell lymphoma (Duvic et al., 2007). The antifungal activity of SAHA and other hydroxamate analogs remains to be investigated. Although these compounds have been well tolerated in clinical trials (Duvic et al., 2009; Fouladi et al., 2010), some rare and possibly dose-dependent hematologic adverse events (granulocytopenia, thrombocytopenia) may counterbalance their potential benefit in the setting of invasive fungal diseases.

A selective HDAC inhibitor of fungal HOS2, MGCD290 (MethylGene Inc., Montreal, QC, Canada) was tested against clinical isolates of yeasts and molds (Pfalter et al., 2009). MGCD290 alone displayed some *in vitro* antifungal activity against *Candida*

spp. and other yeasts, but was inactive against filamentous fungi. Most importantly, MGCD290 exhibited synergism with azoles (fluconazole, voriconazole and posaconazole) against both yeast and mold species. The combination of MGCD290 and fluconazole was recently tested for the treatment of vulvovaginal candidiasis in a randomized phase II study but did not demonstrate a benefit compared to fluconazole monotherapy (Augenbraun et al., 2013).

CONCLUSION AND PERSPECTIVES

In filamentous fungi, HDACs are involved in multiple processes contributing to virulence via transcription control and functional regulation of important proteins (Figure 1). HDAC inhibition thus represents an interesting alternative antifungal approach to current strategies targeting the cell wall or membrane. The antifungal effect of the HDAC inhibitor TSA and its analogs against *A. fumigatus* seems to be mainly achieved via the acetylation and inhibition of Hsp90 (Lamoth et al., 2014c). This molecular chaperone is essential for virulence and triggers stress responses and resistance to the most important antifungal classes (Lamoth et al., 2014a). HDAC inhibitors have demonstrated a potential as antifungal monotherapy *in vitro*, as well as adjunctive therapies to enhance the effect of existing drugs against *A. fumigatus* (Pfalter et al., 2009; Lamoth et al., 2014c, 2015). Their potential as anti-cancer therapy is generating an intense research activity in drug development with several compounds currently investigated in clinical and pre-clinical trials (West and Johnstone, 2014). There is a great opportunity to harness these recent advances, and gain in-depth understanding of fungal HDACs, to pursue novel and more effective antifungal strategies against IA.

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Targeting zinc homeostasis to combat *Aspergillus fumigatus* infections

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Aspergillus fumigatus is able to invade and grow in the lungs of immunosuppressed individuals and causes invasive pulmonary aspergillosis. The concentration of free zinc in living tissues is much lower than that required for optimal fungal growth *in vitro* because most of it is tightly bound to proteins. To obtain efficiently zinc from a living host *A. fumigatus* uses the zinc transporters ZrfA, ZrfB, and ZrfC. The ZafA transcriptional regulator induces the expression of all these transporters and is essential for virulence. Thus, ZafA could be targeted therapeutically to inhibit fungal growth. The ZrfC transporter plays the major role in zinc acquisition from the host whereas ZrfA and ZrfB rather have a supplementary role to that of ZrfC. In addition, only ZrfC enables *A. fumigatus* to overcome the inhibitory effect of calprotectin, which is an antimicrobial Zn/Mn-chelating protein synthesized and released by neutrophils within the fungal abscesses of immunosuppressed non-leucopenic animals. Hence, fungal survival in these animals would be undermined upon blocking therapeutically the function of ZrfC. Therefore, both ZafA and ZrfC have emerged as promising targets for the discovery of new antifungals to treat *Aspergillus* infections.

Keywords: *Aspergillus fumigatus*, zinc homeostasis, fungal pathogenesis, zinc transporters, transcription factors, drug discovery

INTRODUCTION

Zinc is, after magnesium, the second most widespread metal present in enzymes belonging to all six major functional classes (Andreini et al., 2008). In addition, zinc ions are structural components of DNA binding domains of many transcription regulators and are required for their proper folding and binding to DNA. Consequently, zinc is essential for a wide variety of biochemical processes, for the adequate regulation of gene expression, and for cellular growth and development. Thus, when the cellular zinc content is lower than the “zinc quota,” i.e., the total amount of zinc required for a cell to grow optimally (Outten and O’Halloran, 2001), cell growth stops. In contrast, cells become intoxicated when the cellular zinc content exceeds an upper threshold. Like all organisms, the filamentous fungus *Aspergillus fumigatus* regulates tightly zinc homeostasis to maintain its own zinc quota. However, unlike most saprophytic fungi, *A. fumigatus* has different biological traits that turn it into an opportunistic pathogen (Tekala

and Latge, 2005), including its capacity to uptake zinc from host tissues (Amich et al., 2014). Thus, it is able to invade the lungs of susceptible individuals and causes invasive pulmonary aspergillosis (IPA), whose mortality rate may reach up to 90% depending on the host’s immune status (Kousha et al., 2011). One of the reasons for this high mortality rate is the low efficiency of the antifungal drugs currently in use to stop rapidly fungal growth. In this regard, we propose both regulation of zinc homeostasis and zinc acquisition as ideal therapeutic targets for the development of a next generation of antifungals, as an alternative to the classical antifungals that target either cell wall or ergosterol biosynthesis.

ZINC AVAILABILITY AND MYCELIAL GROWTH OF *Aspergillus fumigatus*

The primary ecological niche of *A. fumigatus* is soil, where it grows as a saprophyte on organic decaying matter. In soils zinc is found in solution as free ions (Zn^{2+} and ZnOH^{+}) and/or forming



FIGURE 1 | Lungs from immunosuppressed mice infected with different *A. fumigatus* strains. The mice were immunosuppressed using a leucopenic regime and inoculated intranasally with 10^5 conidia of the AF14 (wild-type), AF54 ($\Delta zrfC$), AF721 ($\Delta zrfA\Delta zrfB\Delta zrfC$), and AF731 ($\Delta zrfA\Delta zrfB\Delta zrfC[zrfC]$) strains, as described in Amich et al. (2014). Mice were sacrificed after 4 days post-inoculation and the left lung was excised

and photographed. The lungs from mice infected with the wild-type and $\Delta zrfABC[zrfC]$ strains showed the greatest signs of pulmonary infarction. In contrast, the lungs from mice inoculated with the $\Delta zrfC$ strain showed a very low degree of infarction. The lungs from mice inoculated with the $\Delta zrfABC$ strain appeared healthy similar to that from a non-inoculated mouse used as reference.

organic zinc complexes, as exchangeable zinc adsorbed to solid surfaces and forming insoluble complexes with other minerals (Alloway, 2008). The concentration of zinc in the soil liquid phase depends upon several factors but the ones that most strongly influence zinc solubility in soils are pH and moisture. Thus, although the concentration of soluble zinc in most soils ranges from 0.06 to 4.2 μM , in very acid soils it may exceed 110 μM (Alloway, 2008). Only the exchangeable zinc pool (i.e., the soluble zinc pool and the one that can be easily desorbed or released from soil particles) is available for plants and microorganisms. In addition, soils are dynamic environments that undergo wide fluctuations in many of their chemical and physical parameters that influence zinc availability. This explains why saprophytic soil inhabitants, particularly those adapted to grow in a wide range of pH values (e.g., *A. fumigatus*), are well equipped to face variations in soil zinc availability.

Aspergillus fumigatus has many genes encoding both zinc importers and exporters. The predicted role in zinc homeostasis of most of these transporters is based on what is known about similar proteins in other fungi, plants, and bacteria (Amich and Calera, 2014). The regulation of zinc homeostasis in *A. fumigatus* is mediated by the master zinc-responsive transcription factor ZafA (Moreno et al., 2007). In addition, the ZafA activity is further modulated in a pH-dependent manner likely influenced by the PacC transcriptional regulator (Amich et al., 2009, 2010). Thus, ZafA induces the transcription of *zrfA* and *zrfB* more strongly in acidic than in alkaline zinc-limiting media. In contrast, ZafA induces much more strongly the transcription of *zrfC* in alkaline than in acidic zinc-limiting media (Vicente-franqueira et al., 2005; Amich et al., 2010).

Although *A. fumigatus* is primarily a saprophytic fungus, it can grow also as a parasite within a susceptible, immunosuppressed animal host. Unlike soils, where zinc availability may change dramatically as a result of weather, leaching, animal activities, and/or human interventions, living environments provide homeostatic conditions in which zinc is tightly bound to zinc-binding proteins, such that the concentration of labile zinc in host tissues (i.e.,

the pool of free Zn^{2+} ions in both soluble and exchangeable form) is in the nanomolar range (Iyengar and Woittiez, 1988). Thus, zinc availability in living tissues is maintained constantly too low to support a sustained growth of most microorganisms, unless they have evolved mechanisms to overcome zinc starvation during their saprophytic growth that could be adapted for parasitic growth. In this regard, *A. fumigatus* takes advantage of the zinc transporters ZrfA, ZrfB, and ZrfC to grow in the slightly alkaline and extreme zinc-limiting environment provided by the host.

ZINC ACQUISITION AND VIRULENCE IN *A. fumigatus* AND OTHER FUNGAL PATHOGENS

The relationship between zinc homeostasis and virulence of human fungal pathogens was shown for the first time in *A. fumigatus*. The ZafA-mediated regulation of zinc homeostasis is essential for *A. fumigatus* growth within a host but dispensable for it to grow as a saprophyte in zinc-replete media (Moreno et al., 2007). The avirulence of a $\Delta zafA$ mutant of *A. fumigatus* primarily resides in its inability to obtain zinc from the host, which results in a zinc shortage that stops germ tube elongation. This is consistent with the avirulence of a $\Delta zrfA\Delta zrfB\Delta zrfC$ strain (Amich et al., 2014), which lacks the three major downstream targets of ZafA. However, the ZrfA and ZrfB acidic zinc transporters and the ZrfC alkaline zinc transporter contribute differentially to fungal virulence as shown by the appearance of lungs excised from mice infected with the $\Delta zrfA\Delta zrfB$ and $\Delta zrfC$ mutants (Figure 1). The ZrfC protein plays a major role in obtaining zinc from living tissues and sustaining fungal growth within them, whereas the acidic transporters ZrfA/B have a supplementary function in zinc acquisition from the host. The importance of ZrfC for fungal virulence relies on its long extracellular N-terminus, which has four putative zinc-binding motifs and that is absent in the acidic transporters (Amich et al., 2010, 2014). Indeed, in terms of fungal virulence the zinc uptake activity of the ZrfC protein without its N-terminus equals to that of ZrfA and ZrfB together (Amich et al., 2014). ZafA-like regulatory systems have also been described

in and related to the virulence of the pathogenic fungi *Cryptococcus gattii*, *Candida albicans*, and *Paracoccidioides brasiliensis*, although in these latter two microorganisms this has not yet been experimentally confirmed (Nobile et al., 2009; Schneider et al., 2012; Parente et al., 2013). These fungal pathogens have genes encoding proteins similar to ZrfA/B and ZrfC, although the N-terminus of the *C. gatti* ZrfC-like protein (XP_003195293) is very different from that of ZrfC and the *C. albicans* (XP_715421) and *P. brasiliensis* (XP_010761875) ZrfC-like proteins. In either case, the roles of these transporters for virulence have not been investigated.

ZINC-BASED STRATEGIES DEPLOYED BY PHAGOCYTES TO INHIBIT MICROBIAL GROWTH

The regulation of zinc homeostasis is especially relevant for pathogens because the amount of labile zinc in host tissues is very low. Accordingly, mammals have evolved the capacity to inhibit microbial growth in their tissues by zinc starvation, as part of a broader defense mechanism termed “nutritional immunity” (Hood and Skaar, 2012). Two different strategies to sequester labile zinc have been described in mammals thus far. One of them is directed to inhibit the growth of extracellular pathogens and relies upon releasing of calprotectin (CP) by neutrophils in abscesses (Corbin et al., 2008). The other one operates in activated macrophages infected with intracellular pathogens and involves the binding of zinc to metallothioneins (MTs; Subramanian Vignesh et al., 2013). However, an excess of zinc is also noxious for pathogens, probably because it reacts with the –SH groups of proteins. Thus, it is not surprising that mammals had evolved also an antimicrobial strategy based upon zinc poisoning of microbes enclosed in macrophage endosomes (Botella et al., 2011). These defense strategies against pathogens deployed by mammals are consistent with the notion that zinc acquisition and zinc detoxification are virulence attributes of bacterial and fungal pathogens. It might be possible that both MTs and zinc poisoning play a role in macrophages against *A. fumigatus*, as they do respectively against *Histoplasma capsulatum* (Subramanian Vignesh et al., 2013) and *Mycobacterium tuberculosis* (Botella et al., 2011). However, the effects of MTs and zinc poisoning on conidia germination inside the macrophages have not been investigated. In contrast, it has been reported that CP is the major component of the extracellular traps released by neutrophils (NETs) as a defense against *C. albicans* (Urban et al., 2009). NETs are also produced against *A. fumigatus* both *in vitro* and *in vivo* (Bruns et al., 2010; McCormick et al., 2010). More recently it has been shown that CP can reduce the growth capacity *in vitro* of *A. fumigatus* and that the ability to grow of this fungus in the presence of CP under alkaline Zn/Mn-limiting conditions relies on the function of ZrfC (Amich et al., 2014). The only leukocytes infiltrated into *A. fumigatus* abscesses in immunosuppressed non-leucopenic mice that are able to produce CP are the polymorphonuclear neutrophils (PMNs) and plasmacytoid dendritic cells (pDCs; a subtype of DCs). PMNs produce huge amounts of CP that is released in NETs following their lysis (Urban et al., 2009) whereas pDCs synthesize and transport CP to their surface upon activation (Ramirez-Ortiz et al., 2011). In fungal abscesses heavily infiltrated with leukocytes we have observed patches and thread-

like structures stained intensely with hematoxylin (Figure 2A). These structures, which might correspond to chromatin present in DCs, monocytes, PMNs, and/or NETs, are either spread out on the surface of hyphae or enveloping them. Interestingly, the observation at high magnification of infiltrated abscesses immunostained for CP indicated that this was most frequently detected in leukocytes located in close proximity to hyphae or attached to them (Figure 2B). This suggests that CP may create a Zn/Mn-deprived microenvironment around fungal cells to restrict their growth at the time that *A. fumigatus* manages to overcome the inhibitory effect of CP through the action of the ZrfC. Thus, ZrfC has a dual role in fungal virulence: it is needed to mediate zinc uptake and to counteract the inhibitory effect of CP in abscesses.

THE REGULATION OF ZINC HOMEOSTASIS AND ZINC ACQUISITION AS IDEAL TARGETS FOR ANTIFUNGAL DISCOVERY AND DEVELOPMENT

The fact that host mammals are able to inhibit microbial growth either by intoxicating pathogens with zinc or by limiting the access of pathogens to the host zinc pool have led us to presume that these strategies could be imitated to control therapeutically the growth of pathogens in a host.

The lack of knowledge about whether the host immune cells use zinc poisoning to prevent or combat *A. fumigatus* infections and, in this case, whether the fungus has countermeasures to protect itself from it, does not allow us to envision to date a specific therapeutic approach to mimic it. In contrast, what is known to date about zinc homeostasis is enough for us to propose that a therapeutic approach based on preventing the access of the fungus to host zinc would be deleterious for fungal cells because fungal growth depends on a constant intake of zinc and fungal zinc depletion increases the synthesis of harmful reactive oxygen species (reviewed in Eide, 2011). Thus, any compound that interfere intra or extracellularly with zinc homeostasis would predictably inhibit fast and efficiently the fungal growth within host tissues.

The major challenge to treat IPA by targeting zinc homeostasis relies on the identification of the targets and the discovery and development of specific antifungal compounds. Regarding the first point, we propose the ZrfC transporter and ZafA transcription factor as targets. Nutrient transporters are currently considered as new promising therapeutic targets (Slavic et al., 2011; Prati et al., 2014). ZrfC has a long N-terminus that has been predicted to be located toward the extracellular side of the plasma membrane and, hence, that would be readily accessible to specific inhibitors (Amich et al., 2010). In addition, it would be expected that any interference with the function of the N-terminus of ZrfC had the same effect as inactivation of the whole protein in terms of both fungal growth and virulence (Amich et al., 2014). However, the inactivation of ZrfC is partially compensated by an increase in the expression level of the acidic transporters (ZrfA and ZrfB; Amich et al., 2014), which is most likely mediated by ZafA. This indicates that to block efficiently fungal growth it would be required to inhibit either ZrfA/B or ZafA in addition to ZrfC. By taking advantage of the key role of ZafA in the homeostatic and adaptive responses to zinc deprivation, we consider that ZafA

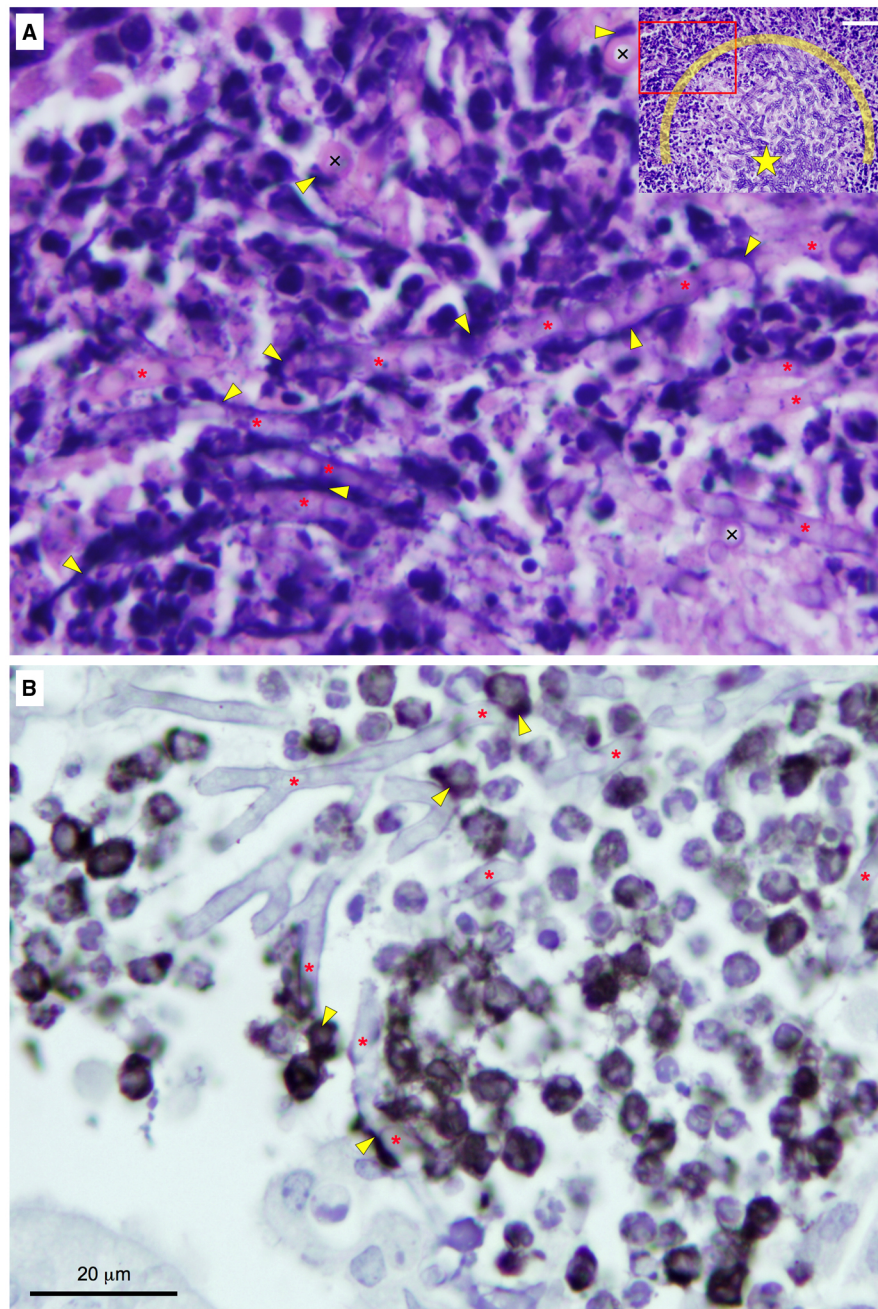


FIGURE 2 | Detailed observation of the edge of *A. fumigatus* abscesses heavily infiltrated with immune cells in lung sections from non-leucopenic mice infected with a wild-type strain.

The mice were euthanized after 4 days post-inoculation. The lungs were fixed and processed for histology and immunocytochemistry, as described in Amich et al. (2014). The slides were stained with H&E (**A**) or immunostained using an antibody against the S100A8 component (calgranulin A) of calprotectin (**B**) and observed at the highest magnification using with a 100× objective. In H&E-stained slides of lungs infected with filamentous fungi is usually hard to distinguish between scattered hyphae and the surrounding lung tissue. However, in abscesses with a high fungal load, hyphae are easily recognized from the surrounding lung tissue in H&E-stained slides. In panel (**A**) is shown in great detail the edge of an abscess with a heavy fungal load. Lengthwise hyphae are indicated with red asterisks and

cross-sectioned hyphae are indicated with the “x” symbol. Yellow arrowheads indicate haematoxylin-positive thread-like structures and patches. The hyphae more heavily covered with haematoxylin-positive material were typically located at the outlying region of the infectious foci (see the inset image as a reference) and showed both a degree of vacuolization higher and a diameter wider than those of hyphae located toward the middle of the infectious foci (indicated with a star in the inset), which probably anticipates their lysis. In the inset is shown about half of the infectious focus chosen to take the picture (inset bar = 50 μm). The red rectangle in the inset delimits the enlarged image showed in panel (**A**). The area enclosed by the semicircle around the star delimits the part of the infectious focus with the heaviest fungal load. In panel (**B**) calprotectin was immunodetected either associated to immune cells (most likely pDCs and/or neutrophils) or laid out over hyphae.

would be an alternative target to ZrfA/B. However, to inhibit or reduce noticeably the function of any transcription factor it would be required to disrupt specifically either a DNA–protein or a protein–protein interaction. To disrupt the interaction of ZafA with DNA we should target their C₂H₂ zinc fingers. However, given the highly conserved C₂H₂ structure of the zinc fingers in many transcription factors from fungi to humans have led us to think that is very unlikely to find a drug able to bind selectively to the zinc fingers of ZafA without interfering with human transcription factors. Thus, we propose to target the ZafA transactivation domains to disable the specific interaction of ZafA with the transcriptional machinery. For instance, this mechanism of action has been reported for triptolide, which is a natural compound that inhibits the transactivating function of the human HSF1 transcription factor (Westerheide et al., 2006).

Importantly, the ZafA- and ZrfC-like proteins are distributed exclusively among fungi and no orthologs have been found in mammals. In fact, the human MTF-1 protein (reviewed in Gunther et al., 2012), which might be considered functionally analogous to ZafA, is structurally unrelated to ZafA. Moreover, the hMTF-1 regulator functions in an opposite manner to that of ZafA. Thus, hMTF-1 induces gene expression under zinc-replete conditions whereas ZafA induces gene expression under zinc-limiting conditions. On the other hand, ZrfC is very distantly related to each human ZIP protein. Indeed, it is very difficult to infer a functional similarity of ZrfC with any of the 14 human ZIP proteins (reviewed in Cousins et al., 2006), which suggests that the mechanism of action of ZrfC might be disrupted without disturbing the action of any hZIP.

The chemical compounds able to disrupt zinc homeostasis in the fungus growing within the host could exert their effect by either chelating zinc or by inhibiting selectively the function of specific proteins that are essential to maintain zinc homeostasis. The use of chelating agents has been explored as an option for anticancer (Huesca et al., 2009; Lui et al., 2012; Zuo et al., 2012; Fatfat et al., 2014) and antimicrobial therapies (reviewed in Santos et al., 2012). Several studies have shown that the treatment with different zinc chelators kills different types of cancer cells, which suggest that zinc chelators may be theoretically useful for the treatment of different types of cancer (Torti et al., 1998; Hashemi et al., 2007; Huesca et al., 2009; Zuo et al., 2012). In contrast, most evidences about the reliability of using metal chelators for the treatment of *A. fumigatus* infections have arisen from the use of iron chelators either alone or combined with classical antifungal drugs (Zarembek et al., 2009; Ibrahim et al., 2010; Leal et al., 2013). The only study to support the notion that zinc chelation might be useful to combat *A. fumigatus* arose from the finding that EDTA is an adjunct antifungal agent in a rodent model of IPA (Hachem et al., 2006). However, EDTA is a broad-spectrum chelator, so whether its antifungal effect is due to its capacity to chelate zinc and/or other metals is unknown. Nevertheless, the survival rate of mice with IPA increases significantly when they are treated with the zinc-specific chelator TPEN (unpublished data), which demonstrates that a compound able to interfere with zinc homeostasis can be useful as antifungal drug. However, available scientific evidences do not

support claims that chelation therapies provide a safe treatment for either cancer or infectious diseases. In this regard, it has been reported that iron chelation has the potential risk of producing undesired side effects in human patients by altering the normal iron homeostasis (Kontoghiorghes et al., 2010). Likewise, the American Cancer Society has warned about the harmful side effects of chelation therapy. Moreover, loss of zinc can also lead to mutations in cells, which may actually increase the risk of cancer (Song et al., 2009). In summary, the reliability of chelation therapies remains too controversial as to be considered the best option (Nissen, 2013). Instead, the discovery of compounds that specifically block the function of fungal proteins required for counteracting host defense mechanisms and/or zinc acquisition (e.g., ZafA and ZrfC) appears more promising. For instance, it has been found recently an anti-mycobacterial compound that interferes with zinc homeostasis by inactivating the countermeasures used by *Mycobacterium* to protect itself of the zinc-mediated intoxication deployed by host phagocytes to kill it inside the phagosomes (Rybniak et al., 2014). We await that a screening to identify anti-*Aspergillus* compounds lead us to the discovery of new drugs to block the regulation of zinc homeostasis and zinc acquisition.

CONCLUSIONS AND OUTLOOK

The regulation of zinc homeostasis by ZafA and zinc acquisition by ZrfC constitute highly promising therapeutic targets to combat *Aspergillus* infections and perhaps also other infections caused by fungal pathogens bearing similar targets. Furthermore, by targeting two different steps (regulation of zinc homeostasis and zinc acquisition) of the same biological process (zinc metabolism) with a specific combination of two drugs we could get two of the major achievements in the development of emerging antimicrobial therapies: (i) a synergistic interaction and, (ii) a significant reduction in the probability of evolving mutations that enhance the resistance of the fungus to any of these compounds (Cottarel and Wierzbowski, 2007). Finally, to prevent the unavoidable pleiotropic effect of chelators on host cells we propose that future efforts to develop inhibitors for homeostasis of specific metals in pathogens, including *A. fumigatus*, should be directed to the discovery of compounds that specifically block the function of microbial proteins required to regulate the homeostasis and/or acquisition of metals.

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AmcA—a putative mitochondrial ornithine transporter supporting fungal siderophore biosynthesis

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Iron is an essential nutrient required for a wide range of cellular processes. The opportunistic fungal pathogen *Aspergillus fumigatus* employs low-molecular mass iron-specific chelators, termed siderophores, for uptake, storage and intracellular iron distribution, which play a crucial role in the pathogenicity of this fungus. Siderophore biosynthesis (SB) depends on coordination with the supply of its precursor ornithine, produced mitochondrially from glutamate or cytosolically via hydrolysis of arginine. In this study, we demonstrate a role of the putative mitochondrial transporter AmcA (AFUA_8G02760) in SB of *A. fumigatus*. Consistent with a role in cellular ornithine handling, AmcA-deficiency resulted in decreased cellular ornithine and arginine contents as well as decreased siderophore production on medium containing glutamine as the sole nitrogen source. In support, arginine and ornithine as nitrogen sources did not impact SB due to cytosolic ornithine availability. As revealed by Northern blot analysis, transcript levels of siderophore biosynthetic genes were unresponsive to the cellular ornithine level. In contrast to siderophore production, AmcA deficiency did only mildly decrease the cellular polyamine content, demonstrating cellular prioritization of ornithine use. Nevertheless, AmcA-deficiency increased the susceptibility of *A. fumigatus* to the polyamine biosynthesis inhibitor eflornithine, most likely due to the decreased ornithine pool. AmcA-deficiency decreased the growth rate particularly on ornithine as the sole nitrogen source during iron starvation and sufficiency, indicating an additional role in the metabolism and fitness of *A. fumigatus*, possibly in mitochondrial ornithine import. In the *Galleria mellonella* infection model, AmcA-deficiency did not affect virulence of *A. fumigatus*, most likely due to the residual siderophore production and arginine availability in this host niche.

Keywords: *Aspergillus fumigatus*, AmcA, iron, siderophores, ornithine, mitochondria, virulence

Introduction

Iron is an essential nutrient for virtually every organism known to mankind. Its ability to adopt one of two ionic forms, reduced ferrous (Fe^{2+}) or oxidized ferric (Fe^{3+}) iron, makes it the major redox metal in cells. Although abundant in the earth's crust, its bioavailability is very low. The oxidized form, or more accurately oxyhydroxide colloid particles, is found in aerobic environments and shows a solubility below 10^{-9} M at neutral pH, which is insufficient to sustain vital

processes (Ratledge and Dover, 2000). Apart from its crucial role in metabolism, including respiration, oxidative stress detoxification as well as synthesis of amino acids, lipids and desoxyribonucleic acid, iron is able to generate toxic reactive species if accumulated excessively (Halliwell and Gutteridge, 1984). As a result, organisms have developed fine-tuned regulatory mechanisms regarding uptake, storage and consumption of iron.

The ascomycete *Aspergillus fumigatus* exhibits a typical saprophytic lifestyle and is usually found in soil and decaying matter. Nevertheless, it has become the most common airborne, pathogenic fungus causing life-threatening disease in immunocompromised patients. While it lacks specific uptake systems for host iron, it employs two high-affinity iron uptake systems; reductive iron assimilation (RIA) and siderophore-mediated iron uptake. Previous studies have demonstrated a crucial role of siderophores in virulence of *A. fumigatus*, as the elimination of both extra- and intracellular siderophores resulted in absolute avirulence in a mouse model of pulmonary aspergillosis (Schrettl et al., 2004).

Siderophores are small, high-affinity iron chelating compounds found in bacteria, fungi and plants. These molecules form a complex with Fe^{3+} to overcome the aforementioned bioavailability problem or to extract iron from host proteins. In the case of *A. fumigatus*, four different hydroxamate siderophores are produced: extracellular fusarinine C (FsC) and triacetylfusarinine C (TAFC) to mobilize extracellular iron, as well as intracellular ferricrocin (FC) for hyphal storage and distribution of iron, and hydroxyferricrocin (HFC) for conidial iron storage (Schrettl et al., 2007; Wallner et al., 2009).

FsC is the prototype of fusarinines and is made up of three N^5 -cis-anhydromevalonyl- N^5 -hydroxyornithine residues, which are linked cyclically by ester bonds (Haas et al., 2008). TAFC is derived from FsC by its tri- N^2 -acetylation. FC is a cyclic hexapeptide of three N^5 -acyl- N^5 -hydroxyornithines and three amino acids (glycine, serine, or alanine), and HFC is the hydroxylated FC (Haas et al., 2008). Biosynthesis of all four siderophore types starts with the N^5 -hydroxylation of ornithine, which is catalyzed by the ornithine- N^5 -monooxygenase SidA (Schrettl et al., 2004). This initial step is followed by N^5 -acylation of N^5 -hydroxyornithine to form the hydroxamate group (Haas et al., 2008). At this point, the biosynthesis pathway splits into two different pathways, depending on the choice of the acyl group (Schrettl et al., 2007). Biosynthesis of FC and HFC requires the addition of acetyl, mediated by the transacylase SidL and an uncharacterized enzyme (Blatzer et al., 2011). For formation of fusarinines, the addition of anhydromevalonyl catalyzed by the transacylase SidF is needed (Schrettl et al., 2007). In the next step, the hydroxamate and additional amino acid residues are covalently linked via ester or peptide bonds, which are achieved by nonribosomal peptide synthetases, SidC and SidD, resulting in FC and FsC (Schrettl et al., 2007).

The major precursor for all *A. fumigatus* siderophores is the non-proteinogenic amino acid ornithine, which is additionally involved in arginine metabolism, the urea cycle and polyamine biosynthesis. Ornithine can be produced in mitochondria or the cytosol (Schrettl et al., 2010; Beckmann et al., 2013). Within mitochondria, ornithine is synthesized from glutamate involving

six enzymes. Subsequently, it is transported into the cytoplasm or converted to citrulline by the ornithine transcarbamoyl transferase ArgB (Jadoun et al., 2004), which is shuttled into the cytoplasm. In the cytoplasm, citrulline is converted via three enzymatic steps to arginine, which can be hydrolyzed to ornithine. A scheme of the enzymatic links between arginine/ornithine, polyamine and siderophore metabolism is depicted in Figure 7 (Schrettl et al., 2010; Haas, 2012; Beckmann et al., 2013). In order to characterize the ornithine supply for siderophore biosynthesis (SB) in *A. fumigatus*, we aimed to identify the mitochondrial ornithine exporter.

Saccharomyces cerevisiae Arg11, a member of the mitochondrial carrier protein family, was suggested to play a role in arginine biosynthesis, either by importing glutamate into the mitochondrion or by exporting ornithine from the organelle to the cytosol (Crabeel et al., 1996). Further studies indicated that its main role is the transport of ornithine across the membrane into the cytosol (Palmieri et al., 1997). The *Neurospora crassa* ortholog Arg13 was similarly found to be involved in arginine metabolism, transporting ornithine from the cytosol into mitochondria or the other way around (Liu and Dunlap, 1996). Deficiency in the human ortholog ORNT1 is associated with hyperornithinaemia-hyperammonaemia-homocitrullinuria syndrome (HHH syndrome), an autosomal recessive disease with persistent hyperornithinaemia and episodic hyperammonaemia indicating that ORNT1 is crucial for transport of ornithine from the cytosol into the mitochondria, enabling proper urea cycle function as well as degradation of ornithine (Camacho et al., 1999).

The *A. fumigatus* homolog of *S. cerevisiae* Arg11 and *N. crassa* Arg13 was found to be transcriptionally upregulated under iron starvation (with glutamine as nitrogen source) in *Aspergillus nidulans* as well as *A. fumigatus* and subsequently termed AmcA (Oberegger et al., 2001; Schrettl et al., 2008, 2010). These studies indicated a role of the two major iron regulatory transcription factors in control of *amcA* expression: iron-repression mediated by the GATA-transcription factor SreA and activation during iron starvation by the bZip transcription factor HapX are involved in the transcriptional control of this mitochondrial ornithine transporter in *A. fumigatus*.

We previously demonstrated that the supply with the precursor ornithine plays an important role in siderophore production (Schrettl et al., 2010; Beckmann et al., 2013). Furthermore, studies employing arginine auxotrophic mutants indicated that SB is mainly fueled by mitochondrial rather than cytosolic ornithine production, at least with glutamine as nitrogen source (Beckmann et al., 2013). Here, we characterized the role of AmcA in general viability of *A. fumigatus* as well as its function in siderophore production on different nitrogen sources.

Materials and Methods

Fungal Strains and Growth Conditions

A. fumigatus strains were grown at 37°C in *Aspergillus* minimal medium containing 1% glucose as carbon source and 20 mM glutamine as nitrogen source (Pontecorvo et al., 1953). The use of other nitrogen sources is indicated in the text. Iron-replete media

contained 30 μM FeSO_4 . For iron depleted conditions, iron was omitted. Bathophenanthroline disulfonate (BPS) was added to a concentration of 200 μM . For growth assays, 10^4 and 10^8 conidia were used for point-inoculation on plates or inoculation of 100 ml liquid media, respectively. Fungal strains used in this study are listed in Table S1.

Analysis of Siderophores, Free Amino Acids and Polyamines

Analysis of the free amino acid content was obtained by ethanol extraction and subsequent reversed-phase HPLC as described previously (Berger et al., 2008). Intracellular siderophores were analyzed from cell extracts as described previously (Schrettl et al., 2010). Extracellular siderophores were analyzed via reversed-phase HPLC. Chromatographic analyses were performed on a Dionex UltiMate 3000 HPLC system (Thermo Scientific, Waltham, Massachusetts, USA) with a diode array detector and a Nucleosil 100-5 C_{18} reversed phase column (250 mm \times 4.6 mm I.D.; particle size 5.0 μm ; Macherey-Nagel, Düren, Germany). A gradient elution was used at a constant flow rate of 0.5 ml/min with a mobile phase of water and 0.1% TFA (solvent A) and 85% acetonitril and 0.1% TFA (solvent B) starting at 6% B. For elution of siderophores, the following conditions were applied: 6–15% B during 10 min, 16–60% B during 15 min, 60% B for 5 min, 100% B for 5 min, return to 6% B for re-equilibration. For quantification of polyamines, 50 mg freeze-dried mycelia were homogenized and incubated with 6% perchloric acid for 3 h. Polyamine derivatization was carried out according to Wongyai et al. (1989) with slight modifications (Beckmann et al., 2013).

DNA, RNA Isolation and Northern Blot Analysis

For extraction of genomic DNA, mycelia were homogenized and DNA was isolated according to Sambrook et al. (1989). RNA was isolated using TRI Reagent (Sigma) and peqGOLD Phase Trap (peqlab) reaction tubes. For Northern blot analysis, 10 μg of total RNA were analyzed as described in Oberegger et al. (2001). Hybridization probes were amplified by PCR using the primers listed in Table S2.

Deletion of *amcA* (AFUA_8G02760) and Reconstitution of the $\Delta amcA$ Strain

For generating the $\Delta amcA$ mutant strain, the bipartite marker technique was used (Nielsen et al., 2006). *A. fumigatus* AfS77 (ATCC46645 $\Delta Ku70$) was co-transformed with two DNA fragments, each containing overlapping but incomplete fragments of the pyrithiamine resistance-conferring *ptrA* gene, fused to 1.4 kb *amcA* 5'- and 1.6 kb *amcA* 3'-flanking sequences, respectively. The *amcA* 5'-flanking region (1354 bp) was PCR-amplified from genomic DNA using primers oamcA-1 and oamcA-2. For amplification of the *amcA* 3'-flanking region (1630 bp) primers oamcA-3 and oamcA-4 were employed. Subsequent to gel-purification, these fragments were digested with *SpeI* (5'-flanking region) and *XhoI* (3'-flanking region), respectively. The *ptrA* selection marker was released from plasmid pSK275 (gift from Sven Krappmann, Goettingen, Germany) by digestion with *SpeI* and *XhoI*, and ligated with the 5'- and 3'-flanking region. The transformation construct A (2640 bp, fusion of the *amcA*

5'-flanking region and the *ptrA* split marker) was amplified from the ligation product using primers oamcA-5 and optrA-2.1. For amplification of the transformation construct B (2667 bp, fusion of the *amcA* 3'-flanking region and the supplementary *ptrA* split marker) primers oamcA-6 and optrA-1 were employed. For transformation of *A. fumigatus* AfS77 both constructs A and B were simultaneously used. This strategy deleted the sequence -33 to 1049 bp relative to the translation start site in *amcA*.

For reconstitution of the $\Delta amcA$ strain with a functional *amcA* copy, a 3987 bp PCR fragment was generated with primers oamcA-1 and oamcA-6 and was subsequently subcloned into a *StuI* digested pAN7.1 plasmid (containing the hygromycin B resistance-conferring *hph* gene), resulting in plasmid pAN7.1_amcAreverse. The resulting 10.7 kb plasmid was linearized with *SfiI* and used to transform *A. fumigatus* $\Delta amcA$.

Transformation of *A. fumigatus* was carried out as described in Tilburn et al. (1983). For selection of transformants, 0.2 mg ml⁻¹ hygromycin B (Calbiochem) was used. To obtain homokaryotic transformants, colonies from single homokaryotic spores were picked. Screening of transformants and confirmation of single genomic integration was performed by PCR and confirmed by Southern blot analysis. Hybridization probes were amplified by PCR using the primers listed in Table S2. Primers used for generating both $\Delta amcA$ and $\Delta amcA^c$ are listed in Table S3.

Eflornithine Inhibition Assay

Effects of eflornithine (Sigma-Aldrich) on fungal growth were analyzed by agar diffusion assays, which were carried out as described previously by Beckmann et al. (2013), with slight modifications. As the mutant strain analyzed in this study was not arginine auxotrophic, arginine supplementation was omitted.

Galleria Mellonella Virulence Assays

Comparison of the virulence potential of *A. fumigatus* wt and $\Delta amcA$ mutant strain in the *G. mellonella* model was carried out according to Fallon et al. (2011), and as described previously by Beckmann et al. (2013).

Statistical Analyses

For statistical analyses, an unpaired *t*-test was used, comparing mutant data with data from wt and the complemented strain. Significance levels are as follows: *indicates a *p*-value lower than 0.05 while **represent a *p*-value below 0.01.

Results/Discussion

Inactivation of *amcA* Reduces Radial Growth on Glutamine and Particularly Ornithine but not Arginine as Nitrogen Source

Previously it was shown, that iron deficiency causes an active upregulation of ornithine biosynthesis as well as the cellular ornithine content (Schrettl et al., 2010). This common precursor for all siderophores of *A. fumigatus* is produced either via the

conversion of arginine within the cytosol, or in the mitochondria from glutamate (Davis, 1986). The study of arginine auxotrophic mutants impaired ($\Delta argEF$) or not impaired ($\Delta argB$) in mitochondrial ornithine production indicated a dominant role of the latter in SB of this opportunistic fungal pathogen (Beckmann et al., 2013). To gain more insight into ornithine supply for SB, we compared a mutant lacking the putative ornithine transporter AmcA ($\Delta amcA$) with its respective wild type (wt) strain on a variety of different nitrogen sources. $\Delta amcA$ was generated during this study in the *A. fumigatus* AfS77 strain, a $\Delta akuA$ -derivative of *A. fumigatus* ATCC 46645, lacking non-homologous recombination (Krappmann et al., 2006). The reconstituted $\Delta amcA$ mutant, termed $\Delta amcA^c$, which was generated by re-integration of a wt gene copy, showed a wt-like phenotype in all experiments confirming that the mutant effects are caused specifically by *amcA* deletion (Figure 1). Plate assays were performed on solid minimal medium, containing glutamine, arginine, ornithine or arginine/ornithine as nitrogen sources, respectively, combined with different iron availability.

Growth differences between $\Delta amcA$ and the wt were compared after spot inoculation with 10^4 conidia. Figure 1A shows a slight reduction in radial growth of $\Delta amcA$ with glutamine as the sole nitrogen source independent of the iron supply as well as reduced conidiation under iron starvation conditions (BPS, bathophenanthroline disulfonate). BPS is a ferrous iron-specific chelator, which blocks RIA, thereby rendering siderophore mediated iron uptake the only high-affinity uptake system of *A. fumigatus* (Schrettl et al., 2004). Ornithine as nitrogen source led to strongly reduced radial growth independent of the iron supply (Figure 1C). With arginine, be it either in combination with ornithine or as sole nitrogen source, $\Delta amcA$ displayed wt-like radial growth and conidiation (Figures 1B,D).

These data indicate that AmcA is important for adaptation to iron starvation (sporulation defect on BPS) on glutamine but not ornithine or arginine as nitrogen source. Moreover, AmcA is required for optimal utilization of glutamine and particularly ornithine as nitrogen source. Taking into account the homology of AmcA with predicted mitochondrial ornithine

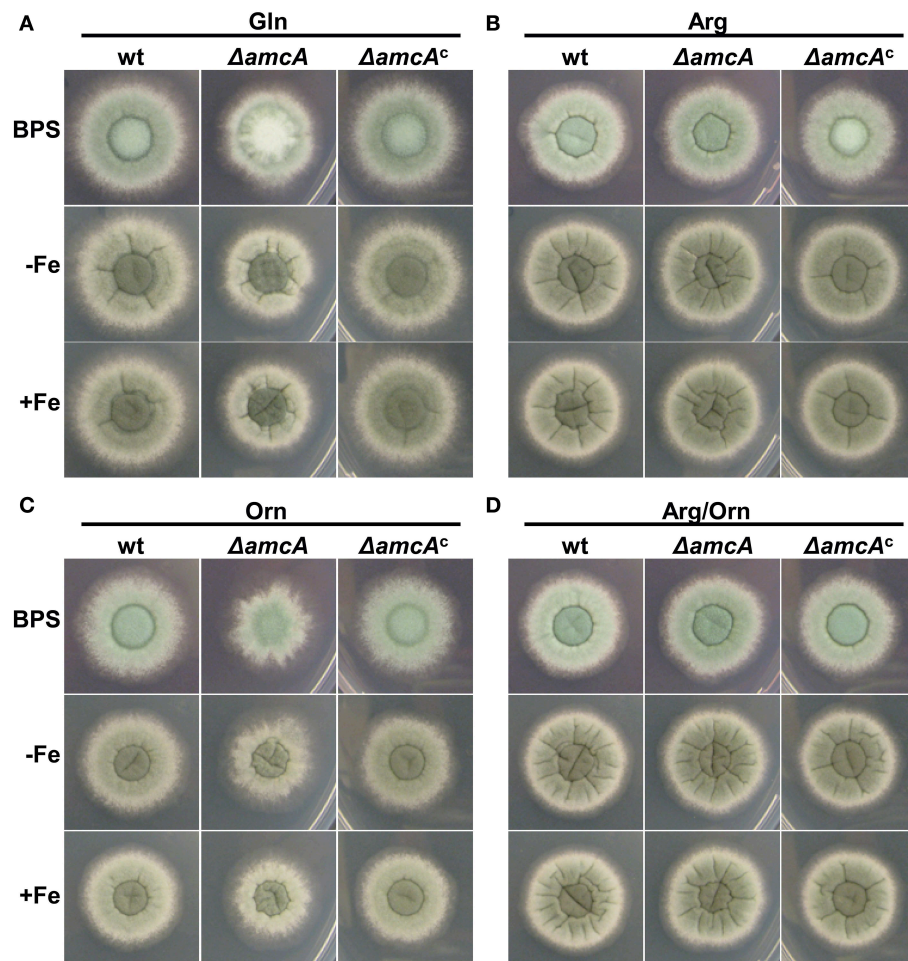


FIGURE 1 | AmcA-deficiency reduces radial growth on glutamine (Gln) or ornithine (Orn) but not arginine (Arg) or Arg/Orn. Fungal growth on solid medium was analyzed after 48 h on plates containing 20 mM Gln

(A), 20 mM Arg (B), 20 mM Orn (C) or 10 mM Arg/10 mM Orn (D). BPS plates contained 0.2 mM BPS to increase iron starvation. For -Fe plates, iron was omitted. Iron-replete plates (+Fe) contained 30 μ M $FeSO_4$.

transporters (*S. cerevisiae* Arg11, *N. crassa* Arg13, *H. sapiens* ORNT1), the defects observed are most likely caused by defective ornithine trafficking between the mitochondria and the cytosol. The sporulation defect on glutamine during iron starvation (BPS) (Figure 1A) might be due to decreased ornithine supply for synthesis of the intracellular siderophore FC, which was previously shown to be crucial for intracellular iron distribution and in consequence for conidiation (Wallner et al., 2009). The latter would be consistent with a role in mitochondrial ornithine export for SB.

In Liquid Cultures, AmcA-Deficiency Decreases Growth on Ornithine and Production of Siderophores with Glutamine as Nitrogen Source

To further characterize the role of AmcA, biomass and siderophore production of wt, $\Delta amcA$ and $\Delta amcA^c$ were analyzed after growth in liquid cultures with glutamine, arginine,

ornithine or arginine/ornithine as nitrogen sources during iron limitation and iron sufficiency (Figure 2). Biomass production displayed a clear correlation with the iron supply as the wt biomass production decreased to 27% during iron starvation conditions compared to iron sufficiency (see legend of Figure 2). In all these assays $\Delta amcA^c$ was wt-like. In contrast to the phenotype on solid medium, $\Delta amcA$ did not display a growth defect on glutamine, neither during iron starvation nor iron sufficiency (Figures 2A,B). Similar to growth on solid media, $\Delta amcA$ displayed wt-like growth on arginine and arginine/ornithine (Figures 2A,B). In agreement with the growth on solid media, $\Delta amcA$ showed markedly reduced growth with ornithine: 55% and 67% of the wt during iron starvation and sufficiency, respectively (Figures 2A,B), confirming that AmcA is required for optimal utilization of ornithine as nitrogen source, possibly for mitochondrial ornithine import. Interestingly, the wt showed increased growth on ornithine and arginine/ornithine

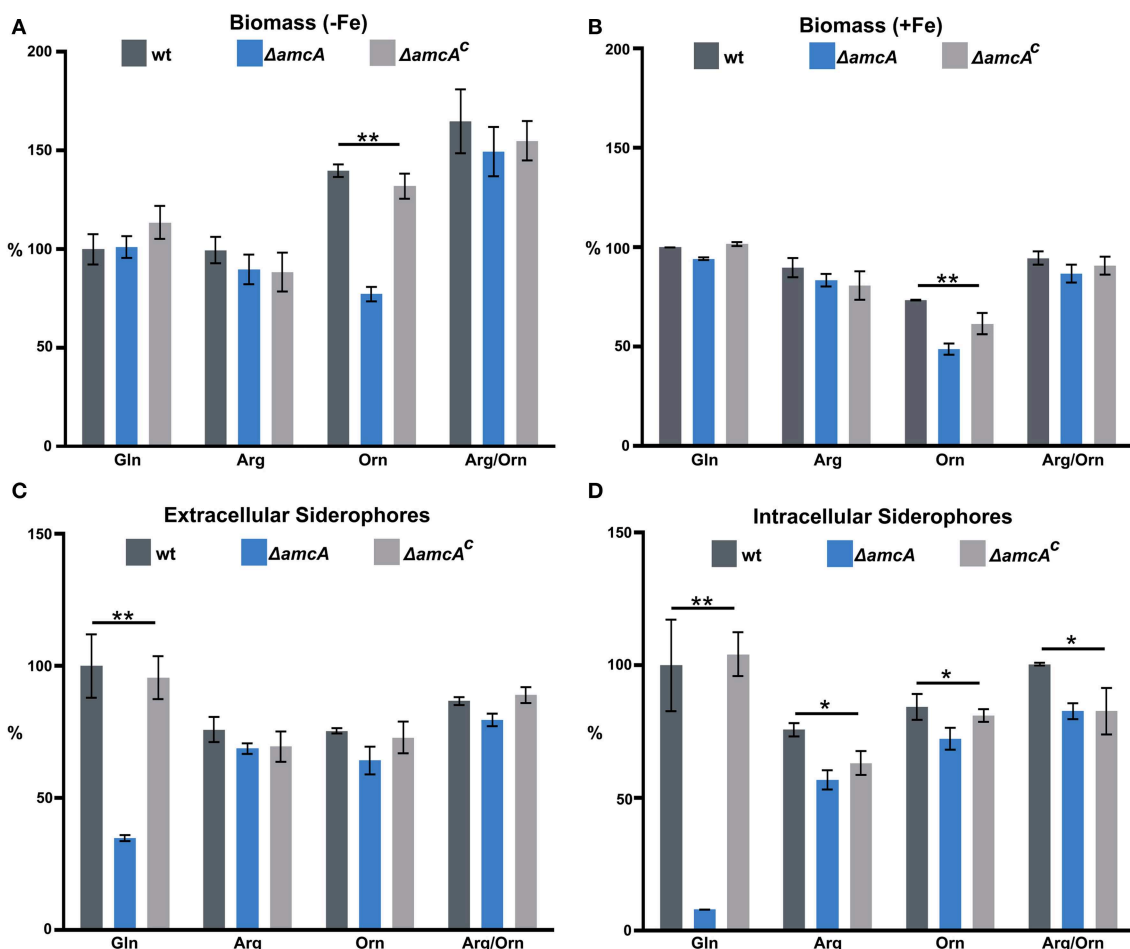


FIGURE 2 | In liquid cultures, AmcA-deficiency decreases growth on ornithine and production of siderophores on glutamine as nitrogen source. Fungal growth (A,B) and siderophore production (C,D) was quantified in liquid medium, containing 20 mM Gln, 20 mM Arg, 20 mM Orn or 10 mM Arg/10 mM Orn after 24 h during iron starvation (–Fe) and iron

sufficiency (+Fe). Data represent the mean of three biological replicates \pm standard deviation normalized to the biomass and to the wt on Gln. The wt biomass amounted to 0.691 g under +Fe and 0.188 g (27%) under –Fe. The differences in biomass production underline the difference in iron supply. (* $\leq P < 0.05$, ** $\leq P < 0.01$).

during iron starvation compared to glutamine or arginine (Figure 2A). Inspection of the ornithine source proved that it was iron-contaminated, indicating that the increased biomass formation on this nitrogen source was a direct consequence of increased iron supply. Nevertheless, ornithine cultures still reflected iron starvation conditions as evident from the high siderophore production (Figures 2C,D) and Northern analysis of iron starvation-induced genes (Figure 4). In contrast, during iron sufficiency ornithine led to lower biomass production compared to the other nitrogen sources. These data demonstrate that iron was indeed growth limiting during the iron starvation conditions used, while nitrogen was limiting during the iron sufficient conditions used. Noteworthy, during iron starvation with glutamine as nitrogen source, AmcA-deficiency decreased production of extra- and intracellular siderophores to 35% and 8%, respectively, compared to wt (Figures 2C,D). On arginine, ornithine or arginine/ornithine, AmcA-deficiency decreased the production of extra- and intracellular siderophores only slightly to 80–95% of the wt. These data strongly indicate that AmcA is involved in ornithine supply for SB mainly on glutamine and to a lesser degree on the other nitrogen sources tested.

Consequently, the main function of AmcA on glutamine during iron starvation is most likely the export of mitochondrial ornithine into the cytosol. In line, the defective siderophore production of $\Delta amcA$ was largely rescued by supplementation with ornithine or arginine, which is hydrolyzed to ornithine by the arginase in the cytosol. Obviously, the reduced siderophore production of $\Delta amcA$ on glutamine is sufficient to support wt-like growth during iron starvation (Figure 2A). Either the residual siderophore production is sufficient for iron supply or the defect is compensated by reductive iron assimilation, the alternative high affinity iron uptake system (Schrettl et al., 2004). The reduced production of the intracellular siderophore FC is the most likely reason for the observed conidiation defect on BPS-solid medium with glutamine as nitrogen source (see above). Noteworthy, AmcA-deficiency led to decreased sporulation in the presence of BPS as well as decreased extra- and intracellular siderophore production with ammonium tartrate or sodium nitrate as nitrogen sources (Figure S1), as seen with glutamine.

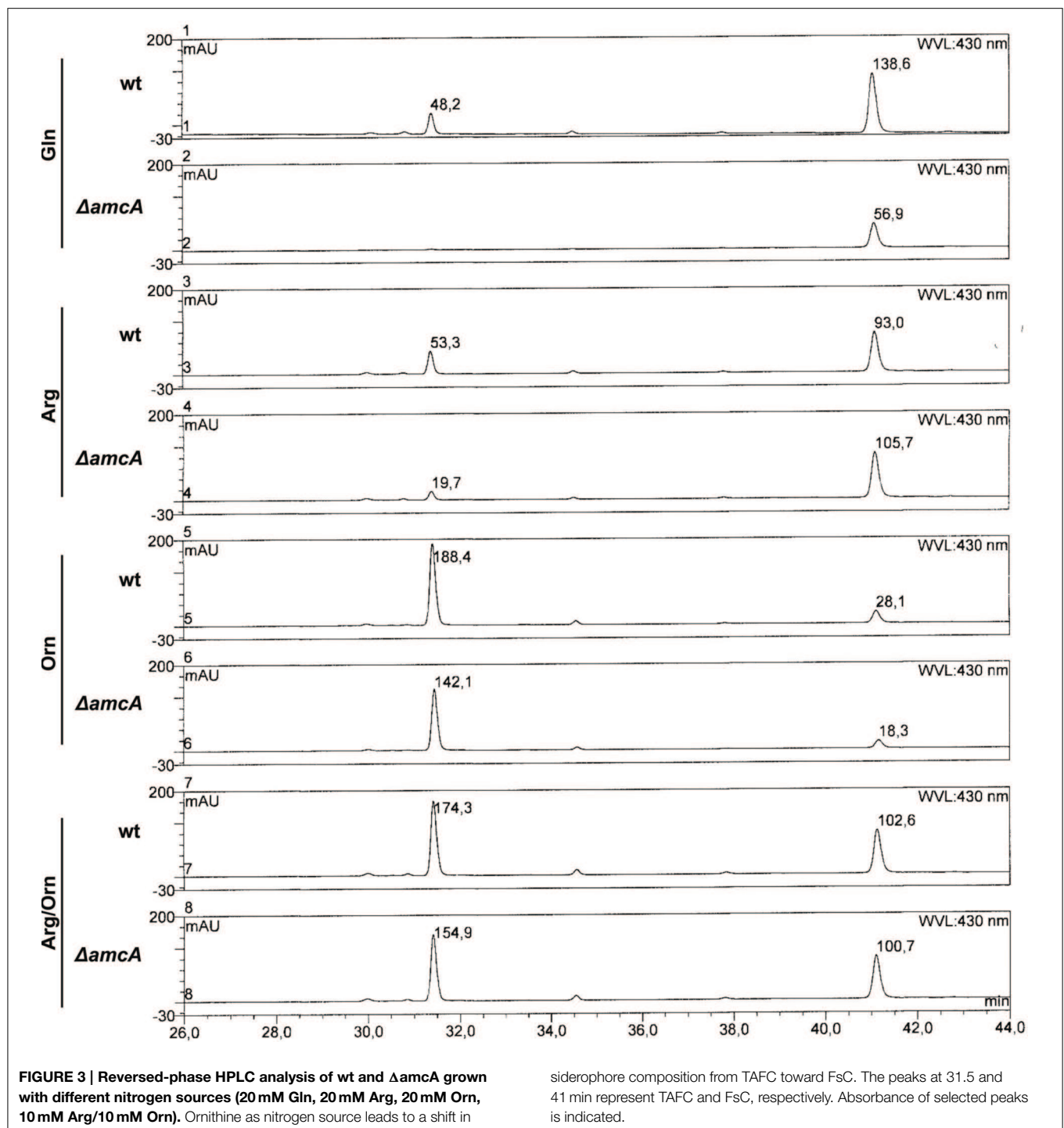
Ornithine as Nitrogen Source Decreases TAFC and Increases FsC Production

Remarkably, the reversed-phase HPLC-guided analysis of siderophores revealed an influence of the nitrogen source on the composition of extracellular siderophores of *A. fumigatus* wt (Figure 3). As shown previously (Schrettl et al., 2007), *A. fumigatus* produced two extracellular siderophores, TAFC and FsC. On glutamine and arginine, TAFC exceeded FsC by about 3- and 2-fold, respectively. In contrast, on ornithine and arginine/ornithine, FsC surpassed TAFC levels about 7- and 2-fold. Iron supplementation to a final concentration of 1 μ M of glutamine cultures did not influence the TAFC/FsC ratio, ruling out the possibility that the slight iron contamination of ornithine (see above) is responsible for the decreased TAFC/FsC ratio in ornithine cultures. Taken together, these data reveal a negative influence of ornithine on TAFC production.

Iron Starvation Upregulates *amcA* Expression Independently of the Nitrogen Source; Ornithine Downregulates Expression of the SB Gene *sidG*

To analyze possible effects of AmcA-deficiency on expression of genes involved in siderophore and arginine/ornithine metabolism, Northern blot analysis of selected genes was performed from iron-starved as well as iron replete cultures (Figure 4). Previously, expression of *amcA* (AFUA_8G02760) was found to be upregulated by iron starvation with glutamine as nitrogen source (Schrettl et al., 2010). Here we found that this transcription pattern also holds true for arginine, ornithine and arginine/ornithine as nitrogen sources (Figure 4). As the latter nitrogen sources render the necessity for mitochondrial ornithine export redundant, these data indicate additional functions of AmcA, such as mitochondrial ornithine import as discussed above. The lack of *amcA* transcript detection in $\Delta amcA$ confirmed the deletion of the gene. The following genes involved in adaptation to iron starvation (Schrettl et al., 2004, 2008, 2010; Raymond-Bouchard et al., 2012), *sidA* (AFUA_2G07680, an ornithine monooxygenase catalyzing the first committed enzymatic step in the SB pathway), *mirB* (AFUA_3G03640, a siderophore transporter), *hapX* (AFUA_5G03920, the iron regulatory bZip transcription factor) and *sidG* (AFUA_3G03650, the N^2 -transacetylase) were upregulated during iron starvation and unaffected by AmcA-deficiency (Figure 4). The fact that AmcA-deficiency did not affect transcript levels of any of the analyzed SB genes indicates that the decreased SB of $\Delta amcA$ with glutamine as nitrogen source has other reasons. Both ornithine and arginine/ornithine as nitrogen source did not significantly affect expression of *mirB* or *hapX*, mildly upregulated *sidA*, but downregulated *sidG*. As *sidG* encodes the enzyme that is responsible for conversion of FsC into TAFC, these data explain the increase of FsC and decrease of TAFC in the presence of ornithine or arginine/ornithine (Figure 3). The reason for the negative effect of ornithine on *sidG* expression and consequently TAFC production remains elusive. As expected, the presence of arginine upregulated the arginase-encoding gene *agaA* (AFUA_3G11430) during both iron starvation and sufficiency, largely unaffected by AmcA-deficiency (Figure 4). These data are in line with arginine catabolism and cytosolic ornithine production under these growth conditions. Expression of the ornithine-biosynthetic gene *argEF* (AFUA_6G02910, acetylglutamate kinase/N-acetyl- γ -glutamyl-phosphate reductase) was upregulated during iron starvation compared to iron sufficiency, as previously reported (Schrettl et al., 2007), and unaffected by AmcA-deficiency. Expression of the arginine-biosynthetic gene *argB* (AFUA_4G07190, ornithine carbamoyltransferase) and the ornithine decarboxylase encoding *odcA* (AFUA_4G08010), which is responsible for the first step in the conversion of ornithine to polyamines, were largely unaffected by both AmcA-deficiency and nitrogen source (Figure 4) (Oberegger et al., 2001; Jin et al., 2002; Beckmann et al., 2013).

Taken together with the data obtained by Northern blot analysis, which indicated wt-like expression of selected SB genes in $\Delta amcA$ (Figure 4), the decrease of SB of $\Delta amcA$ with glutamine as nitrogen source is most likely caused by the decrease in the cellular ornithine pool. Moreover, AmcA-deficiency does



not appear to affect arginine/ornithine biosynthesis at the transcriptional level.

AmcA-Deficiency Decreases the Cellular Pool of Arginine and Particularly Ornithine during Iron Starvation

Effects of AmcA-deficiency on amino acid composition were analyzed by comparison of the free amino acid pools of wt

and $\Delta amcA$ in iron-starved and iron replete liquid cultures with 20 mM glutamine supplementation (Table 1). As previously shown for another *A. fumigatus* strain (Schrettl et al., 2010), iron starvation dramatically altered the composition of the free amino acid pool, including a 16-fold increase in both ornithine and arginine (Table 1). In comparison to the wt, during iron starvation AmcA-deficiency decreased the levels of arginine and ornithine by 48% and 84%, respectively, with the effect on the

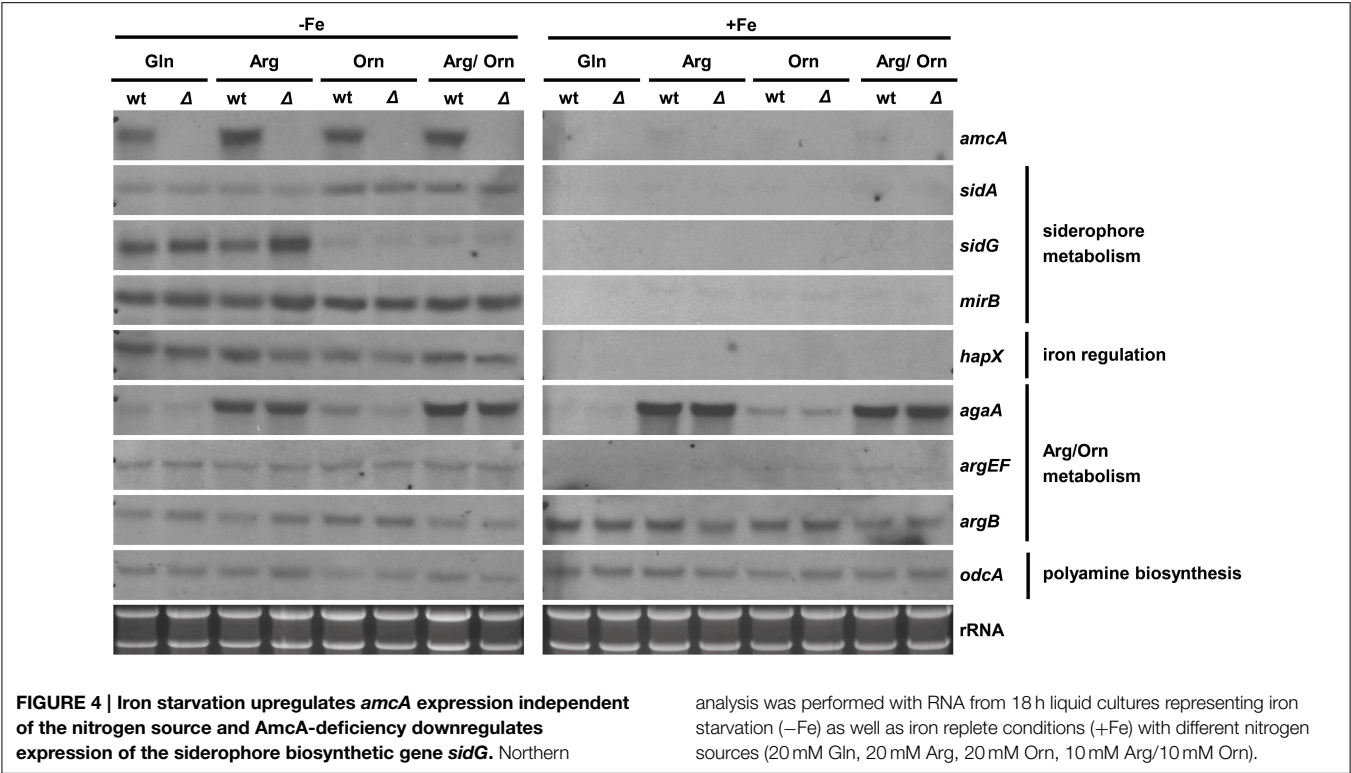


TABLE 1 | Analysis of the amino acid composition of the wt and mutant strain under iron-replete and iron depleted conditions with glutamine as nitrogen source after 24 h of growth in % of total free amino acids.

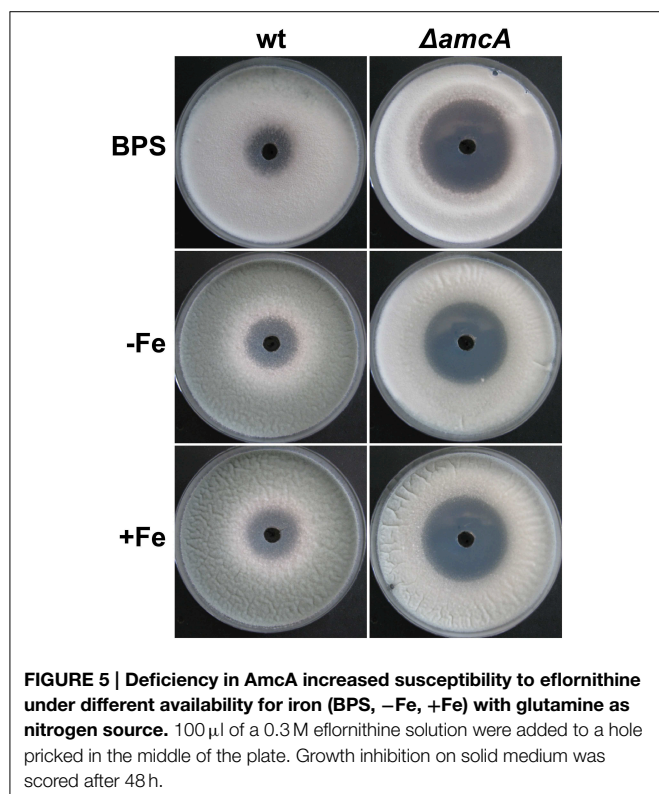
aa	Wt			<i>ΔamcA</i>			<i>ΔamcA</i> /wt	
	+Fe	–Fe	–/+Fe	+Fe	–Fe	–/+Fe	+Fe	–Fe
Ala	15.75 ± 0.27	4.51 ± 0.13	0.29	20.87 ± 0.51	6.10 ± 0.22	0.29	1.33	1.35
Arg	1.05 ± 0.03	16.67 ± 0.16	15.94	0.86 ± 0.01	8.61 ± 0.22	9.96	0.83	0.52
Asn	0.72 ± 0.03	3.45 ± 0.11	4.78	0.80 ± 0.02	3.28 ± 0.10	4.11	1.11	0.95
Asp	16.80 ± 0.14	4.47 ± 0.13	0.27	7.49 ± 0.09	4.58 ± 0.19	0.61	0.45	1.03
Gln	9.17 ± 0.22	36.94 ± 0.33	4.03	5.11 ± 0.38	48.17 ± 0.45	9.42	0.56	1.30
Glu	44.58 ± 0.61	15.95 ± 0.42	0.36	53.60 ± 0.28	18.41 ± 0.73	0.34	1.20	1.15
Gly	0.98 ± 0.07	1.23 ± 0.07	1.26	1.00 ± 0.05	0.82 ± 0.03	0.82	1.02	0.66
His	0.57 ± 0.01	2.73 ± 0.07	4.80	0.60 ± 0.02	2.80 ± 0.00	4.68	1.05	1.03
Ile	0.51 ± 0.06	0.23 ± 0.02	0.45	0.43 ± 0.00	0.22 ± 0.01	0.50	0.83	0.93
Leu	0.59 ± 0.01	0.32 ± 0.01	0.54	0.42 ± 0.01	0.20 ± 0.00	0.47	0.72	0.63
Lys	1.40 ± 0.07	4.28 ± 0.04	3.06	1.83 ± 0.04	2.13 ± 0.06	1.17	1.31	0.50
Met	0.09 ± 0.02	0.11 ± 0.01	1.24	0.07 ± 0.03	0.06 ± 0.02	0.80	0.86	0.56
Orn	0.30 ± 0.01	5.11 ± 0.11	16.78	0.45 ± 0.04	0.83 ± 0.00	1.86	1.47	0.16
Phe	0.24 ± 0.02	0.13 ± 0.02	0.55	0.17 ± 0.01	0.10 ± 0.01	0.57	0.72	0.74
Ser	2.49 ± 0.05	1.52 ± 0.03	0.61	2.16 ± 0.04	1.37 ± 0.05	0.63	0.87	0.90
Thr	3.31 ± 0.03	1.35 ± 0.05	0.41	2.08 ± 0.00	1.52 ± 0.07	0.73	0.63	1.12
Trp	0.00 ± 0.00	0.00 ± 0.00	0.00	0.00 ± 0.00	0.00 ± 0.00	0.00	0.00	0.00
Tyr	0.29 ± 0.01	0.39 ± 0.01	1.37	0.29 ± 0.03	0.31 ± 0.00	1.07	1.01	0.79
Val	1.15 ± 0.03	0.60 ± 0.03	0.52	1.76 ± 0.01	0.49 ± 0.01	0.28	1.53	0.81

Individual amino acid pools are given in % of the total free amino acids. Amino acid pools up-regulated >1.5- and >3-fold are in **bold** and **bold/italics** respectively. Down-regulation of >1.5- and >3-fold is marked in **bold** and **bold/italics**. Given values represent the mean of two biological replicates ± standard deviation.

TABLE 2 | Analysis of polyamine production in wt and $\Delta amcA$ under iron-replete and iron depleted conditions after 24 h cultivation with glutamine as nitrogen source.

Polyamines	wt		$\Delta amcA$	
	+Fe	–Fe	+Fe	–Fe
Putrescine	20.94 ± 18.71	9.39 ± 10.75	7.31 ± 2.09	5.07 ± 0.85
Spermidine	294.39 ± 32.40	105.45 ± 16.40	231.42 ± 3.59	99.04 ± 21.73
Spermine	64.15 ± 1.46	63.39 ± 1.65	77.11 ± 16.42	51.26 ± 21.70
Total	379.48	178.23	315.84	155.37

The values are given in nmol/ml and represent the mean of two biological replicates ± standard deviation.



cellular ornithine content being the most severe of all amino acids.

A significant decrease of arginine and particularly ornithine pools was previously also found for the *S. cerevisiae* mutant lacking the AmcA ortholog Arg11 (Crabeel et al., 1996). These data stress the role of AmcA as a mitochondrial ornithine transporter. The possible reasons for the decreased arginine pool include increased conversion of arginine to ornithine via arginase, although not visible at the transcript level of arginase-encoding *agaA* in Northern blot analysis (Figure 4). Most likely, the decreased cellular ornithine and arginine levels are caused by feed-back inhibition of mitochondrial arginine/ornithine biosynthetic enzymes due to the increased mitochondrial ornithine content resulting from blocked ornithine export. The change in content of the other amino acids underlines the interconnection of metabolic pathways.

AmcA-Deficiency Mildly Decreases Polyamine Levels

Polyamines (putrescine, spermine and spermidine) are organic cations required for normal growth as well as cell proliferation and differentiation of all organisms (McCann et al., 1987; Hu and Pegg, 1997; Pegg, 2009). After having observed decreased ornithine, in addition to decreased siderophore levels under glutamine supplementation, we considered it worthwhile to have a closer look at polyamine levels in the $\Delta amcA$ mutant, as ornithine is the precursor for biosynthesis of not only siderophores, but also polyamines. In the first and rate-limiting step, ornithine is converted via the ornithine decarboxylase to putrescine, which is then further converted to spermidine, the major polyamine in *Aspergilli*, and later to spermine (Jin et al., 2002). The analysis confirmed spermidine as the major polyamine of *A. fumigatus* under iron-replete as well as iron depleted conditions (Table 2). During iron starvation, the total polyamine content of *A. fumigatus* wt decreased to 47% compared to iron-replete conditions (Table 2). AmcA-deficiency mildly decreased the total polyamine content compared to wt, to 83% and 87% during iron sufficiency and iron starvation, respectively (Table 2).

These data indicate cellular prioritization of ornithine flux into polyamine rather than SB as the experiments described above revealed a significant decrease of the cellular ornithine pool and siderophore production in $\Delta amcA$ (Table 1 and Figure 2). These observations underline the importance of fine-tuned cellular polyamine levels within an organism. Of course iron supply is as essential as polyamine biosynthesis. However, *A. fumigatus* harbors an ornithine-independent, high-affinity iron uptake system, namely RIA (Schrettl et al., 2004), while there is no alternative for polyamine biosynthesis. This might explain the prioritization, which was also indicated in the study investigating the link between arginine/ornithine metabolism and SB using arginine auxotrophic mutants (Beckmann et al., 2013).

AmcA-Deficiency Leads to Increased Sensitivity against the Ornithine Decarboxylase (OdcA) Inhibitor Eflornithine

To further analyze the link between AmcA-deficiency, the ornithine pool and polyamine homeostasis, we analyzed the effect of AmcA-deficiency on susceptibility to eflornithine (α -difluormethylornithine) using plate-diffusion assays (Figure 5). Eflornithine is the most effective inhibitor of the ornithine

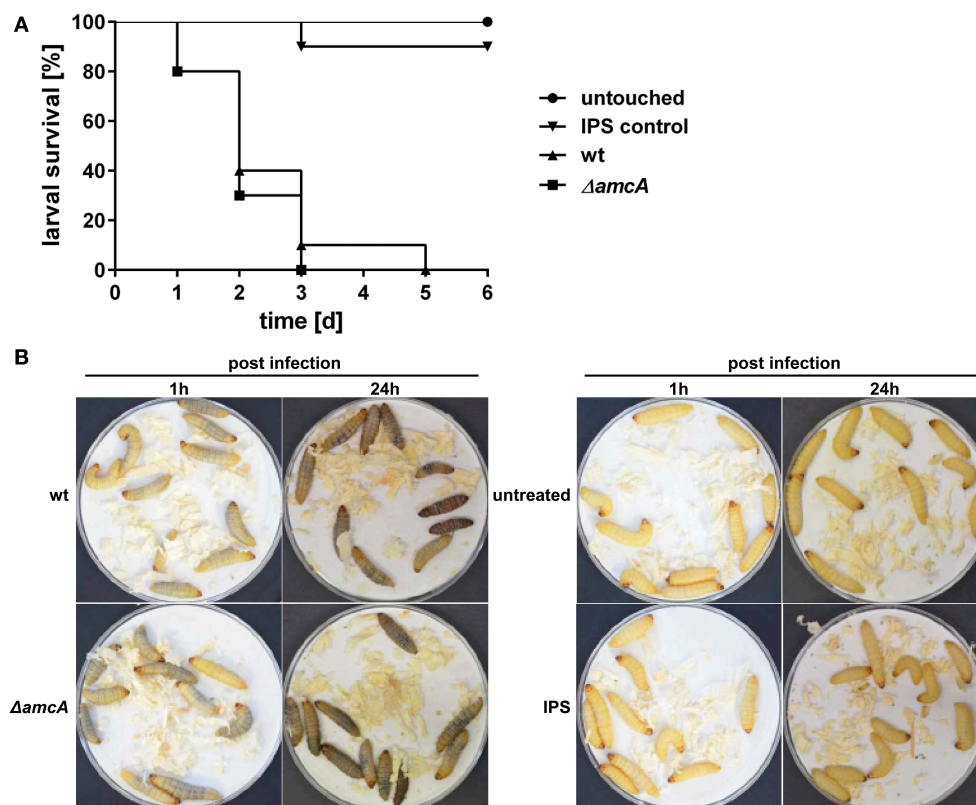


FIGURE 6 | Deficiency in AmcA does not attenuate virulence of *A. fumigatus* in the *Galleria mellonella* infection model. (A) No significant difference in virulence between $\Delta amcA$ and wt could be detected ($P = 0.5253$). Insect physiological saline (IPS) was used as an injection control. 90% of all larvae in this group remained viable

for the entire experiment. **(B)** Exemplary infection assay with *Galleria mellonella* larvae. $\Delta amcA$ and wt (Afs77) exhibit same rate of melanisation 1h and 24h post infection, indicating progression of infection, and resulting in 100% mortality at day 3 and 5, respectively **(A)**.

decarboxylase and is used in treatment against African trypanosomiasis and hirsutism (Pegg, 1986; Ramot et al., 2010; Kennedy, 2013). Confirming previous data (Beckmann et al., 2013), *A. fumigatus* is susceptible to efloornithine under iron sufficiency and iron starvation (Figure 5). However, AmcA-deficiency significantly increased susceptibility to efloornithine (inhibition zone of 40 mm of $\Delta amcA$ compared to 23 mm of wt) (Figure 5).

These data indicate that inhibition of the ornithine decarboxylase is more detrimental to $\Delta amcA$ than the wt, which is most likely a consequence of the decreased ornithine content (Table 1).

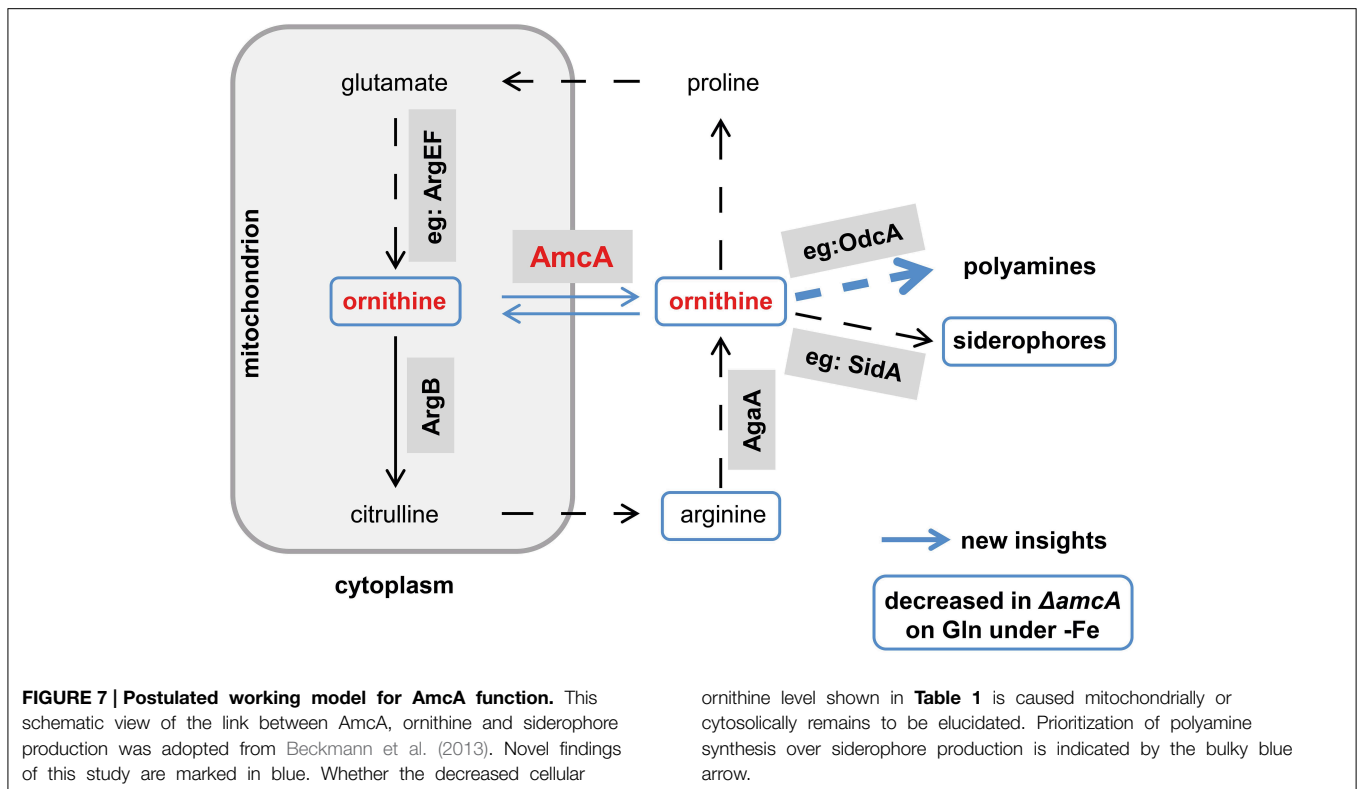
Deficiency in AmcA does not Impede Virulence of *A. fumigatus* in an Insect Host Model

In order to assess the role of AmcA in the virulence of *A. fumigatus*, we compared the $\Delta amcA$ mutant with the wt strain in the *Galleria mellonella* infection model (Fallon et al., 2011). AmcA does not seem to play a major role in the pathogenicity of *A. fumigatus*, as AmcA-deficiency resulted in no significant differences in survival rates ($P = 0.5253$) over a period of 6 days (Figures 6A,B).

Previously, defective SB was shown to result in attenuated virulence in different models, including pulmonary and keratitis mouse models as well as *G. mellonella* (Schrettl et al., 2004, 2007; Slater et al., 2011; Yasmin et al., 2012; Beckmann et al., 2013; Leal et al., 2013). The dramatic decrease in siderophore production of the $\Delta amcA$ mutant with glutamine as nitrogen source might have led to speculate about an attenuated virulence of this strain. However, previous studies have demonstrated wt-like virulence of an *A. fumigatus* *argB* mutant in *G. mellonella* (Beckmann et al., 2013), which indicates arginine availability in the host niche of this virulence model. The latter might explain wt-like virulence of $\Delta amcA$ as arginine restored SB in $\Delta amcA$ (Figure 2). Alternatively, or combined with the arginine availability, the residual siderophore production of $\Delta amcA$ could be the reason for unaltered virulence of *A. fumigatus* $\Delta amcA$.

Conclusion

This study identified a novel cellular component supporting SB of *A. fumigatus*. Despite the lack of direct prove, several lines of evidence indicate a function of AmcA in the supply of the precursor ornithine via mitochondrial ornithine export: (i) the



AmcA protein is a member of the mitochondrial carrier protein family with homologs involved in ornithine exchange between the mitochondrial matrix and the cytosol, (ii) AmcA-deficiency in *A. fumigatus* resulted in a dramatically decreased cellular ornithine content and SB during iron starvation with glutamine as nitrogen source but not ornithine or arginine, which stresses cytosolic ornithine production, (iii) AmcA-deficiency led to mildly decreased cellular polyamine content and significantly increased susceptibility to the polyamine biosynthesis inhibitor efloornithine. The novel findings and the postulated function of AmcA are summarized in **Figure 7**.

In line with its role in precursor supply for siderophore biosynthesis, *amcA* was transcriptionally upregulated during iron starvation. The fact that this upregulation was independent of the nitrogen source (e.g., with arginine and ornithine) indicates additional roles of AmcA such as mitochondrial ornithine import. In line, AmcA-deficiency impaired growth on ornithine as nitrogen source.

In the *G. mellonella* model, AmcA-deficiency did not affect virulence of *A. fumigatus*, most likely due to the residual siderophore production and/or arginine availability in this host niche.

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Supplementary Material

The Supplementary Material for this article can be found online at: <http://www.frontiersin.org/journal/10.3389/fmicb.2015.00252/abstract>

Figure S1 | (A) AmcA-deficiency decreases radial growth independent of the iron supply and sporulation in the presence of BPS on 20 mM glutamine (Gln), 20 mM ammonium tartrate (ammonium), and 70 mM sodium nitrate (nitrate) as nitrogen sources. Fungal growth on solid medium was analyzed after 48 h on plates. BPS plates contained 0.2 mM BPS to increase iron starvation. For –Fe plates, iron was omitted. Iron-replete plates (+Fe) contained 30 μM FeSO₄. **(B,C)** Fungal growth was quantified in liquid culture, containing 20 mM glutamine (Gln), 20 mM ammonium tartrate (ammonium) or 70 mM sodium nitrate (nitrate) after 24 h during iron starvation (–Fe) and iron sufficiency (+Fe), respectively. **(D,E)** AmcA-deficiency decreases production of extra- and intra-cellular siderophores on glutamine, ammonium tartrate and sodium nitrate as nitrogen sources. Data represent the mean of three biological replicates ± standard deviation normalized to the biomass and to the wt on Gln. (* ≡ *P* < 0.05, ** ≡ *P* < 0.01).

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Co-recognition of β -glucan and chitin and programming of adaptive immunity to *Aspergillus fumigatus*

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The prevalence of fungal infections has increased concurrently with increases in immune suppressive therapies and susceptible individuals. Opportunistic fungal pathogens such as *Aspergillus fumigatus* may exhibit invasive growth and dissemination resulting in a high mortality rate. Herein, we discuss how immune sensing of germination directs innate immune responses and programs adaptive responses that could promote or impair immune protection during periods of heightened susceptibility. In infected individuals, Th1 responses are the most protective, while Th2 responses lead to poor disease outcomes. In particular, the roles of β -glucan and chitin co-recognition in shaping Th1- and Th2-type immunity to fungal infection are explored. We discuss how fungal responses to environmental stresses could result in decreased immune protection from infection, particularly in response to anti-fungal drugs that target β -glucan synthesis. Furthermore, we consider how experimental modulation of host-pathogen interactions might elucidate the mechanisms of protective and detrimental immunity and the potential of current and future studies to promote the development of improved treatments for patients that respond poorly to existing therapies.

Keywords: *Aspergillus fumigatus*, fungal infection, aspergillosis, innate recognition, adaptive immunity, β -glucan, chitin, cell wall modulation

Introduction

Aspergillus fumigatus is an opportunistic fungal pathogen abundant in indoor and outdoor environments, causing fungal infection in immune suppressed individuals and exacerbating chronic pulmonary conditions (Hohl and Feldmesser, 2007; Kwon-Chung and Sugui, 2013). The small size of *A. fumigatus* conidia promotes aerosol formation and thus frequent contact with airways of potential hosts. Small to moderate amounts of conidia are often removed by alveolar macrophages without a significant inflammatory response. When larger numbers of conidia are inhaled, more conidia are allowed to germinate, stimulating an inflammatory response. Swelling of *A. fumigatus* conidia follows the degradation of the outermost hydrophobic rodlet layer, thus exposing the inner cell wall layer composed of a complex network of immune-stimulating polysaccharides (Thau et al., 1994; Latgé, 1999; Paris et al., 2003; Aimagani et al., 2009). Since these cell wall components are not found in mammalian hosts, specific host recognition receptors have evolved as a mechanism to signal appropriate early inflammation and the subsequent development of protective innate and adaptive immune responses.

Recent studies have indicated a variety of responses to particulate forms of fungal pathogen-associated molecular patterns (PAMPs). However, in natural *A. fumigatus* exposure and infection, fungal PAMPs are recognized in concert on the germinating conidial surface, and it is thus likely

that this combined recognition results in programming of immune profiles not observed in studies of purified, particulate cell wall components. Of the PAMPs contained in the cell wall of *A. fumigatus*, many studies have focused on the covalently linked fibrillary core polysaccharides β 1-3-glucan (β -glucan) and chitin; both known to be immune stimulatory in purified, particulate form (Lenardon et al., 2010; Drummond and Brown, 2011). Furthermore, the amorphous cell wall components α 1-3-linked glucan (α -glucan), galactomannan, and galactosaminogalactan (GAG) also act to modify immune responses to infection (Bozza et al., 2009; Gravelat et al., 2013; Latge and Beauvais, 2014), though their direct contributions to the development of protective or detrimental immunity are less clear. Recent studies reported that the expression of fungal PAMPs varies considerably depending on available nutrients, temperature, oxygen levels, and the presence of anti-fungal drugs (Verwer et al., 2012; Shepardson et al., 2013; Beauvais et al., 2014). When combined, these and other potential cellular and metabolic stressors may ultimately result in distinct patterns of recognition and cell signaling with the potential to program equally distinct profiles of adaptive immunity. However, our current understanding of these pathways of recognition and their influence on adaptive immunity is basic and preliminary, and more detailed studies of combined recognition of fungal PAMPs during germination are needed. Herein, we examine the role of individual and aggregate pattern recognition in the programming of immunity to *A. fumigatus*, focusing on the immune responses to β -glucan and chitin. We also consider the evidence that fungal cell wall modulation due to environmental stresses like antifungal drug exposure could either enhance or diminish immune protection from infection.

Early Recognition of Fungal Germination

β -Glucan/dectin-1

The *A. fumigatus* cell wall consists of covalently bound β -glucan, chitin, galactomannan and α -glucan that are absent in mammals, and thus present prime targets for pattern recognition receptors (PRRs) on host cells (Chai et al., 2011). β -glucan is recognized by the C-type lectin receptor dectin-1 and has been studied extensively with infection models of *A. fumigatus* and other pathogenic fungi (Drummond and Brown, 2011). Downstream signaling of dectin-1 activation promotes cellular anti-fungal responses including phagocytosis, ROS production, and inflammatory cytokine production. Mutations in human dectin-1 rendered individuals more susceptible to invasive aspergillosis, and infected dectin-1-deficient mice displayed increased pathology with decreased neutrophil recruitment and impaired cytokine production (Steele et al., 2005; Gersuk et al., 2006; Cunha et al., 2010; Gessner et al., 2012). The inflammatory response initiated by binding of dectin-1 receptor on resident cells is strengthened when combined with signaling through toll-like receptors (TLR) that are co-expressed within an immunological synapse (Goodridge et al., 2011; Inoue and Shinohara, 2014), resulting in synergistically increased cytokine production and activation of inflammatory signaling pathways (Mambula et al., 2002; Hohl et al., 2005; Gersuk et al., 2006; Dennehy et al., 2008). Thus, dectin-1 and associated PRR recognition provide

immune signals essential for protective immunity to *A. fumigatus* infection.

Conidial surface β -glucan is initially recognized by epithelial cells, macrophages and dendritic cells (Drummond and Brown, 2011; Oshero, 2012). Epithelial cells act as the first barrier and immunologically active surface in host tissues, serving as non-professional phagocytes where engulfed conidia persist in the pulmonary epithelial space (Heinekamp et al., 2015). Airway epithelial cells activated a panel of antimicrobial genes in a β -glucan-mediated response to *A. fumigatus* (Evans et al., 2010; Sun et al., 2012), and secreted TNF- α , IL-8 (CXCL-8) and GM-CSF (Sun et al., 2012), indicating an important role for these cells in neutrophil recruitment that is essential for protection from invasive infection (Bonnett et al., 2006; Mircescu et al., 2009). Similar to epithelial cells, alveolar macrophages from dectin-1 knockout mice lacked the ability to produce IL-1 α/β , TNF- α , CCL3/4 (MIP-1 α/β), and CXCL1 (KC) in response to *A. fumigatus* (Werner et al., 2011). A dectin-1/CARD9 pathway promoted early neutrophil influx, although initial recruitment may be mediated by a hypoxia inducible factor- α /IL1R1/MyD88 pathway (Shepardson et al., 2014; Caffrey et al., 2015; Jhingran et al., 2015). In neutrophils, β -glucan recognition by dectin-1 promoted production of reactive oxygen species (Kennedy et al., 2007). Neutrophils produced dectin-1-mediated IL-17A in the presence myeloid cells in response to *A. fumigatus* (Werner et al., 2011) that likely serves as a feedback signal for increased neutrophil recruitment via stimulation of epithelial cells to produce TNF- α , IL-8, and G-CSF (Iwakura et al., 2011). In addition to neutrophils, NK cells are recruited early after *A. fumigatus* infection by a CCL2-dependent mechanism, and provide protection through IFN- γ secretion and subsequent activation of macrophages (Morrison et al., 2003; Park et al., 2009) and also potentially through enhanced neutrophil killing (Roilides et al., 1993). Inflammatory monocytes also provide protection from invasive infection, similarly in part by enhancing neutrophil conidiacidal activity (Espinosa et al., 2014). In DCs, TLR and dectin-1 signaling mediated β -glucan-induced secretion of TNF- α and IL-12 (Gantner et al., 2003; Mezger et al., 2008). In response to *A. fumigatus* conidia, Dectin-1 also promoted early lung protection and fungal allergy via secretion of IL-22, a cytokine important in activation of antimicrobial effectors at mucosal surfaces (Gessner et al., 2012; Lilly et al., 2012). Thus, dectin-1 recognition of β -glucan exposure in *A. fumigatus* results in the activation of an array of inflammatory cytokines and chemokines that promote early protection from infection.

Chitin

Chitin is a fungal cell wall polysaccharide that is abundant in parasites, insects, and crustaceans (Da Silva et al., 2010; Lenardon et al., 2010; Muzzarelli, 2010). Chitin microfibrils, covalently linked with β -glucan, impart a strong rigidity to the cell wall of fungal hyphae. The results of several studies examining immune responses to purified chitin indicate that particle size, concentration, and degree of acetylation are important determinants of cytokine profiles and inflammatory cell recruitment (Shibata et al., 1997; Da Silva et al., 2009; Wagener et al., 2014). Low concentrations of chitin particles between 1 and 10 μ m

induced macrophage IL-10 secretion, while increased concentrations resulted in increased TNF secretion. In contrast, larger chitin particles (50–100 μm) promoted lung eosinophilia and alternative macrophage activation (Reese et al., 2007; Kogiso et al., 2011; Roy et al., 2012). Chitin exposure increased expression of lung epithelial CCL2, IL-25, IL-33, and TLSP that mediated recruitment of eosinophils and promoted M2 (alternatively activated) macrophage activation (Islam and Luster, 2012; Roy et al., 2012; Van Dyken et al., 2014). Furthermore, chitin-induced IL-25, IL-33, and TLSP induced type 2 innate lymphoid cells (ILC2) to secrete IL-5 and IL-13, cytokines essential for eosinophil recruitment and M2 macrophage activation (Van Dyken et al., 2014). In addition to purified particles, inhaled fungal chitin from house dust, hyphal extracts, and conidia also promoted lung eosinophil recruitment in mice that was decreased in the presence of constitutively expressed acidic mammalian chitinase (AMCase; Van Dyken et al., 2011; O'Dea et al., 2014). These studies demonstrate that innate recognition of purified or fungal chitin induces recruitment of eosinophils and promotes M2 macrophage activation.

In contrast to β -glucan, a distinct chitin recognition receptor has not been fully characterized. To date, the only chitin-specific receptor identified is FIBCD1, a type II transmembrane protein apically expressed in gut tissues (Schlosser et al., 2009). However, several PRRs specific for other microbial PAMPs are associated with chitin-mediated responses. Chitin-induced macrophage secretion of IL-17A and TNF- α were dependent on the TLR-2/MyD88 pathway and dectin-1/TLR2 expression, respectively (Da Silva et al., 2008, 2009). IL-10 secretion in response to smaller chitin particles was dependent on mannose receptor, NOD2 and TLR9 (Wagener et al., 2014). In addition, the cytosolic C-type lectin RegIII γ also binds chitin (Cash et al., 2006). Notably, the well-described ligand shared by TLR2, NOD2, and RegIII γ is peptidoglycan, an essential cell wall component of gram-positive bacteria that, like chitin, consists of a carbohydrate backbone containing *N*-acetylglucosamine residues (GlcNAc). Furthermore, RegIII γ and other C-type lectins that bind GlcNAc containing polysaccharides also bind mannan (Drickamer, 1992; Cash et al., 2006). It is possible that this structural similarity enables mannose receptor-mediated responses to chitin particles. The innate immune signals involved in chitin recognition are nonetheless complex, and future studies are needed to determine the importance of each of these recognition molecules in innate immune responses to chitin-containing pathogens.

Innate Immune Effectors Program Adaptive Immunity

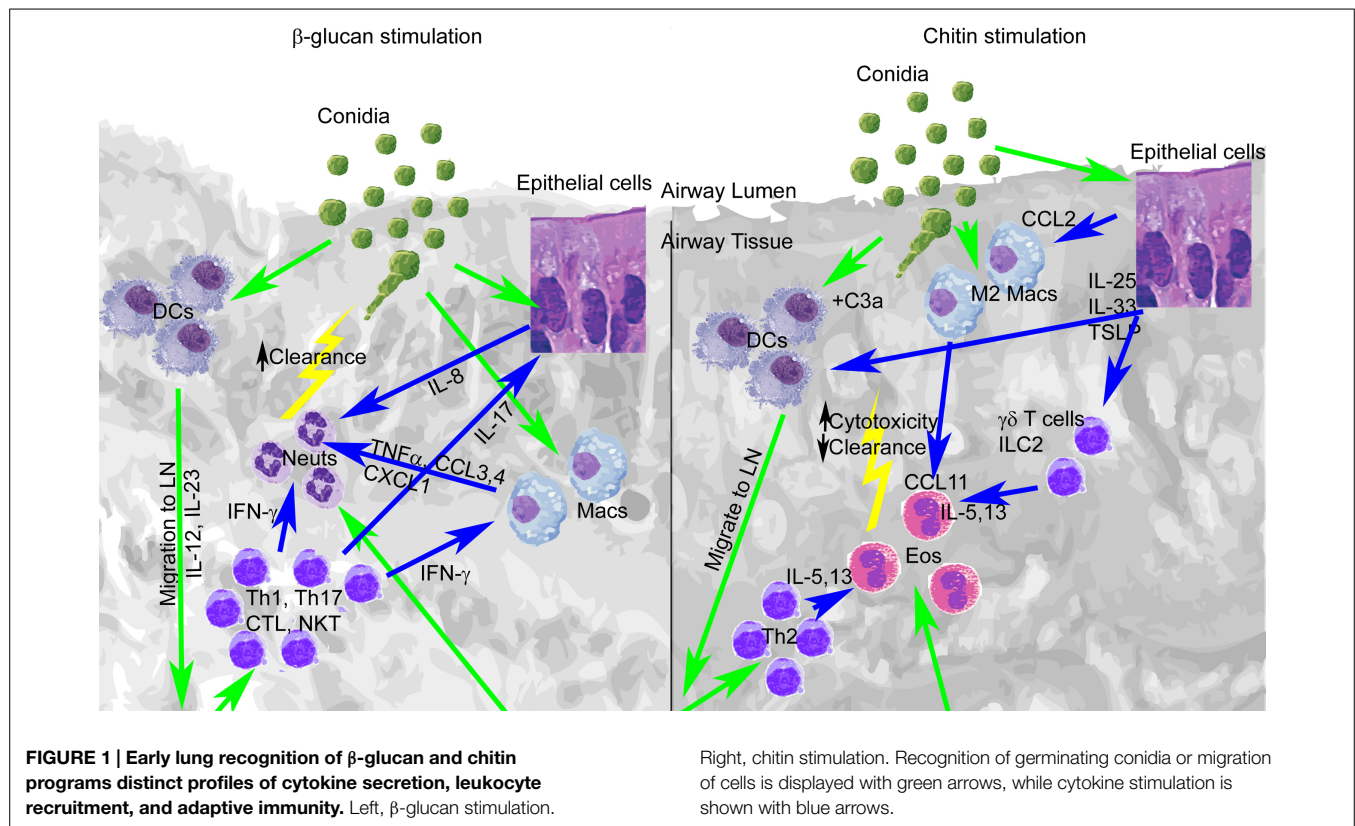
Although alveolar macrophages and neutrophils are critical for killing dormant or germinating conidia and hyphae, monocytes, NK cells, NKT cells, plasmacytoid DCs, and eosinophils may also provide early protection from infection (Morrison et al., 2003; Mircescu et al., 2009; Cohen et al., 2011; Ramirez-Ortiz et al., 2011; Espinosa et al., 2014; Lilly et al., 2014). Furthermore, cytokines produced by these cells are involved in the programming of protective or non-protective adaptive immune responses. In particular, CD4 (T-helper) and CD8 (cytotoxic) T cells provide significant protection from *A. fumigatus* infection and are

therefore considered important targets for vaccination studies (Cenci et al., 2000; Perruccio et al., 2005; Chai et al., 2010; Romani, 2011; Carvalho et al., 2012). However, Th1 responses are the most protective, while Th2 responses result in poor disease outcomes. The level of protection conferred by Th17 cells and IL-17 is not clear, as conflicting studies reported impaired or enhanced early protection after antibody depletion of IL-17A (Zelante et al., 2007; Werner et al., 2009). In a model of fungal keratitis, IL-17A was protective, although the cellular sources of IL-17A attributed to this protection included neutrophils in addition to Th17 cells (Taylor et al., 2014). In addition to neutrophils, $\gamma\delta$ T cells may also be an important source of IL-17A, particularly in the lung, although their role in protection is unclear and may be subset-dependent (Roark et al., 2008; Romani et al., 2008). NK and invariant NKT cells may be early sources of IFN- γ during infection (Bouzani et al., 2011; Cohen et al., 2011), while basophils or NKT cells may provide innate production of IL-4 in the development of allergy/Th2 responses (Taniguchi et al., 2003; Liang et al., 2012). Therefore, in addition to proinflammatory cytokines produced by innate cells, early production of T helper cytokines provides an early window into the subsequent development of protective or detrimental adaptive responses.

Perhaps the most consequential cell in initiating adaptive immunity to fungal infection is the DC (Romani, 2011; Wuthrich et al., 2012). Initiation of a protective adaptive immune response against *A. fumigatus* is partly dependent on the actions of DCs stimulated through activation of fungal PRRs. Monocytes recruited into the lung shortly after *A. fumigatus* infection differentiated into DCs that were critical for induction of Th1 responses that are increased in the absence of dectin-1 (Hohl et al., 2009; Rivera et al., 2011). Rather than promote Th1 responses, dectin-1 recognition induced Th17 responses to *A. fumigatus* (Werner et al., 2009; Rivera et al., 2011). Accordingly, direct stimulation of DCs with purified β -glucan stimulated TNF α , yet inhibited TLR-mediated induction of IL-12 (Huang et al., 2009). DC priming of Th2 responses was promoted by the epithelial cytokines TSLP and IL-33 that were also induced in epithelial cells by chitin stimulation (Paul and Zhu, 2010; Van Dyken et al., 2014). Chitin particles also induced generation of C3a in the lungs of mice that is required for DC stimulation of Th2 responses to *Aspergillus fumigatus* hyphal extracts (Roy et al., 2013). DCs thus respond to different fungal PAMPs with distinct cytokine profiles and differentially prime Th responses.

Co-recognition of β -glucan and Chitin and Programming of Adaptive Immunity

Although many studies have focused on responses to purified fungal PAMPs, actual responses to viable *A. fumigatus* are programmed as a result of co-recognition of multiple PAMPs by multiple PRRs after these ligands are revealed on the surface of germinating conidia. Furthermore, since soluble forms of these ligands are often inhibitory, it has been hypothesized that long fibrillar polysaccharide fungal PAMPs are able to bind to multiple PRRs, thus increasing activation signals in PRR-expressing cells (Latge, 2010). Recognition by multiple PRRs would also be facilitated by clustering formations within the immunological



synapse (Goodridge et al., 2011; Inoue and Shinohara, 2014). Results of studies that examined the effects of co-recognition of multiple PAMPs with mixtures of particles or in response to intact conidia provide a contrast to studies focused solely on responses to purified particles. For example, covalently-linked chitin- β -glucan particles induced neutrophil and eosinophil recruitment as well increased chitinase activity, TNF- α and TSLP production in mouse lungs (Dubey et al., 2014). Furthermore, multiple aspirations of viable *A. fumigatus* conidia activated Th1, Th2, and Th17 responses, and the relative expansion of these subsets may depend on the dose, frequency of aspirations, and strain characteristics of the conidia used (Fei et al., 2011; Murdock et al., 2011; O'Dea et al., 2014). Our laboratory identified an isolate of *A. fumigatus* (Af5517) that expressed increased levels of chitin and induced Th2-skewed immunity in the lungs of mice after repeated conidial aspiration (O'Dea et al., 2014). However, an isolate that we identified as relatively low chitin-expressing (Af13073) induced allergic sensitization when the frequency of aspiration was increased and the interval between aspirations was decreased (Fei et al., 2011; Lilly et al., 2012; Amarsaikhan et al., 2014). Interestingly, *dectin-1*^{-/-} mice displayed increased eosinophil recruitment in response to single or multiple aspirations of *Aspergillus* conidia (Werner et al., 2011; Mintz-Cole et al., 2012). Although not discussed in either report, it is possible that co-recognition of β -glucan by *dectin-1* may inhibit signals generated by chitin recognition and early programming of Th2 responses. However, this effect may be overcome by allergic sensitization, as *dectin-1* deficient mice did not exhibit increased lung eosinophilia in a model of fungal asthma (Lilly et al., 2012). This is not surprising,

considering other differences between models of exposure and sensitization. For example, chitinase expression promotes allergic inflammation in models of allergic sensitization, while in the absence of sensitization chitinase expression decreases eosinophil recruitment in response to chitin particles, fungal extracts, or conidia (Zhu et al., 2004; Reese et al., 2007; Van Dyken et al., 2011; O'Dea et al., 2014). Taken together, these results suggest that in the absence of sensitization, co-recognition of chitin and β -glucan may provide antagonistic signals that result in differential programming of adaptive immunity to *A. fumigatus*.

Fungal Stress, Cell Wall Modulation, and Consequences for Treatment of Infection

The clinical relevance of cell wall modulation is an important area of current and future investigation. Several lines of evidence suggest that stresses encountered by pathogenic fungi during infection alter the metabolism and cell wall architecture, and thus modulate immune responses toward non-protective programs of adaptive immunity. In the case of *A. fumigatus* and other fungal infection, Th2 immune responses inhibit protective immunity (Cenci et al., 1998, 1999, 2000; Wuthrich et al., 2012). Eosinophils may be partly responsible for this impairment, as Th2-responding mice that lacked eosinophils increased fungal clearance, although the mechanism for this inhibition remains unknown (O'Dea et al., 2014). Numerous reports have demonstrated alteration of fungal cell wall architecture in response to changes in growth conditions or environmental stresses encountered during infection, in both

A. fumigatus and *Candida albicans* (Ene et al., 2012; Shepardson et al., 2013; Beauvais et al., 2014). *A. fumigatus* growth under hypoxic conditions resulted in increased cell wall β -glucan and chitin that stimulated increased macrophage and neutrophil activation (Shepardson et al., 2013). More importantly, classes of antifungal drugs that directly target the synthesis of cell wall chitin and β -glucan modulate cell wall architecture over the course of infection, and these changes may concomitantly affect host pattern recognition and pathogen clearance. For example, echinocandins directly target the synthesis of β -glucan, while nikkomycins target chitin synthesis (Ostrosky-Zeichner et al., 2010). Moreover, growth of *A. fumigatus* in the presence of the echinocandin caspofungin resulted in increased cell wall chitin, while growth on nikkomycin Z increased β -glucan (Verwer et al., 2012). In a mouse model of *C. albicans* infection, increased cell wall chitin induced by caspofungin treatment mediated echinocandin resistance (Lee et al., 2012). Thus, cell wall modulation in response to the stresses of infection may influence the development of protective immunity and the efficacy of antifungal drug treatment.

Summary/Conclusion

Among the cell wall components of *A. fumigatus*, chitin and β -glucan may stimulate protective or detrimental immune

responses, depending on their level of expression and recognition. Other cell wall components such as α -glucan, galactomannan, and GAG may also promote or inhibit the development of protective immunity, although their roles are less understood, and thus require further examination. Early cellular and cytokine signals induced by innate recognition of covalently linked β -glucan and chitin initiate Th1/Th17 or Th2 responses that may alter the balance between protective immunity and damaging inflammation (Figure 1). This co-recognition may be altered by pathogen mutation or in response to environmental stresses encountered during infection, particularly by exposure to antifungal drugs that directly target β -glucan or chitin synthesis. However, the consequences of changes in this recognition to protection from infection are not well understood. Future studies will be required to more completely define the development of protective immunity at the level of host-pathogen interaction, with the goal of introducing and validating new therapies that promote protection and/or target detrimental inflammatory processes that arise within the spectrum of *A. fumigatus*-associated disease.

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Cytokines induce effector T-helper cells during invasive aspergillosis; what we have learned about T-helper cells?

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Invasive aspergillosis caused by *Aspergillus* species (*Aspergillus fumigatus*, *A. flavus*, and *A. terreus*) is life-threatening infections in immunocompromised patients. Understanding the innate and adaptive immune response particularly T-helper cells (T_H-cells) against these *Aspergillus* species and how the different sub-set of T_H-cells are regulated by differentiating cytokines at primary target organ site like lung, kidney and brain is of great significance to human health. This review focuses on presentation of *Aspergillus* through Antigen presenting cells (APCs) to the naive CD4⁺ T-cells in the host. The production of differentiating/effector cytokines that activate following T_H-cells, e.g., T_H1, T_H2, T_H9, and T_H17 has been reported in association or alone in allergic or invasive aspergillosis. Chemokines (CXCL1, CXCL2, CCL1, and CCL20) and their receptors associated to these T_H-cells have also been observed in invasive aspergillosis. Thus, further study of these T_H-cells in invasive aspergillosis and other elements of adaptive immune response with *Aspergillus* species are required in order to have a better understanding of host response for safer and effective therapeutic outcome.

Keywords: cytokines, T-helper cells, dendritic cells, *Aspergillus*, antigen presenting cells, invasive aspergillosis

Introduction

Fungi are the most common microorganisms and have clinical importance. Few of them are pathogenic or opportunistic pathogen and results in morbidity and mortality to human beings. There is a rise in opportunistic fungal infections in recent years due to increased incidence of immunocompromised host (Chamilos et al., 2006; Romani, 2008). After *Candida albicans*, the leading causes of fungal infections in immunocompromised individuals are from *Aspergillus* species. *Aspergillus* is one of the most ubiquitous medically important opportunistic fungi (Weaver et al., 2007). The genus *Aspergillus*, contains about 40 species that can cause infection (Verweij and Brandt, 2007), among them *A. fumigatus*, *A. flavus*, and *A. terreus* are the leading cause of invasive Aspergillosis in immunocompromised individuals. These species produce conidia at a concentration of around 1–100 conidia per m³ (Barnes and Marr, 2006). Human routinely inhale hundreds of these conidia per day, despite these exposure to *Aspergillus* conidia, human do not develop any disease due to the clearance of conidia from lung by innate immunity especially phagocytic cells (Chamilos et al., 2006; Romani, 2008). However, due to rise in immunocompromised host, e.g., patients receiving organ

transplant, immunosuppressive therapy for autoimmune or neoplastic disease and HIV patients, inhaled conidia if not cleared in these host, colonization of *Aspergillus* occurs (Stevens et al., 2000). The adaptive immune response in human responsible for conidia clearance is not well understood in immunocompetent host as well as where conidia colonize in immunocompromised host. It is worth to note that secondary metabolites (e.g., Gliotoxins, Aflatoxins) excreted by *Aspergillus* especially have been recognized to modulate immunological responses (Shankar, 2013). Thus, we reviewed recent advances made in immune responses against *Aspergillus* species in mice model studies and clinical aspergillosis patients. *A. fumigatus* is the prominent species, which cause 90% of Aspergillosis followed by *A. flavus* and *A. terreus*. Studies have showed that *Aspergillus* species associated with infection after hematopoietic stem cell transplantation include *A. fumigatus* with 56% followed by *A. flavus* (18.7%) and *A. terreus* (16%) (Steinbach et al., 2004; Morgan et al., 2005). The involvement of *Aspergillus* infection in pulmonary tuberculosis and in asthmatic patients has been reported by Denning et al. It has been estimated annually at least 372,385 patients developed chronic pulmonary aspergillosis worldwide following treated pulmonary tuberculosis (Denning et al., 2011). Similarly, around 4,837,000 patients develop Allergic bronchopulmonary aspergillosis out of 193 million adults with active asthma (Denning et al., 2013). However, in a recent study of Indian population by Agarwal et al. (2014) the estimated ABPA burden was 1.38 million out of 27.6 million adults with asthma. This review touches different aspect of antifungal immunity against aspergillosis that include antigen presenting cells (APCs), dendritic cells (DCs), fungal pattern recognition receptors (PRRs), T_H-cells with their subsets profile during infection associated to *Aspergillus* species at different site of infection, e.g., lung, kidney, and brain.

Recognition of *Aspergillus* by the Host

Presentation of Pathogen via Soluble Receptors and Cell Bound Receptors

After the inhalation of *A. fumigatus* conidia, they are entrapped by the lung alveoli and if they are not efficiently cleared from lung, they germinate and establish lung infection termed invasive pulmonary aspergillosis and it may also disseminates to other organs if not treated (Park and Mehrad, 2009). The recognition of *A. fumigatus* conidia and hyphae occurs by PRRs those include soluble receptors and cell-bound receptors. Conidial germination starts with hydrophobic layer degradation and exposure of inner cell wall components mainly polysaccharides, which includes chitin, β -glucan, mannan, and galactomannan. These are termed as pathogen associated molecular patterns (PAMP), are recognized by PRRs (Netea et al., 2006; Inoue and Shinohara, 2014). PRRs soluble receptor such as pulmonary collectins, family of C-type lectins, pentraxin-3, pulmonary surfactant proteins-A and D have been reported in aspergillosis. Further, the cell-bound receptors in association with aspergillosis include Toll like receptor-2 (TLR), TLR-4 and TLR-9, which potentially induce the production of pro-inflammatory cytokines and reactive oxygen species through MyD88 signaling pathway (Willment and Brown, 2008).

Antigen Presenting Cells and T-cell Differentiation

Antigen Presenting Cells Triggers Cytokines Production

The activation of the innate immunity through PRRs present on the APCs that regulate the development of T-cell. APCs express wide-array of PRRs that provides the link between adaptive and innate immunity (Park and Mehrad, 2009). APCs, dominantly DCs, are responsible for antigen monitoring and then shaping T-cell response by secreting cytokines and chemokines. DCs express PRRs on their cell surface and endosomal compartments, which serve to recognize PAMPs. After interaction with DCs, naive T-cells are activated. The activation of T-cell response is regulated by the cytokines milieu predominantly framed by DCs (Akdis et al., 2011). Chemokines secreted by DCs recruit the phagocytic cells to infected areas to clear the *Aspergillus* components. APC cells, e.g., monocytes differentiate into distinct sub-populations of CD14⁺ and CD16⁺ cells after *A. fumigatus* conidia infection (Serbina et al., 2009). Monocytes interact with *Aspergillus* antigens resulting in maturation of monocytes into macrophages or DCs. Macrophages and DCs interact with antigens and secrete effector cytokines (Osugi et al., 2002; Ramirez-Ortiz and Means, 2012). Major sub-populations of DCs are myeloid DCs, plasmacytoid DC (pDCs) and monocyte-derived DCs (Bozza et al., 2002; Osugi et al., 2002). pDC recognize the nucleic acids from *A. fumigatus* via TLR-9 and lead to resistance to *A. fumigatus* infection in mice (Ramirez-Ortiz et al., 2008). Further, monocytes migrate toward the lung to differentiate into either DCs or alveolar macrophages during invasive aspergillosis (Cramer et al., 2011; Morton et al., 2012). Monocytes express different chemokines receptor predominantly CCR2, which help in migration of monocytes from bone marrow toward lung in response to *A. fumigatus* infection (Serbina et al., 2009). It has been shown that monocytes expressing CCR2 in the lung involved in conidial uptake and killing (Espinosa et al., 2014). Furthermore, alveolar macrophages induce APCs to release IL-1 β in pulmonary invasive infection (Park and Mehrad, 2009). IL-18 has also been observed in lung during invasive aspergillosis mice model (Akdis et al., 2011). Recently, it has been observed that *A. fumigatus* pulmonary challenge induces expression of the inflammasome-dependent cytokines IL-1 β and IL-18 within the first 12 h, while IL-1 α expression continually increases over at least the first 48 h (Caffrey et al., 2015). Moretti et al. (2014) showed in a pulmonary invasive aspergillosis model that mice injected with IL-37 prior to *A. fumigatus* infection has significant reduction in IL- β production and recruitment of neutrophils and resulted in diminution in lung inflammation and damage. The anti-inflammatory activity of IL-37 has been observed as an inhibitor of the innate response. Thus, cytokines play a vital role in modulation of immune response and coordinate the innate as well as adaptive responses. APCs secrete cytokines that act on naive T-cells leading to the differentiation of naive T-cells. These differentiated T-cells further secrete effector cytokines and regulate the function of T_H-cells. The profile of cytokine depends on the type of *Aspergillus* antigens, route of infection, immunological status of the host and cytokines milieu present during the interaction (Romani, 2008; Chai et al., 2010b). CD4⁺

T-cells can be divided into distinct subtypes according to cytokine profile, and they can differentiate to T_H1 , T_H2 , T_H17 , T_H9 , and T-follicular effector cells (Kerzerho et al., 2013; Kara et al., 2014). On the basis of the cytokine profile, these T_H -cells perform distinct functions. However, it is not clear how T-follicular effector cells respond during *Aspergillus* infection (Wüthrich et al., 2012).

Cytokines Associated with T_H1 Type of Response

Aspergillus fumigatus challenged intranasally in mice interacts with DCs and alveolar macrophages in the lung. Secretion of T_H1 associated pro-inflammatory cytokines IL-12, IFN- γ , TNF- α , IL-18 has been observed after the challenge (Chotirmall et al., 2013). Among these, IL-12 is the prominent cytokine released from activated monocytes and macrophages in lung that help in shaping T-cell immune response. IL-12 is a heterodimeric cytokine composed of IL-12p35 and IL-12p40 polypeptides that form the bioactive IL-12p70. The heterodimer binds to the IL-12 receptor composed of IL-12R β 1 and IL-12R β 2 chains and signals through STAT-4 (Shao et al., 2005). IL-12 acts on NK cells to promote IFN- γ secretion and differentiate CD4 $^{+}$ T-cells into T_H1 -cells, once CD4 $^{+}$ cells differentiate to T_H1 -cells, they increase the secretion of IFN- γ , which suppress T_H17 and T_H2 response in the lung (Espinosa and Rivera, 2012; Camargo and Husain, 2014). IL-12, hence, is the most important regulator of T_H1 response during lung infection. IL-12 deficient mice failed to generate a T_H1 response, leading to increased secretion of IL-4 and IL-10 cytokines, which shifts the immune response toward T_H2 pathway (Cenci et al., 1998). In *A. fumigatus* induced neutropenic aspergillosis in mice, NK cells can be the primary source of IFN- γ responsible for activating phagocytic cells and direct antifungal effectors cells against *A. fumigatus* (Park et al., 2009). Further, patients with invasive *Candida* and/or *Aspergillus* infections, recombinant treatment of IFN- γ in combination with antifungal drug partially restored immune function (Delsing et al., 2014). In intravenous infection of *A. flavus* mice model studies, lung and brain homogenate showed pro-inflammatory cytokines IL-12 and IFN- γ and relative absence of IL-4, IL-23, and IL-17 suggesting a T_H1 response (Anand et al., 2013, 2015). *A. terreus* induced invasive aspergillosis showed the presence of IL-1 β , IL-6, and reduced level of IL-10 in mice model studies. Although there is activation of T_H17 type of adaptive immune response through IL-1 β but the later is suppressed by T_H1 cytokines particularly IFN- γ (Vyas, 2011; Lass-Florl, 2012). The T_H1 response is thus also mounted by *A. terreus* and there is a lack of T_H2 response in contrast to *A. fumigatus* infection where T_H2 promoting cytokines are observed.

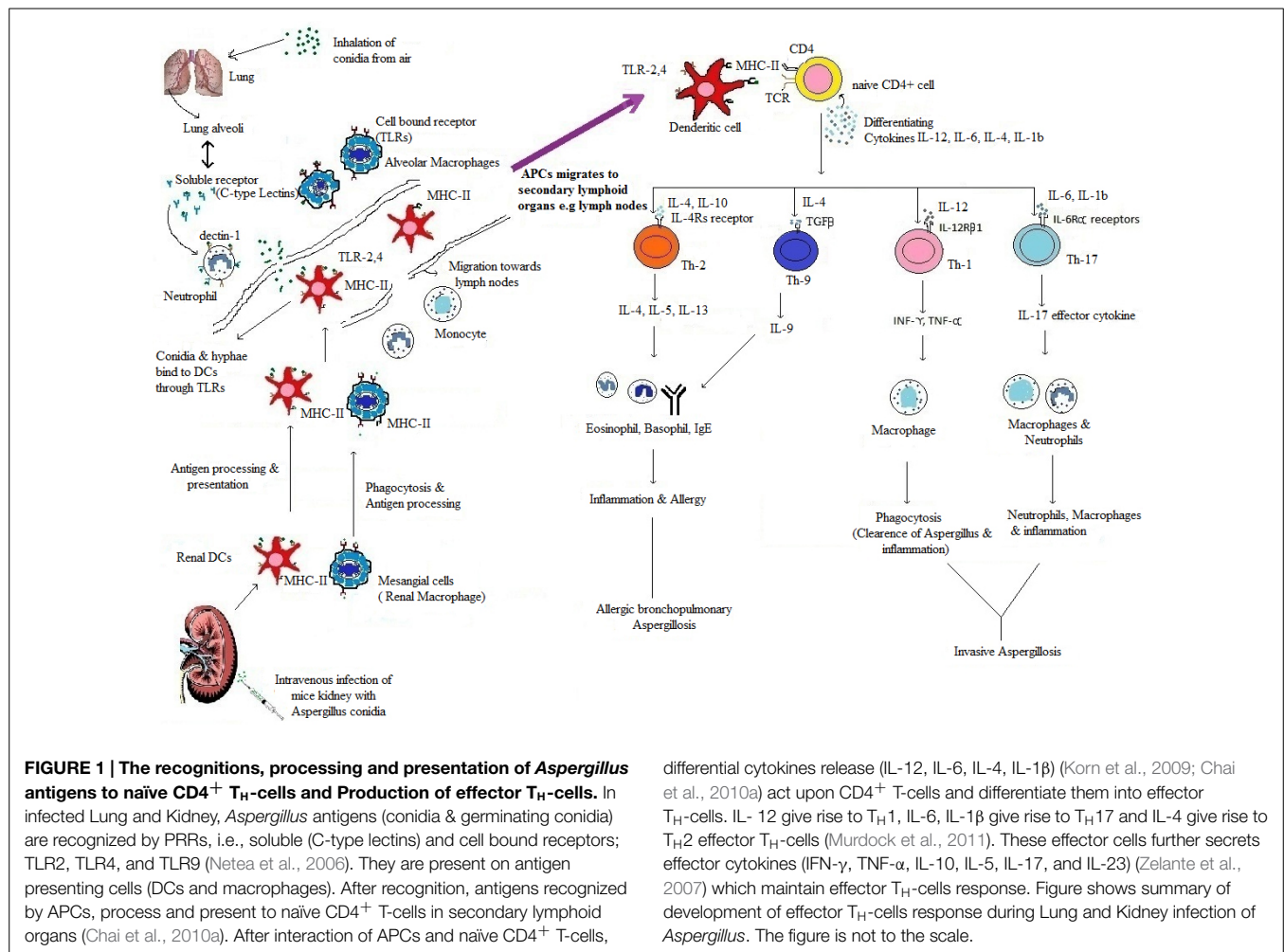
Cytokines Associated with T_H17 Type Response

Aspergillus fumigatus mediated infections in lung induce T_H17 and T_H1 -cells. These cells play an important role in protection and induction of inflammation (Chai et al., 2010b). Activation of T_H17 -cell depends on Dectin-1 signaling pathway. Various studies have suggested that dectin-1 deficient mice entirely activate T_H1 -cells. So Dectin-1 signaling not only serves as a positive factor to promote T_H17 differentiation but rather act to balance T_H1 versus T_H17 differentiation. Activation of the APCs by Dectin-1, release the proinflammatory cytokines IL-1 β , IL-6, IL-23, and

IL-22 which differentiates CD4 $^{+}$ T-cells to T_H17 -cells, which further secretes IL-17A and IL-17F cytokines and maintain T_H17 response (Werner et al., 2011). IL-23 is a member of IL-12 family, produced by phagocytic cells, macrophages and activated DCs in lung. IL-23 contains two subunits IL-12p40 and IL-23p19 and it binds to heterodimeric receptors IL-12R β 1, expressed by activated T-cells (Zelante et al., 2007). IL-6 is another important cytokine involved in regulation of T_H17 response. IL-6 is a multifunctional cytokine, promote T_H17 -cells differentiation, inflammation and acute response (Akdis et al., 2011). During T_H17 differentiation, human naïve T-cells are exposed to IL-1 β , IL-6, and IL-23 (Zelante et al., 2009; Gresnigt and van de Veer-donk, 2014). T_H17 promoting cytokine IL-17 binds to IL-17RA and IL-17RC receptors expressed in lung cells, like fibroblast, epithelial cells and T-cells. After release of IL-17 from T_H17 -cells, it activates the neutrophils migration toward infected area and increases inflammation (Wilson et al., 2007). In *A. flavus* and *A. terreus*, the role of T_H17 -cells during lung infection is yet to be established.

Cytokines Associated with T_H2 Type of Response

Aspergillus fumigatus is associated with both invasive and allergic form of aspergillosis. In case, if conidia are not cleared, they germinate to produce hyphae, which are responsible for invasion in host tissues that leads to inflammation. In a healthy human T-cells response, *A. fumigatus* not only evoke pro-inflammatory type of immune response via T_H1 and T_H17 -cells but also anti-inflammatory type of immune response mediated by T_H2 -cells (Chaudhary et al., 2010). Immune response initiated by IL-4 and IL-10 inhibits T_H1 and T_H17 response and increased secretion of IL-4 and IL-10 inhibits IFN- γ and IL-12 production. T_H2 -cells differentiation depends on IL-4 and IL-10 and after differentiation in to T_H2 cells, these cells further secretes IL-5 and IL-13 which maintain T_H2 response. T_H2 immune response is triggered in acute bronchopulmonary aspergillosis and also in invasive pulmonary infection during some time point of infection. IL-4 and IL-10 deficient mice show lower *A. fumigatus* burden and increased survival rates compared to wild type mouse in invasive pulmonary aspergillosis (Cenci et al., 2000). It has been shown that ESTs (L3 ribosomal protein, L7A ribosomal protein, Histone -H2A) have high sequence similarity with human counter parts suggesting molecular mimicry between human and pathogen protein (Shankar et al., 2004). However, role of these genes in eliciting allergic immune response needs investigations. In *A. flavus* mediated infection, lung homogenate showed the absence of T_H2 response in a limited cytokine profile study (Anand and Tiwary, 2010). However, T_H2 type response may get activated in later stages of infection in lung due to rise in IL-4 and IL-10, which suppress the T_H1 response but consistent expression IFN- γ overcomes T_H2 response. In addition to T_H2 , the role of T_H9 -cells has been shown during infection with a Virus, bacteria, parasites and fungi. T_H9 response contributes to allergic inflammation during allergic aspergillosis due to *A. fumigatus* in mice model (Kerzerho et al., 2013). T_H9 -cells develop in the presence of IL-1 α and TGF- β along with T_H2 -cells. However, role of T_H9 and T_H2 response during invasive aspergillosis remains unclear.



Is Their Co-evolution of T-helper Cells During Invasive Aspergillosis?

In an immunocompromised mice model studies, repeated exposure of *A. fumigatus* conidia in hosts lead to co-evolution of T_H1, T_H2, and T_H17 response in infected lung (Murdock et al., 2011). They have observed the presence of IFN-γ and IL-17 in infected lung of mice along with T_H2 response. These mixed responses might be occurring at different time points during progression of infection and leads to either protection or infection. T_H1 and T_H17 response probably leads to protection where as T_H2 response further complicates the disease. T_H2 response help in evasion of *A. fumigatus* from immune cells and further increase the IgE level, which leads to high inflammation at the site of infection. Mice model of ABPA demonstrated the T_H2 cytokine profile consisting of IL-4, IL-10, and IL-5 (Latge, 1999).

Interplay of Cytokines; T_H1 or T_H2 or T_H17 Type of Response

T_H-cells response during invasive *Aspergillus* depends on differentiating cytokines. T_H1 response is activated by differentiating

cytokine IL-12 followed by secretion of IFN-γ. Secretion of IFN-γ further stimulates T_H1 cells, if IFN-γ dominates initially it suppress the other cytokines of T_H2 and T_H17, i.e., IL-4 and IL-17 (Harrington et al., 2005). If IL-4 dominates during initial period of *Aspergillus* infection, it suppresses the protective T_H1 type immune response by inhibiting differential cytokine IL-12 and IFN-γ (Harrington et al., 2005). Recognition of *Aspergillus* antigens by Dectin-1 signaling pathway inhibit the production of IFN-γ and IL-12 receptors suppressing T_H1, which leads to differentiation of T_H17-cells and production of IL-17. In this way Dectin-1 signal balances the T_H1 and T_H17 response through the regulation of their respective cytokines (Rivera et al., 2011; Figure 1). The development of effective CD4⁺ T_H-cells response not only depends upon cytokines, but also on chemokines and their receptors. Chemokines help in recruitment of leukocytes, i.e., neutrophils, monocytes and NK cells toward lung during *Aspergillus* infection. These cells express chemokine receptors; neutrophils contain CXCR2 chemokine receptor for ligand CXCL1 and CXCL2, monocytes contain CCR2 and CCR6 receptor for CCL2 and CCL20 ligands, where as NK cells contain CCR2 receptor for CCL2 ligand. So these chemokine ligands attract monocytes, neutrophils and NK cells to clear the *Aspergillus* hyphae during

lung infection (Park and Mehrad, 2009). These chemokines receptors are also present on DCs, regulatory T-cells (Tregs) and T_H-cells and help in their trafficking (Bendall, 2005). In this way, there is an interdependent relationship between chemokines and cytokines that help in evolution of effector T_H-cells response. CCL17, a chemokine, help in trafficking of DCs, Tregs and T_H1-cells toward infected area during invasive aspergillosis in response to CCR4 chemokine receptor present on these cells. Further, CCR6 receptor present on DCs and T_H17-cells help in migration of these cells in response to chemokine CCL20 (Bendall, 2005; Wüthrich et al., 2012).

Conclusion

Cytokines are important in the development of CD4⁺ T_H-cells. Understanding of trafficking of CD4⁺ T_H-cells and their regulation through differentiating/effector cytokines during invasive

aspergillosis will be crucial for the targeted immunotherapy. Overall, cytokines and chemokines may serve as prognostic biomarkers that could be followed to assess the effectiveness of treatment response during invasive aspergillosis. Measurement of selected cytokines in the blood samples of aspergillosis patients may be a promising tool for the monitoring of treatment responses. Also, manipulation of cytokine response e.g., IFN- γ or IFN- γ in combination with antifungal drug, IL-37, may be a future avenue for the development of better therapeutic against invasive aspergillosis.

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Paving the way for predictive diagnostics and personalized treatment of invasive aspergillosis

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Invasive aspergillosis (IA) is a life-threatening fungal disease commonly diagnosed among individuals with immunological deficits, namely hematological patients undergoing chemotherapy or allogeneic hematopoietic stem cell transplantation. Vaccines are not available, and despite the improved diagnosis and antifungal therapy, the treatment of IA is associated with a poor outcome. Importantly, the risk of infection and its clinical outcome vary significantly even among patients with similar predisposing clinical factors and microbiological exposure. Recent insights into antifungal immunity have further highlighted the complexity of host-fungus interactions and the multiple pathogen-sensing systems activated to control infection. How to decode this information into clinical practice remains however, a challenging issue in medical mycology. Here, we address recent advances in our understanding of the host-fungus interaction and discuss the application of this knowledge in potential strategies with the aim of moving toward personalized diagnostics and treatment (theranostics) in immunocompromised patients. Ultimately, the integration of individual traits into a clinically applicable process to predict the risk and progression of disease, and the efficacy of antifungal prophylaxis and therapy, holds the promise of a pioneering innovation benefiting patients at risk of IA.

Keywords: invasive aspergillosis, stem cell transplantation, antifungal immunity, single nucleotide polymorphism, personalized medicine

Introduction

Aspergillosis includes an extensive spectrum of diseases caused by fungi of the genus *Aspergillus* with clinical manifestations that range from colonization to allergic bronchopulmonary aspergillosis and disseminated forms of infection (Segal, 2009). The prevalence of invasive aspergillosis (IA) has steadily increased in the last decades, mostly due to the advent of solid organ and hematopoietic stem cell transplantation (HSCT), and the increased use of chemotherapy and immunosuppression (Kontoyiannis et al., 2010; Pagano et al., 2010). Although the diagnosis of IA has improved, namely because of the introduction of biomarkers such as the detection of galactomannan in the clinical practice (Morrissey et al., 2013), successful treatment is still a challenging endeavor. Indeed, established infection is difficult to eradicate, resulting in associated mortality rates ranging from 40 to 90% (Walsh et al., 2008).

The extensive use of empiric antifungal therapy is a major concern given the potential development of resistance in the pathogen. The emergence of azole-resistant strains of *Aspergillus fumigatus* (Steinmann et al., 2015) underscores the need for personalized diagnostic and risk prediction approaches based on individual traits that may allow targeting antifungal therapy and improving outcomes without unnecessary empiric therapy. Several host genetic variants have been proposed as suitable markers to categorize patients with the highest risk of infection (Cunha et al., 2013). However, many challenges confront the development of these predictive tools. Among them, our insufficient understanding of the critical immune defects that predispose to the infection and the lack of validation of genetic diagnostics in well-designed clinical trials.

The purpose of this review is to reposition novel findings on the host-fungus interaction and to discuss the challenges in exploiting this knowledge to the design of patient-tailored diagnostic or therapeutic approaches to deliver to specific target populations.

The Host-fungus Interaction: What's New?

The physical barriers afforded by the respiratory mucosa confer the first line of innate resistance to fungal infection. Because of their small size, conidia may reach the lung alveoli, where they are phagocytosed and killed through the production of reactive oxygen species (ROS) by resident macrophages, whereas neutrophils are instead proficient at handling hyphae germinating from conidia that escape macrophage surveillance through an array of extracellular killing mechanisms (Ibrahim-Granet et al., 2003; Cunha et al., 2014b; Heinekamp et al., 2015). This morphotype preference may be in part due to the ability of neutrophils to sense microbe size and selectively release neutrophil extracellular traps in response to larger structures (Branzk et al., 2014). Importantly, recent findings also suggest that lung epithelial cells act as an active extension of the innate immune system, operating as a surveillance mechanism sensing fungal spores and prompting antifungal effector responses (Oshero, 2012).

Innate immune cells are equipped with pattern recognition receptors (PRRs) able to discriminate pathogen-associated molecular patterns (PAMPs; Bourgeois and Kuchler, 2012; Plato et al., 2015). Stimulation of antigen-presenting cells, including macrophages and dendritic cells (DCs), leads to the activation and recruitment of lymphocytes, and the development of adaptive antifungal immune responses. Once committed, T cells monitor the host for infection and mobilize appropriate effector functions by inducing cytokines and cytolytic molecules, which are instrumental in rallying and activating professional phagocytes to the site of microbial deposition, thus providing a prompt and effective control of infection (Romani, 2011).

The fungal cell wall is the main source of PAMPs owing to its dynamic composition and structural properties according to morphotype, growth stage and environmental conditions (Latge, 2010). Toll-like receptor (TLR)-2 (in cooperation with TLR1 and TLR6), TLR3, TLR4, and TLR9, and the C-type lectin receptors dectin-1, dendritic cell-specific intercellular adhesion molecule 3 grabbing non-integrin (DC-SIGN) and mannose receptor are

the most important PRRs recognizing fungal PAMPs including mannan, β -glucan and nucleic acids (Romani, 2011).

The Host Perspective

The immune response to *A. fumigatus* is determined not only by the relative degree of stimulation of the individual PRRs but also by the level of receptor cooperation and cellular localization. Indeed, a sequential activation of distinct signal transduction pathways through the PRR adapters myeloid differentiation primary response 88 (MyD88) and caspase-associated recruitment domain 9 (CARD9) in the respiratory epithelium and hematopoietic compartment in response to infection was recently reported (Jhingran et al., 2015). By means of a partial overlap, these signals ensure optimal chemokine induction, neutrophil recruitment, and fungal clearance within the respiratory tract.

Patients undergoing immunosuppressive regimens based on calcineurin inhibitors such as cyclosporine A or corticosteroids are highly susceptible to disseminated fungal infections. Previous work demonstrated that calcineurin is an important regulator of dectin-1-mediated signaling and activation of immunity to *Candida albicans* (Greenblatt et al., 2010), and that corticosteroid immunosuppression blocks dectin-1-mediated signaling required for maturation of *A. fumigatus* phagosomes (Kyrnizi et al., 2013). More recently, the calcineurin inhibitor tacrolimus was found to impair primary alveolar macrophage activation in response to *A. fumigatus* by preventing a signaling pathway involving a TLR9-Bruton's tyrosine kinase-calcineurin-nuclear factor of activated T-cells axis required for proinflammatory cytokine production (Herbst et al., 2015). Taken together, these findings suggest that disseminated fungal infections seen in these patients are not just a general consequence of systemic suppression of adaptive immunity but are, rather, a result of the specific blockade of evolutionarily conserved innate pathways for fungal resistance.

Another important feature of dectin-1-mediated signaling in response to *A. fumigatus* is the activation of the nucleotide-binding oligomerization domain-like receptor family, pyrin domain containing 3 (NLRP3) inflammasome leading to the production of bioactive interleukin (IL)-1 β (Said-Sadier et al., 2010). Indeed, members of the IL-1 receptor family of cytokines are critical effector molecules in antifungal immunity (Gresnigt and van de Veerdonk, 2014). By preventing activation of the NLRP3 inflammasome and reducing IL-1 β secretion, IL-37 was recently found to act as a broad spectrum inhibitor of innate responses to fungal infection-mediated inflammation (Moretti et al., 2014). Along this line, the increased IL-1 β release intrinsically associated to chronic granulomatous disease (CGD) was found to be reverted by the use of IL-1 receptor antagonist (IL-1Ra), leading to restrained neutrophil recruitment and T helper 17 responses, thereby protecting from IA (de Luca et al., 2014).

Soluble PRRs found in the fluids lining the epithelial surfaces support fungal sensing by binding to conidia and enhancing their uptake by phagocytes. Among these, the long pentraxin 3 (PTX3) was demonstrated to play a non-redundant role in antifungal host defense (Garlanda et al., 2002) by enhancing recognition and phagocytosis through mechanisms that depend on Fc γ receptor, CD11b and complement activation (Moalli et al., 2010). Engagement of myeloid differentiation protein 2 (MD-2) during uptake

of PTX3-opsonized conidia was also revealed to activate TLR4 signaling converging on the production of type I interferons (Bozza et al., 2014). This suggests that in addition to pro-phagocytic properties, PTX3 is able to elicit antifungal effector mechanisms associated with limited immunopathology, thereby highlighting potential mechanisms of action underlying its favorable synergism with antifungal therapy against IA (Lo Giudice et al., 2012; Marra et al., 2014).

The Pathogen Perspective

Fungi have evolved their own elaborate mechanisms to escape innate immunity. By masking dectin-1 and dectin-2-dependent recognition, the hydrophobin layer of *A. fumigatus* conidia restrains neutrophil infiltration and cytokine production (Aimanianda et al., 2009; Carrion Sde et al., 2013). Galactosaminogalactan (GAG), a polysaccharide of the fungal cell wall produced by glucose epimerases (Lee et al., 2014), also hampers neutrophil recruitment (Fontaine et al., 2011). GAG functions as an adhesin, mediating adherence and suppressing host inflammatory responses, in part through masking cell wall β -glucan from recognition by dectin-1 (Gravelat et al., 2013). The immunosuppressive properties of GAG have also been attributed to its potent ability to induce IL-1Ra (Gresnigt et al., 2014), a finding further highlighting a possible therapeutic option targeting IL-1Ra in IA.

Genomic and transcriptomic approaches have revealed that fungal pathogenicity depends also on mechanisms regulating fungal metabolism and response to stress in adaptation to the host environment. In particular, the ability of *A. fumigatus* to adapt to hypoxic microenvironments has been found to involve the production of secondary metabolites that promote lung inflammation, exacerbate infection and influence subsequent host immune responses (Grahl et al., 2011). Given the need of myeloid cells to adapt to hypoxic and inflamed microenvironments that develop during infection, the hypoxia-inducible factor 1- α (HIF-1 α) has been found to be essentially required for chemokine production and maintenance of neutrophil numbers in the lungs of infected animals (Shepardson et al., 2014).

Effector T cell responses are also targeted by fungi. For example, mucosal vaccination was found to subvert T cell priming by impairing chemokine signals on egress of inflammatory monocytes from the bone marrow and their recruitment to the lung (Wuthrich et al., 2012). This finding is even more significant considering the role of inflammatory monocytes in orchestrating antifungal immunity in the lung by regulating the conidiocidal activity of neutrophils and their own differentiation to DCs (Espinosa et al., 2014). Importantly, inflammatory monocytes are required for optimal IL-1 α expression in the lungs, which in turn regulates the early accumulation of neutrophils in the lung (Caffrey et al., 2015).

Host Genetic Determinants of Risk of IA

The inborn deficiency of the phagocyte nicotinamide adenine dinucleotide phosphate (NADPH) oxidase leading to a defective production of ROS and underlying CGD is the best known example of primary immunodeficiency associated with a distinctive predisposition to IA (Vinh, 2011). Patients with autosomal-dominant

hyper-IgE syndrome (AD-HIES) are also at risk for IA (Vinh et al., 2010); however, the susceptibility of AD-HIES patients classically results from concurrent anatomical lung defects from previous bacterial infections and defective STAT3-dependent epithelial immunity (Holland et al., 2007). For most individuals however, the genetic propensity to IA has a polygenic source that acts in combination with other remarkable predisposing variants (e.g., the profound immunosuppression typical of many clinical settings), and that may translate into further immunological dysfunction, ultimately increasing the proneness to infection.

Our increasing ability to analyze human variability at the DNA level has made possible the identification of genetic factors implicated in the development of IA in hematological patients (Cunha et al., 2013), providing important insights into the mechanisms of human diversity underlying increased susceptibility to IA. Although genetic profiling is nowadays regarded as a promising methodology to exploit in the future toward improved diagnosis and therapy of fungal diseases (Cunha and Carvalho, 2012), this field is still bedeviled by difficulties, mostly related to heterogeneity of cohorts, sample size, selection bias, and statistical flaws (van der Velden et al., 2011), which compromise the clinical applicability of this knowledge.

The identification of a donor haplotype in *TLR4* increasing risk of IA after HSCT was one of the first solid reports illustrating the remarkable influence of host genetics on the susceptibility to IA (Bochud et al., 2008). This phenotype was associated with a delayed T cell and natural killer T cell immune reconstitution after transplant (Koldehoff et al., 2013). However, despite *TLR4* polymorphisms have also been linked with chronic aspergillosis in immunocompetent individuals (Carvalho et al., 2008) and fungal colonization in HSCT recipients (Carvalho et al., 2009), their prognostic significance remains unclear, since the exact mechanism(s) through which TLR4 deficiency impacts human antifungal immunity remain to be identified. Along this line, the early finding that genetic variants in *TLR1* and *TLR6* predisposed to IA among HSCT recipients (Kesh et al., 2005) was recently supported by evidence demonstrating the defective production of crucial antifungal cytokines and chemokines by TLR1- and TLR6-deficient mouse cells after stimulation with *A. fumigatus* (Rubino et al., 2012).

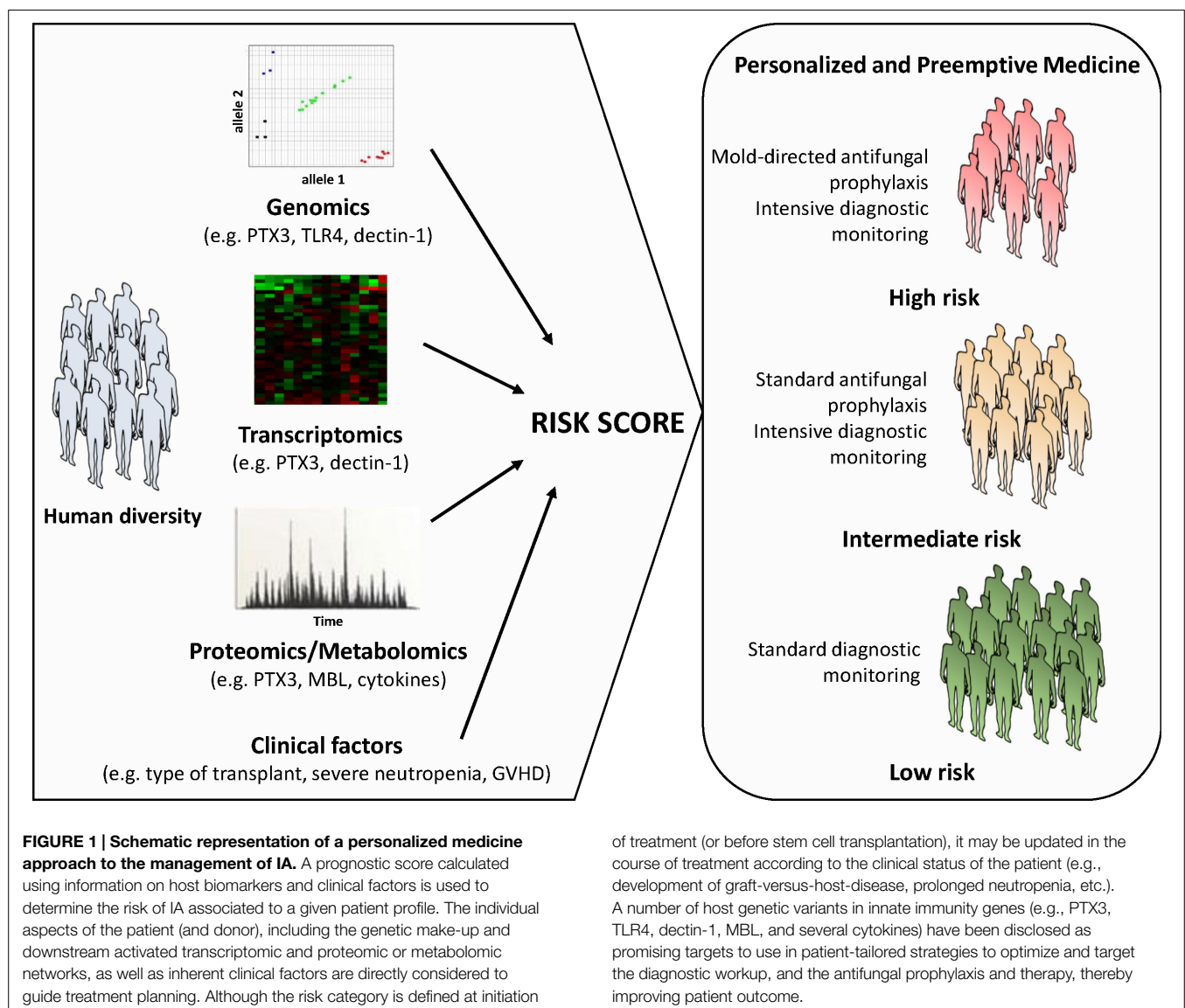
The discovery that sensing of fungal RNA by TLR3 was required for the activation of protective memory CD8⁺ T cells responses in experimental aspergillosis was complemented by the identification of a regulatory variant impairing the expression of the human receptor in human DCs and hampering the efficient priming of memory CD8⁺ responses (Carvalho et al., 2012b). These findings suggest that, by interpreting immunogenetic signatures and identifying subtle differences in immune profiles, response efficiencies to potential antifungal vaccination strategies are likely to be discriminated. In fact, the measurement of *A. fumigatus*-specific immune responses in hematological patients was confirmed as a promising immunodiagnostic approach (Potenza et al., 2013) amenable to combination with other diagnostic tools. Finally, a stop codon in recipient TLR5 was also disclosed as an important prognostic factor for the development of IA among HSCT recipients (Grube et al., 2013), a finding warranting further studies into the function of this receptor in antifungal immunity.

Dectin-1 deficiency was also consistently reported to contribute to susceptibility to IA (Cunha et al., 2010; Chai et al., 2011; Sainz et al., 2012). The finding that by compromising the surface expression and dectin-1-mediated cytokine production, the presence of the Y238X polymorphism in HSCT donors and recipients displayed a cumulative effect toward risk for infection (Cunha et al., 2010) emphasizes the contribution of non-hematopoietic dectin-1 to antifungal immunity. In addition, although damage perception is fundamental for resolution of fungal infection (Cunha et al., 2012), genetic variants triggering hyperactive danger signaling, and presumably leading to uncontrolled inflammatory responses to the fungus, were also recently found to increase risk for IA (Cunha et al., 2011).

A number of positive associations between genetic variants in cytokine and chemokine genes and vulnerability to IA has also been reported (Sainz et al., 2007a,b, 2008, 2010; Mezger et al., 2008; Carvalho et al., 2010). One recent example regards the identification of polymorphisms in the genes encoding for IL-1 β

and beta-defensin 1 (*DEFB1*) that, by affecting production of *A. fumigatus*-induced proinflammatory cytokines by mononuclear cells, influenced susceptibility to mold infection after solid organ transplantation (Wojtowicz et al., 2014).

A number of unconventional strategies have been employed to uncover additional candidate genes for susceptibility to IA (Zaas et al., 2008; Durrant et al., 2011). For example, genetic mapping analysis of survival data of infected mice allowed the identification of plasminogen, a regulatory molecule with opsonic properties, as a fitting contestant for susceptibility (Zaas et al., 2008). Consequently, a non-synonymous polymorphism in human plasminogen was found to increase risk for IA in HSCT recipients. Genetic and functional deficiency of other molecules with opsonic activity—e.g., mannose-binding lectin (MBL; Lambourne et al., 2009) and PTX3 (Cunha et al., 2014a)—has also been disclosed as a major determinant of susceptibility to IA, pointing to a key contribution of innate humoral responses to antifungal immunity. Indeed, a donor haplotype in *PTX3* associated



with increased risk of IA in the corresponding HSCT recipient was found to compromise PTX3 expression during the developmental programming of neutrophils in the bone marrow, leading to a defective antifungal capacity of newly reconstituted neutrophils (Cunha et al., 2014a). Importantly, this association was recently replicated in a cohort of solid organ transplant recipients (Wojtowicz and Bochud, 2015). The fact that exogenous administration of PTX3 is able to revert the genetic defect (Cunha et al., 2014a) further highlights the potential of PTX3-based immunotherapies to treat (or prevent) IA (Carvalho et al., 2012a).

Decoding the Host-fungus Interaction into Clinical Strategies

Early diagnosis is crucial to a favorable outcome of IA. However, the existing diagnostic tools are often compromised by slowness, invasiveness, lack of standardization and insufficient understating of their kinetics (Hope et al., 2005). The introduction of molecular and serological diagnostic techniques into clinical practice has undoubtedly improved our capacity to diagnose IA. Nonetheless, the broad applicability of both techniques is hampered by considerable variability in performance. Given these technical barriers, the search for tools to diagnose IA that are more efficient and reliable is an active field of research. One example was recently provided by a study demonstrating the usefulness of direct detection of exogenous fungal metabolites in the breath to the identification of the underlying microbial etiology of pneumonia (Koo et al., 2014).

Although the interaction of the fungus with the immune system is being exploited to project novel and improved fungal diagnostics, efforts have on the other hand been also devoted to the implementation of clinical models aimed at the prediction of infection in high-risk patients. In this regard, interpretation of individual genomic, transcriptomic, proteomic or metabolomic profiles associated with impaired antifungal immune responses and their integration with clinical data is regarded as a promising approach (Figure 1). Indeed, next-generation sequencing technologies now provide exciting possibilities to pin down essential steps in host-fungus interaction at a level of complexity previously unanticipated. The first genome-wide association studies

(GWAS) exploring host susceptibility to IA are underway and are expected to provide unbiased insights into the genetic defects contributing to development of IA, thereby laying the foundation for clinical trials aimed at the validation of medical interventions based on individual genomics. These efforts are nonetheless centered on the fairly “static” role played by the genetic variants. Physiological responses to fungal infection require the coordinated regulation of gene expression, which may vary markedly between individuals and influence phenotypes such as protein levels, the cell morphology and function, and ultimately the immunity to infection. Thus, genetic analysis of molecular traits such as the gene expression represents a powerful approach enabling insights into the human genomic landscape by generating expression maps useful for the functional interpretation of non-coding variants likely to arise from ongoing genome-wide initiatives (Fairfax and Knight, 2014).

Conclusions and Perspectives

The discovery of accurate and reliable genetic markers of susceptibility may be a turning point toward innovative stratification strategies based on genetic screening or immune profiling to predict risk and severity of disease, efficacy of antifungal prophylaxis and therapy, and eventually contribute to the successful design of antifungal vaccines. As shown for PTX3 deficiency (Cunha et al., 2014a), targeting cell function (e.g., exogenous administration of lacking or deficient factors) may prove an interesting approach to be validated in the future. Indeed, engineering of T cell function to target carbohydrates was demonstrated as a potentially exploitable strategy for the treatment of IA (Kumaresan et al., 2014). Ultimately, approaches based on individual genomics (and with influence on multiple functional transcriptomic, proteomic and metabolomic networks) may warrant important clinical tools allowing discrimination of patients that require enhanced surveillance for fungal disease or alternative antifungal therapies.

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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